

CS-XXX: Graduate Programming Languages  
Lecture 21 — Synchronous Message-Passing and  
Concurrent ML

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## Message Passing

- ▶ Threads communicate via *send* and *receive* along *channels* instead of *read* and *write* of references
- ▶ Not so different? (can implement references on top of channels and channels on top of references)
- ▶ *Synchronous* message-passing
  - ▶ *Block* until communication takes place
  - ▶ Encode asynchronous by “spawn someone who blocks”

## Concurrent ML

- ▶ CML is synchronous message-passing with *first-class synchronization events*
  - ▶ Can wrap synchronization abstractions to make new ones
  - ▶ At run-time
- ▶ Originally done for ML and fits well with lambdas, type-system, and implementation techniques, but more widely applicable
  - ▶ Available in Racket, OCaml, Haskell, ...
- ▶ Very elegant and under-appreciated
- ▶ Think of threads as *very lightweight*
  - ▶ Creation/space cost about like a function call

## The Basics

```
type 'a channel (* messages passed on channels *)
val new_channel : unit -> 'a channel
```

```
type 'a event (* when sync'ed on, get an 'a *)
val send      : 'a channel -> 'a -> unit event
val receive   : 'a channel -> 'a event
val sync      : 'a event -> 'a
```

- ▶ Send and receive return “events” immediately
- ▶ Sync blocks until “the event happens”
- ▶ Separating these is key in a few slides

## Simple version

Can define helper functions by trivial composition:

```
let sendNow ch a = sync (send ch a) (* block *)
let recvNow ch = sync (receive ch) (* block *)
```

“Who communicates” is up to the CML implementation

- ▶ Can be nondeterministic when there are multiple senders/receivers on the same channel
- ▶ Implementation needs collection of waiting senders xor receivers

Terminology note:

- ▶ Function names are those in OCaml’s Event library.
- ▶ In SML, the CML book, etc.:

send	↔	sendEvt	sendNow	↔	send
receive	↔	recvEvt	recvNow	↔	recv

## Bank Account Example

See lec21code.ml

- ▶ First version: In/out channels are only access to private reference
  - ▶ In channel of type `action channel`
  - ▶ Out channel of type `float channel`
- ▶ Second version: Makes functional programmers smile
  - ▶ State can be argument to a recursive function
  - ▶ “Loop-carried”
  - ▶ Hints at deep connection between references and channels
    - ▶ Can implement the reference abstraction in CML

## The Interface

The real point of the example is that you can abstract all the threading and communication away from clients:

```
type acct
val mkAcct : unit -> acct
val get : acct -> float -> float
val put : acct -> float -> float
```

Hidden thread communication:

- ▶ `mkAcct` makes a thread (the “this account server”)
- ▶ `get` and `put` make the server go around the loop once

Races naturally avoided: the server handles one request at a time

- ▶ CML *implementation* has queues for waiting communications

## Streams

Another pattern/concept easy to code up in CML is a *stream*

- ▶ An infinite sequence of values, produced lazily (“on demand”)

Example in `lec21code.ml`: square numbers

Standard more complicated example: A network of streams for producing prime numbers. One approach:

- ▶ First stream generates 2, 3, 4, ...
- ▶ When the last stream generates a number  $p$ , return it and *dynamically* add a stream as the new last stream
  - ▶ Draws input from old last stream but outputs only those that are not divisible by  $p$

Streams also:

- ▶ Have deep connections to *circuits*
- ▶ Are easy to code up in lazy languages like Haskell
- ▶ Are a key abstraction in real-time data processing

## Wanting choice

- ▶ So far just used `sendNow` and `recvNow`, hidden behind simple interfaces
- ▶ But these *block* until the *rendezvous*, which is insufficient for many important communication patterns
- ▶ Example: `add : int channel -> int channel -> int`
  - ▶ Must choose which to receive first; hurting performance if other provider ready earlier
- ▶ Example: `or : bool channel -> bool channel -> bool`
  - ▶ Cannot short-circuit

*This is why we split out `sync` and have other primitives*

## Choose and Wrap

```
type 'a event (* when sync'ed on, get an 'a *)
val send : 'a channel -> 'a -> unit event
val receive : 'a channel -> 'a event
val sync : 'a event -> 'a
```

```
val choose : 'a event list -> 'a event
val wrap : 'a event -> ('a -> 'b) -> 'b event
```

- ▶ `choose`: when synchronized on, block until one of the events happen (cf. UNIX `select`, but more useful to have `sync` separate)
- ▶ `wrap`: an event with the function as post-processing
  - ▶ Can wrap as many times as you want

Note: Skipping a couple other key primitives (e.g., `withNack` for timeouts)

## Circuits

To an electrical engineer:

- ▶ `send` and `receive` are ends of a gate
- ▶ `wrap` is combinational logic connected to a gate
- ▶ `choose` is a multiplexer
- ▶ `sync` is getting a result out

To a programming-language person:

- ▶ Build up a data structure describing a communication protocol
- ▶ Make it a first-class value that can be by passed to `sync`
- ▶ Provide events in interfaces so other libraries can compose larger abstractions

## What can't you do

CML is by-design for point-to-point communication

- ▶ Provably impossible to do things like 3-way swap (without busy-waiting or higher-level protocols)
- ▶ Related to issues of common-knowledge, especially in a distributed setting
- ▶ Metamoral: Being a broad computer scientist is really useful

## A note on implementation and paradigms

CML encourages using *lots* (100,000s) of threads

- ▶ Example: X Window library with one thread per widget

Threads should be cheap to support this paradigm

- ▶ SML N/J: about as expensive as making a closure!
  - ▶ Think “current stack” plus a few words
  - ▶ Cost no time when blocked on a channel (dormant)
- ▶ OCaml: Not cheap, unfortunately

A thread responding to channels is a lot like an *asynchronous object* (cf. *actors*)