

Ras Bodik
Shaon Barman
Thibaud Hottelier

## Lecture 3

## Growing the language

 Scopes, binding, train wrecks, and syntactic sugar.Hack Your Language!
CS164: Introduction to Programming Languages and Compilers, Spring 2012
UC Berkeley

## Administrativia

Wed 1 pm discussion section has moved. See piazza.com.

- By the way, you are expected to read all piazza announcements.

In HW1, most wrote their first web mash-up. Congratulations!
Lessons:

- modern programs use multiple languages: HTML, CSS, JS, regex
- learning curve: languages and tools not so easy to learn
- in CS164, we'll learn skills to improve the situation

PA1 assigned today.

- Teams of two.
- Your repos on bitbucket.org. Submissions from bitbucket, too.
- We will require that you exchange files via bitbucket.


## Today

Grow a language. Case studies on two languages.

The unit calculator: allow the user to

- add own units
- reuse expressions

Lambda interpreter: add control structures

- if, while, for, comprehensions
- using syntactic desugaring and lambdas


## Part 1: Growing the calculator language

## In L2, we implemented google constructs

Example:
34 knots in mph \# speed of S.F. ferry boat
--> 39.126 mph

Example:
\# volume * (energy / volume) / power = time
half a dozen pints * (110 Calories per 12 fl oz )/ 25 W in days
--> 1.704 days
Now we will change the language to be extensible

## How we'll grow the language

1. Arithmetic expressions
2. Physical units for (SI only) code 44LOC
3. Add non-SI units
4. Explicit unit conversion code 56Loc code 78Loc this step also includes a simple parser: code 120 Loc
5. Allowing users to add custom non-SI units

## Growing language w/out interpreter changes

We want to design the language to be extensible

- Without changes to the base language
- And thus without changes to the interpreter

For calc, we want the user to add new units

- Assume the language knows about meters (feet, ...)
- Users may wan to add, say, Angstrom and light year

How do we make the language extensible?

Our ideas


## Bind a value to an identifier

```
minute \(=60 \mathrm{~s}\)
hour = 60 minute
day \(=24\) hour
month = 30.5 day // maybe not define month?
year \(=365\) day
\(\mathrm{km}=1000 \mathrm{~m}\)
inch \(=0.0254 \mathrm{~m}\)
yard \(=36\) inch
acre \(=4840\) yard^2
hectare \(=(100 \mathrm{~m})^{\wedge} 2\)
2 acres in hectare \(\rightarrow 0.809371284\) hectare
```

Implementing user units
Assume units extends existing measures.
We want the user to add ft when m or yard is known


## How we'll grow the language

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code 78Loc this step also includes a simple parser: code 120LOC
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## How do we add new measures?

No problem for Joule, as long you have kg, m, s:

$$
J=k g m^{\wedge} 2 / s^{\wedge} 2
$$

But other units must be defined from first principles:

Electric current:

- Ampere

Currency:

- USD, EUR, YEN, with BigMac as the SI unit

Coolness:

- DanGarcias, with Fonzie as the SI unit


## Our ideas

## Attempt 1:

when we evaluate $\mathrm{a}=10 \mathrm{~b}$ and b is not known, add it as a new SI unit.

This may lead to spuriously SI units introduced due to typos.

## Attempt 2:

ask the user to explicitly declare the new SI unit:

SI Ampere

## Our solution

Add into language a construct introducing an SI unit

SI A
$\mathrm{mA}=0.0001 \mathrm{~A}$
SI BigMac
USD = BigMac / $3.57 \quad / /$ BigMac $=\$ 3.57$
GBP $=$ BigMac $/ 2.29 \quad \mid /$ BigMac $=£ 2.29$

With "SI <id>", language needs no built-in SI units
SI m
$\mathrm{km}=1000 \mathrm{~m}$
inch $=0.0254 \mathrm{~m}$
yard $=36$ inch

Implementing SI id
Problem


## How we'll grow the language

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3. Add non-SI units
4. Explicit unit conversion code 56Loc
code 78Loc this step also includes a simple parser: code ${ }_{120}$ Loc
5. Allowing users to add custom non-SI units
6. Allowing users to add custom measures code $\sqrt{ }$
7. Reuse of values

## Motivating example

Compute \# of PowerBars burnt on a 0.5 hour-long run
SI m, kg, s
$\mathrm{lb}=0.454 \mathrm{~kg} ; \mathrm{N}=\mathrm{kg} \mathrm{m} / \mathrm{s} \wedge 2$
J = N m; cal = 4.184 J
powerbar = 250 cal
we wish to remember it as a coustant
0.5 hr * 1701b * (0.00379 m^2/s^3) in powerbar
--> 0.50291 powerbar
Want to retype the formula after each morning run?
0.5 hr * 170 lb * ( $0.00379 \mathrm{~m}^{\wedge} 2 / \mathrm{s}^{\wedge} 3$ )

## Reuse of values

## To avoid typing

170 lb * ( $0.00379 \mathrm{~m}^{\wedge} 2 / \mathrm{s}^{\wedge} 3$ )
... we'll use same solution as for introducing units:
Just name the value with an identifier.
$\mathrm{c}=170 \mathrm{lb} *\left(0.00379 \mathrm{~m}^{\wedge} 2 / \mathrm{s}^{\wedge} 3\right)$
28 min * c
\# ... next morning
1.1 hour * c

Should time given be in min or hours?
Either. Check this out! Calculator converts automatically!

## How we'll grow the language

1. Arithmetic expressions
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4. Explicit unit conversion
code 56Loc
code 78Loc this step also includes a simple parser: code ${ }_{120}$ Loc
5. Allowing users to add custom non-SI units
6. Allowing users to add custom measures code
7. Reuse of values (no new code needed) $\sqrt{ }$
8. Reuse of expressions (bind names to expressions)

## Another motivating example

You want to print the current time left to deadline
now $=2011$ year +0 month +18 day +15 hour +40 minute
--- pretend that now is always set to current time of day
Let's try to compute time to deadline
deadline $=2011$ year +1 month +3 day $/ / 2 / 3 / 2012$
timeLeft $=$ deadline - now
timeLeft in day --> time left
Wait for current time to advance. Print time left now. What does the following print?
timeLeft in day --> updated time left
How to achieve this behavior?
time Left is bound to an expression

year, month are actually expressions, tor

## Naming values vs. naming expressions

"Naming an expression" means that we evaluate it lazily when we need its value

## How we'll grow the language

1. Arithmetic expressions
2. Physical units for (SI only) code 44LOC
3. Add non-SI units
4. Explicit unit conversion code 56Loc
code 78Loc this step also includes a simple parser: code ${ }_{120}$ Loc
5. Allowing users to add custom non-SI units
6. Allowing users to add custom measures code
7. Reuse of values (no new code needed)
8. Reuse of expressions code (not fully lazy)

## Summary: Calculator is an extensible language

Very little built-in knowledge

- Introduce base units with 'SI name'
- Arithmetic performs general unit types and conversion

No need to define all units in terms of SI units

$$
\mathrm{cal}=4.184 \mathrm{~J}
$$

Reuse of values by naming the values.
myConstant $=170 \mathrm{lb} *\left(0.00379 \mathrm{~m} \wedge 2 / \mathrm{s}^{\wedge} 3\right)$
$0.5 \mathrm{hr} *$ myConstant in powerbar
-> Same mechanism as for introduction of non-SI units!
No need to remember units! Both will work fine!
0.5 hr * myConstant in powerbar

30 minutes * myConstant in powerbar

## Limitations of calculator

## No relational definitions

- We may want to define ft with ' $12 \mathrm{in}=\mathrm{ft}$ '
- We could do those with Prolog
- recall the three colored stamps example in Lecture 1


## Limited parser

- Google parses $1 / 2 / \mathrm{m} / \mathrm{s} / 2$ as $((1 / 2) /(\mathrm{m} / \mathrm{s})) / 2$
- There are two kinds of / operators
- Their parsing gives the / operators intuitive precedence
- You will implement his parser in PA6


## What you were supposed to learn

Binding names to values

- and how we use this to let the user grow the calculator

Introducing new SI units required declaration

- the alternative could lead to hard-to-diagnose errors
names can bind to expressions, not only to values
- these expressions are evaluated lazily


## Part 2: Growing a functional language

## Let's move on to richer features

From calculations to "real programs"

We need more abstractions. Abstract code, data.

Abstractions are constructs that abstract away the implementation details that we don't want to see.

We will build control abstractions today.

## Focus on scoping, binding, syntactic sugar

Mostly review of CS61A, with historical lessons

Scoping and binding not easy to get right. mistakes prevent you from building modular programs

## Today, we'll grow this stack of abstractions

comprehensions
for + iterators
if + while
lambda

## Our language, now with functions

Let's switch to a familiar syntax and drop units.
Units can be easily added (they just make arithmetic richer)

$$
\begin{aligned}
& \text { S ::= S ; S } \\
& \text { | E } \\
& \text { | def ID ( ID,* *) \{ S \} } \\
& \text { dor more } \\
& \text { E : : = n } \\
& \text { | ID } \\
& \text { | E + E } \\
& 800()()^{()} \\
& \begin{array}{l}
\mid \\
\mid E(E) \\
\mid
\end{array}
\end{aligned}
$$

## Our plan

We have just enriched our language with functions.

Now we'll add (local) variables.

Simple enough? Wait to see the trouble we'll get into.

Names are bound to slots (locations)
Scopes are implemented with frames

## First design issue: how introduce a variable?

Choice 1: explicit definition (eg Algol, JavaScript)

```
def f(x) {
    var a # Define 'a'. This is binding instance of a.
    a = x+1
    return a*a
}
```

Choice 2: implicit definition (Python) <-- let's opt for this


## Implementation (outline)

When a function invoked:

1. create an new frame for the function
2. scan function body: if body contains ' $x=E$ ', then ...
3. add a slot to the frame, bind name $x$ to that slot

Read a variable:

1. look up the variable in the environmen


We'll make this more precise shortly

## What's horrible about this code?

def helper(x,y,date,time, debug, anotherFlag) \{ if (debug \&\& anotherFlag > 2)
doSomethingWith( $x, y$, date, time)
\}
def main(args) \{
date = extractDate(args)
time = extractTime(args)
helper(12,13, date, time, true, 2.3)
helper(10,14, date, time, true, 1.9)
helper(10,11, date, time, true, 2.3) \}

## Your proposals

## Allow nested function definition

```
def main(args) {
    date = extractDate(args)
    time = extractTime(args)
    debug = true
    def helper(x, y, anotherFlag) {
        if (debug && anotherFlag > 2)
                doSomethingWith(x,y,date,time)
    }
    helper(12, 13, 2.3)<
    helper(10, 14, 1.9)
    helper(10, 11, 2.3)
}
```


## A historical puzzle (Python version < 2.1)

An buggy program


## Explanation (from PEP-3104)

- Before version 2.1, Python's treatment of scopes resembled that of standard C: within a file there were only two levels of scope, global and local. In C, this is a natural consequence of the fact that function definitions cannot be nested. But in Python, though functions are usually defined at the top level, a function definition can be executed anywhere. This gave Python the syntactic appearance of nested scoping without the semantics, and yielded inconsistencies that were surprising to some programmers.
This violates the intuition that a function should behave consistently when placed in different contexts.


## Scopes

## Scope: defines where you can use a name

## def enclosing_function():

def factorial(n):

```
if n < 2:
    return 1
    return n * factorial(n - 1)
```

print factorial(5)


## Summary

Interaction of two language features:

Scoping rules

Nested functions

Features must often be considered in concert

## A robust rule for looking up name bindings

## Assumptions:

1. We have nested scopes.

new definition may hide other definitions
2. We have recursion.
may introduce unbounded number of definitions, scopes

## Example

## Program

Environment

## Rules

## At function call:

## At return:

When a name is bound:

When a name is referenced:

## Control structures

## Defining control structures

They change the flow of the program

- if (E) S else S
- while (E) S
- while (E) S finally E

There are many more control structures

- exceptions
- coroutines
- continuations

Assume we are given a built-in conditional

Meaning of ite(v1,v2,v3)
if $\mathrm{v} 1==$ true then evaluate to v 2 , else evaluate to v 3

Can we use it to implement if, while, etc?
$\operatorname{def} \operatorname{fact}(n)\{\longrightarrow$ never terminates

$$
\}\left(n<1,1, \frac{(n * \operatorname{fact}(n-1))}{\text { cull }- \text { by - value }}\right.
$$

Ifelse

Can we implement ifelse with just functions?

$$
\begin{aligned}
& \text { def ifelse ( } c, t, f \text { ) \{ \# in terms of ite } \\
& f=\operatorname{ite}(c, t, f) ; f() \\
& \text { \} } \operatorname{dy} \text { fact }(n)\{ \\
& \text { ifelse ( } n<2 \\
& \text { amubdal }\{1\} \text {, , } \\
& \text { lambdn ( }\{\{n * \operatorname{fad}(n-1)\})
\end{aligned}
$$

## scratch space

## Correct If : does not evaluate both branches

def fact(n) \{
def true_branch() \{ 1 \} def false_branch() \{ $n *$ fact(n-1) \}
ifelse (n<2, true_branch, false_branch) \}
def ifelse (e, th, el) \{
$x=i t e(e, ~ t h, ~ e l)$
x()
\}

## Anonymous functions

def fact(n) \{ if ( $n<2$, function() \{ 1 \}
, function() \{ n*fact(n-1) \} )
\}

## def if(e,th) \{

cond(e,th, lambda()\{\} )()
\}

## Aside: desugar function definitions

Our language consists of assignments x = expression
and function definitions

## def fact(n) \{ body \}

Can we reduce these two features into one? Yes.
fact $=$ function(n) \{ body \}
Named functions are just variables w/ function-values.

Test yourself. Have these two the same effect?
fact(4) $\mathrm{x}=\mathrm{fact}$; x()

## While

## Can we develop while using first-class functions?

## While

```
count = 5
fact = 1
while( lambda() { count > 0 },
    lambda() {
                                    count = count - 1
                                    fact := fact * count }
)
while (e, body) \{
\[
x=e()
\]
if (x, body)
tail call.
elimmiation
if (x, while(e, body))

\section*{If, while}

With closures, we can define If and While.
These are high-order functions (i.e., their args are closures).

We first need to extend the base language (ie the interpreter) with ite(e1,e2,e3)
evaluates all arguments
evaluates to e2 when e1 is true, and e3 otherwise.

Now, we can define If and While
def If ( \(\mathrm{C}, \mathrm{B}\) ) \{ite(c,B,lambda()\{\})()\}
def While( \((, B)\{\operatorname{def} t=C()\); If( \(t, B)\); While( \((, B)\}\)

\section*{If, while}

We can now write a while loop as follows: while(lambda() \(\{x<10\}\),
\[
\begin{aligned}
& \text { lambda() \{ } \\
& \text { loopBody }
\end{aligned}
\]
\})
this seems ugly, but the popular jQuery does it, too
\$("-123").hover(
function()\{ \$(".-123").css("color", "red"); \},
function()\{ \$(".-123").css("color", "black"); \}
);

\section*{Also see}

Guy Lewis Steele, Jr.:
"Lambda: The Ultimate GOTO" pdf

\section*{Smalltalk/Ruby actually use this model}

Control structure not part of the language
Made acceptable by special syntax for blocks
which are (almost) anonymous functions
Smalltalk:
| count factorial | count := 5 .
factorial :=1.
[ count > o ] whileThue:
[ factorial := factorial * (count := count -1)]
Transcript show: factorial

Almost the same in Ruby
```

count = 5
not a lambda
fact = 1
while count > 0 do
"block" \approxlambda
count = count - 1
fact = fact * 1
end

```

\section*{Syntactic sugar}

We can provide a more readable syntax
while (E) \(\{\mathbf{S}\}\)
and desugar this 'surface' construct to

While(lambda() \{E\}, lambda() \{ S \})

\section*{Two ways to desugar}
\[
\text { if }\left(\begin{array}{c}
n>0) \\
\text { else }
\end{array}\left\{\begin{array}{c}
s \\
s
\end{array}\right\}\right.
\]

AST rewriting (sits between parsing and interpreter)

\section*{while (E) \(\{(S)\} \rightarrow\) parser \(\rightarrow\) AST with While node \(\rightarrow\) rewriter \(\rightarrow\) AST w/out While node} white (E) \(\$ S\} \rightarrow\) parser \(\rightarrow\) AST who ut while node


\section*{AST desugaring algorithms}

An example rewrite rule

Traverse the three bottom-up or top-down?

Is one tree traversal sufficient?

\section*{Now let's put our language to a test}
\[
\begin{aligned}
& \text { count }=5 \\
& \text { fact }=1 \\
& \text { while( } \begin{aligned}
&\text { lambda( })\{\text { count }>0\}, \\
& \text { lambda() }\{ \\
& \text { count }=\text { count }-1 \\
&\text { fact }:=\text { fact } * \text { count }\}
\end{aligned}
\end{aligned}
\]

\section*{Now put this to a test}


Now put this to a test

\(x=5\) replace count with \(x\)
fact = 1
while \((1 \text { ambda( })_{1}\{x>0\}_{2}\) lambda( \()_{2}\{\)
while (e, body) \{
\[
x=e()
\]
if ( \(x\), body)
if (x, while(e, body))
\}


\section*{Dynamic Scoping}

\author{
Program
}

Environment

\section*{Rules}

\section*{At function call:}

\section*{At return:}

When a name is bound:

When a name is referenced:

\section*{Our rule (dynamic scoping) is flawed}

\section*{Dynamic scoping:}
find the binding of a name in the execution environment
that is, in the stack of scopes that corresponds to call stack
binds ' \(x\) ' in loop body to the unrelated ' \(x\) ' in the while(e,b)

Dynamic scoping is non-compositional:
variables in while(e,b) not hidden
hence hard to write reliable modular code

\section*{Find the right rule for rule binding}
```

x = 5
fact = 1
while( lambda() { x > 0 },
lambda() {
x = x - 1
fact := fact * count }
)
while (e, body) {
x = e()
if (x, while(e, body), function(){} )
}

```

\section*{scratch space}

\section*{Closures}

Closure: a pair (function, environment) this is our new "function value representation"
function:
- it's first-class function, ie a value, ie we can pass it around
- may have free variables
environment:
- it's the environment when the function was created
- when function invoked, will be used to bind its free vars

This is called static (or lexical) scoping

\section*{Application of closures}

From the Lua book
names = \{ "Peter", "Paul", "Mary" \}
grades = \{ Mary: 10, Paul: 7, Paul: 8 \}
sort(names, function(n1,n2) \{
\[
\operatorname{grades}[n 1]>\operatorname{grades}[\mathrm{n} 2]
\]
\}

\section*{Another cool closure}
\[
\begin{aligned}
& c=\text { derivative(sin, 0.001) } \\
& \text { print(cos(10), c(10)) } \\
& \quad-->-0.83907,-0.83907
\end{aligned}
\]
def derivative(f,delta) function(x) \{
\[
(f(x+d e l t a)-f(x)) / d e l t a
\]
\}
\}

This code will actually break in our language
Where is the problem?

How to fix it?

\section*{proper lexical scoping}

\section*{At function call:}

\section*{At return:}

When a name is bound:

When a name is referenced:

\section*{Another cool one, again in Lua:}
function foo() \{
local i \(=0\)
return function ()
\(i=i+1\)
return i
end
end
\(\mathrm{c} 1=\mathrm{foo}()\)
c2 = foo()
print(c1())
print(c2())
print(c1())

\section*{In our language}
def newCounter() \{
i = 0
function ()
i = i + 1
end
end
c = newCounter()
print(c())
print(c())

\section*{In Python}
def foo():
\(a=1\)
def bar():
\[
a=a+1<-- \text { Local variable 'a' }
\]
return a referenced before assignment
return bar
\(c=f o o()\)
print(c())
print(c())

\section*{Same in JS (works just fine)}
function foo() \{
\[
\operatorname{var} a=1
\]
function bar() \{
\[
a=a+1
\]
return a
\}
return bar
\(\}=\underset{f o o()}{g}=\operatorname{fov}()\)
console. \(\log (f())\)
--> 2
console.log(f())
--> 3


\section*{Attempt to fix the semantics}
```

def foo():
a = 1
def bar():
a = a + 1
return a
return bar

```

Current rule: If a name binding operation occurs anywhere within a code block, all uses of the name within the block are treated as references to the current block['s binding].

\section*{Fix in Python 3, a new version of language}
def foo():
\(a=1\)
def bar():
nonlocal a
a = a + 1
return a
return bar
\(f=f o o()\)


\section*{Python iterators}

\section*{What does this python code output?}
```

a = []
for i in xrange(0,10):
a.append(lambda: i)
for j,v in enumerate(a):
print j,v()
for i,v in enumerate(a):
print i,v()

```

Broken lambda?

\section*{Recall our language}

E :: \(=\mathrm{n}\)
ID
|Eop E
| (E)
|lambda(ID, ..., ID) \{S \}
\(\mathrm{E}(\mathrm{E}, \ldots, \mathrm{E})\)
| \(\operatorname{def} \mathrm{ID}=\mathrm{E}\)
\(\mathrm{ID}=\mathrm{E}\)
S::= E
|S; S

\section*{HW2 hint}

\section*{Your rewrite (desugaring) of}
for id in E:
body
should not modify the body:

If you are the compiler, you want to translate for without regard for what's in the body. Otherwise there will be many special cases. To have a simple, modular compiler, you translate body separately.

\section*{Tables}

\section*{First let's add tables}

\section*{Tables are arrays and dicts in one}
def salary \(=\{ \}\)
salary["John"] = 123
salary[0] = \(7 \quad / /\) to get an array, use numeric index print salary[0]

\section*{Support for tables}

What operations do we need to add to the language?
\{\}

E1[E2]
\(\mathrm{E}_{1}\left[\mathrm{E}_{2}\right]=\mathrm{E}_{3}\)

E2 in E1
put: stores value of E2 in the table E1 under key given by the value of E 2
table: evaluates to a new, empty table
get: evaluate E1 and E2, then evaluates to the value of key E2 in table E1
membership: is key E2 in table E1

\section*{Tables}

\section*{What semantic issues we need to decide?}

What values are allowed as keys?
- for efficiency, you may disallow some data types

What's the result of \(\mathrm{E}[\mathrm{E}]\) when key is not in table?
- in particular, what to do in a language without exceptions?

Evaluation order of E1, E2, E3 in E1[E2]=E3
- in what language the order does not matter?

\section*{Implementation of tables}

Can they be implemented as sugar on our language?
that is, do we need to extend the interpreter?
or does it suffice to add some library functions?

For loops and iterators

\section*{For loops}

To support libraries, and modularity in general we allow iterators over data structures.
```

for v in iteratorFactoryExp { S }

```
->
\[
\begin{aligned}
& \$ 1=\text { ireratorFactoryExp } \\
& \text { def } v=\$ 1() \\
& \text { while }(v!=\text { null })\{ \\
& \quad \text { v }=\$ 1() \\
& \text { S }
\end{aligned}
\]
\}

\section*{A counting iterator Factory}

From PA2, more or less:
```

def iter(n) {
def i = 0
lambda () {
if (i < n) { i = i + 1; i }
else { null }
}
\}
for (x in iter(10)) \{ print x \}

```

\section*{iterator factory for tables}

This one assumes that we are using the table as array:
```

def asArray(tbl) {
def i = 0
lambda () {
}
}
def t = {}; t[0] = 1; t[1] = 2
for (x in asArray(t)) { print x }

```

\section*{Comprehensions}

\section*{Comprehensions}

A map operation over anything itererable. Example
[toUpperCase(v) for v in elements(list)]
--->
\[
\$ 1=[]
\]
for \(v\) in elements(list) \{ append(\$1, toUpperCase(v)) \} \$1

In general:
[ \(E\) for ID in E]

\section*{Nested comprehensions}

Does our desugaring work on nested comprehensions?
\[
\begin{aligned}
\operatorname{mat}= & {[ } \\
& {[1,2,3], } \\
& {[4,5,6], } \\
& {[7,8,9], } \\
& ] \\
\operatorname{print} & {[[\operatorname{row}[i] \text { for row in mat }]} \\
& \text { for i in }[0,1,2] \\
--> & {[1,4,7],[2,5,8],[3,6,9]] }
\end{aligned}
\]
"To avoid apprehension when nesting list comprehensions, read from right to left"

\section*{Our abstraction stack is growing nicely}

\author{
comprehensions \\ for + iterators \\ if + while \\ lambda
}```

