

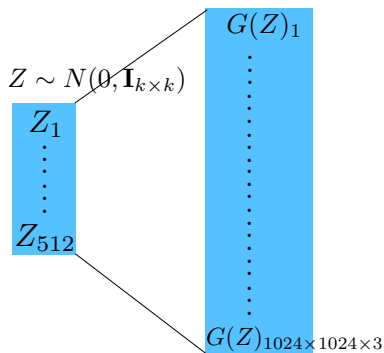
The power of two samples for Generative Adversarial Networks

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Department of Industrial and Enterprise Systems Engineering
University of Illinois at Urbana-Champaign

joint work with
Zinan Lin(CMU), Ashish Khetan(UIUC), Giulia Fanti(CMU)

Generative models



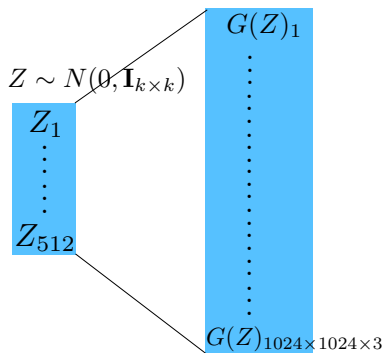
$$G(Z) \in \mathbb{R}^{1024 \times 1024 \times 3}$$



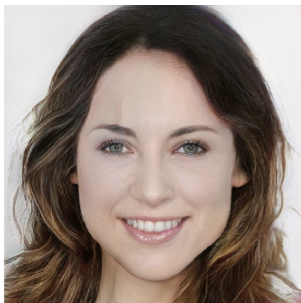
- A generative model is a black box that takes a random vector $Z \in \mathbb{R}^k$ and produces a sample vector $G(Z) \in \mathbb{R}^n$

[“Progressive Growing of GANs for Improved Quality, Stability, and Variation”, T. Karras, T. Aila, S. Laine, J. Lehtinen 2017]

Generative models



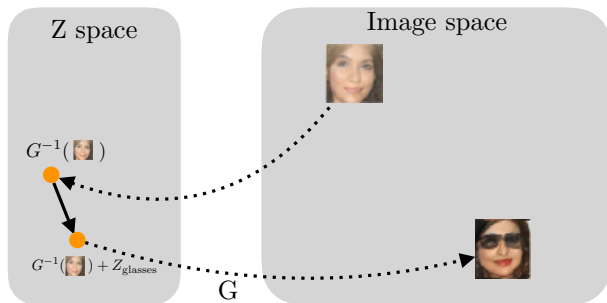
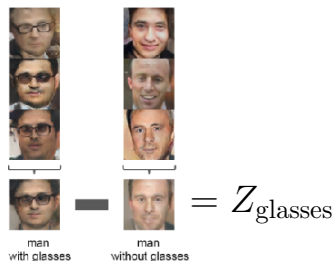
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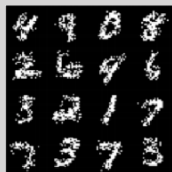
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Generative models learn fundamental representations



GAN: a breakthrough in training generative models

2004



Mixed Bernoulli

2007



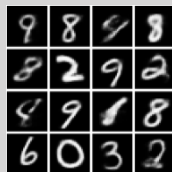
RBM

2010



DBM

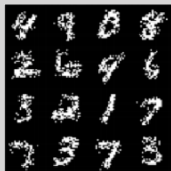
2014



VAE

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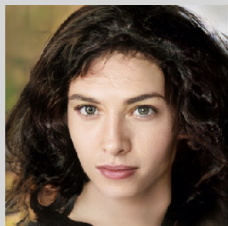
DBM

2014



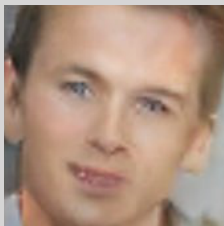
VAE

2017



Progressive GAN

2015



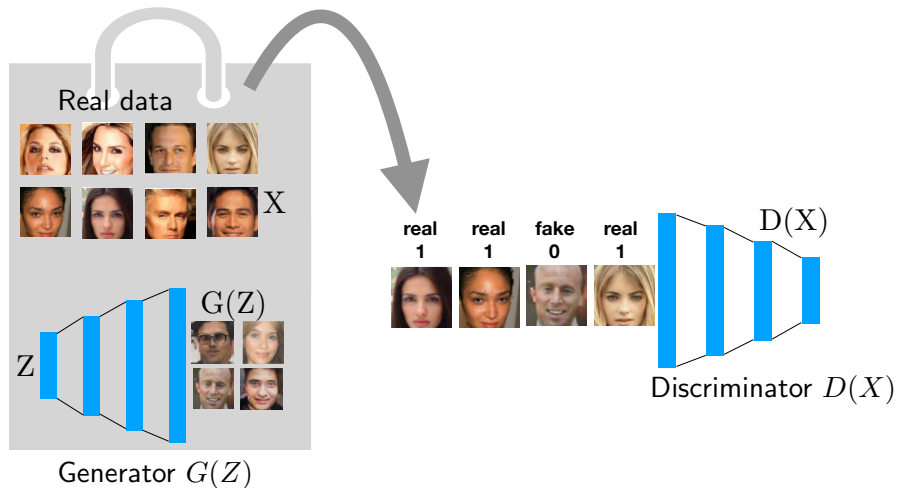
GAN

2014



VAE

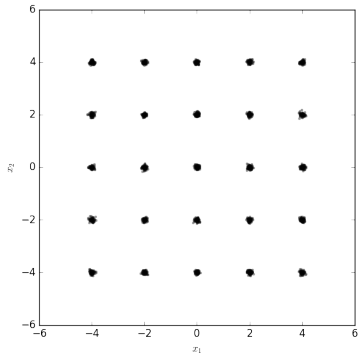
Generative Adversarial Networks (GAN)



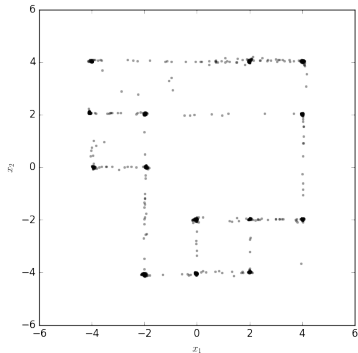
$$\min_G \max_D V(G, D)$$

“Mode collapse” is a main challenge

Target samples

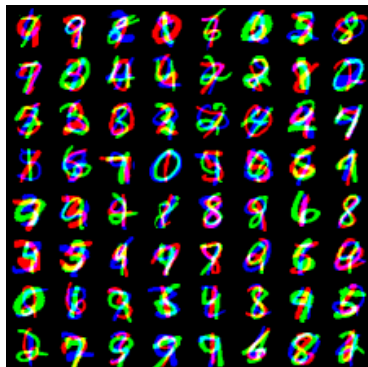


Generated samples

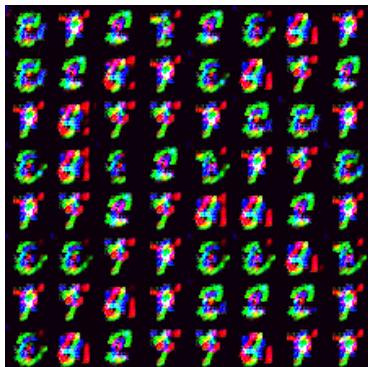


“Mode collapse” is a main challenge

Target samples



Generated samples

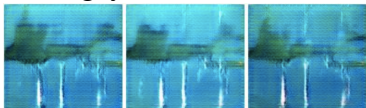


“Mode collapse” is a main challenge

- “A man in an orange jacket with sunglasses and a hat ski down a hill.”



- “This guy is in black trunks and swimming underwater.”



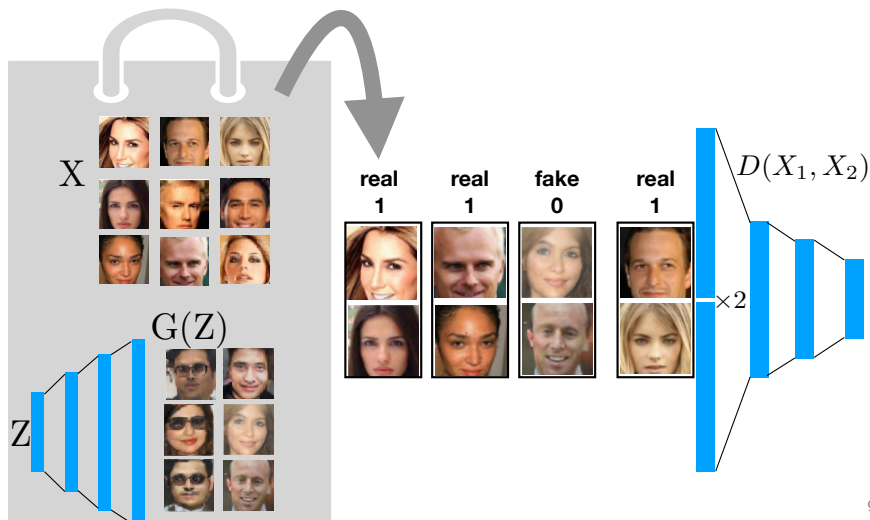
- “A tennis player in a blue polo shirt is looking down at the green court.”



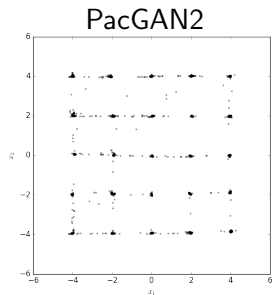
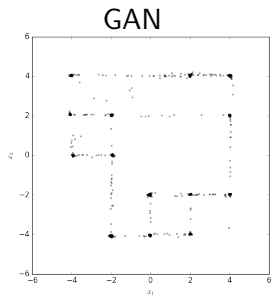
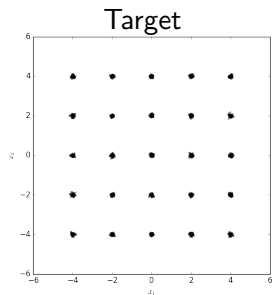
Lack of diversity is easier to detect
if the discriminator sees multiple sample jointly

New framework: PacGAN

- lightweight overhead
- experimental results
- principled



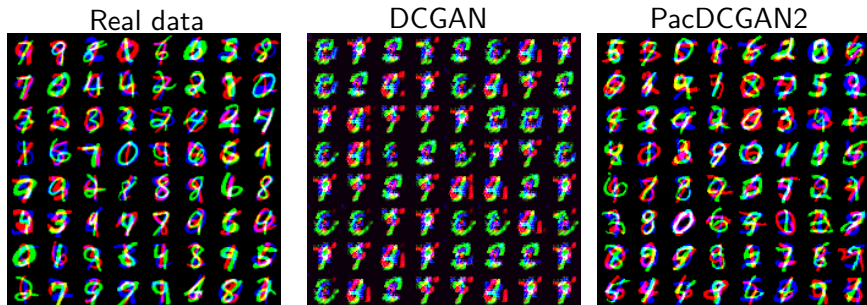
Benchmark tests



Modes
(Max 25)

GAN	17.3
PacGAN2	23.8
PacGAN3	24.6
PacGAN4	24.8

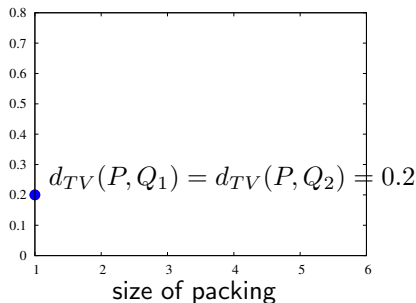
Benchmark datasets from VEEGAN paper



	Modes (Max 1000)
DCGAN	99.0
ALI	16.0
Unrolled GAN	48.7
VEEGAN	150.0
PacDCGAN2	1000.0
PacDCGAN3	1000.0
PacDCGAN4	1000.0

Intuition behind packing via toy example

Target distribution P

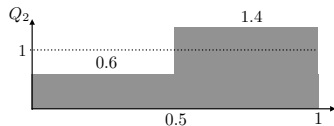


Generator Q_1
with mode collapse



$$d_{TV}(P, Q_1) = 0.2$$

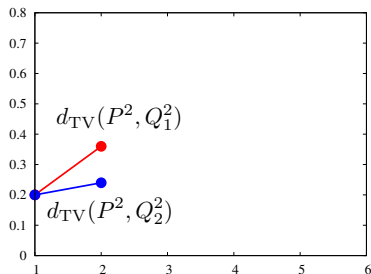
Generator Q_2
without mode collapse



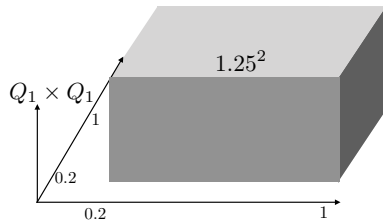
$$d_{TV}(P, Q_2) = 0.2$$

Intuition behind packing via toy example

Target distribution P

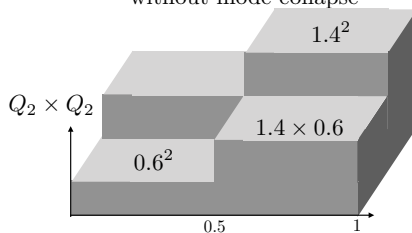


Generator Q_1
with mode collapse



$$d_{TV}(P \times P, Q_1 \times Q_1) = 0.36$$

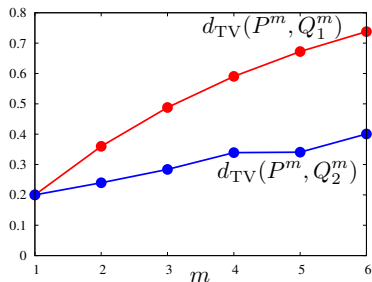
Generator Q_2
without mode collapse



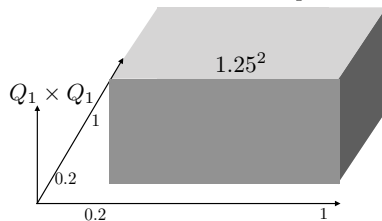
$$d_{TV}(P \times P, Q_2 \times Q_2) = 0.24$$

Intuition behind packing via toy example

Target distribution P

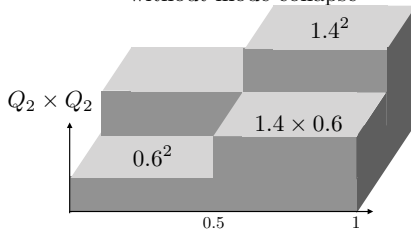


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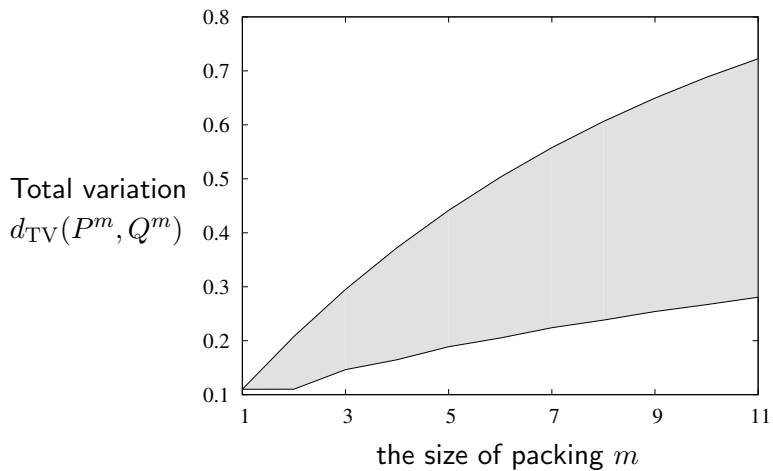
$$d_{TV}(P \times P, Q_1 \times Q_1) = 0.36$$

Generator Q_2
without mode collapse

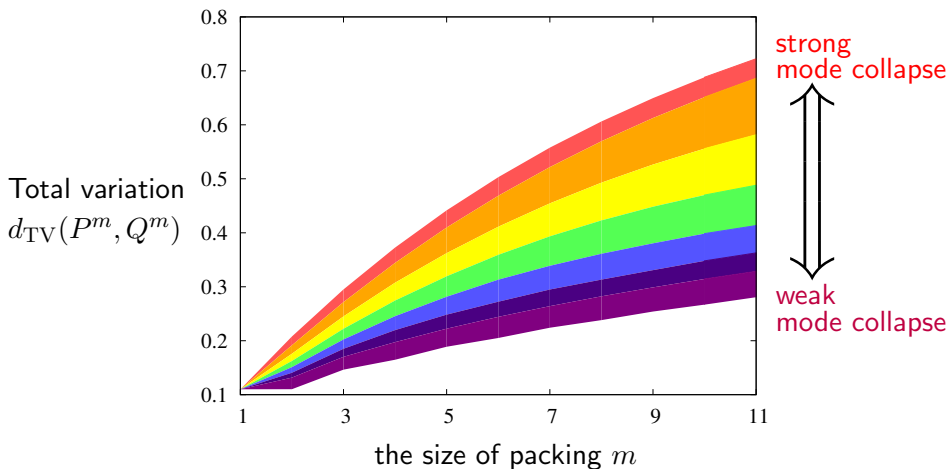


$$d_{TV}(P \times P, Q_2 \times Q_2) = 0.24$$

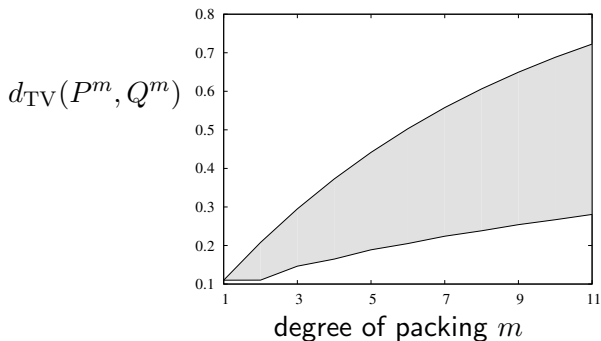
Evolution of TV distances



Evolution of TV distances through the prism of packing



Through packing, the target-generator pairs are expanded over the strengths of the mode collapse



$$\begin{array}{ll} \max / \min & d_{\text{TV}}(P^2, Q^2) \\ P, Q & P, Q \\ \text{subject to} & d_{\text{TV}}(P, Q) = \tau \end{array}$$

- we focus on $m = 2$ for this talk

Intuition from Blackwell

Definition [mode collapse region]

We say a pair (P, Q) of a target distribution P and a generator distribution Q has (ε, δ) -**mode collapse** if there exists a set S such that

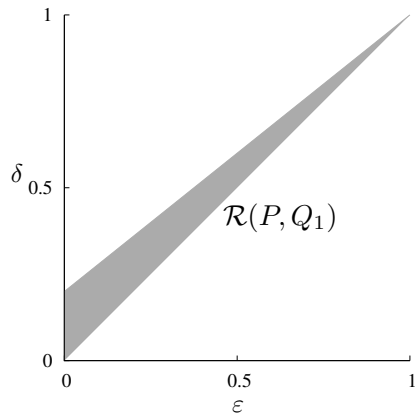
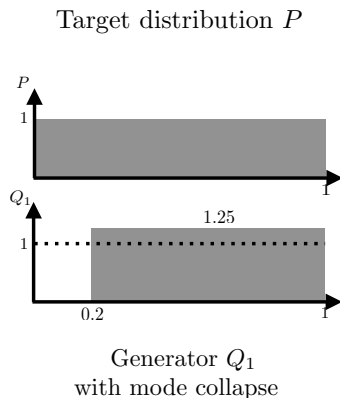
$$P(S) \geq \delta \quad , \quad \text{and} \quad Q(S) \leq \varepsilon .$$

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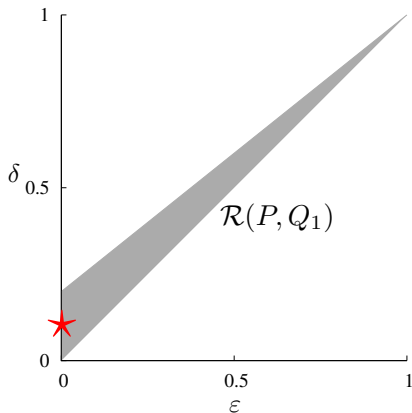
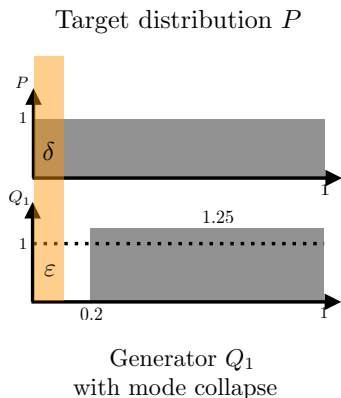


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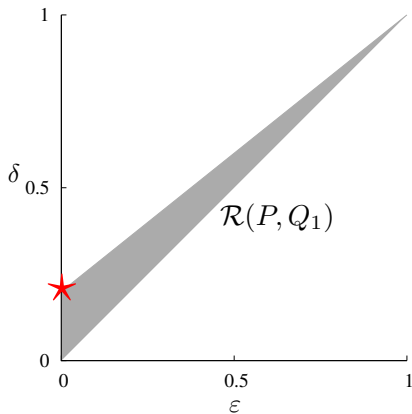
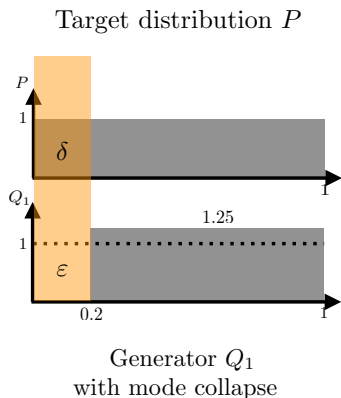


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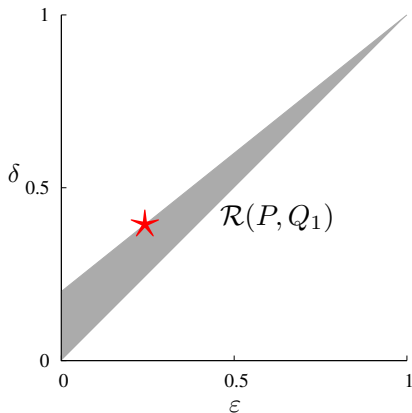
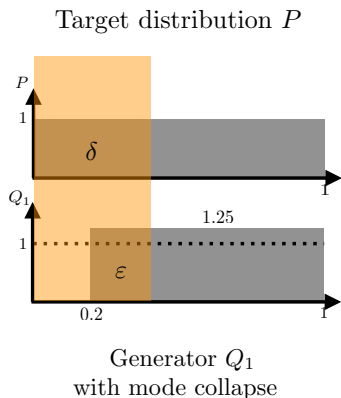


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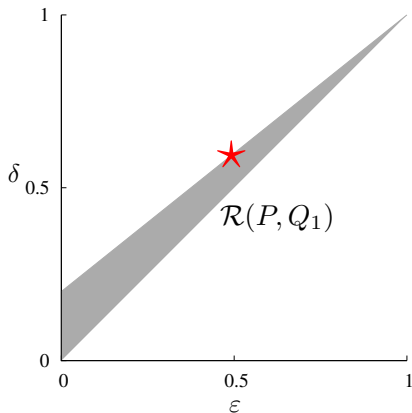
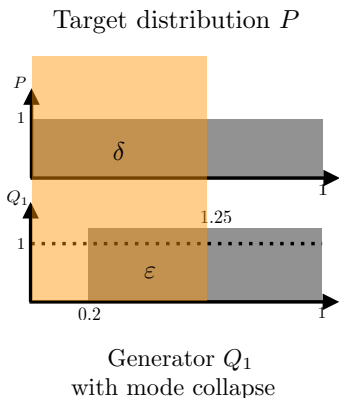


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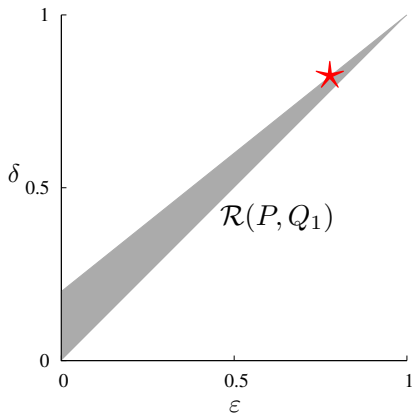
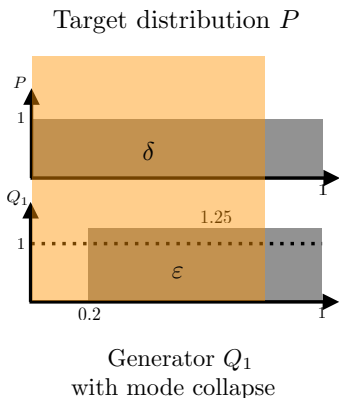


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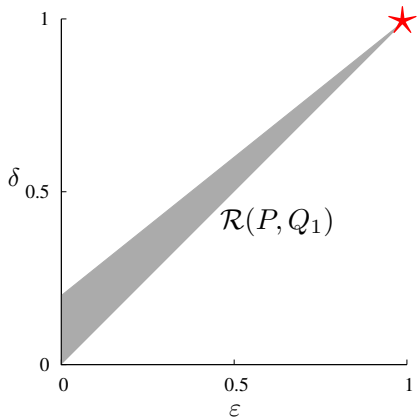
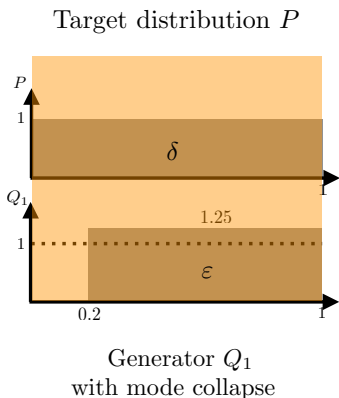


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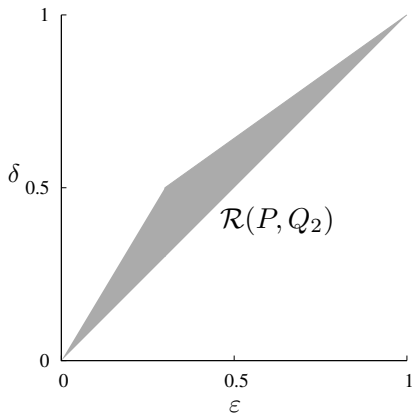
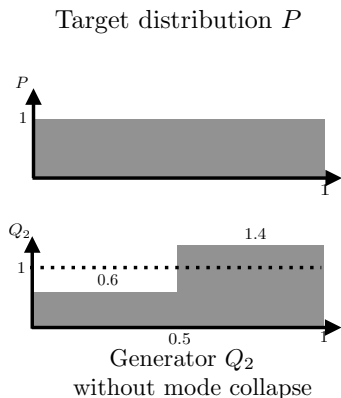


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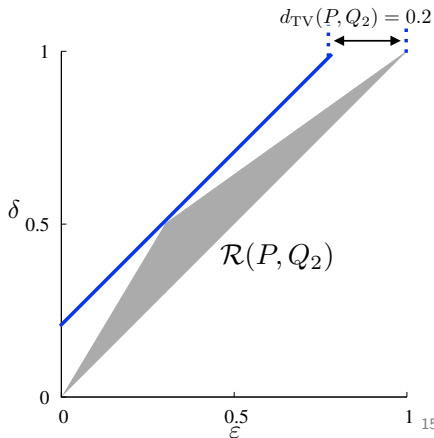
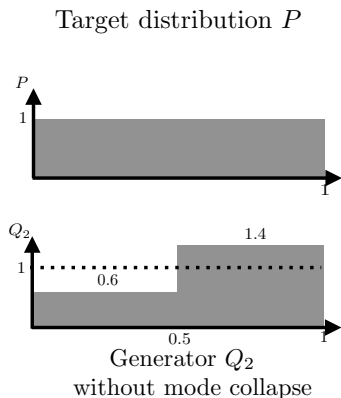


Intuition from Blackwell

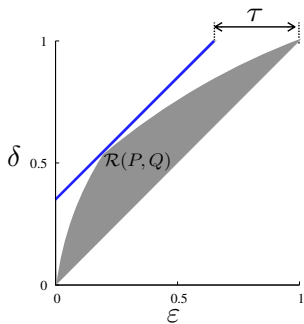
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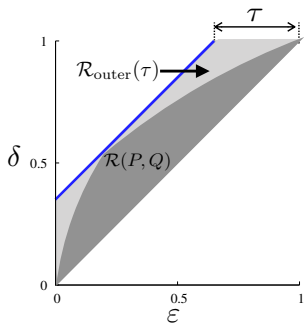


Upper bound



$$\begin{aligned} & \max_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \end{aligned}$$

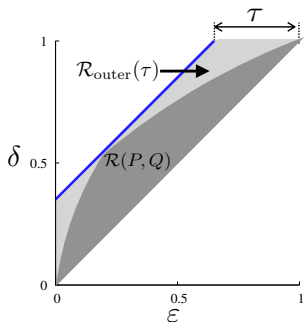
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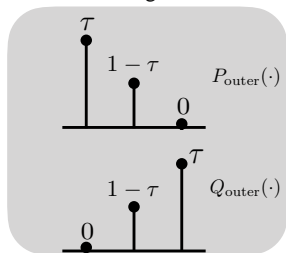
$$\mathcal{R}(P, Q) \subseteq \mathcal{R}_{\text{outer}}(\tau)$$

Upper bound

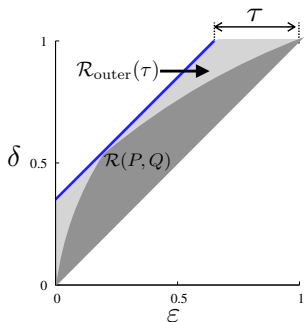


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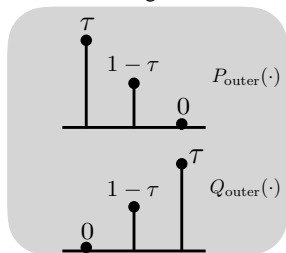


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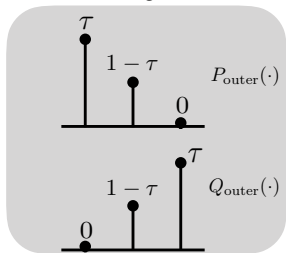
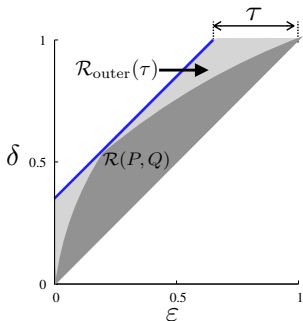
$$\begin{aligned} \mathcal{R}(P, Q) & \subseteq \mathcal{R}_{\text{outer}}(\tau) \\ \mathcal{R}(P^2, Q^2) & \subseteq \mathcal{R}(P_{\text{outer}}^2, Q_{\text{outer}}^2) \end{aligned}$$



Blackwell's theorem

$$\begin{aligned} & \mathcal{R}(P, Q) \subseteq \mathcal{R}(P', Q') \\ \Rightarrow & \mathcal{R}(P^2, Q^2) \subseteq \mathcal{R}(P'^2, Q'^2) \end{aligned}$$

Upper bound



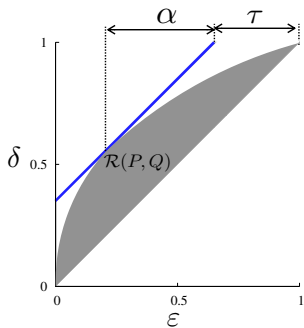
$$\begin{aligned} & \max_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \end{aligned}$$

$$\begin{aligned} \mathcal{R}(P, Q) &\subseteq \mathcal{R}_{\text{outer}}(\tau) \\ \mathcal{R}(P^2, Q^2) &\subseteq \mathcal{R}(P_{\text{outer}}^2, Q_{\text{outer}}^2) \\ d_{\text{TV}}(P^2, Q^2) &\leq \underbrace{d_{\text{TV}}(P_{\text{outer}}^2, Q_{\text{outer}}^2)}_{1-(1-\tau)^2} \end{aligned}$$

Blackwell's theorem

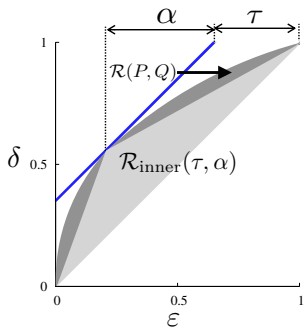
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Lower bound



$$\begin{aligned} & \min_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \end{aligned}$$

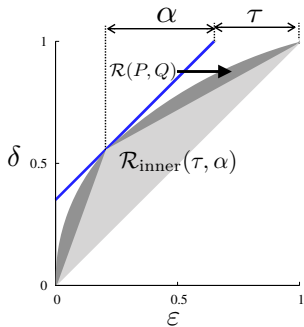
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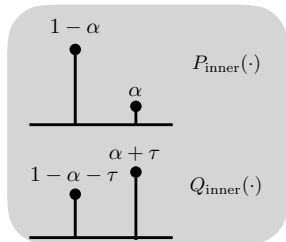
$$\mathcal{R}_{\text{inner}}(\tau, \alpha) \subseteq \mathcal{R}(P, Q)$$

Lower bound

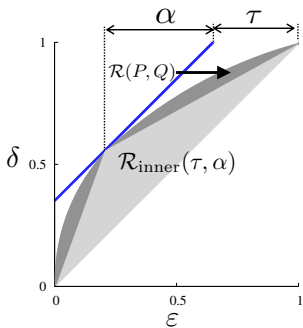


$$\begin{aligned} & \min_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \end{aligned}$$

$$\mathcal{R}_{\text{inner}}(\tau, \alpha) \subseteq \mathcal{R}(P, Q)$$

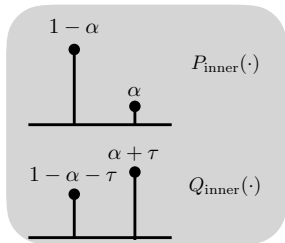


Lower bound



$$\begin{aligned} & \min_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \end{aligned}$$

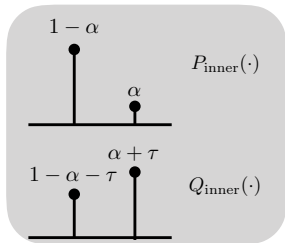
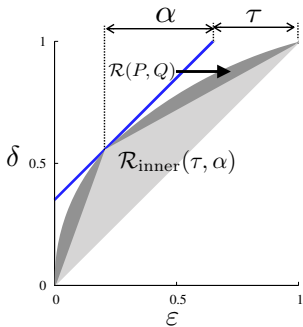
$$\begin{aligned} \mathcal{R}_{\text{inner}}(\tau, \alpha) &\subseteq \mathcal{R}(P, Q) \\ \mathcal{R}(P_{\text{inner}}^2, Q_{\text{inner}}^2) &\subseteq \mathcal{R}(P^2, Q^2) \end{aligned}$$



Blackwell's theorem

$$\begin{aligned} & \mathcal{R}(P, Q) \subseteq \mathcal{R}(P', Q') \\ \Rightarrow & \mathcal{R}(P^2, Q^2) \subseteq \mathcal{R}(P'^2, Q'^2) \end{aligned}$$

Lower bound

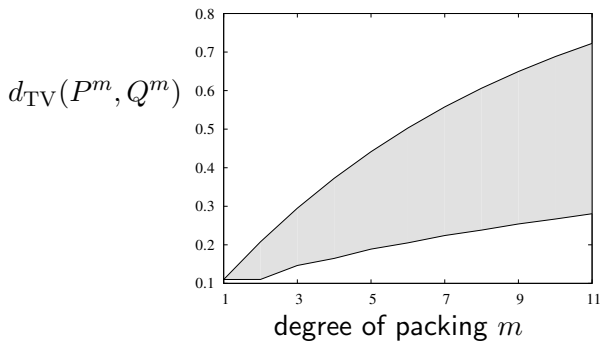


$$\begin{aligned} \min_{P, Q} \quad & d_{\text{TV}}(P^2, Q^2) \\ \text{subject to} \quad & d_{\text{TV}}(P, Q) = \tau \end{aligned}$$

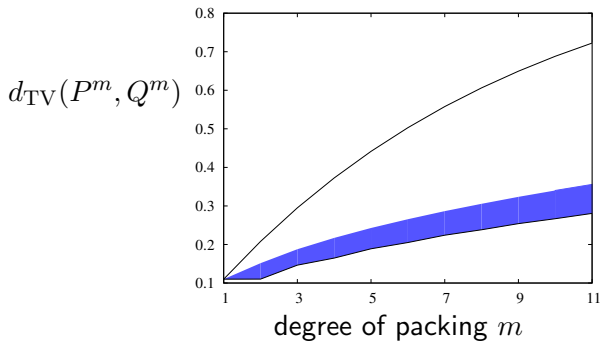
$$\begin{aligned} \mathcal{R}_{\text{inner}}(\tau, \alpha) &\subseteq \mathcal{R}(P, Q) \\ \mathcal{R}(P_{\text{inner}}^2, Q_{\text{inner}}^2) &\subseteq \mathcal{R}(P^2, Q^2) \\ \underbrace{\min_{\alpha} d_{\text{TV}}(P_{\text{inner}}^2, Q_{\text{inner}}^2)}_{\tau} &\leq d_{\text{TV}}(P^2, Q^2) \end{aligned}$$

Blackwell's theorem

$$\begin{aligned} \mathcal{R}(P, Q) &\subseteq \mathcal{R}(P', Q') \\ \Rightarrow \mathcal{R}(P^2, Q^2) &\subseteq \mathcal{R}(P'^2, Q'^2) \end{aligned}$$

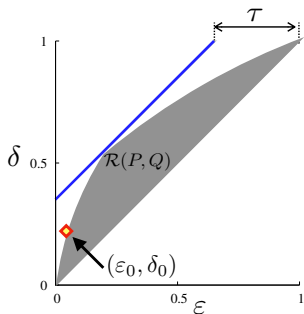


$$\begin{array}{ll} \max_{P,Q} / \min_{P,Q} & d_{\text{TV}}(P^2, Q^2) \\ \text{subject to} & d_{\text{TV}}(P, Q) = \tau \end{array}$$



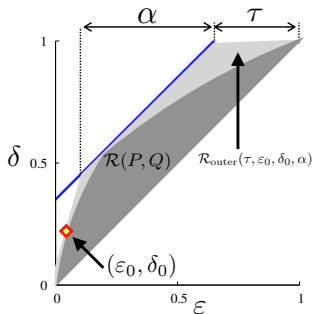
$$\begin{aligned} & \max_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && \text{no } (\varepsilon_0, \delta_0)\text{-mode collapse} \end{aligned}$$

Upper bound without $(\varepsilon_0, \delta_0)$ -mode collapse



$$\begin{aligned} & \max_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && \text{no } (\varepsilon_0, \delta_0)\text{-mode collapse} \end{aligned}$$

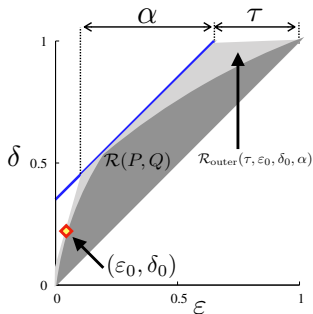
Upper bound without $(\varepsilon_0, \delta_0)$ -mode collapse



$$\begin{aligned} & \max_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && \text{no } (\varepsilon_0, \delta_0)\text{-mode collapse} \end{aligned}$$

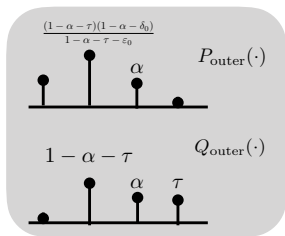
$$\mathcal{R}(P, Q) \subseteq \mathcal{R}_{\text{outer}}(\tau, \varepsilon_0, \delta_0, \alpha)$$

Upper bound without $(\varepsilon_0, \delta_0)$ -mode collapse

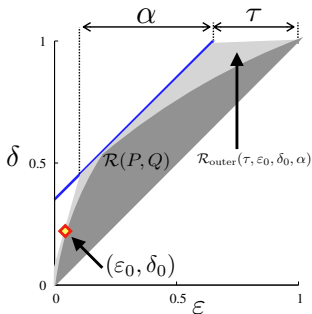


$$\begin{aligned} & \max_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && \text{no } (\varepsilon_0, \delta_0)\text{-mode collapse} \end{aligned}$$

$$\mathcal{R}(P, Q) \subseteq \mathcal{R}_{\text{outer}}(\tau, \varepsilon_0, \delta_0, \alpha)$$

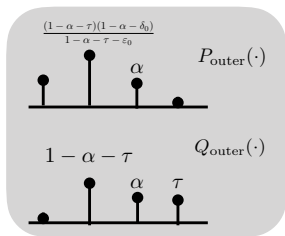


Upper bound without $(\varepsilon_0, \delta_0)$ -mode collapse



$$\begin{aligned} & \max_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && \text{no } (\varepsilon_0, \delta_0)\text{-mode collapse} \end{aligned}$$

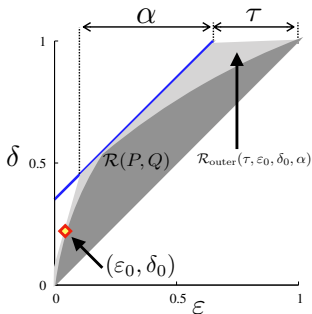
$$\begin{aligned} \mathcal{R}(P, Q) & \subseteq \mathcal{R}_{\text{outer}}(\tau, \varepsilon_0, \delta_0, \alpha) \\ \mathcal{R}(P^2, Q^2) & \subseteq \mathcal{R}(P_{\text{outer}}^2, Q_{\text{outer}}^2) \end{aligned}$$



Blackwell's theorem

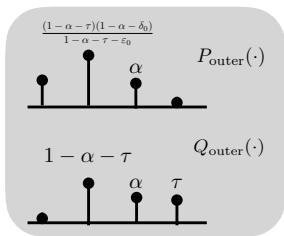
$$\begin{aligned} & \mathcal{R}(P, Q) \subseteq \mathcal{R}(P', Q') \\ \Rightarrow & \mathcal{R}(P^2, Q^2) \subseteq \mathcal{R}(P'^2, Q'^2) \end{aligned}$$

Upper bound without $(\varepsilon_0, \delta_0)$ -mode collapse



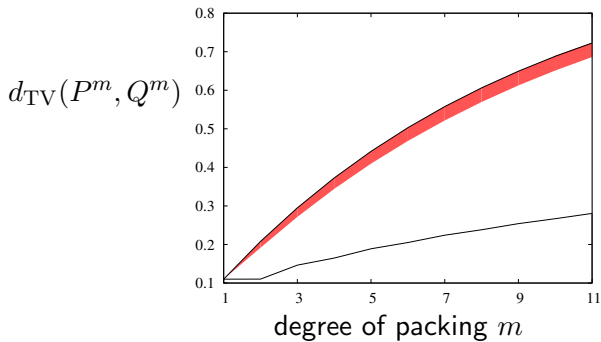
$$\begin{aligned} & \max_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && \text{no } (\varepsilon_0, \delta_0)\text{-mode collapse} \end{aligned}$$

$$\begin{aligned} \mathcal{R}(P, Q) & \subseteq \mathcal{R}_{\text{outer}}(\tau, \varepsilon_0, \delta_0, \alpha) \\ \mathcal{R}(P^2, Q^2) & \subseteq \mathcal{R}(P_{\text{outer}}^2, Q_{\text{outer}}^2) \\ d_{\text{TV}}(P^2, Q^2) & \leq \underbrace{\max_{\alpha} d_{\text{TV}}(P_{\text{outer}}^2, Q_{\text{outer}}^2)}_{\text{simple to evaluate}} \end{aligned}$$



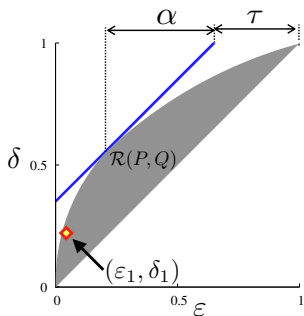
Blackwell's theorem

$$\begin{aligned} & \mathcal{R}(P, Q) \subseteq \mathcal{R}(P', Q') \\ \Rightarrow & \mathcal{R}(P^2, Q^2) \subseteq \mathcal{R}(P'^2, Q'^2) \end{aligned}$$



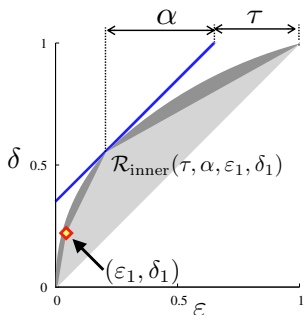
$$\begin{aligned} & \min_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && (\varepsilon_1, \delta_1)\text{-mode collapse} \end{aligned}$$

Lower bound with $(\varepsilon_1, \delta_1)$ -mode collapse



$$\begin{aligned} & \min_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && (\varepsilon_1, \delta_1)\text{-mode collapse} \end{aligned}$$

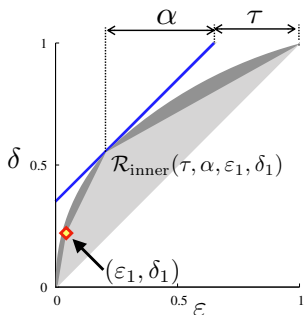
Lower bound with $(\varepsilon_1, \delta_1)$ -mode collapse



$$\begin{aligned} & \min_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && (\varepsilon_1, \delta_1)\text{-mode collapse} \end{aligned}$$

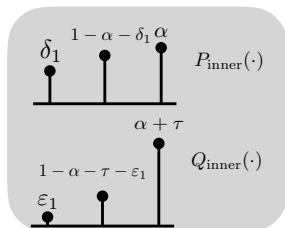
$$\mathcal{R}_{\text{inner}}(\tau, \alpha, \varepsilon_1, \delta_1) \subseteq \mathcal{R}(P, Q)$$

Lower bound with $(\varepsilon_1, \delta_1)$ -mode collapse

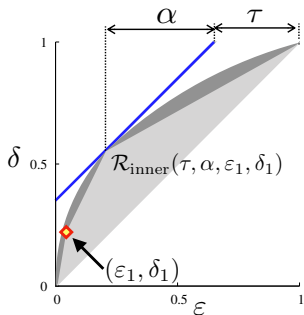


$$\begin{aligned} & \min_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && (\varepsilon_1, \delta_1)\text{-mode collapse} \end{aligned}$$

$$\mathcal{R}_{\text{inner}}(\tau, \alpha, \varepsilon_1, \delta_1) \subseteq \mathcal{R}(P, Q)$$

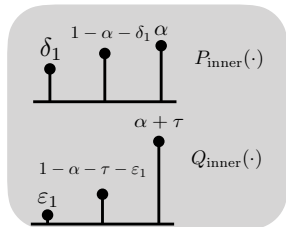


Lower bound with $(\varepsilon_1, \delta_1)$ -mode collapse



$$\begin{aligned} & \min_{P, Q} && d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to} && d_{\text{TV}}(P, Q) = \tau \\ & && (\varepsilon_1, \delta_1)\text{-mode collapse} \end{aligned}$$

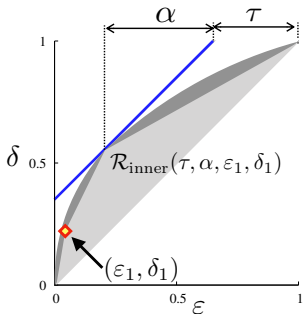
$$\begin{aligned} \mathcal{R}_{\text{inner}}(\tau, \alpha, \varepsilon_1, \delta_1) &\subseteq \mathcal{R}(P, Q) \\ \mathcal{R}(P_{\text{inner}}^2, Q_{\text{inner}}^2) &\subseteq \mathcal{R}(P^2, Q^2) \end{aligned}$$



Blackwell's theorem

$$\begin{aligned} \mathcal{R}(P, Q) &\subseteq \mathcal{R}(P', Q') \\ \Rightarrow \mathcal{R}(P^2, Q^2) &\subseteq \mathcal{R}(P'^2, Q'^2) \end{aligned}$$

Lower bound with $(\varepsilon_1, \delta_1)$ -mode collapse

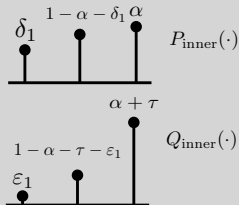


$$\begin{aligned} & \min_{P, Q} d_{\text{TV}}(P^2, Q^2) \\ & \text{subject to } d_{\text{TV}}(P, Q) = \tau \\ & (\varepsilon_1, \delta_1)\text{-mode collapse} \end{aligned}$$

$$\mathcal{R}_{\text{inner}}(\tau, \alpha, \varepsilon_1, \delta_1) \subseteq \mathcal{R}(P, Q)$$

$$\mathcal{R}(P_{\text{inner}}^2, Q_{\text{inner}}^2) \subseteq \mathcal{R}(P^2, Q^2)$$

$$\underbrace{\min_{\alpha} d_{\text{TV}}(P_{\text{inner}}^2, Q_{\text{inner}}^2)}_{\text{simple to evaluate}} \leq d_{\text{TV}}(P^2, Q^2)$$



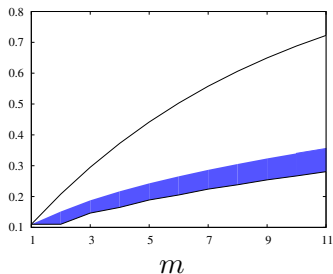
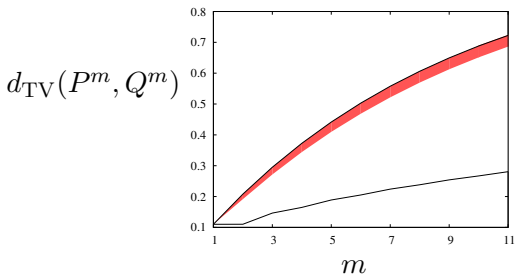
Blackwell's theorem

$$\begin{aligned} & \mathcal{R}(P, Q) \subseteq \mathcal{R}(P', Q') \\ \Rightarrow & \mathcal{R}(P^2, Q^2) \subseteq \mathcal{R}(P'^2, Q'^2) \end{aligned}$$

Achievable TV distances for distributions

with $(\varepsilon_1, \delta_1)$ -mode collapse

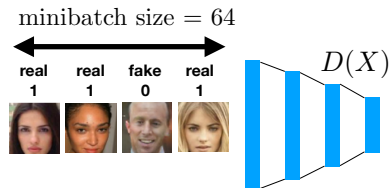
without $(\varepsilon_0, \delta_0)$ -mode collapse



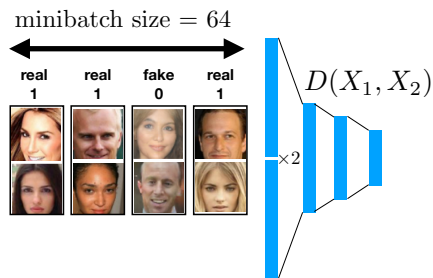
with packing, the discriminator naturally penalizes (P, Q) with severe mode collapses

Could we be cheating (hyper-parameter tuning)?

1. Discriminator size



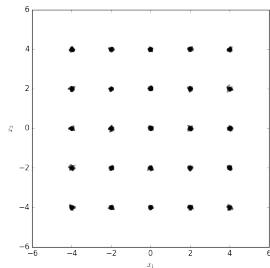
GAN



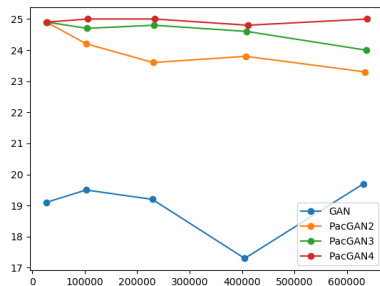
PacGAN2

Could we be cheating (hyper-parameter tuning)?

1. Discriminator size



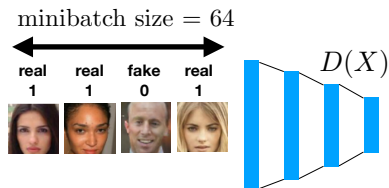
modes captured



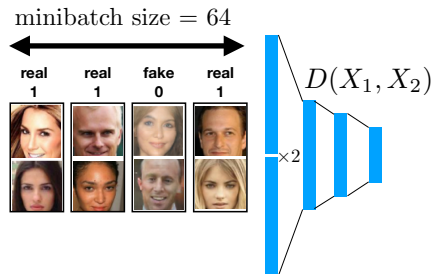
of parameters in $D(\cdot)$

Could we be cheating (hyper-parameter tuning)?

2. Minibatch size



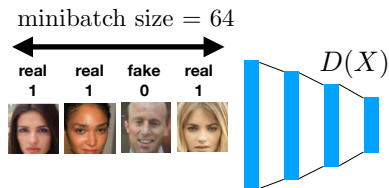
GAN



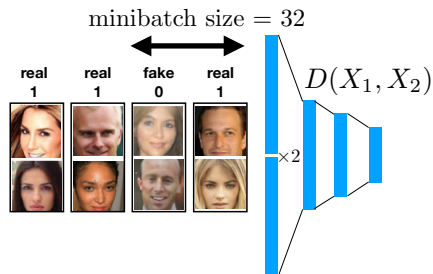
PacGAN2

Could we be cheating (hyper-parameter tuning)?

2. Minibatch size



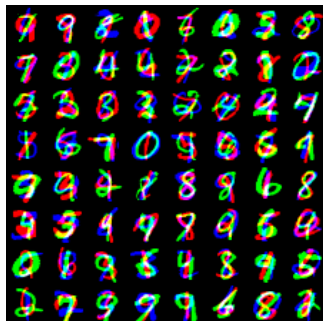
GAN



PacGAN2

Could we be cheating (hyper-parameter tuning)?

2. Minibatch size



	Modes
DCGAN	99.0
PacDCGAN2	1000.0

Theoretical challenges in GAN

Designing Loss

$D_{\text{JS}}(P, Q)$ Jansen-Shannon

$D_f(P, Q)$ f -divergence

$D_{\text{W}}(P, Q)$ Wasserstein

[FeiziSuhXiaTse 2017]

Theoretical challenges in GAN

Designing Loss

Evaluation

$D_{\text{JS}}(P, Q)$ Jansen-Shannon

$D_f(P, Q)$ f -divergence

$D_{\text{W}}(P, Q)$ Wasserstein

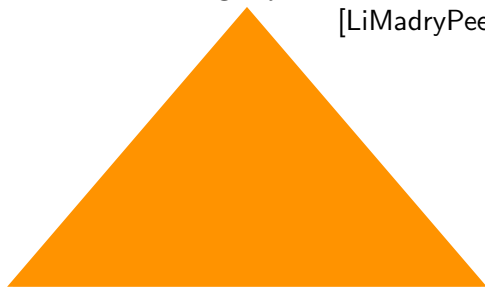
$D(P^m, Q^m) \succ D(P, Q)$

(ε, δ) -mode collapse

Theoretical challenges in GAN

Training Dynamics

[LiMadryPeeblesSchmidt 2017]



Designing Loss

Evaluation

$D_{\text{JS}}(P, Q)$ Jansen-Shannon

$D_f(P, Q)$ f -divergence

$D_{\text{W}}(P, Q)$ Wasserstein

$D(P^m, Q^m) \succ D(P, Q)$

(ϵ, δ) -mode collapse

Our paper is available at:
<https://arxiv.org/abs/1712.04086>

All codes for the experiments at:
<https://github.com/fjxmlzn/PacGAN>



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