

Plan

Dynamic Programming

# Algorithmic Paradigms

**Greed.** Build up a solution incrementally, myopically optimizing some local criterion.

**Divide-and-conquer.** Break up a problem into two sub-problems, solve each sub-problem independently, and combine solution to sub-problems to form solution to original problem.

**Dynamic programming.** Break up a problem into a series of overlapping sub-problems, and build up solutions to larger and larger sub-problems.

# Dynamic Programming History

**Bellman.** Pioneered the systematic study of dynamic programming in the 1950s.

## Etymology.

- Dynamic programming = planning over time.
- Secretary of Defense was hostile to mathematical research.
- Bellman sought an impressive name to avoid confrontation.
  - "it's impossible to use dynamic in a pejorative sense"
  - "something not even a Congressman could object to"

Reference: Bellman, R. E. *Eye of the Hurricane, An Autobiography*.

# Dynamic Programming Applications

## Areas.

- Bioinformatics.
- Control theory.
- Information theory.
- Operations research.
- Computer science: theory, graphics, AI, systems, ....

## Some famous dynamic programming algorithms.

- Viterbi for hidden Markov models.
- Unix diff for comparing two files.
- Smith-Waterman for sequence alignment.
- Bellman-Ford for shortest path routing in networks.
- Cocke-Kasami-Younger for parsing context free grammars.

# Dynamic Programming Mantra

- Express OPT in terms of OPT for smaller problems [just like divide and conquer]
- Figure out a clever order to evaluate all sub-problems to minimize redundancy [pictures help!]

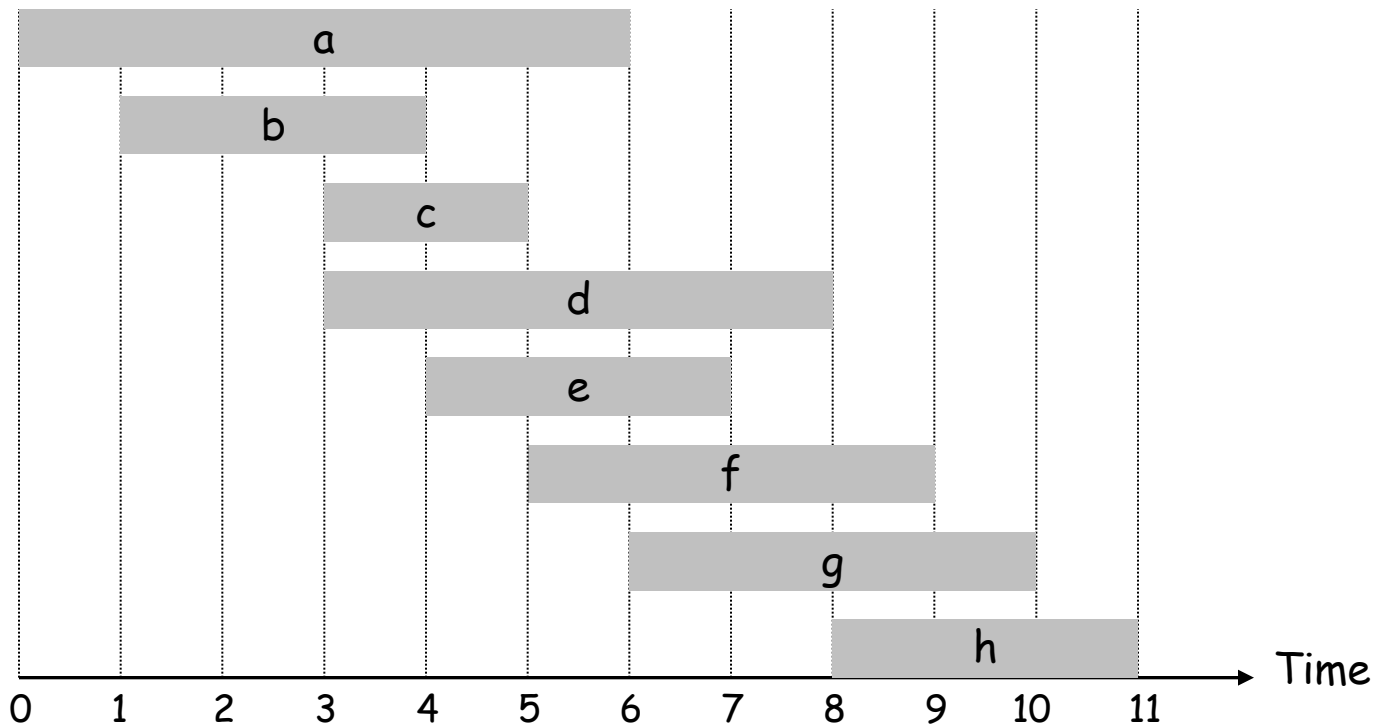
# 6.1 Weighted Interval Scheduling

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# Weighted Interval Scheduling

Weighted interval scheduling problem.

- Job  $j$  starts at  $s_j$ , finishes at  $f_j$ , and has weight or value  $v_j$ .
- Two jobs **compatible** if they don't overlap.
- Goal: find maximum **weight** subset of mutually compatible jobs.

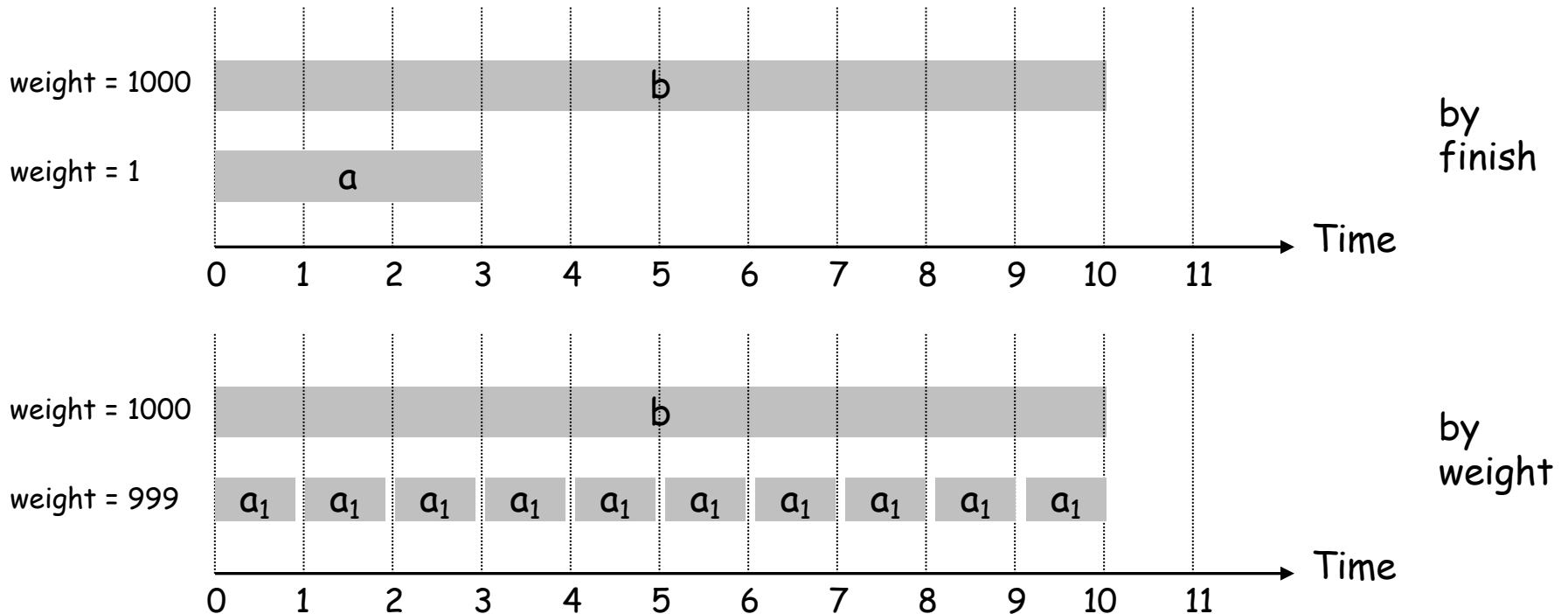


# Unweighted Interval Scheduling Review

**Recall.** Greedy algorithm works if all weights are 1.

- Consider jobs in ascending order of finish time.
- Add job to subset if it is compatible with previously chosen jobs.

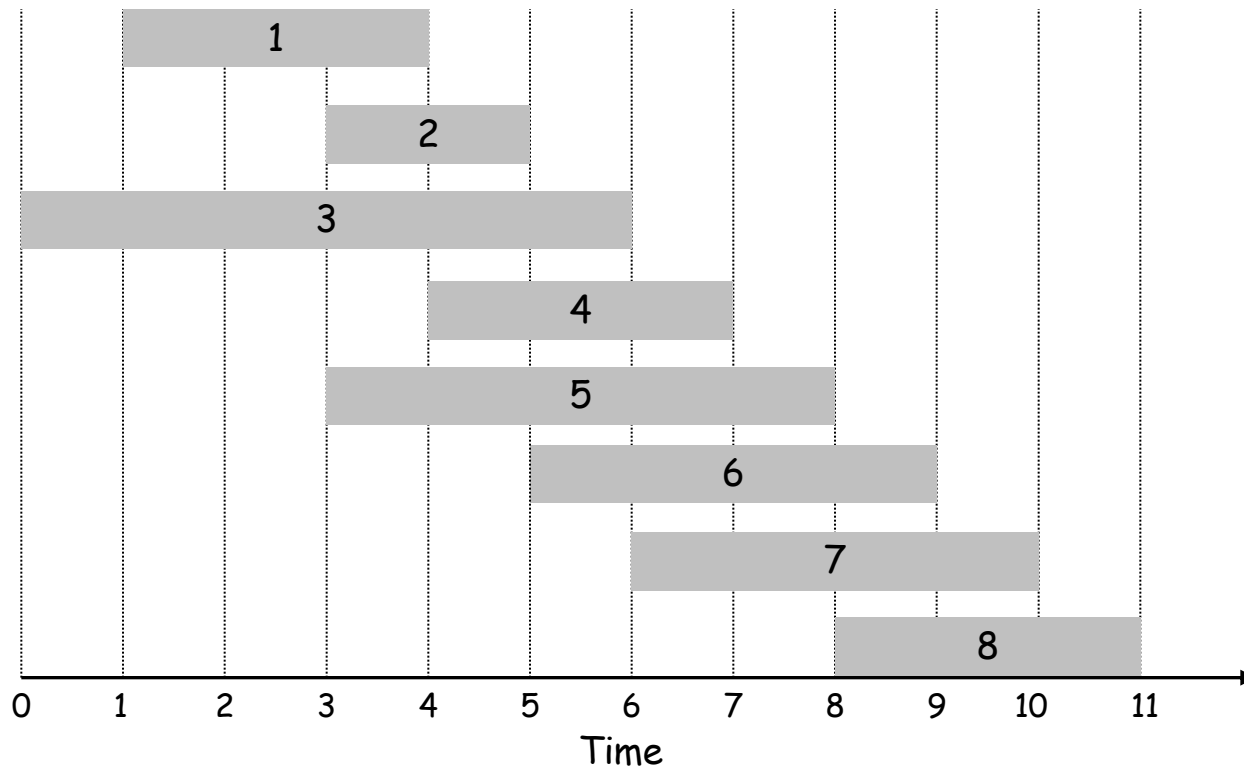
**Observation.** Greedy algorithm can fail spectacularly if arbitrary weights are allowed.





# Weighted Interval Scheduling

Notation. Label jobs by finishing time:  $f_1 \leq f_2 \leq \dots \leq f_n$ .

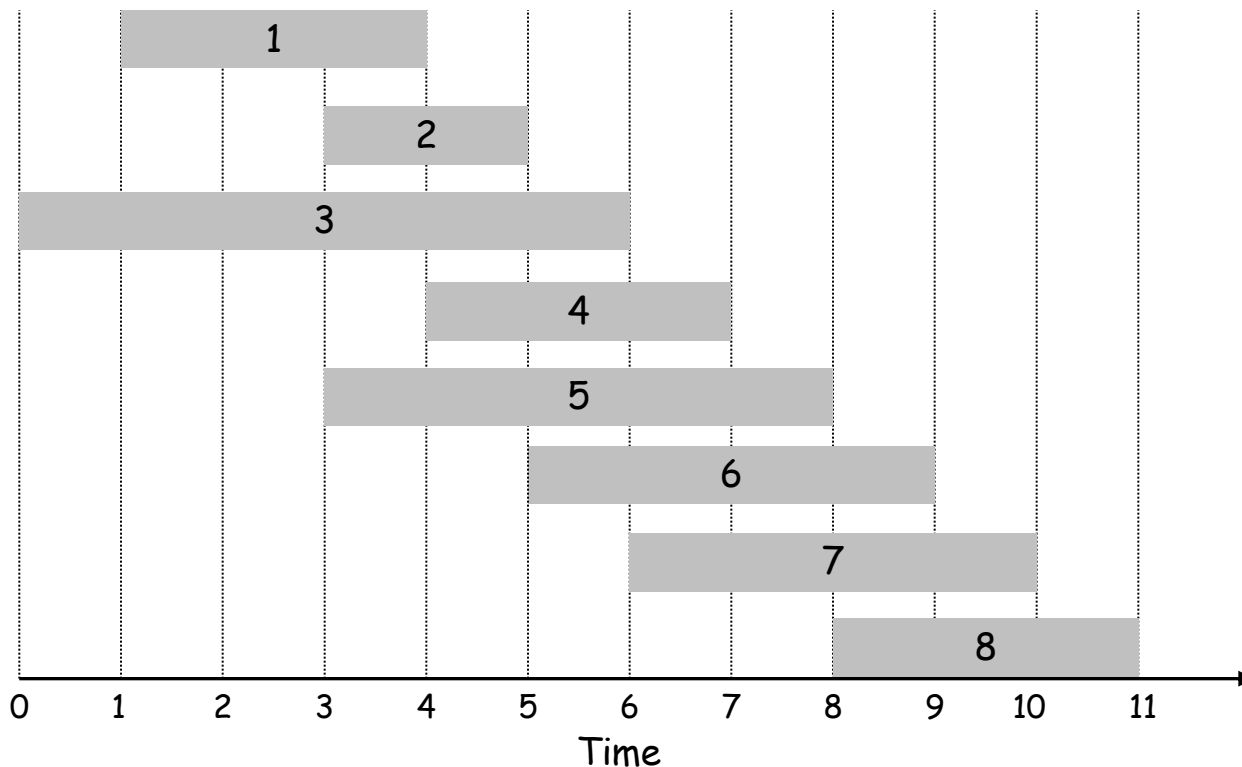


# Weighted Interval Scheduling

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**Def.**  $p(j)$  = largest index  $i < j$  such that job  $i$  is compatible with  $j$ .

**Ex:**  $p(8) = 5$ ,  $p(7) = 3$ ,  $p(2) = 0$ .



j	p(j)
0	-
1	0
2	0
3	0
4	1
5	0
6	2
7	3
8	5

# Using Subproblems

**Notation.**  $OPT(j)$  = value of optimal solution to the problem consisting of job requests  $1, 2, \dots, j$ .

- Case 1:  $OPT$  selects job  $j$ .
  - can't use incompatible jobs  $\{ p(j) + 1, p(j) + 2, \dots, j - 1 \}$
  - must include optimal solution to problem consisting of remaining compatible jobs  $1, 2, \dots, p(j)$
- Case 2:  $OPT$  does not select job  $j$ .
  - must include optimal solution to problem consisting of remaining compatible jobs  $1, 2, \dots, j-1$

↖ optimal substructure  
↙

$$OPT(j) = \begin{cases} 0 & \text{if } j = 0 \\ \max \{ v_j + OPT(p(j)), OPT(j-1) \} & \text{otherwise} \end{cases}$$

# Recursive Algorithm

**Input:**  $n, s_1, \dots, s_n, f_1, \dots, f_n, v_1, \dots, v_n$

**Sort** jobs by finish times so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .

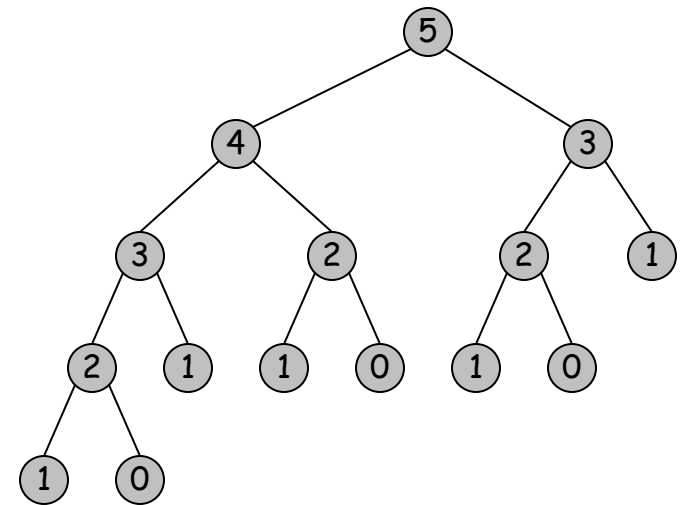
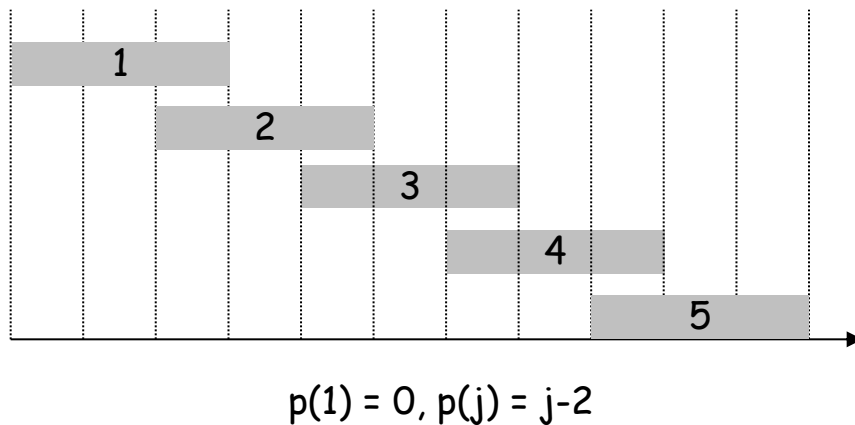
**Compute**  $p(1), p(2), \dots, p(n)$

```
Compute-Opt(j) {  
    if (j = 0)  
        return 0  
    else  
        return max( $v_j + \text{Compute-Opt}(p(j))$ ,  $\text{Compute-Opt}(j-1)$ )  
}
```

# Weighted Interval Scheduling: Recursive Algorithm

**Observation.** Recursive algorithm fails spectacularly because of redundant sub-problems.

**Ex.** Number of recursive calls for family of "layered" instances grows like Fibonacci sequence.



# Weighted Interval Scheduling: Bottom-Up

Bottom-up dynamic programming. Unwind recursion.

```
Input:  $n, s_1, \dots, s_n, f_1, \dots, f_n, v_1, \dots, v_n$ 
```

```
Sort jobs by finish times so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .
```

```
Compute  $p(1), p(2), \dots, p(n)$ 
```

```
Iterative-Compute-Opt {  
     $M[0] = 0$   
    for  $j = 1$  to  $n$   
         $M[j] = \max(v_j + M[p(j)], M[j-1])$   
}
```

```
Output  $M[n]$ 
```

Claim:  $M[j]$  is value of optimal solution for jobs 1..j

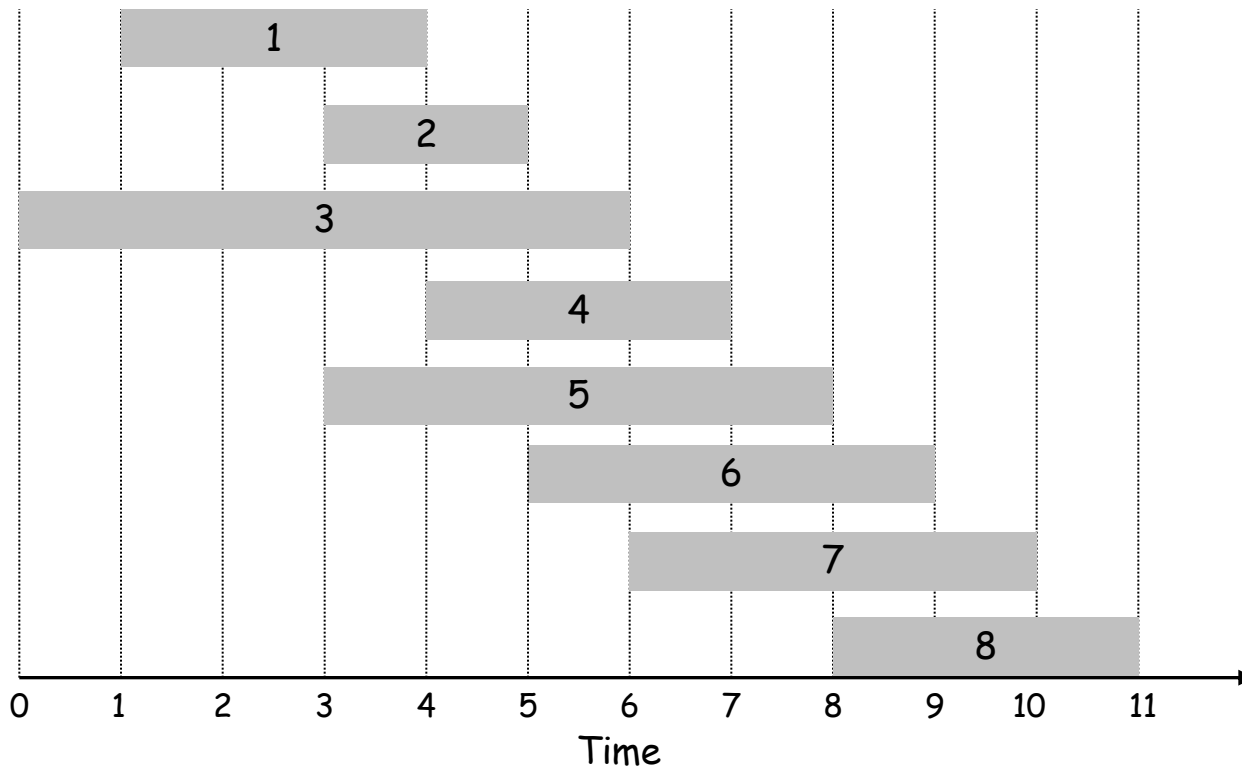
Timing:  $p(j)$ 's can be computed in  $O(n \log n)$  time. Main loop is  $O(n)$ ;  
sorting is  $O(n \log n)$

# Weighted Interval Scheduling

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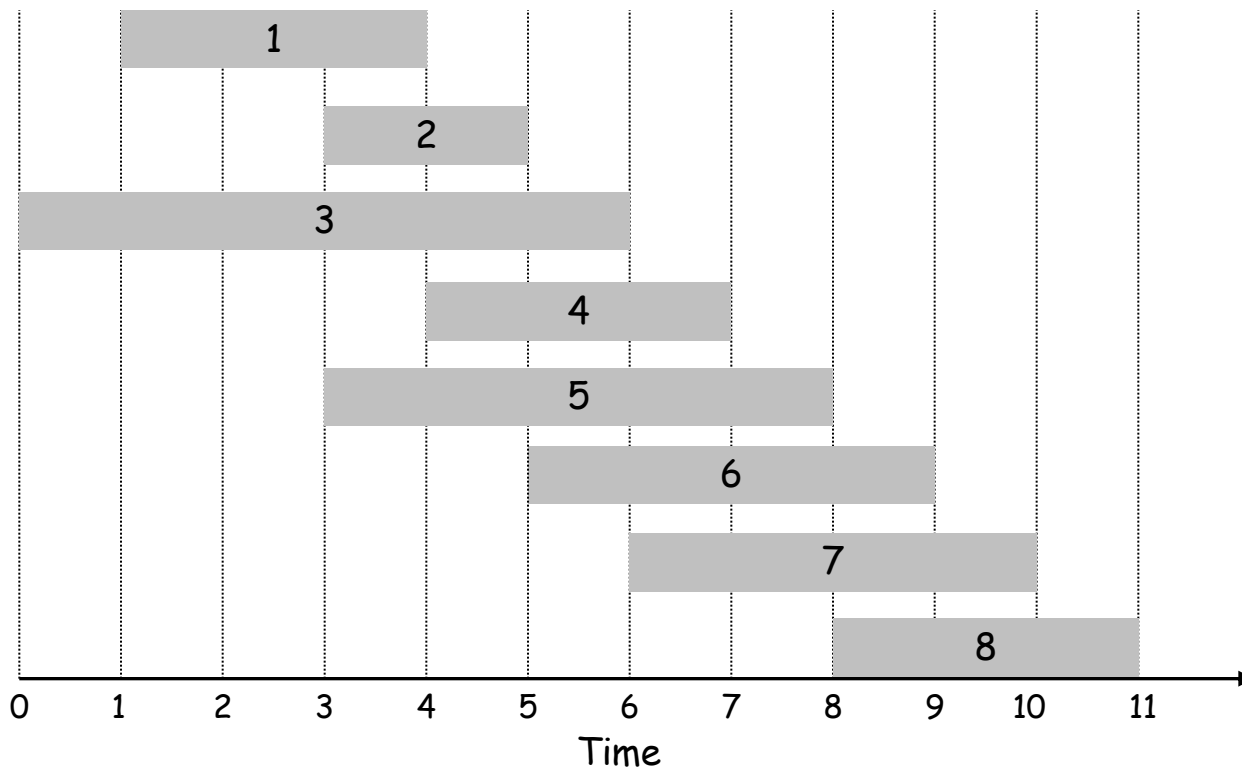
j	v <sub>j</sub>	p <sub>j</sub>	opt <sub>j</sub>
0		-	0
1	3	0	
2	4	0	
3	1	0	
4	3	1	
5	4	0	
6	3	2	
7	2	3	
8	4	5	

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2	4	0	
3	1	0	
4	3	1	
5	4	0	
6	3	2	
7	2	3	
8	4	5	

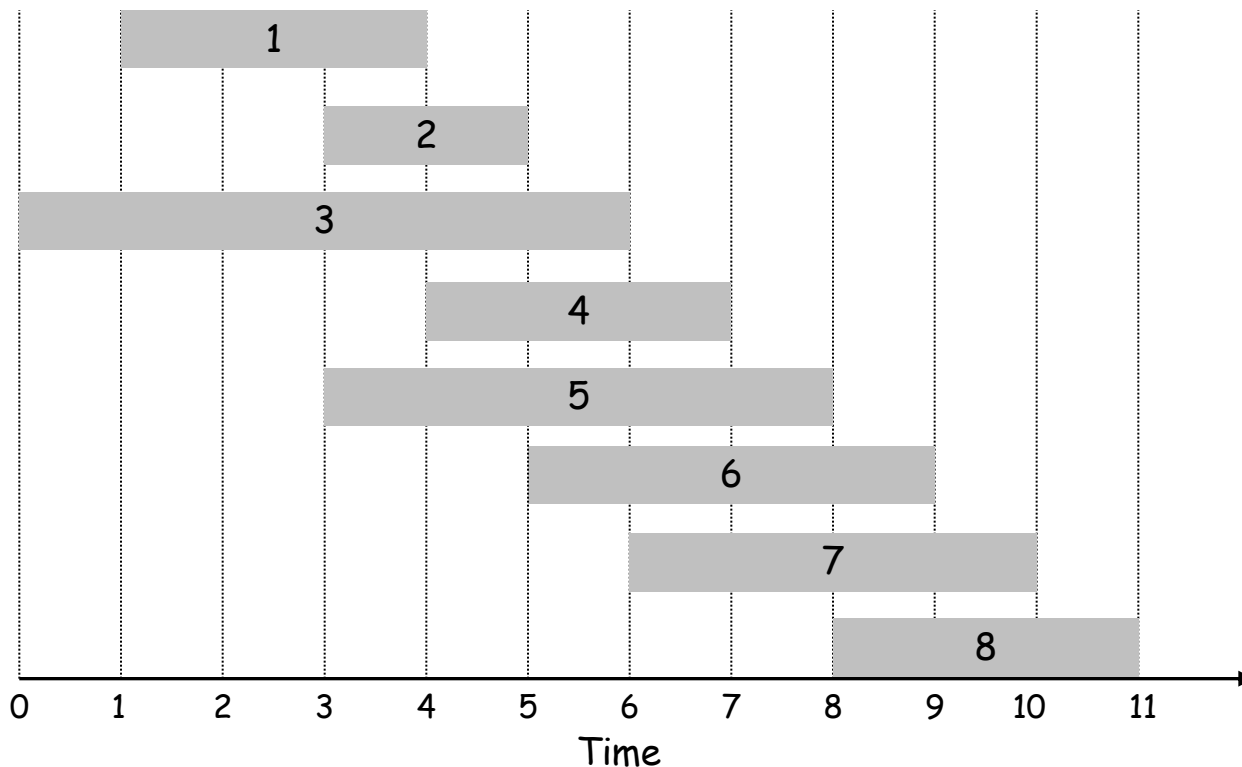


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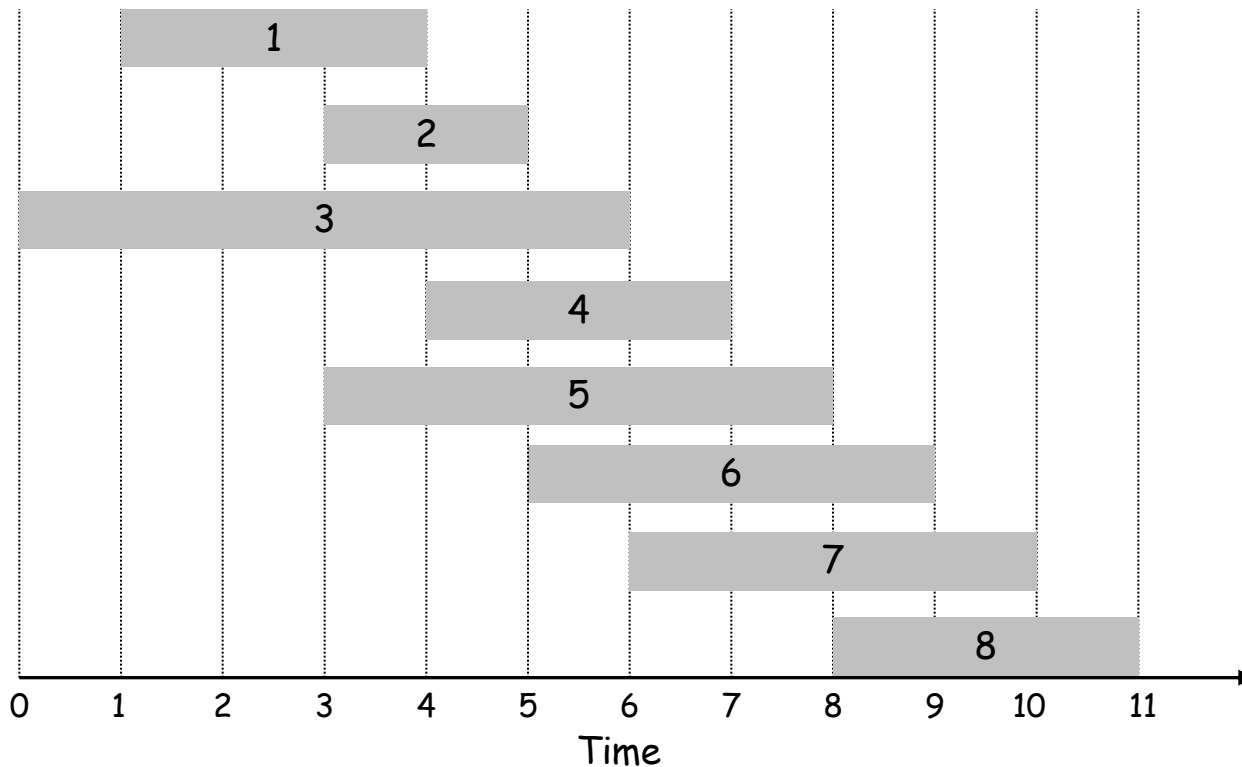
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0	0	-	0
1	3	0	3
2	4	0	
3	1	0	
4	3	1	
5	4	0	
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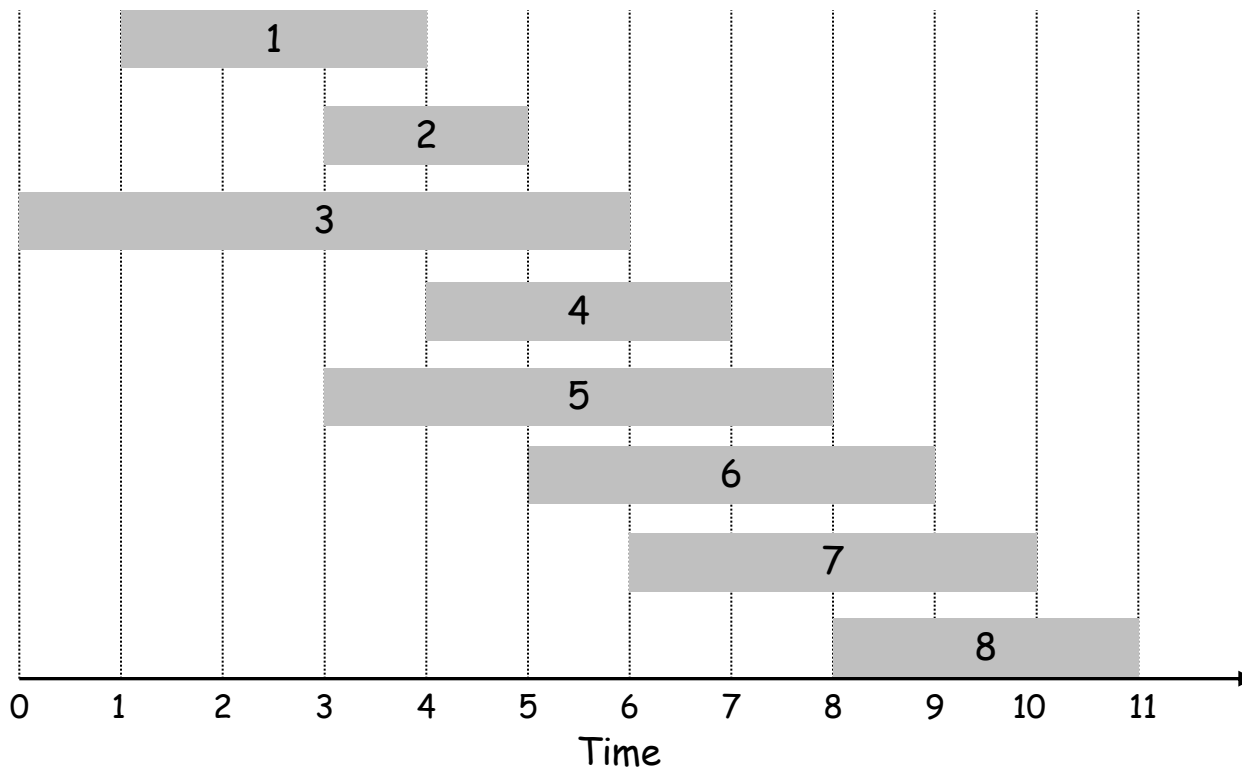
j	v <sub>j</sub>	p <sub>j</sub>	opt <sub>j</sub>
0	0	-	0
1	3	0	3
2	4	0	4
3	1	0	
4	3	1	
5	4	0	
6	3	2	
7	2	3	
8	4	5	

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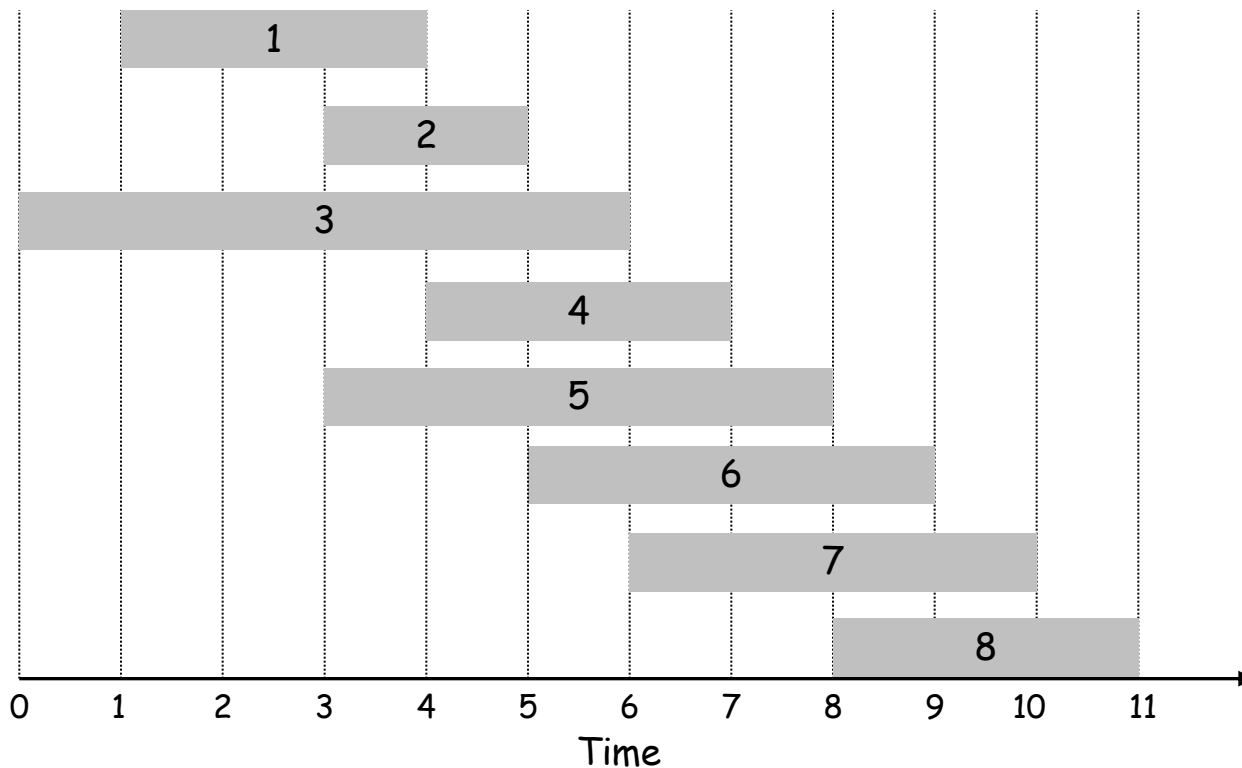
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1	3	0	3
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3	1	0	
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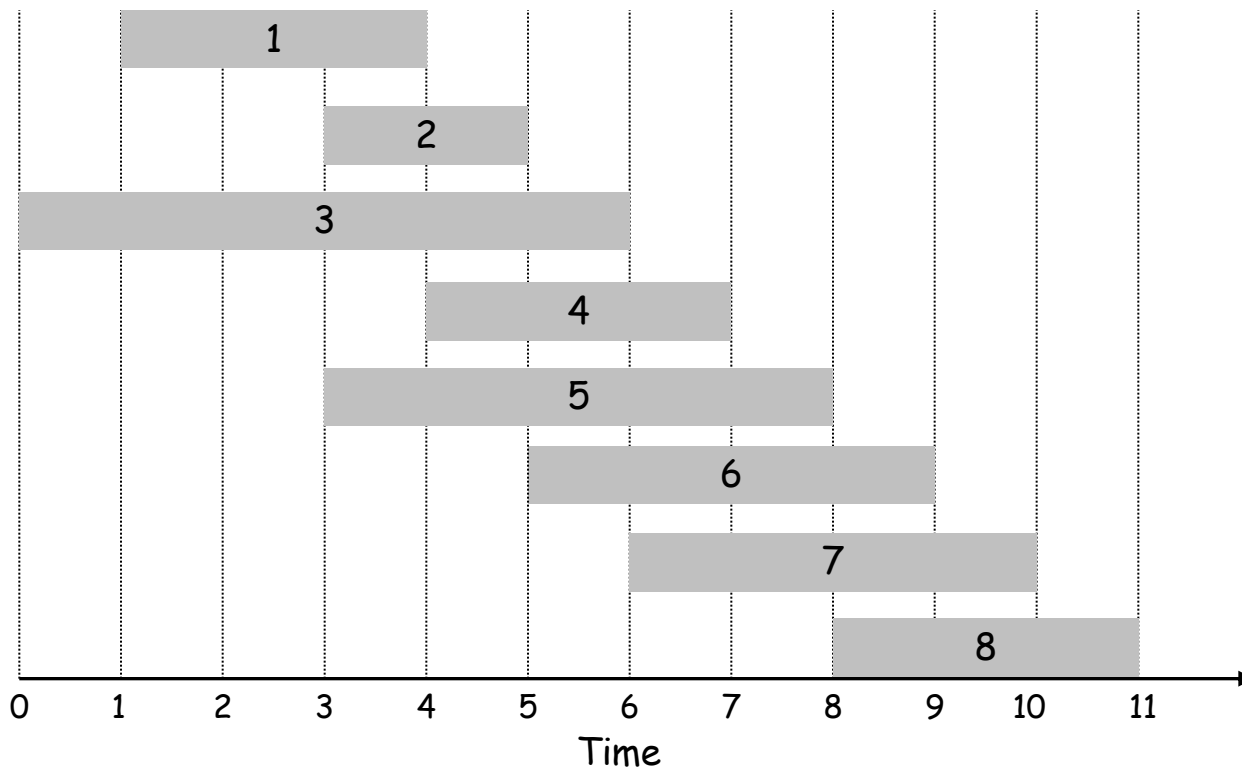
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0	0	-	0
1	3	0	3
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3	1	0	4
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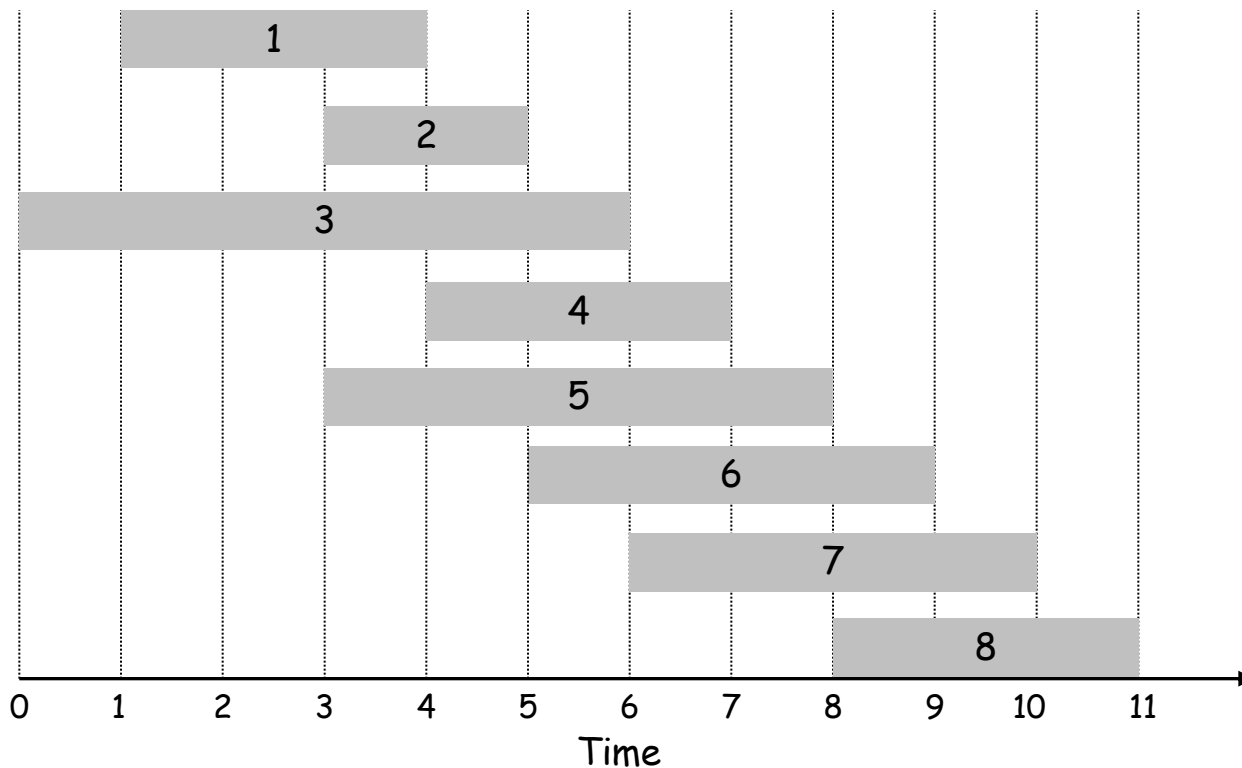
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0	0	-	0
1	3	0	3
2	4	0	4
3	1	0	4
4	3	1	6
5	4	0	
6	3	2	
7	2	3	
8	4	5	

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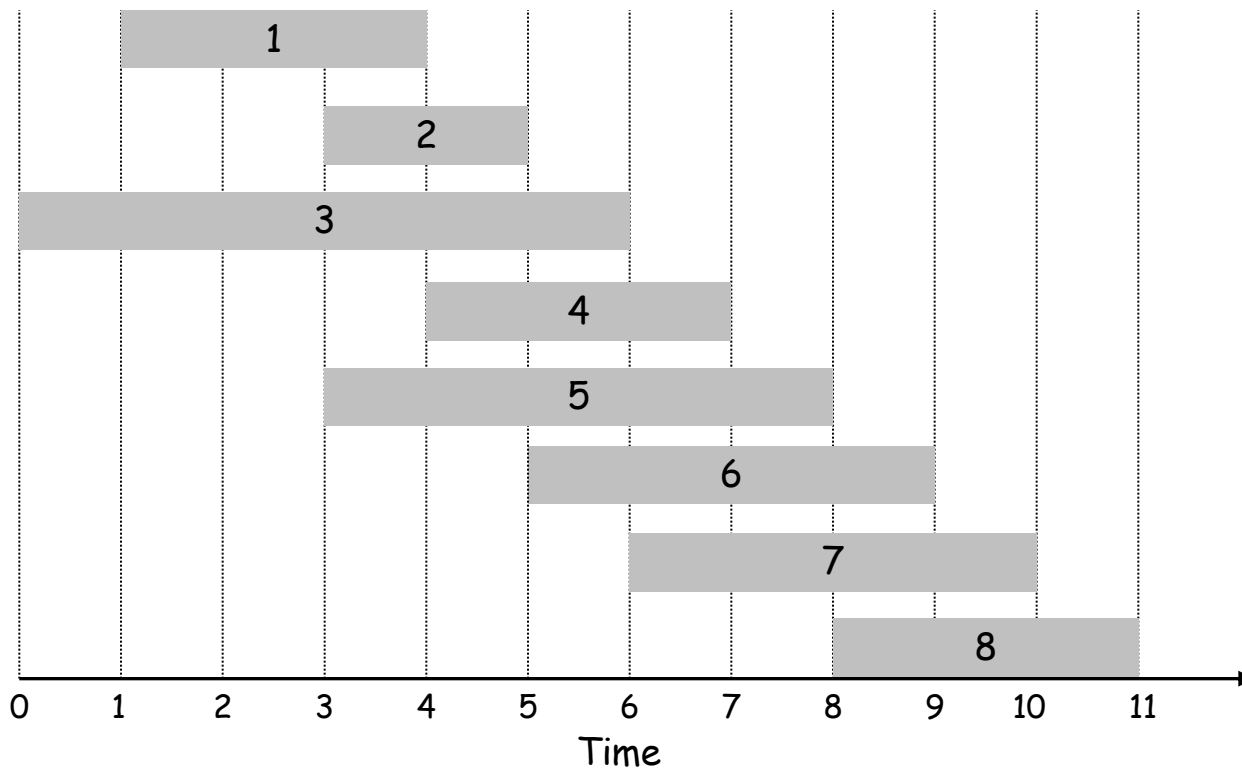
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1	3	0	3
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4	3	1	6
5	4	0	6
6	3	2	
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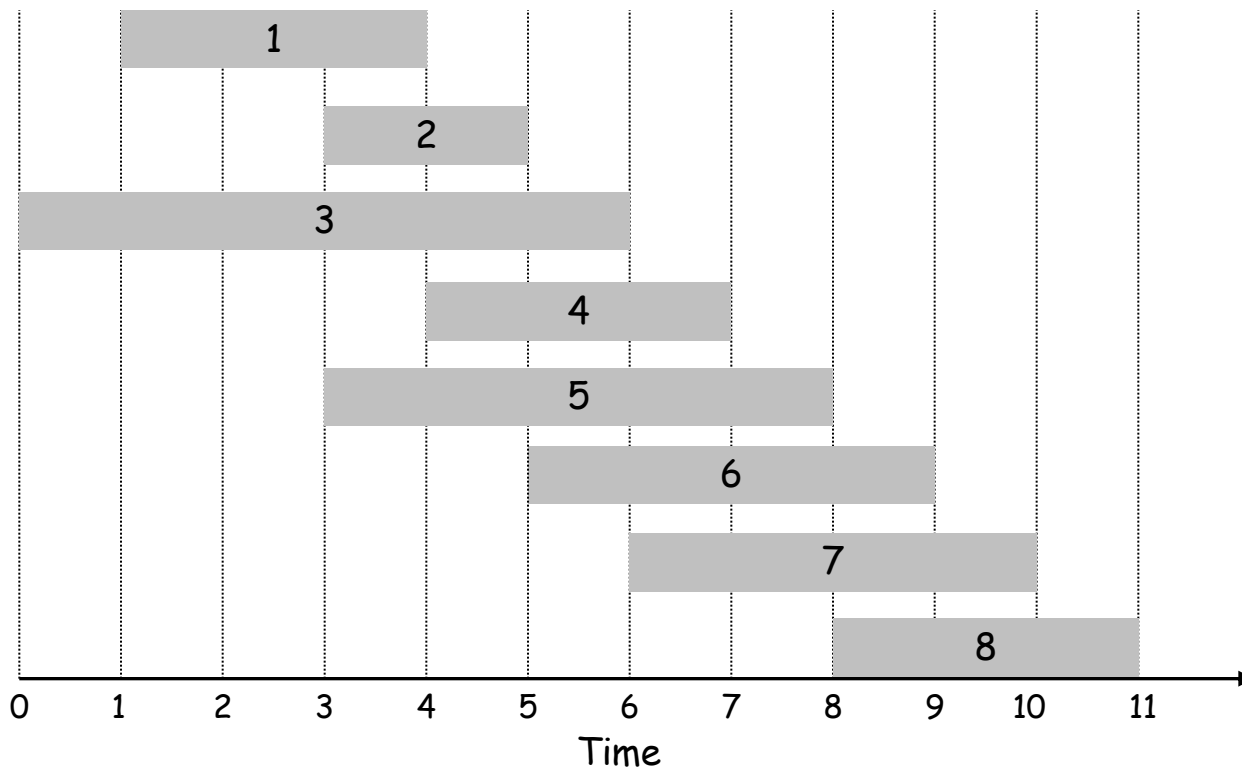
j	v <sub>j</sub>	p <sub>j</sub>	opt <sub>j</sub>
0	0	-	0
1	3	0	3
2	4	0	4
3	1	0	4
4	3	1	6
5	4	0	6
6	3	2	7
7	2	3	
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3	1	0	4
4	3	1	6
5	4	0	6
6	3	2	7
7	2	3	7
8	4	5	

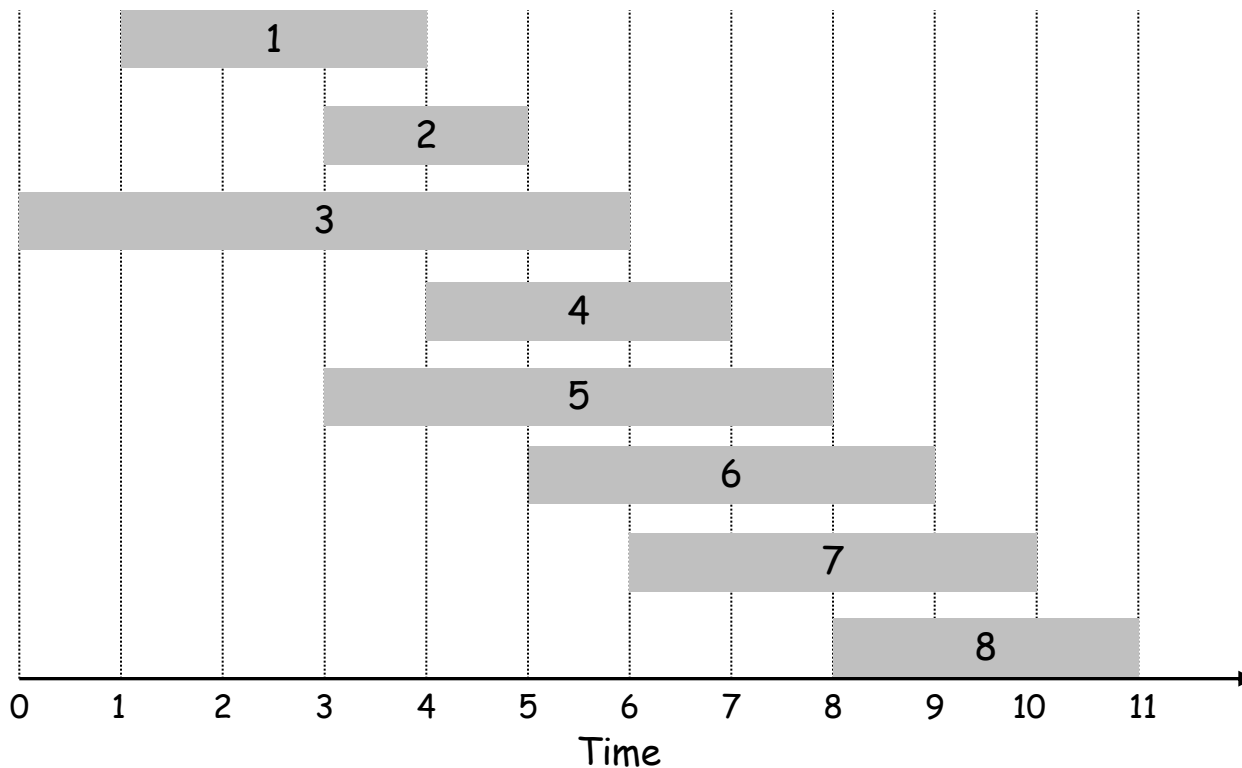


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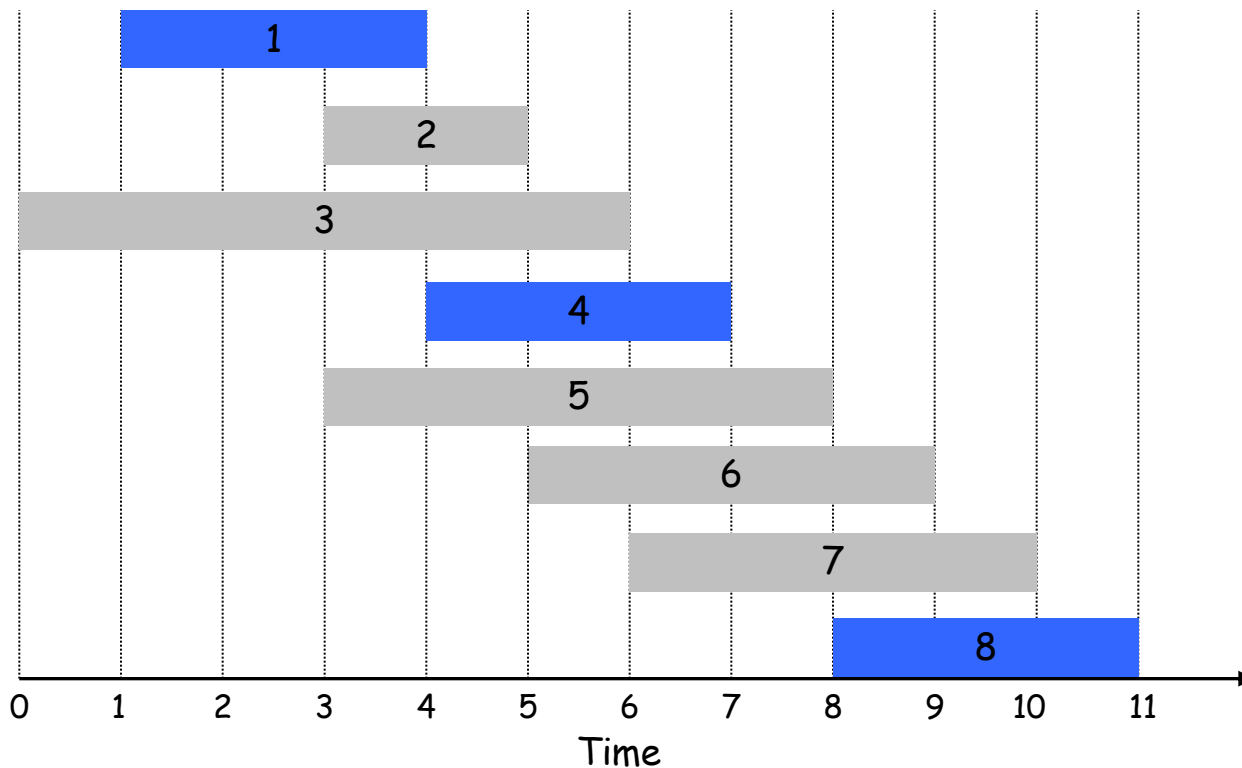
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0	0	-	0
1	3	0	3
2	4	0	4
3	1	0	4
4	3	1	6
5	4	0	6
6	3	2	7
7	2	3	7
8	4	5	10

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2	4	0	4
3	1	0	4
4	3	1	6
5	4	0	6
6	3	2	7
7	2	3	7
8	4	5	10

# Knapsack Problem

## Knapsack problem.

- Given  $n$  objects and a "knapsack."
- Item  $i$  weighs  $w_i > 0$  kilograms and has value  $v_i > 0$ .
- Knapsack has capacity of  $W$  kilograms.
- Goal: fill knapsack so as to maximize total value.

Ex: { 3, 4 } has value 40.

$$W = 11$$

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

**Greedy:** repeatedly add item with maximum ratio  $v_i / w_i$ .

Ex: { 5, 2, 1 } achieves only value = 35  $\Rightarrow$  greedy not optimal.

# Dynamic Programming: False Start

Def.  $OPT(i)$  = max profit subset of items  $1, \dots, i$ .

- Case 1:  $OPT$  does not select item  $i$ .
  - $OPT$  selects best of  $\{ 1, 2, \dots, i-1 \}$
- Case 2:  $OPT$  selects item  $i$ .
  - accepting item  $i$  does not immediately imply that we will have to reject other items
  - without knowing what other items were selected before  $i$ , we don't even know if we have enough room for  $i$

Conclusion. Need more sub-problems!

## Dynamic Programming: Adding a New Variable

**Def.**  $OPT(i, w)$  = max profit subset of items 1, ..., i with weight limit w.

- Case 1:  $OPT$  does not select item i.
  - $OPT$  selects best of { 1, 2, ..., i-1 } using weight limit w
- Case 2:  $OPT$  selects item i.
  - new weight limit =  $w - w_i$
  - $OPT$  selects best of { 1, 2, ..., i-1 } using this new weight limit

$$OPT(i, w) = \begin{cases} 0 & \text{if } i = 0 \\ OPT(i-1, w) & \text{if } w_i > w \\ \max\{OPT(i-1, w), v_i + OPT(i-1, w - w_i)\} & \text{otherwise} \end{cases}$$

# Knapsack Problem: Bottom-Up

Knapsack. Fill up an  $n$ -by- $W$  array.

```
Input:  $n, w_1, \dots, w_N, v_1, \dots, v_N$ 

for  $w = 0$  to  $W$ 
     $M[0, w] = 0$ 

for  $i = 1$  to  $n$ 
    for  $w = 1$  to  $W$ 
        if  $(w_i > w)$ 
             $M[i, w] = M[i-1, w]$ 
        else
             $M[i, w] = \max \{M[i-1, w], v_i + M[i-1, w-w_i]\}$ 

return  $M[n, W]$ 
```

# Knapsack Algorithm

—————  $W + 1$  —————→

		0	1	2	3	4	5	6	7	8	9	10	11
$n + 1$	$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
	{ 1 }												
	{ 1, 2 }												
	{ 1, 2, 3 }												
	{ 1, 2, 3, 4 }												
	{ 1, 2, 3, 4, 5 }												

$W = 11$

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1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

```

if (wi > w)
    M[i, w] = M[i-1, w]
else
    M[i, w] = max {M[i-1, w], vi + M[i-1, w-wi ]}
    
```

# Knapsack Algorithm

—————  $W + 1$  —————→

		0	1	2	3	4	5	6	7	8	9	10	11
$n + 1$	$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
	{1}	0											
	{1, 2}												
	{1, 2, 3}												
	{1, 2, 3, 4}												
	{1, 2, 3, 4, 5}												

$W = 11$

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```



# Knapsack Algorithm

		W + 1 →											
		0	1	2	3	4	5	6	7	8	9	10	11
n + 1 ↓	∅	0	0	0	0	0	0	0	0	0	0	0	0
	{1}	0	1										
	{1, 2}												
	{1, 2, 3}												
	{1, 2, 3, 4}												
	{1, 2, 3, 4, 5}												

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```

# Knapsack Algorithm

—————  $W + 1$  —————→

	0	1	2	3	4	5	6	7	8	9	10	11
$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
{1}	0	1	1	1	1	1	1	1	1	1	1	1
{1, 2}	0	1	6	7	7	7	7	7	7	7	7	7
{1, 2, 3}	0	1	6	7	7							
{1, 2, 3, 4}												
{1, 2, 3, 4, 5}												

n + 1 ↓

$W = 11$

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```

# Knapsack Algorithm

————— W + 1 —————→

		0	1	2	3	4	5	6	7	8	9	10	11
n + 1	∅	0	0	0	0	0	0	0	0	0	0	0	0
	{ 1 }	0	1	1	1	1	1	1	1	1	1	1	1
	{ 1, 2 }	0	1	6	7	7	7	7	7	7	7	7	7
	{ 1, 2, 3 }	0	1	6	7	7							
	{ 1, 2, 3, 4 }												
	{ 1, 2, 3, 4, 5 }												

W = 11

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if (wi > w)
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```

# Knapsack Algorithm

—————  $W + 1$  —————→

	0	1	2	3	4	5	6	7	8	9	10	11
$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
{1}	0	1	1	1	1	1	1	1	1	1	1	1
{1, 2}	0	1	6	7	7	7	7	7	7	7	7	7
{1, 2, 3}	0	1	6	7	7	18						
{1, 2, 3, 4}												
{1, 2, 3, 4, 5}												

n + 1 ↓

$W = 11$

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3	18	5
4	22	6
5	28	7

```

if (wi > w)
    M[i, w] = M[i-1, w]
else
    M[i, w] = max {M[i-1, w], vi + M[i-1, w-wi]}
    
```

# Knapsack Algorithm

—————  $W + 1$  —————→

	0	1	2	3	4	5	6	7	8	9	10	11
$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
{1}	0	1	1	1	1	1	1	1	1	1	1	1
{1, 2}	0	1	6	7	7	7	7	7	7	7	7	7
{1, 2, 3}	0	1	6	7	7	18						
{1, 2, 3, 4}												
{1, 2, 3, 4, 5}												

n + 1

↓

$W = 11$

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

```

if (wi > w)
    M[i, w] = M[i-1, w]
else
    M[i, w] = max {M[i-1, w], vi + M[i-1, w-wi]}
    
```

# Knapsack Algorithm

—————  $W + 1$  —————→

	0	1	2	3	4	5	6	7	8	9	10	11
$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
{1}	0	1	1	1	1	1	1	1	1	1	1	1
{1, 2}	0	1	6	7	7	7	7	7	7	7	7	7
{1, 2, 3}	0	1	6	7	7	18	19					
{1, 2, 3, 4}												
{1, 2, 3, 4, 5}												

n + 1 ↓

$W = 11$

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

```

if ( $w_i > w$ )
     $M[i, w] = M[i-1, w]$ 
else
     $M[i, w] = \max \{M[i-1, w], v_i + M[i-1, w-w_i]\}$ 
    
```

# Knapsack Algorithm

←————— W + 1 —————→

	0	1	2	3	4	5	6	7	8	9	10	11
$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
{1}	0	1	1	1	1	1	1	1	1	1	1	1
{1, 2}	0	1	6	7	7	7	7	7	7	7	7	7
{1, 2, 3}	0	1	6	7	7	18	19	24	25	25	25	25
{1, 2, 3, 4}	0	1	6	7	7	18	22	24				
{1, 2, 3, 4, 5}												

n + 1 ↓

W = 11

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

```

if (wi > w)
    M[i, w] = M[i-1, w]
else
    M[i, w] = max {M[i-1, w], vi + M[i-1, w-wi]}
    
```

# Knapsack Algorithm

—————  $W + 1$  —————→

	0	1	2	3	4	5	6	7	8	9	10	11
$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
{1}	0	1	1	1	1	1	1	1	1	1	1	1
{1, 2}	0	1	6	7	7	7	7	7	7	7	7	7
{1, 2, 3}	0	1	6	7	7	18	19	24	25	25	25	25
{1, 2, 3, 4}	0	1	6	7	7	18	22	24	28			
{1, 2, 3, 4, 5}												

n + 1 ↓

$W = 11$

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

```

if (wi > w)
    M[i, w] = M[i-1, w]
else
    M[i, w] = max {M[i-1, w], vi + M[i-1, w-wi]}
    
```



# Knapsack Algorithm

—————  $W + 1$  —————→

	0	1	2	3	4	5	6	7	8	9	10	11
$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
{1}	0	1	1	1	1	1	1	1	1	1	1	1
{1, 2}	0	1	6	7	7	7	7	7	7	7	7	7
{1, 2, 3}	0	1	6	7	7	18	19	24	25	25	25	25
{1, 2, 3, 4}	0	1	6	7	7	18	22	24	28			
{1, 2, 3, 4, 5}												

n + 1

↓

$W = 11$

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

```

if (wi > w)
    M[i, w] = M[i-1, w]
else
    M[i, w] = max {M[i-1, w], vi + M[i-1, w-wi]}
    
```

# Knapsack Algorithm

—————  $W + 1$  —————→

	0	1	2	3	4	5	6	7	8	9	10	11
$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
{1}	0	1	1	1	1	1	1	1	1	1	1	1
{1, 2}	0	1	6	7	7	7	7	7	7	7	7	7
{1, 2, 3}	0	1	6	7	7	18	19	24	25	25	25	25
{1, 2, 3, 4}	0	1	6	7	7	18	22	24	28	29		
{1, 2, 3, 4, 5}												

n + 1 ↓

$W = 11$

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

```

if (wi > w)
    M[i, w] = M[i-1, w]
else
    M[i, w] = max {M[i-1, w], vi + M[i-1, w-wi]}
    
```

# Knapsack Algorithm

—————  $W + 1$  —————→

	0	1	2	3	4	5	6	7	8	9	10	11
$\emptyset$	0	0	0	0	0	0	0	0	0	0	0	0
{1}	0	1	1	1	1	1	1	1	1	1	1	1
{1, 2}	0	1	6	7	7	7	7	7	7	7	7	7
{1, 2, 3}	0	1	6	7	7	18	19	24	25	25	25	25
{1, 2, 3, 4}	0	1	6	7	7	18	22	24	28	29	29	40
{1, 2, 3, 4, 5}	0	1	6	7	7	18	22	28	29	34	34	40

n + 1 ↓

OPT: { 4, 3 }  
value = 22 + 18 = 40

$W = 11$

```

if (wi > w)
    M[i, w] = M[i-1, w]
else
    M[i, w] = max {M[i-1, w], vi + M[i-1, w-wi ]}
    
```

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

# Knapsack Problem: Running Time

Running time.  $O(nW)$ .

- Not polynomial in input size!
- "Pseudo-polynomial."
- Decision version of Knapsack is NP-complete.

**Knapsack approximation algorithm.** There exists a polynomial algorithm that produces a feasible solution that has value within 0.01% of optimum.

## 6.5 RNA Secondary Structure

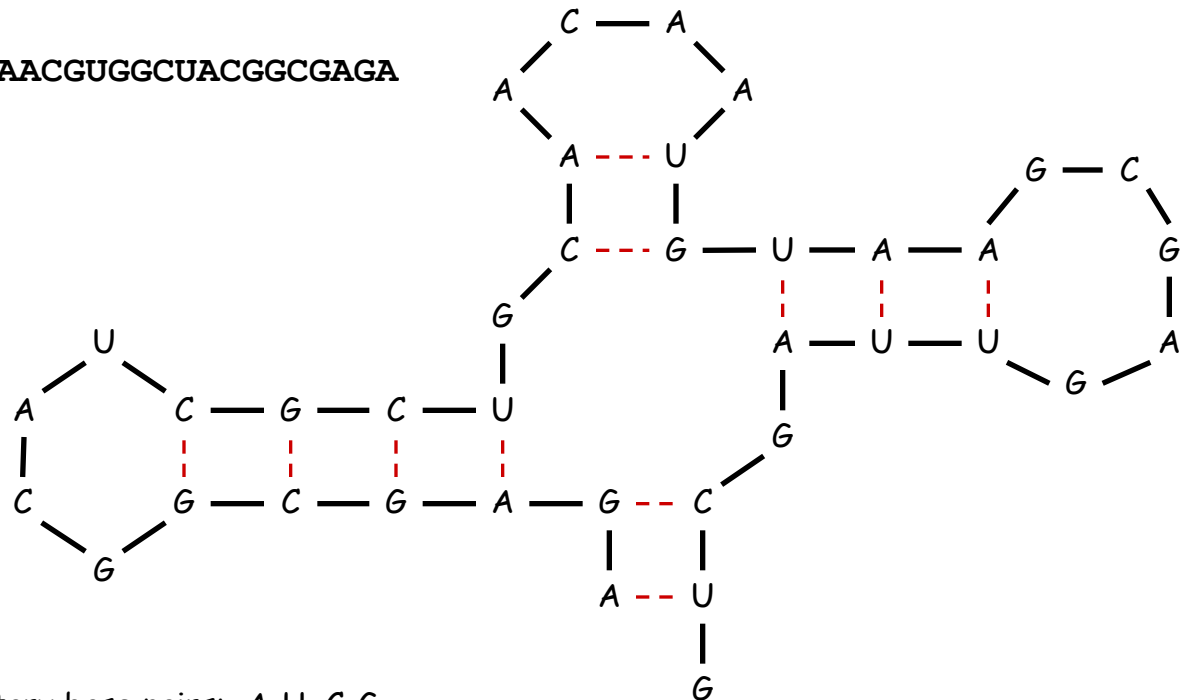
---

# RNA Secondary Structure

**RNA.** String  $B = b_1b_2\dots b_n$  over alphabet  $\{ A, C, G, U \}$ .

**Secondary structure.** RNA is single-stranded so it tends to loop back and form base pairs with itself. This structure is essential for understanding behavior of molecule.

**Ex:** GUCGAUUGAGCGAAUGUAACAACGUGGCUACGGCGAGA



complementary base pairs: A-U, C-G

# RNA Secondary Structure

**Secondary structure.** A set of pairs  $S = \{ (b_i, b_j) \}$  that satisfy:

- [Watson-Crick.]
  - $S$  is a *matching* and
  - each pair in  $S$  is a Watson-Crick pair:  $A-U$ ,  $U-A$ ,  $C-G$ , or  $G-C$ .
- [No sharp turns.] The ends of each pair are separated by at least 4 intervening bases. If  $(b_i, b_j)$  in  $S$ , then  $i < j - 4$ .
- [Non-crossing.] If  $(b_i, b_j)$  and  $(b_k, b_l)$  are two pairs in  $S$ , then we cannot have  $i < k < j < l$ .

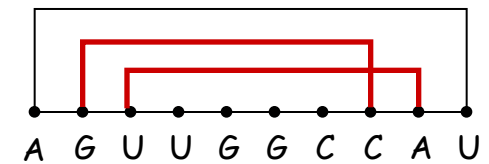
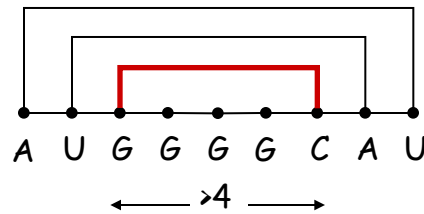
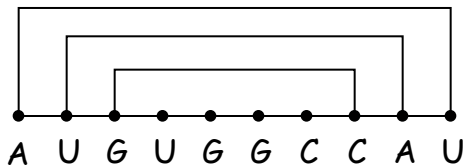
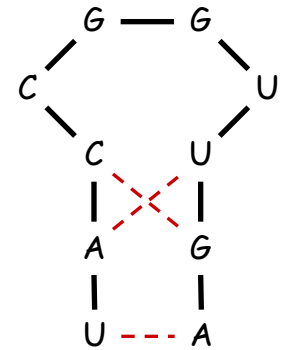
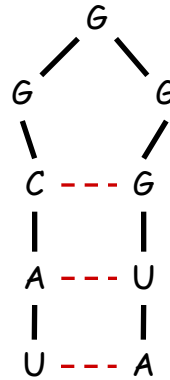
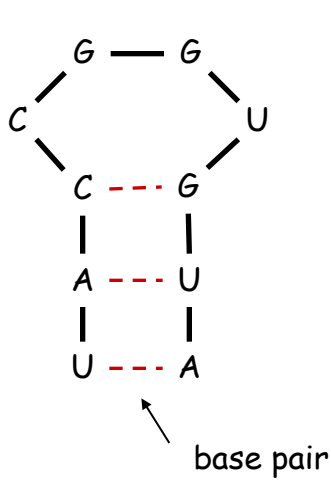
**Free energy.** Usual hypothesis is that an RNA molecule will form the secondary structure with the optimum total free energy.

approximate by number of base pairs

**Goal.** Given an RNA molecule  $B = b_1b_2\dots b_n$ , find a secondary structure  $S$  that maximizes the number of base pairs.

# RNA Secondary Structure: Examples

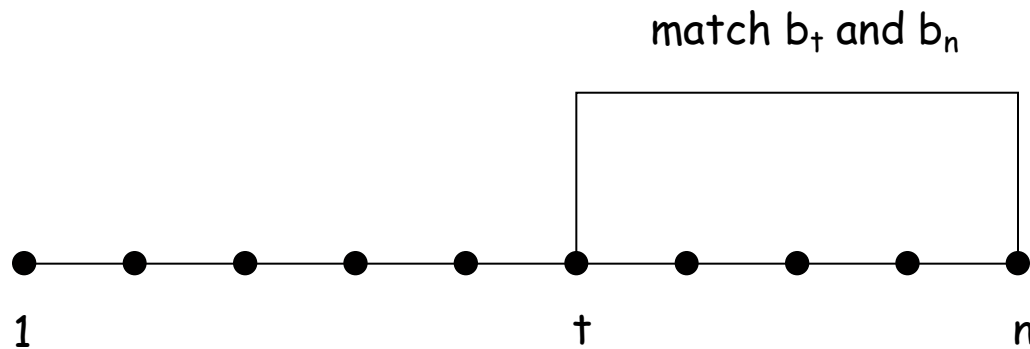
Examples.





# RNA Secondary Structure: Subproblems

First attempt.  $OPT(j)$  = maximum number of base pairs in a secondary structure of the substring  $b_1b_2\dots b_j$ .



Difficulty. Results in two sub-problems.

- Finding secondary structure in:  $b_1b_2\dots b_{t-1}$ . ←  $OPT(t-1)$
- Finding secondary structure in:  $b_{t+1}b_{t+2}\dots b_{n-1}$ . ← need more sub-problems

# Dynamic Programming Over Intervals

**Notation.**  $OPT(i, j)$  = maximum number of base pairs in a secondary structure of the substring  $b_i b_{i+1} \dots b_j$ .

- Case 1. If  $4 > j - i$ .
  - $OPT(i, j) = 0$  by no-sharp turns condition.
- Case 2. Base  $b_j$  is not involved in a pair.
  - $OPT(i, j) = OPT(i, j-1)$
- Case 3. Base  $b_j$  pairs with  $b_t$  for some  $j - t > 4, i \leq t$ 
  - non-crossing constraint decouples resulting sub-problems
  - $OPT(i, j) = 1 + \max_t \{ OPT(i, t-1) + OPT(t+1, j-1) \}$

↑  
take max over  $t$  such that  $i \leq t < j-4$  and  
 $b_t$  and  $b_j$  are Watson-Crick complements

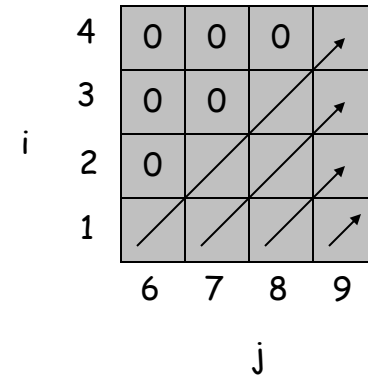
**Remark.** Same core idea in CKY algorithm to parse context-free grammars.

# Bottom Up Dynamic Programming Over Intervals

Q. What order to solve the sub-problems?

A. Do shortest intervals first.

```
RNA( $b_1, \dots, b_n$ ) {  
  for  $k = 5, 6, \dots, n-1$   
    for  $i = 1, 2, \dots, n-k$   
       $j = i + k$   
      Compute  $M[i, j]$   
  
  return  $M[1, n]$  ← using recurrence  
}
```



Running time.  $O(n^3)$ .

# Dynamic Programming Mantra

- Express OPT in terms of OPT for smaller problems [like divide and conquer]
- Figure out a clever order to evaluate all sub-problems to minimize redundancy [pictures help!]

## 6.6 Sequence Alignment

---

# String Similarity

How similar are two strings?

- **ocurrance**
- **occurrence**

o	c	u	r	r	a	n	c	e	-
o	c	c	u	r	r	e	n	c	e

5 mismatches, 1 gap

o	c	-	u	r	r	a	n	c	e
o	c	c	u	r	r	e	n	c	e

1 mismatch, 1 gap

o	c	-	u	r	r	-	a	n	c	e
o	c	c	u	r	r	e	-	n	c	e

0 mismatches, 3 gaps

# Edit Distance

## Applications.

- Basis for Unix diff.
- Speech recognition.
- Computational biology.

Edit distance. [Levenshtein 1966, Needleman-Wunsch 1970]

- Cost = # of gaps and mismatches.

C	T	G	A	C	C	T	A	C	C	T
C	C	T	G	A	C	T	A	C	A	T

Cost: 5

-	C	T	G	A	C	C	T	A	C	C	T
C	C	T	G	A	C	-	T	A	C	A	T

Cost: 3

# Sequence Alignment

**Goal:** Given two strings  $X = x_1 x_2 \dots x_m$  and  $Y = y_1 y_2 \dots y_n$  find alignment of minimum cost.

**Def.** An **alignment**  $M$  is a set of ordered pairs  $x_i-y_j$  such that each item occurs in at most one pair and no crossings.

**Def.** The pair  $x_i-y_j$  and  $x_{i'}-y_{j'}$  **cross** if  $i < i'$ , but  $j > j'$ .

Cost of  $M$ : # mismatches and gaps.

**Ex:** CTACCG vs. TACATG.

**Sol:**  $M = x_2-y_1, x_3-y_2, x_4-y_3, x_5-y_4, x_6-y_6$ .

$x_1$	$x_2$	$x_3$	$x_4$	$x_5$		$x_6$
C	T	A	C	C	-	G
-	T	A	C	A	T	G
	$y_1$	$y_2$	$y_3$	$y_4$	$y_5$	$y_6$



## Sequence Alignment: Problem Structure

Def.  $OPT(i, j)$  = min cost of aligning strings  $x_1 x_2 \dots x_i$  and  $y_1 y_2 \dots y_j$ .

## Sequence Alignment: Problem Structure

**Def.**  $OPT(i, j)$  = min cost of aligning strings  $x_1 x_2 \dots x_i$  and  $y_1 y_2 \dots y_j$ .

- Case 1:  $OPT$  matches  $x_i$ - $y_j$ .
  - pay mismatch for  $x_i$ - $y_j$  + min cost of aligning two strings  $x_1 x_2 \dots x_{i-1}$  and  $y_1 y_2 \dots y_{j-1}$
- Case 2a:  $OPT$  leaves  $x_i$  unmatched.
  - pay gap for  $x_i$  and min cost of aligning  $x_1 x_2 \dots x_{i-1}$  and  $y_1 y_2 \dots y_j$
- Case 2b:  $OPT$  leaves  $y_j$  unmatched.
  - pay gap for  $y_j$  and min cost of aligning  $x_1 x_2 \dots x_i$  and  $y_1 y_2 \dots y_{j-1}$

$$OPT(i, j) = \begin{cases} j & \text{if } i = 0 \\ \min \begin{cases} 1_{x_i y_j} + OPT(i-1, j-1) \\ 1 + OPT(i-1, j) \\ 1 + OPT(i, j-1) \end{cases} & \\ i & \text{if } j = 0 \end{cases}$$

$1_{x_i y_j}$  is 0 if  $x_i = y_j$  else 1

# Sequence Alignment: Algorithm

```
Sequence-Alignment(m, n, x1x2...xm, y1y2...yn) {  
  for i = 0 to m  
    M[0, i] = i  
  for j = 0 to n  
    M[j, 0] = j  
  
  for i = 1 to m  
    for j = 1 to n  
      M[i, j] = min(1xi,yj + M[i-1, j-1],  
                   1 + M[i-1, j],  
                   1 + M[i, j-1])  
  
  return M[m, n]  
}
```

**Analysis.**  $O(mn)$  time and space.

English words or sentences:  $m, n \sim 10$ .

Computational biology:  $m = n = 100,000$ . 10 billions ops OK, but 10GB array?

# Dynamic Programming Summary

## Recipe.

- Characterize structure of problem.
- Recursively define value of optimal solution.
- Compute value of optimal solution.
- Construct optimal solution from computed information.

## Dynamic programming techniques.

- Binary choice: weighted interval scheduling.
- Adding a new variable: knapsack.
- Dynamic programming over intervals: RNA secondary structure.

↖ CKY parsing algorithm for context-free grammar has similar structure

Top-down vs. bottom-up: different people have different intuitions.