Stable Matching Problem

**Goal.** Given n companies and n applicants, find a "suitable" matching.
- Companies rate applicants, applicants rate companies.
- Each company lists applicants in order of preference from best to worst.

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<tr>
<th>Company’s Preference Profile</th>
<th>Applicant’s Preference Profile</th>
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Stable Matching Problem

Perfect matching:
- Each company gets exactly one applicant.
- Each applicant gets exactly one company.

Stability: no incentive for some pair of participants to undermine assignment by joint action.
- In matching $M$, an unmatched pair $c-a$ is unstable if company $c$ and applicant $a$ prefer each other to current matches.
- Unstable pair $c-a$ could each improve by switching.

Stable matching: perfect matching with no unstable pairs.

Stable matching problem. Given the preference lists of $n$ companies and $n$ applicants, find a stable matching if one exists.
Stable Matching Problem

Q. Is assignment X-C, Y-B, Z-A stable?

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companies’s Preference Profile

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applicants’s Preference Profile
Q. Is assignment X-C, Y-B, Z-A stable?
A. No. B and X will defect.
Stable Matching Problem

Q. Is assignment X-A, Y-B, Z-C stable?
A. Yes.

companies’ Preference Profile

applicants’ Preference Profile
Stable Roommate Problem

Q. Do stable matchings always exist?
A. Not obvious a priori.

Stable roommate problem.
- 2n people; each person ranks others from 1 to 2n-1.
- Assign roommate pairs so that no unstable pairs.

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Observation. Stable matchings do not always exist for stable roommate problem.
Propose-And-Reject Algorithm


Initialize each person to be free.
while (some company is free and hasn't proposed to every applicant) {
    Choose such a company x
    \( a = 1^{\text{st}} \) applicant on x's list to whom x has not yet proposed
    if (a is free)
        assign x and a to each other
    else if (a prefers x to her current assignment y)
        assign a to x, and y to be free
    else
        a rejects x
}
Proof of Correctness: Termination

Observation 1. companies propose to applicants in decreasing order of preference.

Observation 2. Once an applicant is matched, she never becomes unmatched; she only "trades up."

Claim. Algorithm terminates after at most $n^2$ iterations of while loop.

Pf. Each time through the while loop a company proposes to a new applicant. There are only $n^2$ possible proposals. •

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$n(n-1) + 1$ proposals required
Claim. All companies and applicants get matched.

Pf. (by contradiction)

- Suppose, for sake of contradiction, that Z is not matched upon termination of algorithm.
- Then some applicant, say A, is not matched upon termination.
- By Observation 2, A was never proposed to.
- But, Z proposes to everyone, since Z ends up unmatched. ·
Proof of Correctness: Stability

**Claim.** No unstable pairs.

**Pf.** (by contradiction)

- Suppose $A-Z$ is an unstable pair: each prefers each other to partner in Gale-Shapley matching $S^*$. 

- **Case 1:** $Z$ never proposed to $A$.
  - $\Rightarrow$ $Z$ prefers GS applicant to $A$.
  - $\Rightarrow$ $A-Z$ is stable.

- **Case 2:** $Z$ proposed to $A$.
  - $\Rightarrow$ $A$ rejected $Z$ (right away or later)
  - $\Rightarrow$ $A$ prefers her GS company to $Z$.  
  - $\Rightarrow$ $A-Z$ is stable.

- In either case $A-Z$ is stable, a contradiction.  

Summary

Stable matching problem. Given n companies and n applicants, and their preferences, find a stable matching if one exists.

Gale-Shapley algorithm. Guarantees to find a stable matching for any problem instance.

Q. How to implement GS algorithm efficiently?

Q. If there are multiple stable matchings, which one does GS find?
Efficient Implementation

Efficient implementation. We describe $O(n^2)$ time implementation. Note: this is linear in the size of the input.

Representing companies and applicants.
- Assume companies are named 1, ..., n.
- Assume applicants are named 1', ..., n'.

Queues.
- Maintain a list of free companies, e.g., in a queue.
- Maintain two arrays $\text{applicant}[c]$, and $\text{company}[a]$.
  - set entry to 0 if unmatched
  - if $c$ matched to $a$ then $\text{applicant}[c]=a$ and $\text{company}[a]=c$

Companies proposing.
- For each company, maintain a list of applicants, ordered by preference.
- Maintain an array $\text{count}[c]$ that counts the number of proposals made by company $c$. 
Efficient Implementation

applicants rejecting/accepting.

- Does applicant \( a \) prefer company \( c \) to company \( c' \)?
- For each applicant, create inverse of preference list of companies.
- Constant time access for each query after \( O(n) \) preprocessing.

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<tr>
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<th>5 (=) 6th</th>
<th>6 (=) 7th</th>
<th>7 (=) 3rd</th>
<th>8 (=) 1st</th>
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<tr>
<td>Inverse</td>
<td>4 (=) 4th</td>
<td>8 (=) 8th</td>
<td>2 (=) 2nd</td>
<td>5 (=) 5th</td>
<td>6 (=) 6th</td>
<td>7 (=) 7th</td>
<td>3 (=) 3rd</td>
<td>1 (=) 1st</td>
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A prefers company 3 to 6 since \( \text{inverse}[3] < \text{inverse}[6] \)

```plaintext
for i = 1 to n
    inverse[pref[i]] = i
```

2 7
Q. For a given problem instance, there may be several stable matchings. Do all executions of Gale-Shapley yield the same stable matching? If so, which one?

An instance with two stable matchings.
- A-X, B-Y, C-Z.
- A-Y, B-X, C-Z.

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Understanding the Solution

Q. Do all executions of Gale-Shapley yield the same stable matching?

Def. company \( m \) is a valid partner of applicant \( w \) if there exists some stable matching in which they are matched.

Q. Are \( X-A \) valid partners?
Q. Do all executions of Gale-Shapley yield same stable matching?

**Def.** company $c$ is **valid partner** of applicant $a$ if exists some stable matching in which they are matched.

**company-optimal assignment.** Each company receives best valid partner.

**Claim.** All executions of GS yield **company-optimal assignment**, which is a stable matching!

- No reason a priori to believe that company-optimal assignment is a matching, let alone stable.
- Simultaneously best for every company.
company Optimality

Claim. GS matching $S^*$ is company-optimal.

Pf. (by contradiction)

- Suppose some company is paired with someone other than best partner. companies propose in decreasing order of preference $\Rightarrow$ some company is rejected by valid partner.

- Let $Y$ be first such company, and let $A$ be first valid applicant that rejects it.

- Let $S$ be a stable matching where $A$ and $Y$ are matched.

- When $Y$ is rejected, $A$ forms (or reaffirms) engagement with a company, say $Z$, whom she prefers to $Y$.

- Let $B$ be $Z$'s partner in $S$. $B$ is a valid partner of $Z$.

- $Z$ matched to $A$ and not yet rejected by any valid partner at the point when $Y$ is rejected by $A$. Thus, $Z$ prefers $A$ to $B$. $\uparrow$

- But $A$ prefers $Z$ to $Y$.

- Thus $A-Z$ is unstable in $S$. $\blacksquare$
Stable Matching Summary

Stable matching problem. Given preference profiles of n companies and n applicants, find a stable matching.

- no company and applicant prefer to be with each other than assigned partner

Gale-Shapley algorithm. Finds a stable matching in $O(n^2)$ time.

Company-optimality. In version of GS where companies propose, each company receives best valid partner.

- $w$ is a valid partner of $m$ if there exist some stable matching where $m$ and $w$ are paired

Q. Does company-optimality come at the expense of the applicants?
applicant Pessimality

applicant-pessimal assigncompaniest. Each applicant receives worst valid partner.

Claim. GS finds applicant-pessimal stable matching $S^*$. 

Pf.

- Suppose $A-Z$ matched in $S^*$, but $Z$ is not worst valid partner for $A$.
- There exists stable matching $S$ in which $A$ is paired with a company, say $Y$, whom she likes less than $Z$.
- Let $B$ be $Z$'s partner in $S$. company-optimality
- $Z$ prefers $A$ to $B$.
- Thus, $A-Z$ is an unstable in $S$. •
Lessons Learned

Powerful ideas

- Isolate underlying structure of problem.
- Create useful and efficient algorithms.

Potentially deep social ramifications.

Moral: Be the one doing the proposing!