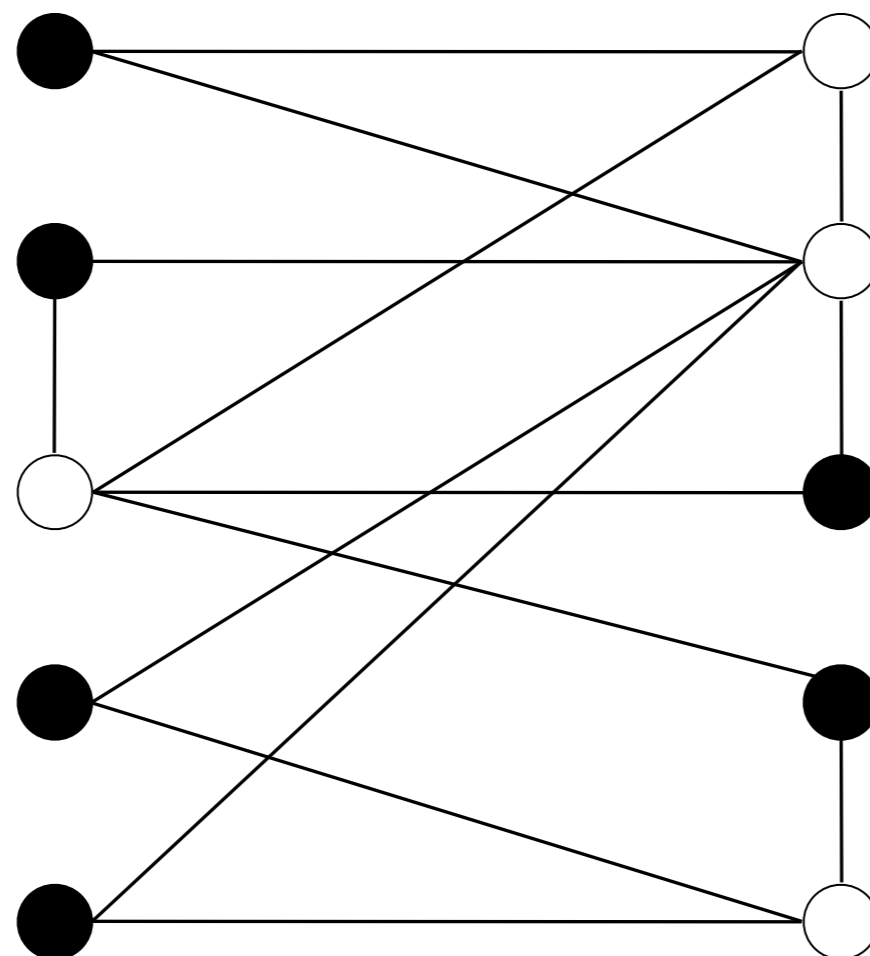


Vertex cover

VERTEX-COVER. Given a graph $G = (V, E)$ and an integer k , is there a subset of vertices $S \subseteq V$ such that $|S| \leq k$, and for each edge, at least one of its endpoints is in S ?

Ex. Is there a vertex cover of size ≤ 4 ?

Ex. Is there a vertex cover of size ≤ 3 ?



● independent set of size 6
○ vertex cover of size 4

3-satisfiability reduces to vertex cover

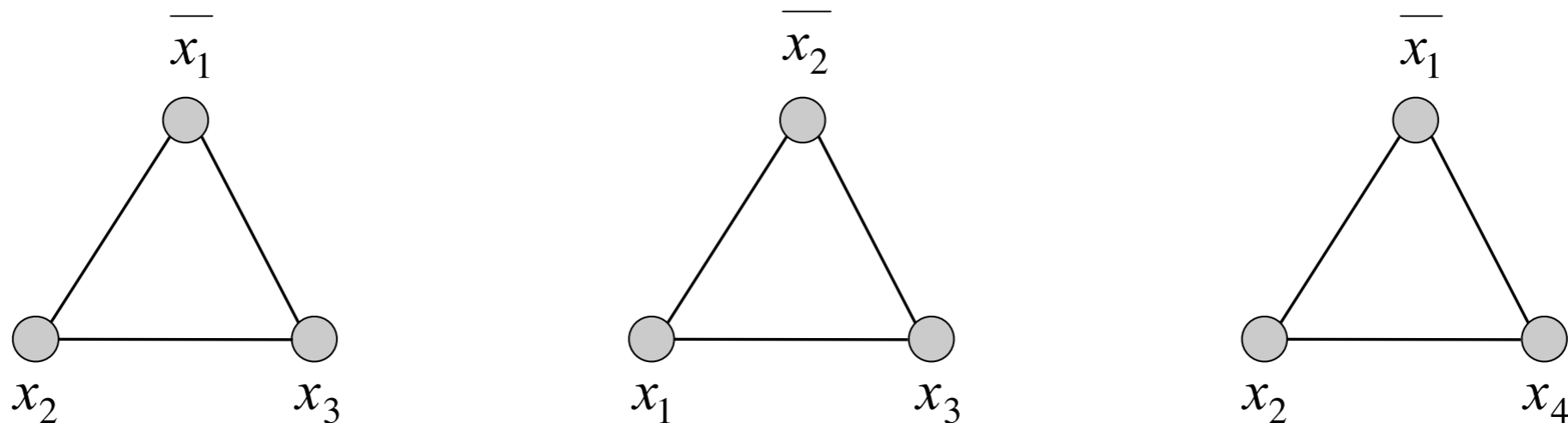
Theorem. $3\text{-SAT} \leq_P \text{VERTEX-COVER}$.

Pf. Given an instance Φ of 3-SAT, we construct an instance (G, k) of VERTEX-COVER that has a vertex cover of size $2k$ iff Φ is satisfiable.

Construction.

- G contains 3 nodes for each clause, one for each literal.
- Connect 3 literals in a clause in a triangle.

G



k = 3

$$\Phi = (\overline{x_1} \vee x_2 \vee x_3) \wedge (x_1 \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee x_4)$$

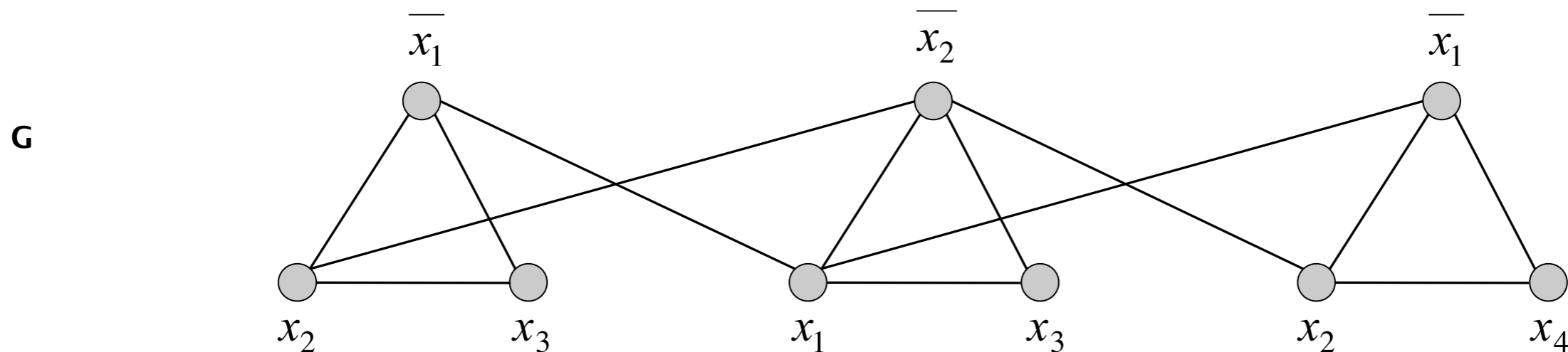
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Construction.

- G contains 3 nodes for each clause, one for each literal.
- Connect 3 literals in a clause in a triangle.
- Connect literal to each of its negations.



$k = 3$

$$\Phi = (\bar{x}_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee x_4)$$

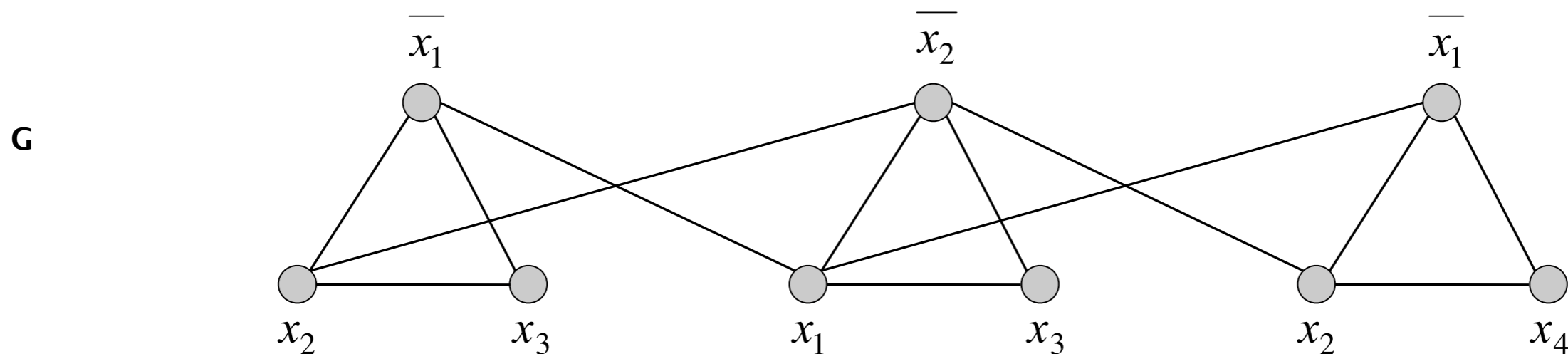
3-satisfiability reduces to independent set

Lemma. G contains vertex cover of size $2k$ iff Φ is satisfiable.

Pf. \Rightarrow Let S be a vertex cover of size $2k$.

- S must contain exactly two nodes in each triangle.
- Set the excluded literal to *true* (and remaining variables consistently).
- Truth assignment is consistent and all clauses are satisfied.

Pf \Leftarrow Given satisfying assignment, select one true literal from each triangle, and exclude that one. This is a vertex cover of size $2k$. ■



$k = 3$

$$\Phi = (\overline{x_1} \vee x_2 \vee x_3) \wedge (x_1 \vee \overline{x_2} \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee x_4)$$

Directed hamilton cycle reduces to hamilton cycle

DIR-HAM-CYCLE: Given a digraph $G = (V, E)$, does there exist a simple directed cycle Γ that contains every node in V ?

3-satisfiability reduces to directed hamilton cycle

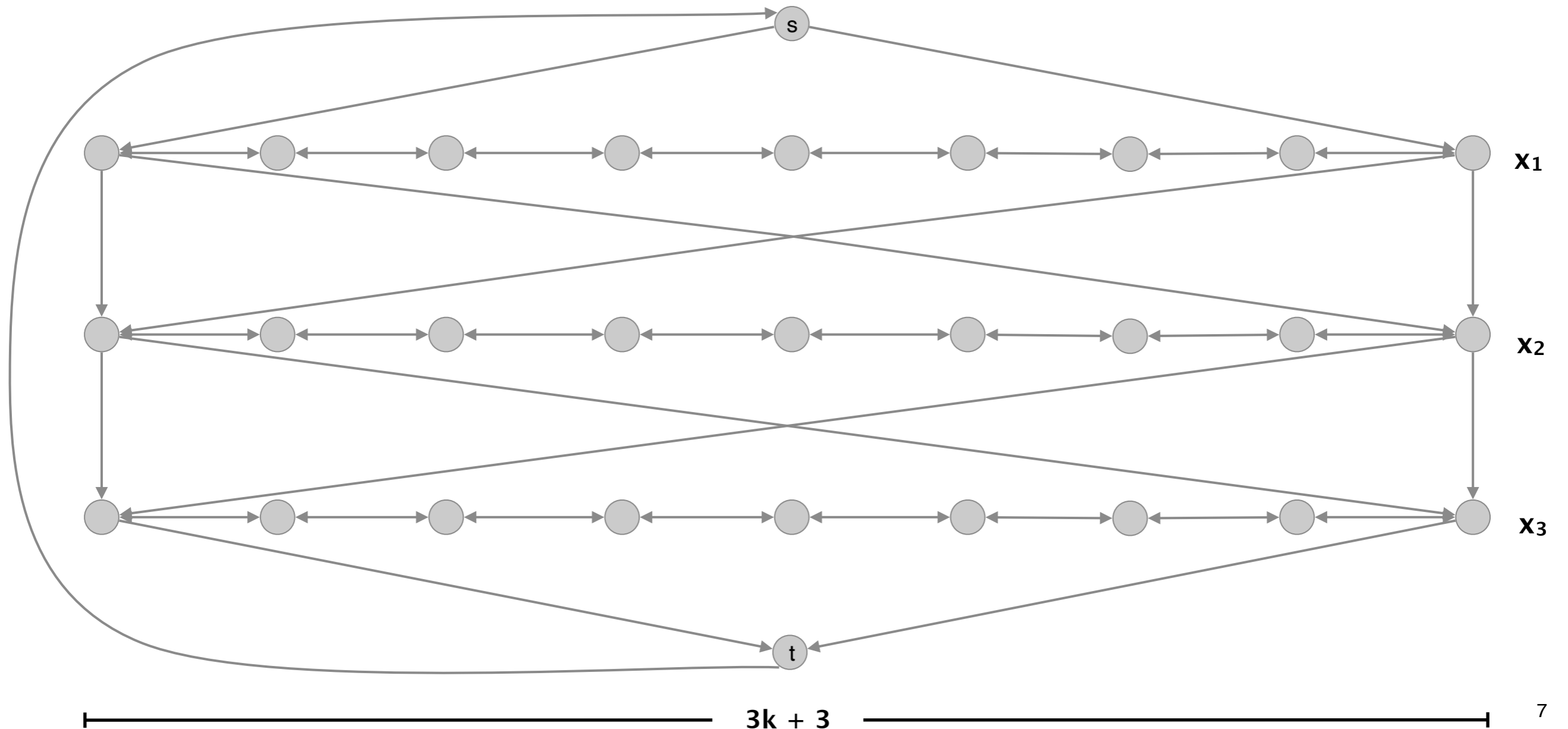
Theorem. $3\text{-SAT} \leq_p \text{DIR-HAM-CYCLE}$.

Pf. Given an instance Φ of 3-SAT, we construct an instance of DIR-HAM-CYCLE that has a Hamilton cycle iff Φ is satisfiable.

Construction. First, create graph that has 2^n Hamilton cycles which correspond in a natural way to 2^n possible truth assignments.

3-satisfiability reduces to directed hamilton cycle

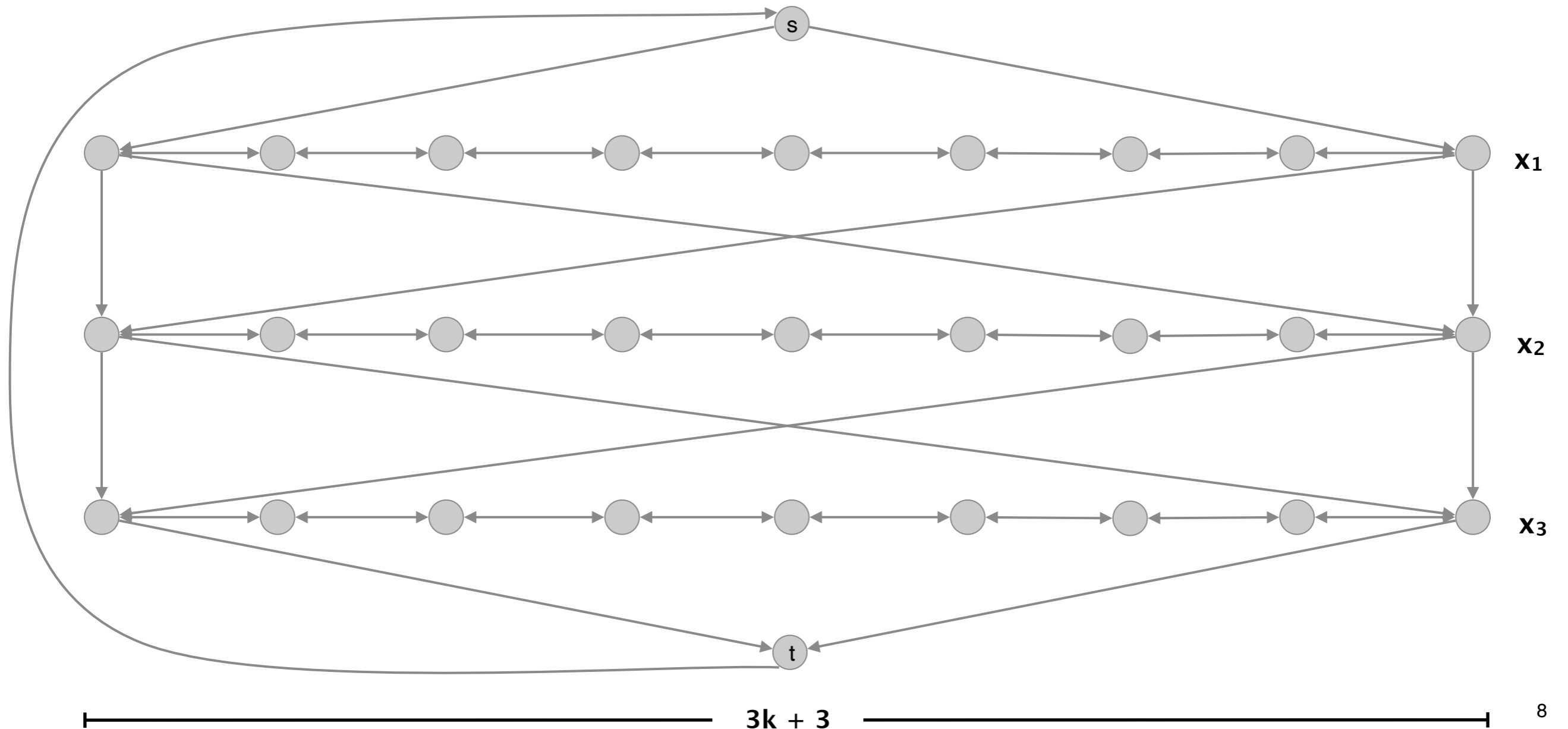
Construction. Given 3-SAT instance Φ with n variables x_i and k clauses



3-satisfiability reduces to directed hamilton cycle

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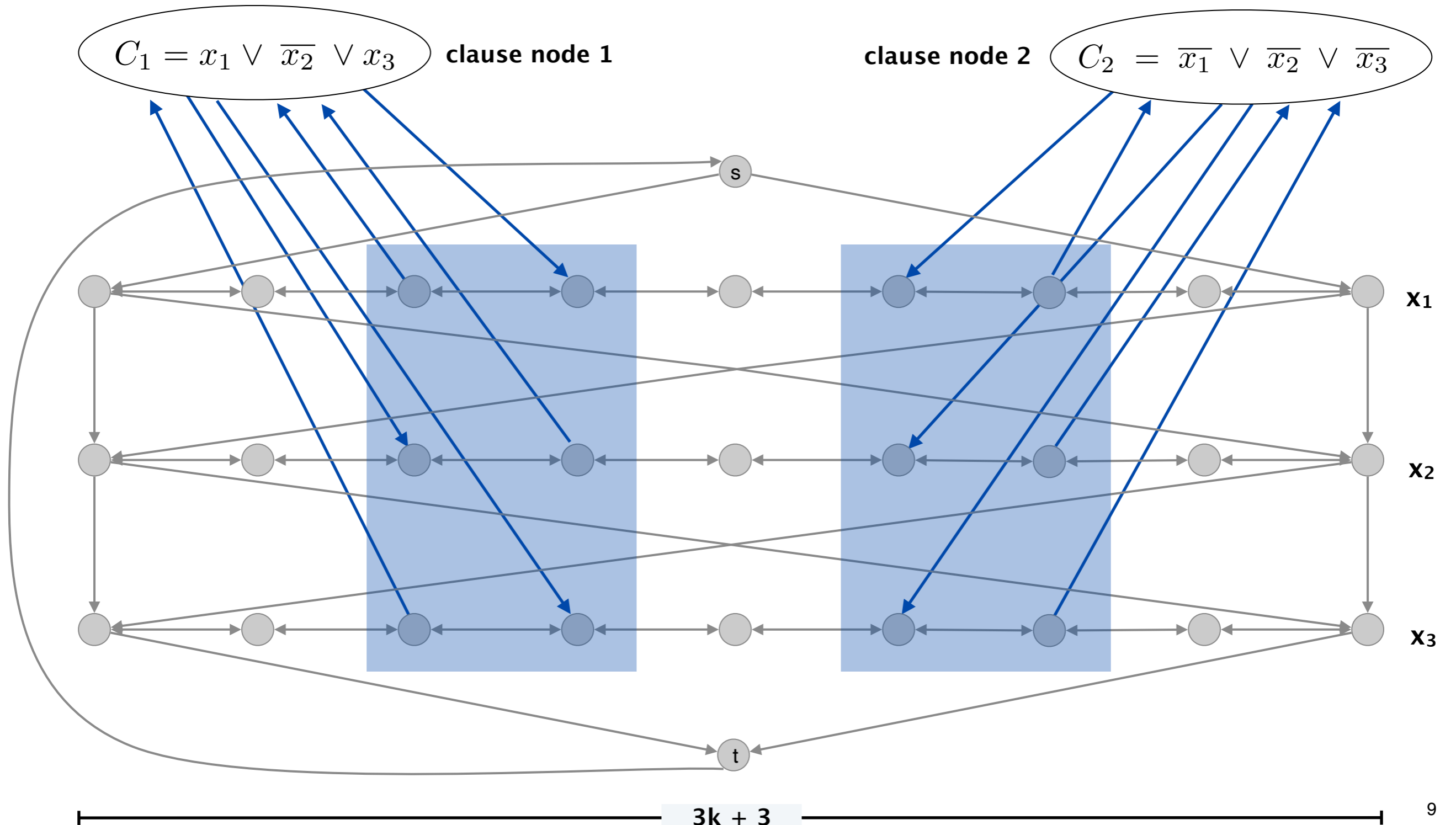
- Intuition: traverse path i from left to right \Leftrightarrow set variable $x_i = \text{true}$.



3-satisfiability reduces to directed hamilton cycle

Construction. Given 3-SAT instance Φ with n variables x_i and k clauses.

- For each clause, add a node and 6 edges.



3-satisfiability reduces to directed hamilton cycle

Lemma. Φ is satisfiable iff G has a Hamilton cycle.

Pf. \Rightarrow

- Suppose 3-SAT instance has satisfying assignment x^* .
- Then, define Hamilton cycle in G as follows:
 - if $x^*_i = true$, traverse row i from left to right
 - if $x^*_i = false$, traverse row i from right to left
 - for each clause C_j , there will be at least one row i in which we are going in "correct" direction to splice clause node C_j into cycle
(and we splice in C_j exactly once)

3-satisfiability reduces to directed hamilton cycle

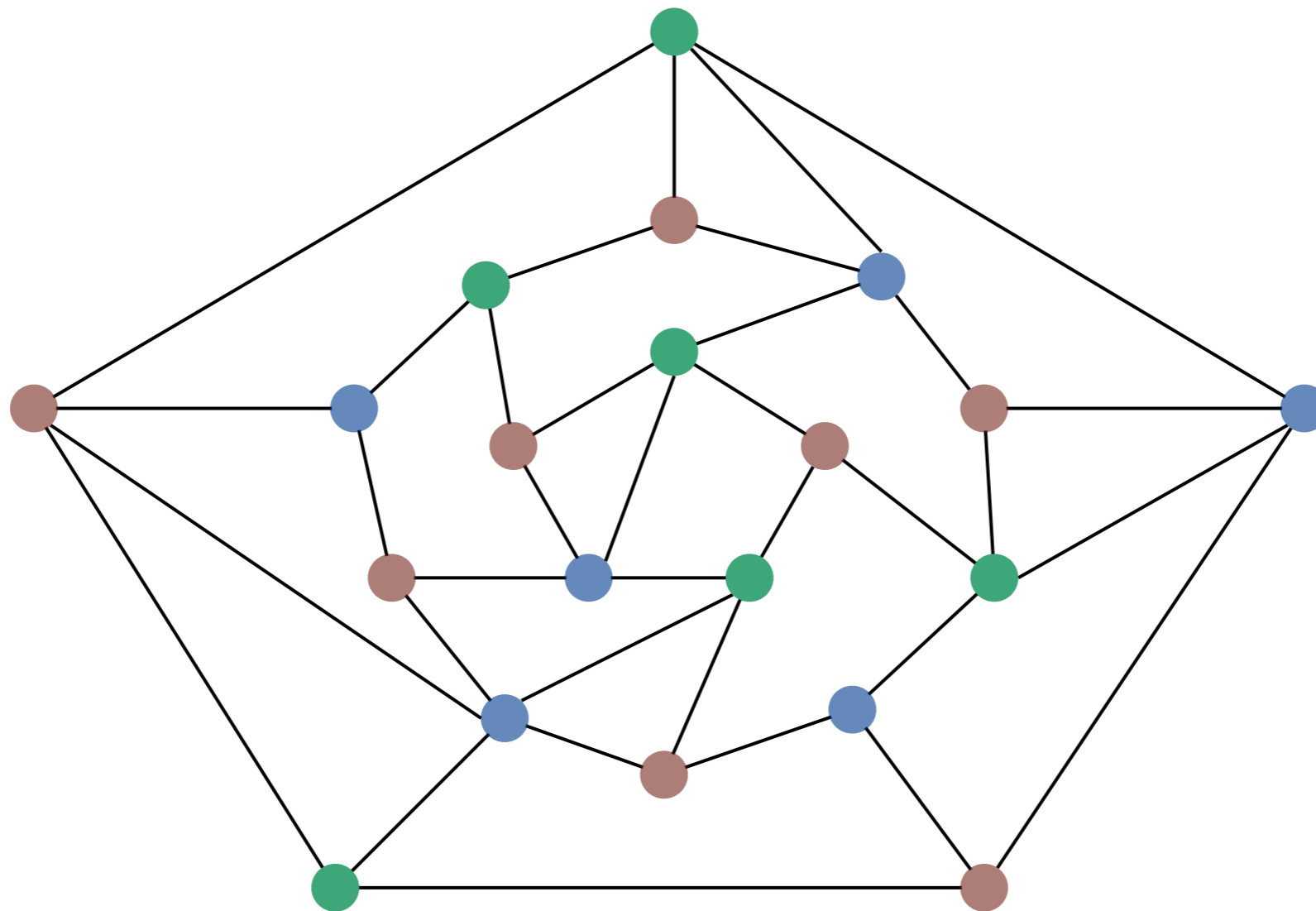
Lemma. Φ is satisfiable iff G has a Hamilton cycle.

Pf. \Leftarrow

- Suppose G has a Hamilton cycle Γ .
- If Γ enters clause node C_j , it must depart on mate edge.
 - nodes immediately before and after C_j are connected by an edge $e \in E$
 - removing C_j from cycle, and replacing it with edge e yields Hamilton cycle on $G - \{ C_j \}$
- Continuing in this way, we are left with a Hamilton cycle Γ' in $G - \{ C_1, C_2, \dots, C_k \}$.
- Set $x^*_i = true$ iff Γ' traverses row i left to right.
- Since Γ visits each clause node C_j , at least one of the paths is traversed in "correct" direction, and each clause is satisfied. ■

3-colorability

3-COLOR. Given an undirected graph G , can the nodes be colored red, green, and blue so that no adjacent nodes have the same color?



yes instance

Application: register allocation

Register allocation. Assign program variables to machine register so that no more than k registers are used and no two program variables that are needed at the same time are assigned to the same register.

Interference graph. Nodes are program variables names; edge between u and v if there exists an operation where both u and v are "live" at the same time.

Observation. [Chaitin 1982] Can solve register allocation problem iff interference graph is k -colorable.

Fact. $3\text{-COLOR} \leq_p \text{K-REGISTER-ALLOCATION}$ for any constant $k \geq 3$.

REGISTER ALLOCATION & SPILLING VIA GRAPH COLORING

G. J. Chaitin
IBM Research
P.O.Box 218, Yorktown Heights, NY 10598

3-satisfiability reduces to 3-colorability

Theorem. $3\text{-SAT} \leq_P 3\text{-COLOR}$.

Pf. Given 3-SAT instance Φ , we construct an instance of 3-COLOR that is 3-colorable iff Φ is satisfiable.

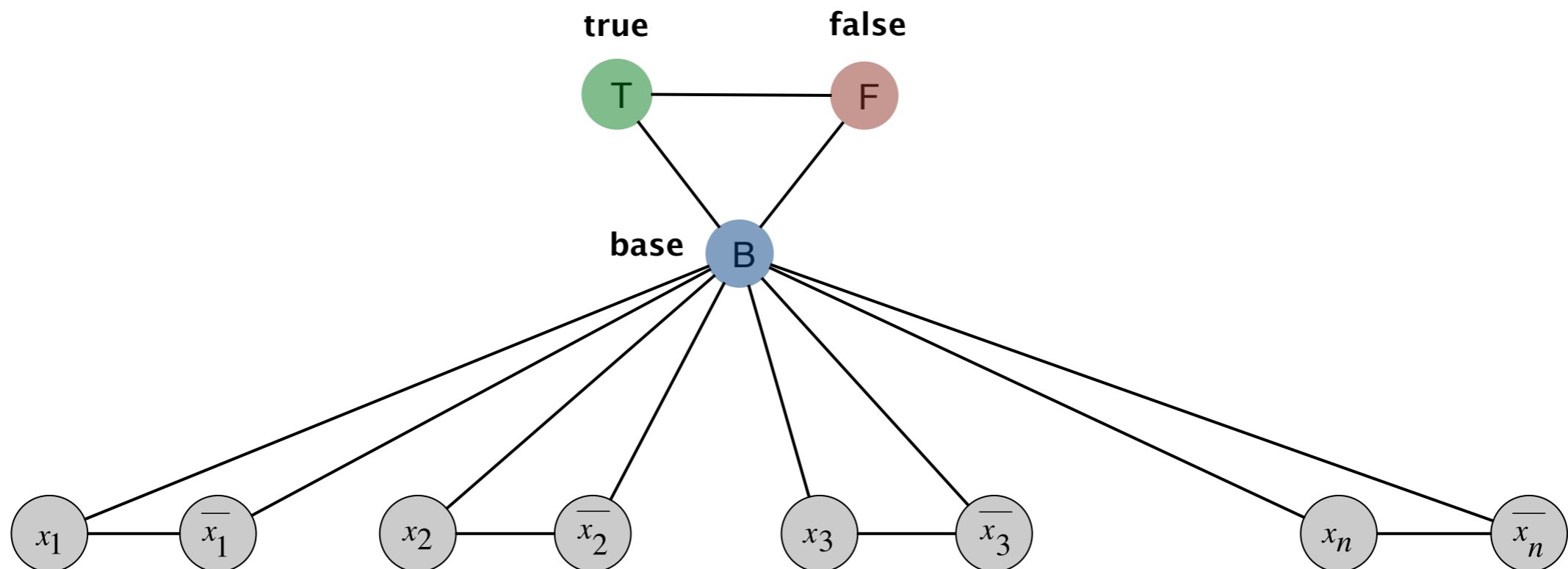
3-satisfiability reduces to 3-colorability

Construction.

- (i) Create a graph G with a node for each literal.
- (ii) Connect each literal to its negation.
- (iii) Create 3 new nodes T , F , and B ; connect them in a triangle.
- (iv) Connect each literal to B .
- (v) For each clause C_j , add a gadget of 6 nodes and 13 edges.



to be described later

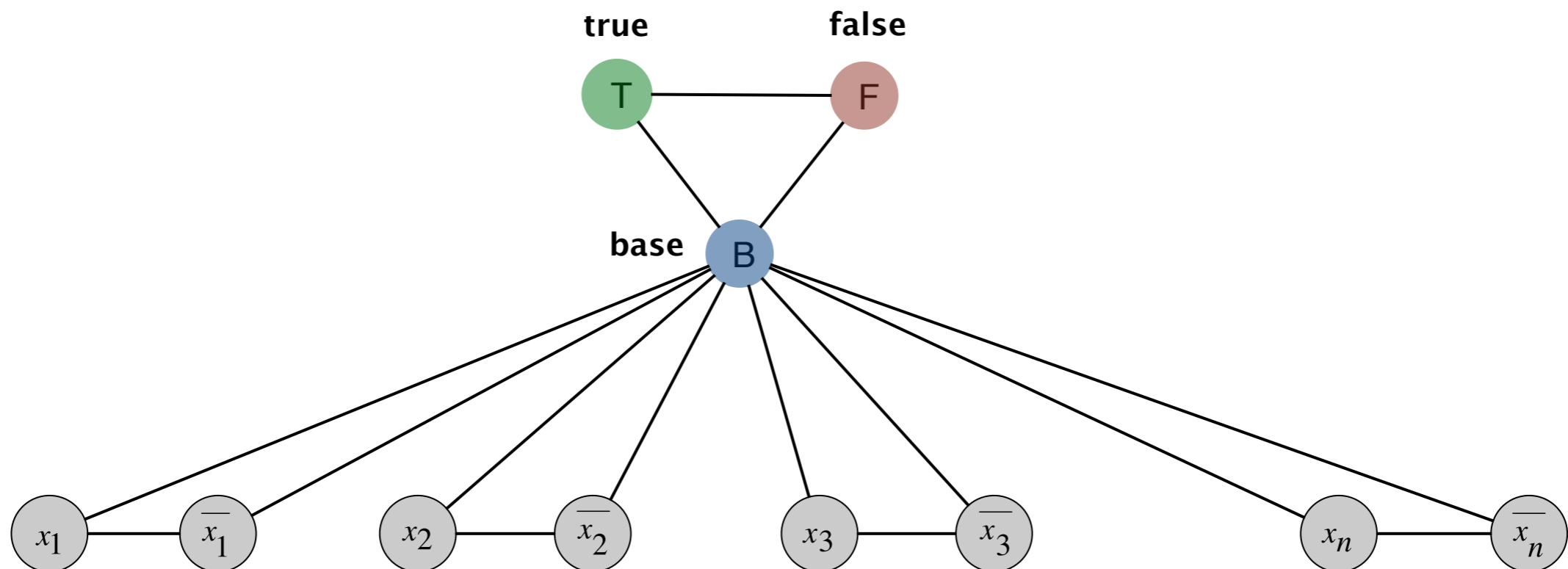


3-satisfiability reduces to 3-colorability

Lemma. Graph G is 3-colorable iff Φ is satisfiable.

Pf. \Rightarrow Suppose graph G is 3-colorable.

- Consider assignment that sets all T literals to true.
- (iv) ensures each literal is T or F .
- (ii) ensures a literal and its negation are opposites.

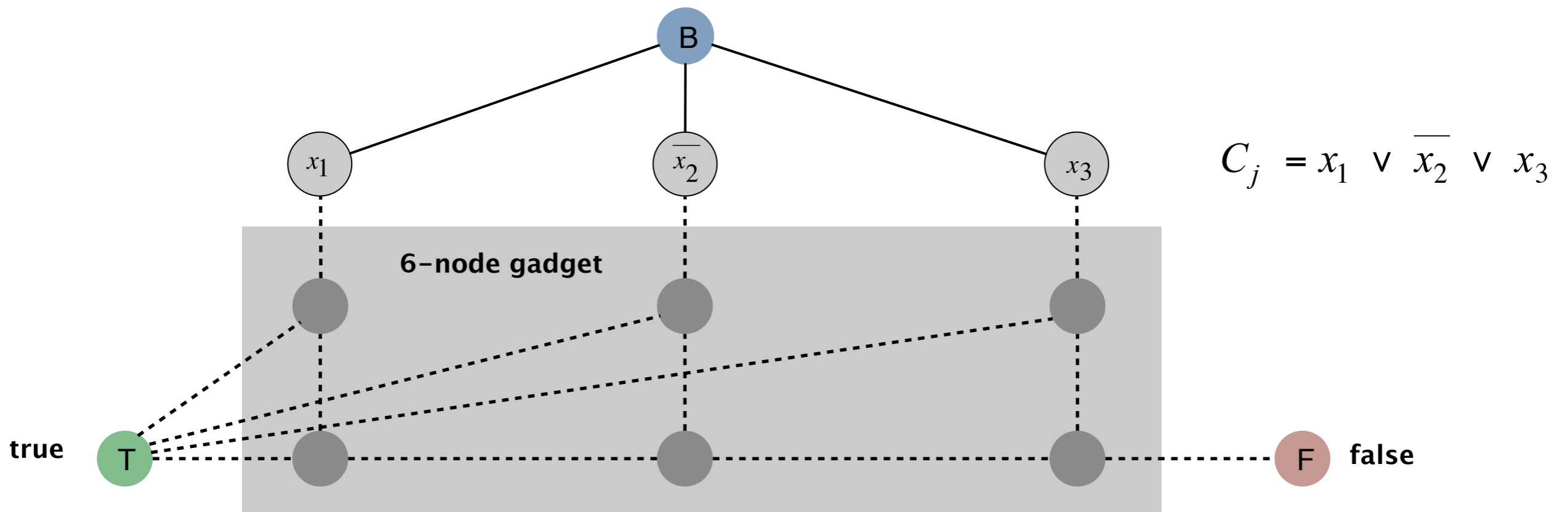


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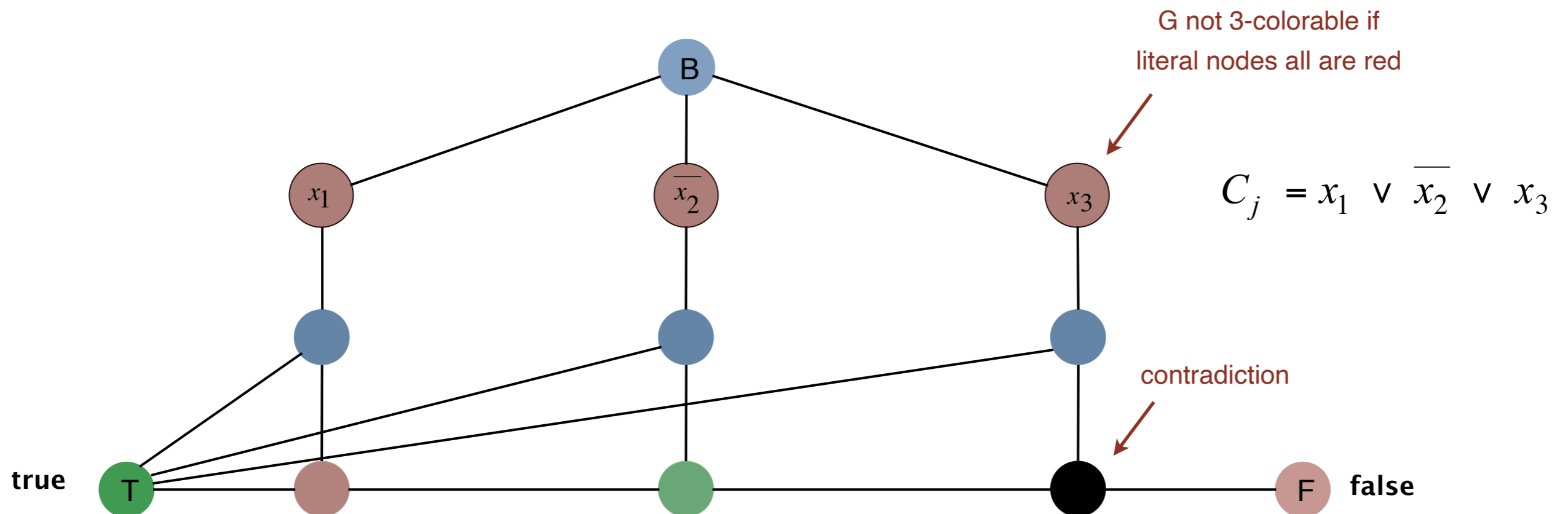


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3-satisfiability reduces to 3-colorability

Lemma. Graph G is 3-colorable iff Φ is satisfiable.

Pf. \Leftarrow Suppose 3-SAT instance Φ is satisfiable.

- Color all true literals T .
- Color node below green node F , and node below that B .
- Color remaining middle row nodes B .
- Color remaining bottom nodes T or F as forced. ■

