

Next few lectures: Circuit lowerbounds

OPEN

- Show that SAT cannot be computed by $O(n)$ size circuits.
 $O(\log n)$ depth

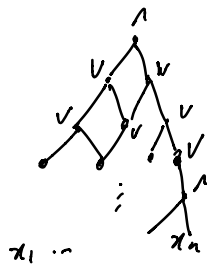
Known

Monotone

Formulas

⋮

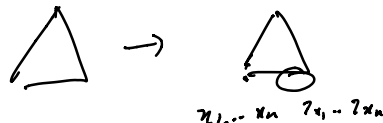
Few alternations - today



alternations
= # switches between
v and 1 on every
input-output
path.

Circuit has a alternations
if \forall path, a
alternations.

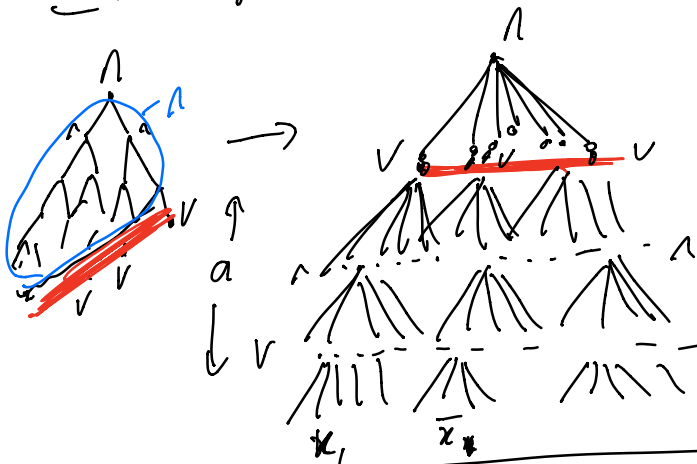
Claim:



Can make all negations at bottom

AC0: poly sized circuits with $O(1)$ alternations.

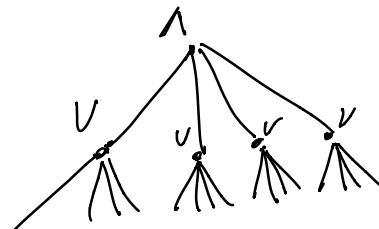
Thm [Hastad, Razborov, Smolensky] PARITY \notin AC0



FACT: Every $f: \{0,1\}^n \rightarrow \{0,1\}$
can be computed by a SAT formula

$$(x_1 \vee x_2 \vee \dots \vee x_n) \wedge (\neg x_1 \vee \dots \vee \neg x_n) \wedge \dots$$

size 2^n .



$$\text{PARITY}(x_1, \dots, x_n) = \sum_i x_i \pmod{2}.$$

High level:

- Small circuit for PARITY \rightsquigarrow low-degree poly computing parity.
- No such low degree poly can exist over \mathbb{F}_2 .

Fix \mathbb{F}_2 as the field

①



$x_1, \forall x_k, \forall x_n$

$$\text{approx} \approx 1 - \prod_{i=1}^m \left(1 - \left(\sum_{j \in S_i} x_j \right)^2 \right) \Big]_{2^m}^{\text{deg}}$$

Let $S_1, \dots, S_m \subseteq \{1, 2, \dots, n\}$
uniformly randomly

Claim: If $\forall_i x_i = 0 \Rightarrow \Pr \left[\sum_{j \in S_i} x_j = 0 \right] = 1$ ①
 If $\forall_i x_i = 1 \Rightarrow \Pr \left[\sum_{j \in S_i} x_j \neq 0 \right] \geq 1/2$ ②

Pf:

① ✓

②

Suppose $x_1 = 1$

$\neq T \subseteq \{2, \dots, n\}$

either

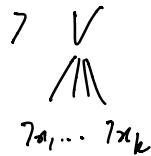
$$x_1 + \sum_{j \in T} x_j \neq 0$$

$$\text{or } \sum_{j \in T} x_j \neq 0$$

Over \mathbb{F}_3 $2^2 = 1^2 = 1 \pmod 3$.

Claim: $\Pr[\text{approx is correct}] \geq 1 - 2^{-m}$.

✓ ✓



$$\neg x_1 = 1 - x_1$$

Final degree:

$$(2^m)^a \approx O((\log n)^a)$$

Prob[Approx is correct]

$$\geq 1 - \sum_{\# \text{ gates}} 2^{-m}$$

$$\text{Set } m = 10 \log s = O(\log n) \geq 0.99.$$

By averaging

\exists a poly of deg $O(\log n)^n$ that computes PARITY on 99% of inputs ($\approx 0.99 \cdot 2^n$)

let $x_1, \dots, x_n \in \{\pm 1\}^n$

$$x_1 \dots x_n = 1 - 2 \cdot \text{PARITY}\left(\frac{1-x_1}{2}, \frac{1-x_2}{2}, \dots, \frac{1-x_n}{2}\right) \approx f$$

$$x_1 \rightarrow \frac{1-x_1}{2}$$

$$1 \rightarrow 0$$

$$-1 \rightarrow 1$$

$$\text{PARITY} \rightarrow 1 - 2 \cdot \text{PARITY}$$

$$1 \rightarrow -1$$

$$0 \rightarrow 1$$

let $T \subseteq \{\pm 1\}^n$ where

$$x \in T \Rightarrow x_1 \dots x_n = f(x_1, \dots, x_n)$$

$$|T| \geq (0.99) 2^n$$

Count # functions $g: T \rightarrow \mathbb{F}_2$

$$\geq 3^{|T|} = 3^{0.99 \cdot 2^n}$$

On the other hand

Every such function can be computed by a deg. n poly. nomial

$$I_a(x) = \begin{cases} 1 & \text{if } x=a \\ 0 & \text{o.w.} \end{cases}$$

$$I_a(x) = \frac{(x_1 - a_1 + 1)(x_1 - a_1 + 2) \dots (x_t - a_t + 1) \dots (x_n - a_n + 1) \dots}{(1)(2) \dots (1)(2) \dots}$$

$$g(x) = \sum_{a \in T} g(a) \cdot I_a(x)$$

$$\left. \begin{array}{l} x_1 \dots x_t \text{ if } t \leq n/2 \\ x_1 \dots x_t \text{ if } t \geq n/2 \end{array} \right\} \checkmark$$

$$x_1 \dots x_t = \underbrace{x_1 \dots x_n}_{\downarrow} \cdot \underbrace{x_{t+1} \dots x_n}_{\downarrow}$$

low degree $\deg \leq n/2$

\downarrow
 $\deg \leq n/2 + \text{poly} \log(n)$.

polys of such low deg $\leq 3 \left(\frac{2^n}{2} + \frac{\text{poly} \log(n)}{\sqrt{n}} \cdot 2^n \right)$
 $\rightarrow O\left(\frac{2^n}{\sqrt{n}}\right)$

