

## Lecture 9 Intersecting Families

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We started this lecture by finishing the proof of the monotone circuit lowerbound (see lecture 8).

## 1 Intersecting Families

An *intersecting* family of sets is a collection of sets such that every two sets intersect. One can obtain a large family on the universe  $[n]$  by forcing all sets to include 1. In fact, you cannot do any better:

**Fact 1.** *Every intersecting family  $\mathcal{F}$  satisfies  $|\mathcal{F}| \geq 2^{\binom{n}{2}}/2$ .*

**Proof** For every set  $A$ , the family can contain either  $A$  or its complement, but not both. ■

What if we restrict the family to have sets of size  $k$ ? If  $k \geq n/2$  then every two  $k$ -sets intersect, so the result is trivial. If  $k \leq n$ , including a fixed element gives a family of size  $\binom{n-1}{k-1}$ . Indeed, this is optimal:

**Theorem 2** (Erdős, Ko, Rado). *Every intersecting family of  $k$ -sets has at most  $\binom{n-1}{k-1}$  elements.*

**Proof** (Due to Katona)

We start by understanding the special case when all the sets are intervals. For each  $s \in [n]$ , define the cyclic interval sets  $B_s = \{s, s+1, \dots, s+k-1\}$ , where the numbers are viewed mod  $n$ .

**Claim 3.** *At most  $k$  of the sets  $B_s$  can belong to  $\mathcal{F}$ .*

Note that  $B_i$  is disjoint from  $B_{i+k}$ . Thus if  $B_0$  is included, then  $-(k-1) \leq s \leq (k-1)$  for all other sets of  $\mathcal{F}$ , but only half of these remaining ones can be included since only one of each pair  $(B_{-(k-1)}, B_1), \dots, (B_{-1}, B_{k-1})$  can be included. That proves the claim.

Now apply a random permutation to the universe. Any fixed  $k$ -set can get mapped to  $\binom{n}{k}$  positions by the permutation, of which  $n$  correspond to intervals. Thus the probability that the set becomes an interval is exactly  $n/\binom{n}{k}$ . Thus the expected number of intervals from the family is  $|\mathcal{F}| \cdot n \cdot \frac{n}{\binom{n}{k}} \leq k \Rightarrow |\mathcal{F}| \leq \binom{n-1}{k-1}$ . ■

One can also investigate the size of general families when they are forced to have larger intersections (say intersections of size  $t$ ). In this case it turns out that the optimum is achieved when you take all sets of size larger than  $n/2 + t/2$ .

**Theorem 4.** *If  $n+t$  is even, the size of the largest  $t$ -intersecting family is at most  $\sum_{i=(n+t)/2}^n \binom{n}{i}$ .*

**Proof** We shall massage the family into structure.

Given a  $t$ -intersecting family  $\mathcal{F}$ , and  $A \in \mathcal{F}$  let  $C_{ij}(A)$  be obtained as follows. For each set  $A \in \mathcal{F}$ , if replacing  $j$  with  $i$  gives a set  $A'$  that is not in  $\mathcal{F}$ , do so and replace  $A$  with the new version. Let  $C_{ij}(\mathcal{F})$  denote the family obtained by applying this transformation to all sets in the family.

**Claim 5.**  $C_{ij}(\mathcal{F})$  is still  $t$ -intersecting.

Given any two sets  $A, B$ , if the operation changes both sets, or neither of the sets, then the size of  $A \cap B$  remains the same. If one of  $A, B$  contain both  $i, j$ , then also the intersection size remains as large as it was before. Otherwise, it must be that before the transformation  $A$  contains  $j$  and not  $i$  and  $B$  contains  $j$  and not  $i$ , but  $A$  is changed and  $B$  is not. In this case, there must be a set  $B'$  that is the same as  $B$  except that it contains  $i$  and not  $j$ . Then  $|A \cap B'| \geq t$  before the transformation, so  $|A \cap B| \geq t$  after the transformation.

We repeatedly apply  $C_{ij}$  for all  $i < j$ , until the family is no longer affected. The family is now *left compressed*. We continue the proof by induction on  $n, t$ . When  $t = 1$ , the claim is easily seen to be true.

Otherwise, we have families  $\mathcal{F}_1 = \{A - \{1\} : 1 \in A \in \mathcal{F}\}$ . By induction we get a  $t-1$  intersecting family on  $n-1$  elements so

$$|\mathcal{F}| \leq \sum_{i=(n+t)/2-1} \binom{n-1}{i}.$$

Next, let  $\mathcal{F}_{1^c} = \{B : 1 \notin B \in \mathcal{F}\}$ . If  $|B_1 \cap B_2| = t$ ,  $x \in B_1 \cap B_2$ ,  $|C_{1x}(B_1) \cap B_2| < t$ . So again by induction, we have  $t+1$  intersecting family on a universe  $|\mathcal{F}_{1^c}| \leq \sum_{i=(n+t)/2} \binom{n-1}{i}$ . Together  $|\mathcal{F}| \leq \binom{n-1}{n-1} + \sum_{i=(n+t)/2}^{n-1} \binom{n-1}{i} + \binom{n-1}{i-1}$  which is what we want. ■