Lecture 14: Randomized Complexity Classes Anup Rao May 9, 2024

## Max Cut

Given a graph G = (V, E), a subset  $S \subset V$  is called a cut of the graph. The size of the cut is the number of edges that cross from S to V - S. It is known to be NP-hard to compute the MAX-cut of a graph. Here we give a simple randomized algorithm that will compute a cut that is half as big as the biggest cut in expectation.

The algorithm is just to pick the subset *S* at random, by including every vertex in *S* with probability half. For each edge *e*, let  $X_e$  be the random variable that is 1 if *e* goes from *S* to V - S, and 0 otherwise. Then we see that the size of the cut is exactly  $\sum_{e \in E} X_e$ . We can compute  $\mathbb{E}[X_e] = 1/2$ , and so by linearity of expectation,

$$\mathbb{E}\left[\sum_{e\in E} X_e\right] = \sum_{e\in E} \mathbb{E}\left[X_e\right] = |E|/2.$$

#### Fingerprinting

Suppose Alice has an n-bit string x and Bob has an n-bit string y, and they want to check that they are equal. Naively this takes n bits of communication between them. We can do much better using randomization.

Alice samples a random prime number p from the set of primes that are less than  $cn \ln n$ , for some constant c that we shall pick later. She then sends p and  $x \mod p$  to Bob. Bob checks that  $x \mod p$  is equal to  $y \mod p$ . Thus they only need to communicate  $O(\log n)$  bits in this process.

If x = y, this will always produce the right outcome. We shall argue that if  $x \neq y$ , the probability that they make a mistake is going to be very small. To do this, we need a theorem:

**Theorem 1** (Prime number theorem). Let  $\pi(a)$  denote the number of primes that are at most *a*. Then  $\lim_{a\to\infty} \frac{\pi(a)}{a/\ln a} = 1$ .

When  $x \neq y$ , the above process fails only when p divides x - y. Since  $|x - y| \leq 2^n$ , x - y can have at most n prime factors. On the other hand, by the prime number theorem, the number of primes of size up to  $cn \ln n$  is at least  $cn \ln n / (\ln(cn \ln n)) = \Omega(cn)$ . Thus the probability that the prime Alice picks divides x - y is at most O(1/c).

# Randomized Classes

There are several different ways to define complexity classes involving randomness. A turing machine with access to randomness is just like a normal turing machine, except it is allowed to toss a random coin in each step, and read the value of the coin that was tossed.

# BPP

We say that the randomized machine computes the function f if for every input x,  $\Pr_r[M(x,r) = f(x)] \ge 2/3$ , where the probability is taken over the random coin tosses of the machine M. **BPP** is the set of functions that are computable by polynomial time randomized turing machines in the above sense.

### RP

We shall say that  $f \in \mathbf{RP}$  if there is a randomized machine that always compute the correct value when f(x) = 0, and computes the correct value with probability at least 2/3 when f(x) = 1.

#### ZPP

Finally, we define the class **ZPP** to be the set of boolean functions that have an algorithm that *never* makes an error, but whose *expected* running time is polynomial in *n*.

## The true identity of **ZPP**

#### Theorem 2. $ZPP = RP \cap coRP$ .

**Proof** Suppose  $f \in \mathbb{ZPP}$ , via a randomized algorithm *M* whose expected running time is t(n). Consider the algorithm that simulates *M* for 10t(n) steps, and outputs 0 if the simulation halts. Then clearly, the algorithm only makes an error if the correct answer is 1. On the other hand, the probability that running time of *M* exceeds 10t(n) is at most 1/10 (or else the expected running time would exceed t(n). Thus we obtain an **RP** algorithm. The same idea (reversing the roles of 0 and 1) gives a *co***RP** algorithm.

For the other direction, suppose f has an **RP** algorithm  $M_1$  and a co**RP** algorithm  $M_0$ . Then on input x consider the algorithm that alternatively runs  $M_0(x), M_1(x), M_0(x), \ldots$  until either  $M_1(x)$  outputs 1, or  $M_0(x)$  outputs 0. If  $M_1(x) = 1$ , then it must be that f(x) = 1. Similarly if  $M_0(x) = 0$ , it must be that f(x) = 0. In any case, one of these two algorithms will verify the value of x in an expected constant number of runs.

# Error reduction

It turns out the constant 2/3 is not very important in the above definitions. That is because every probabilistic polynomial time algorithm with error 1/3 yields a probailistic polynomial time algorithm with exponentially small error.

For example, if we have an algorithm *M* for *f* proving that  $f \in \mathbf{RP}$ , we can obtain a new algorithm by running M(x) *t* times. If any of the runs outputs 1 we output 1. If all runs give an output of 0, we output 0.

We see that if f(x) = 0, then all runs will output 0 and our new algorithm will output 0 with probability 1. On the other hand, if f(x) = 1, the probability that all runs output 0 is  $1/3^t$ , which is exponentially small in *t*.