

# CS-XXX: Graduate Programming Languages

## Lecture 27 — Higher-Order Polymorphism

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## Looking back, looking forward

Have defined System F.

- ▶ Metatheory (what properties does it have)
- ▶ What (else) is it good for
- ▶ How/why ML is more restrictive and implicit
- ▶ Recursive types (also use type variables, but differently)
- ▶ Existential types (dual to universal types)

Next:

- ▶ Type operators and type-level “computations”

# System F with Recursive and Existential Types

$$\begin{aligned}
 e & ::= c \mid x \mid \lambda x:\tau. e \mid e e \mid \\
 & \quad \Lambda \alpha. e \mid e [\tau] \mid \\
 & \quad \text{pack}_{\exists \alpha}. \tau(\tau, e) \mid \text{unpack } e \text{ as } (\alpha, x) \text{ in } e \mid \\
 & \quad \text{roll}_{\mu \alpha}. \tau(e) \mid \text{unroll}(e) \\
 v & ::= c \mid \lambda x:\tau. e \mid \Lambda \alpha. e \mid \text{pack}_{\exists \alpha}. \tau(\tau, v) \mid \text{roll}_{\mu \alpha}. \tau(v)
 \end{aligned}$$

$e \rightarrow_{\text{cbv}} e'$

$$\begin{array}{c}
 \frac{}{(\lambda x:\tau. e_b) v_a \rightarrow_{\text{cbv}} e_b[v_a/x]} \qquad \frac{e_f \rightarrow_{\text{cbv}} e'_f}{e_f e_a \rightarrow_{\text{cbv}} e'_f e_a} \qquad \frac{e_a \rightarrow_{\text{cbv}} e'_a}{v_f e_a \rightarrow_{\text{cbv}} v_f e'_a} \\
 \\
 \frac{}{(\Lambda \alpha. e_b) [\tau_a] \rightarrow_{\text{cbv}} e_b[\tau_a/\alpha]} \qquad \frac{e_f \rightarrow_{\text{cbv}} e'_f}{e_f [\tau_a] \rightarrow_{\text{cbv}} e'_f [\tau_a]} \\
 \\
 \frac{e_a \rightarrow_{\text{cbv}} e'_a}{\text{pack}_{\exists \alpha}. \tau(\tau_w, e_a) \rightarrow_{\text{cbv}} \text{pack}_{\exists \alpha}. \tau(\tau_w, e'_a)} \\
 \\
 \frac{e_a \rightarrow_{\text{cbv}} e'_a}{\text{unpack } e_a \text{ as } (\alpha, x) \text{ in } e_b \rightarrow_{\text{cbv}} \text{unpack } e'_a \text{ as } (\alpha, x) \text{ in } e_b} \\
 \\
 \frac{}{\text{unpack } \text{pack}_{\exists \alpha}. \tau(\tau_w, v_a) \text{ as } (\alpha, x) \text{ in } e_b \rightarrow_{\text{cbv}} e_b[\tau_w/\alpha][v_a/x]} \\
 \\
 \frac{e_a \rightarrow_{\text{cbv}} e'_a}{\text{unroll}(e_a) \rightarrow_{\text{cbv}} \text{unroll}(e'_a)} \qquad \frac{}{\text{unroll}(\text{roll}_{\mu \alpha}. \tau(v_a)) \rightarrow_{\text{cbv}} v_a}
 \end{array}$$

# System F with Recursive and Existential Types

$$\begin{array}{l} \tau ::= \text{int} \mid \tau \rightarrow \tau \mid \alpha \mid \forall \alpha. \tau \mid \exists \alpha. \tau \mid \mu \alpha. \tau \\ \Delta ::= \cdot \mid \Delta, \alpha \\ \Gamma ::= \cdot \mid \Gamma, x : \tau \end{array}$$

$\Delta; \Gamma \vdash e : \tau$

$$\frac{}{\Delta; \Gamma \vdash c : \text{int}}$$

$$\frac{\Gamma(x) = \tau}{\Delta; \Gamma \vdash x : \tau}$$

$$\frac{\Delta \vdash \tau_a \quad \Delta; \Gamma, x : \tau_a \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \lambda x : \tau_a. e_b : \tau_a \rightarrow \tau_r}$$

$$\frac{\Delta; \Gamma \vdash e_f : \tau_a \rightarrow \tau_r \quad \Delta; \Gamma \vdash e_a : \tau_a}{\Delta; \Gamma \vdash e_f e_a : \tau_r}$$

$$\frac{\Delta, \alpha; \Gamma \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \Lambda \alpha. e_b : \forall \alpha. \tau_r}$$

$$\frac{\Delta; \Gamma \vdash e_f : \forall \alpha. \tau_r \quad \Delta \vdash \tau_a}{\Delta; \Gamma \vdash e_f [\tau_a] : \tau_r[\tau_a/\alpha]}$$

$$\frac{\Delta; \Gamma \vdash e_a : \tau[\tau_w/\alpha]}{\Delta; \Gamma \vdash \text{pack}_{\exists \alpha. \tau}(\tau_w, e_a) : \exists \alpha. \tau}$$

$$\frac{\Delta; \Gamma \vdash e_a : \exists \alpha. \tau \quad \Delta, \alpha; \Gamma, x : \tau \vdash e_b : \tau_r \quad \Delta \vdash \tau_r}{\Delta; \Gamma \vdash \text{unpack } e_a \text{ as } (\alpha, x) \text{ in } e_b : \tau_r}$$

$$\frac{\Delta; \Gamma \vdash e_a : \tau[(\mu \alpha. \tau)/\alpha]}{\Delta; \Gamma \vdash \text{roll}_{\mu \alpha. \tau}(e_a) : \mu \alpha. \tau}$$

$$\frac{\Delta; \Gamma \vdash e_a : \mu \alpha. \tau}{\Delta; \Gamma \vdash \text{unroll}(e_a) : \tau[(\mu \alpha. \tau)/\alpha]}$$

## Goal

Understand what this interface means and why it matters:

```
type 'a list
val empty   : 'a list
val cons    : 'a -> 'a list -> 'a list
val unlist  : 'a list -> ('a * 'a list) option
val size    : 'a list -> int
val map     : ('a -> 'b) -> 'a list -> 'b list
```

Story so far:

- ▶ Recursive types to define list data structure
- ▶ Universal types to keep element type abstract in library
- ▶ Existential types to keep list type abstract in client

But, “cheated” when abstracting the list type in client:  
considered just `intlist`.

## (Integer) List Library with $\exists$

List library is an existential package:

```
pack( $\mu\xi$ . unit + (int *  $\xi$ ), list_library)  
as  $\exists L$ . {empty :  $L$ ;  
      cons : int  $\rightarrow L \rightarrow L$ ;  
      unlist :  $L \rightarrow$  unit + (int *  $L$ );  
      map : (int  $\rightarrow$  int)  $\rightarrow L \rightarrow L$ ;  
      ...}
```

The witness type is integer lists:  $\mu\xi$ . **unit** + (**int** \*  $\xi$ ).

The existential type variable  $L$  represents integer lists.

List operations are monomorphic in element type (**int**).

The **map** function only allows mapping integer lists to integer lists.

## (Polymorphic?) List Library with $\forall/\exists$

List library is a type abstraction that yields an existential package:

$$\Lambda\alpha. \text{pack}(\mu\xi. \mathbf{unit} + (\alpha * \xi), \text{list\_library})$$

as  $\exists L. \{$

- $\mathbf{empty} : L;$
- $\mathbf{cons} : \alpha \rightarrow L \rightarrow L;$
- $\mathbf{unlist} : L \rightarrow \mathbf{unit} + (\alpha * L);$
- $\mathbf{map} : (\alpha \rightarrow \alpha) \rightarrow L \rightarrow L;$
- $\dots\}$

The witness type is  $\alpha$  lists:  $\mu\xi. \mathbf{unit} + (\alpha * \xi)$ .

The existential type variable  $L$  represents  $\alpha$  lists.

List operations are monomorphic in element type ( $\alpha$ ).

The **map** function only allows mapping  $\alpha$  lists to  $\alpha$  lists.

## Type Abbreviations and Type Operators

Reasonable enough to provide list type as a (*parametric*) *type abbreviation*:

$$\mathbf{L} \alpha = \mu\xi. \mathbf{unit} + (\alpha * \xi)$$

- ▶ replace occurrences of  $\mathbf{L} \tau$  in programs with  $(\mu\xi. \mathbf{unit} + (\alpha * \xi))[\tau/\alpha]$

Gives an *informal* notion of functions at the type-level.

But, doesn't help with with list library, because this exposes the definition of list type.

- ▶ How “modular” and “safe” are libraries built from `cpp` macros?

## Type Abbreviations and Type Operators

Instead, provide list type as a *type operator*:

- ▶ a function from types to types

$$\mathbf{L} = \lambda\alpha. \mu\xi. \mathbf{unit} + (\alpha * \xi)$$

Gives a *formal* notion of functions at the type-level.

- ▶ abstraction and application at the type-level
- ▶ equivalence of type-level expressions
- ▶ well-formedness of type-level expressions

List library will be an existential package that hides a *type operator*, (rather than a *type*).

## Type-level Expressions

Abstraction and application at the type level makes it possible to write the *same* type with *different* syntax.

$$\mathbf{Id} = \lambda\alpha. \alpha$$

**int**  $\rightarrow$  **bool**      **int**  $\rightarrow$  **Id** **bool**      **Id** **int**  $\rightarrow$  **bool**      **Id** **int**  $\rightarrow$  **Id** **bool**  
**Id** (**int**  $\rightarrow$  **bool**)      **Id** (**Id** (**int**  $\rightarrow$  **bool**))      ...

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$$\begin{array}{cccc} \mathbf{int} \rightarrow \mathbf{bool} & \mathbf{int} \rightarrow \mathbf{Id\ bool} & \mathbf{Id\ int} \rightarrow \mathbf{bool} & \mathbf{Id\ int} \rightarrow \mathbf{Id\ bool} \\ \mathbf{Id\ (int} \rightarrow \mathbf{bool)} & \mathbf{Id\ (Id\ (int} \rightarrow \mathbf{bool))} & & \dots \end{array}$$

Require a precise definition of when two types are the same:

$$\tau \equiv \tau'$$

...

$$\frac{}{(\lambda\alpha. \tau_b) \tau_a \equiv \tau_b[\alpha/\tau_a]}$$

...

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Require a typing rule to exploit types that are the same:

$$\boxed{\Delta; \Gamma \vdash e : \tau}$$

$$\dots \quad \frac{\Delta; \Gamma \vdash e : \tau \quad \tau \equiv \tau'}{\Delta; \Gamma \vdash e : \tau'} \quad \dots$$

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**Id** (**int**  $\rightarrow$  **bool**)    **Id** (**Id** (**int**  $\rightarrow$  **bool**))    ...

Admits “wrong/bad/meaningless” types:

...    **bool int**    **(Id bool) int**    **bool (Id int)**    ...

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Require a “type system” for types:

$$\boxed{\Delta \vdash \tau :: \kappa}$$

$$\dots \frac{\Delta \vdash \tau_f :: \kappa_a \Rightarrow \kappa_r \quad \Delta \vdash \tau_a :: \kappa_a}{\Delta \vdash \tau_f \tau_a :: \kappa_r} \dots$$

# Terms, Types, and Kinds, Oh My

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Terms:  $e ::= c \mid x \mid \lambda x:\tau. e \mid e e \mid \Lambda \alpha::\kappa. e \mid e [\tau]$   
 $v ::= c \mid \lambda x:\tau. e \mid \Lambda \alpha::\kappa. e$

- ▶ atomic values (e.g.,  $c$ ) and operations (e.g.,  $e + e$ )
- ▶ compound values (e.g.,  $(v, v)$ ) and operations (e.g.,  $e.1$ )
- ▶ value abstraction and application
- ▶ type abstraction and application
- ▶ classified by types (but not all terms have a type)

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Types:  $\tau ::= \text{int} \mid \tau \rightarrow \tau \mid \alpha \mid \forall\alpha::\kappa. \tau \mid \lambda\alpha::\kappa. \tau \mid \tau \tau$

- ▶ atomic types (e.g.,  $\text{int}$ ) classify the terms that evaluate to atomic values
- ▶ compound types (e.g.,  $\tau * \tau$ ) classify the terms that evaluate to compound values
- ▶ function types  $\tau \rightarrow \tau$  classify the terms that evaluate to value abstractions
- ▶ universal types  $\forall\alpha. \tau$  classify the terms that evaluate to type abstractions
- ▶ type abstraction and application
  - ▶ type abstractions do not classify terms, but can be applied to type arguments to form types that do classify terms
- ▶ classified by kinds (but not all types have a kind)

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Kinds  $\kappa ::= \star \mid \kappa \Rightarrow \kappa$

- ▶ kind of proper types  $\star$  classify the types (that are the same as the types) that classify terms
- ▶ arrow kinds  $\kappa \Rightarrow \kappa$  classify the types (that are the same as the types) that are type abstractions

# Kind Examples

## Kind Examples

- ▶ ★
  - ▶ the kind of proper types
  - ▶ **Bool**, **Bool** → **Bool**, ...

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  - ▶ **Bool**, **Bool**  $\rightarrow$  **Bool**, ...
- ▶  $\star \Rightarrow \star$ 
  - ▶ the kind of (unary) type operators
  - ▶ **List**, **Maybe**, ...

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  - ▶ **List**, **Maybe**, ...
- ▶  $\star \Rightarrow \star \Rightarrow \star$ 
  - ▶ the kind of (binary) type operators
  - ▶ **Either**, **Map**, ...

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- ▶  $\star \Rightarrow \star$ 
  - ▶ the kind of (unary) type operators
  - ▶ **List**, **Maybe**, **Map Int**, **Either (List Bool)**, ...
- ▶  $\star \Rightarrow \star \Rightarrow \star$ 
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  - ▶ **Either**, **Map**, ...

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  - ▶ the kind of (binary) type operators
  - ▶ **Either**, **Map**, ...
- ▶  $(\star \Rightarrow \star) \Rightarrow \star$ 
  - ▶ the kind of higher-order type operators taking unary type operators to proper types
  - ▶ ???, ...

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  - ▶ the kind of higher-order type operators taking unary type operators to unary type operators
  - ▶ **MaybeT**, **ListT**, ...

# Kind Examples

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  - ▶ the kind of proper types
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- ▶  $\star \Rightarrow \star$ 
  - ▶ the kind of (unary) type operators
  - ▶ **List**, **Maybe**, **Map Int**, **Either (List Bool)**, **ListT Maybe**, ...
- ▶  $\star \Rightarrow \star \Rightarrow \star$ 
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  - ▶ **Either**, **Map**, ...
- ▶  $(\star \Rightarrow \star) \Rightarrow \star$ 
  - ▶ the kind of higher-order type operators taking unary type operators to proper types
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  - ▶ the kind of higher-order type operators taking unary type operators to unary type operators
  - ▶ **MaybeT**, **ListT**, ...

# System $F_\omega$ : Syntax

$$\begin{aligned} e &::= c \mid x \mid \lambda x:\tau. e \mid e e \mid \Lambda \alpha::\kappa. e \mid e [\tau] \\ v &::= c \mid \lambda x:\tau. e \mid \Lambda \alpha::\kappa. e \\ \Gamma &::= \cdot \mid \Gamma, x:\tau \\ \tau &::= \mathbf{int} \mid \tau \rightarrow \tau \mid \alpha \mid \forall \alpha::\kappa. \tau \mid \lambda \alpha::\kappa. \tau \mid \tau \tau \\ \Delta &::= \cdot \mid \Delta, \alpha::\kappa \\ \kappa &::= \star \mid \kappa \Rightarrow \kappa \end{aligned}$$

New things:

- ▶ Types: type abstraction and type application
- ▶ Kinds: the “types” of types
  - ▶  $\star$ : kind of proper types
  - ▶  $\kappa_\alpha \Rightarrow \kappa_\tau$ : kind of type operators

## System $F_\omega$ : Operational Semantics

Small-step, *call-by-value (CBV)*, left-to-right operational semantics:

$$e \rightarrow_{\text{cbv}} e'$$

$$\frac{}{(\lambda x: \tau. e_b) v_a \rightarrow_{\text{cbv}} e_b[v_a/x]}$$

$$\frac{e_f \rightarrow_{\text{cbv}} e'_f}{e_f e_a \rightarrow_{\text{cbv}} e'_f e_a}$$

$$\frac{e_a \rightarrow_{\text{cbv}} e'_a}{v_f e_a \rightarrow_{\text{cbv}} v_f e'_a}$$

$$\frac{}{(\Lambda \alpha :: \kappa_a. e_b) [\tau_a] \rightarrow_{\text{cbv}} e_b[\tau_a/\alpha]}$$

$$\frac{e_f \rightarrow_{\text{cbv}} e'_f}{e_f [\tau_a] \rightarrow_{\text{cbv}} e'_f [\tau_a]}$$

- ▶ *Unchanged!* All of the new action is at the type-level.

# System $F_\omega$ : Type System, part 1

In the context  $\Delta$  the type  $\tau$  has kind  $\kappa$ :

$$\Delta \vdash \tau :: \kappa$$

$$\frac{}{\Delta \vdash \text{int} :: \star}$$

$$\frac{\Delta(\alpha) = \kappa}{\Delta \vdash \alpha :: \kappa}$$

$$\frac{\Delta, \alpha :: \kappa_a \vdash \tau_b :: \kappa_r}{\Delta \vdash \lambda\alpha :: \kappa_a. \tau_b :: \kappa_a \Rightarrow \kappa_r}$$

$$\frac{\Delta \vdash \tau_a :: \star \quad \Delta \vdash \tau_r :: \star}{\Delta \vdash \tau_a \rightarrow \tau_r :: \star}$$

$$\frac{\Delta, \alpha :: \kappa_a \vdash \tau_r :: \star}{\Delta \vdash \forall\alpha :: \kappa_a. \tau_r :: \star}$$

$$\frac{\Delta \vdash \tau_f :: \kappa_a \Rightarrow \kappa_r \quad \Delta \vdash \tau_a :: \kappa_a}{\Delta \vdash \tau_f \tau_a :: \kappa_r}$$

Should look familiar:

# System $F_\omega$ : Type System, part 1

In the context  $\Delta$  the type  $\tau$  has kind  $\kappa$ :

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$$\frac{\Delta \vdash \tau_a :: \star \quad \Delta \vdash \tau_r :: \star}{\Delta \vdash \tau_a \rightarrow \tau_r :: \star}$$

$$\frac{\Delta, \alpha :: \kappa_a \vdash \tau_r :: \star}{\Delta \vdash \forall\alpha :: \kappa_a. \tau_r :: \star}$$

$$\frac{\Delta \vdash \tau_f :: \kappa_a \Rightarrow \kappa_r \quad \Delta \vdash \tau_a :: \kappa_a}{\Delta \vdash \tau_f \tau_a :: \kappa_r}$$

Should look familiar:

the typing rules of the Simply-Typed Lambda Calculus “one level up”

## System $F_\omega$ : Type System, part 2

Definitional Equivalence of  $\tau$  and  $\tau'$ :

$$\tau \equiv \tau'$$

$$\frac{}{\tau \equiv \tau}$$

$$\frac{\tau_2 \equiv \tau_1}{\tau_1 \equiv \tau_2}$$

$$\frac{\tau_1 \equiv \tau_2 \quad \tau_2 \equiv \tau_3}{\tau_1 \equiv \tau_3}$$

$$\frac{\tau_{a1} \equiv \tau_{a2} \quad \tau_{r1} \equiv \tau_{r2}}{\tau_{a1} \rightarrow \tau_{r1} \equiv \tau_{a2} \rightarrow \tau_{r2}}$$

$$\frac{\tau_{r1} \equiv \tau_{r2}}{\forall \alpha :: \kappa_\alpha. \tau_{r1} \equiv \forall \alpha :: \kappa_\alpha. \tau_{r2}}$$

$$\frac{\tau_{b1} \equiv \tau_{b2}}{\lambda \alpha :: \kappa_\alpha. \tau_{b1} \equiv \lambda \alpha :: \kappa_\alpha. \tau_{b2}}$$

$$\frac{\tau_{f1} \equiv \tau_{f2} \quad \tau_{a1} \equiv \tau_{a2}}{\tau_{f1} \tau_{a1} \equiv \tau_{f2} \tau_{a2}}$$

$$\frac{}{(\lambda \alpha :: \kappa_\alpha. \tau_b) \tau_a \equiv \tau_b[\alpha/\tau_a]}$$

Should look familiar:

## System $F_\omega$ : Type System, part 2

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$$\frac{\tau_1 \equiv \tau_2 \quad \tau_2 \equiv \tau_3}{\tau_1 \equiv \tau_3}$$

$$\frac{\tau_{a1} \equiv \tau_{a2} \quad \tau_{r1} \equiv \tau_{r2}}{\tau_{a1} \rightarrow \tau_{r1} \equiv \tau_{a2} \rightarrow \tau_{r2}}$$

$$\frac{\tau_{r1} \equiv \tau_{r2}}{\forall \alpha :: \kappa_a. \tau_{r1} \equiv \forall \alpha :: \kappa_a. \tau_{r2}}$$

$$\frac{\tau_{b1} \equiv \tau_{b2}}{\lambda \alpha :: \kappa_a. \tau_{b1} \equiv \lambda \alpha :: \kappa_a. \tau_{b2}}$$

$$\frac{\tau_{f1} \equiv \tau_{f2} \quad \tau_{a1} \equiv \tau_{a2}}{\tau_{f1} \tau_{a1} \equiv \tau_{f2} \tau_{a2}}$$

$$\frac{}{(\lambda \alpha :: \kappa_a. \tau_b) \tau_a \equiv \tau_b[\alpha/\tau_a]}$$

Should look familiar:

the full reduction rules of the Lambda Calculus “one level up”

## System $F_\omega$ : Type System, part 3

In the contexts  $\Delta$  and  $\Gamma$  the expression  $e$  has type  $\tau$ :

$$\boxed{\Delta; \Gamma \vdash e : \tau}$$

$$\frac{}{\Delta; \Gamma \vdash c : \text{int}}$$

$$\frac{\Gamma(x) = \tau}{\Delta; \Gamma \vdash x : \tau}$$

$$\frac{\Delta \vdash \tau_a :: \star \quad \Delta; \Gamma, x : \tau_a \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \lambda x : \tau_a. e_b : \tau_a \rightarrow \tau_r}$$

$$\frac{\Delta; \Gamma \vdash e_f : \tau_a \rightarrow \tau_r \quad \Delta; \Gamma \vdash e_a : \tau_a}{\Delta; \Gamma \vdash e_f e_a : \tau_r}$$

$$\frac{\Delta, \alpha :: \kappa_a; \Gamma \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \Lambda \alpha. e_b : \forall \alpha :: \kappa_a. \tau_r}$$

$$\frac{\Delta; \Gamma \vdash e_f : \forall \alpha :: \kappa_a. \tau_r \quad \Delta \vdash \tau_a :: \kappa_a}{\Delta; \Gamma \vdash e_f [\tau_a] : \tau_r [\tau_a / \alpha]}$$

$$\frac{\Delta; \Gamma \vdash e : \tau \quad \tau \equiv \tau' \quad \Delta \vdash \tau' :: \star}{\Delta; \Gamma \vdash e : \tau'}$$

## System $F_\omega$ : Type System, part 3

In the contexts  $\Delta$  and  $\Gamma$  the expression  $e$  has type  $\tau$ :

$$\boxed{\Delta; \Gamma \vdash e : \tau}$$

$$\frac{}{\Delta; \Gamma \vdash c : \text{int}}$$

$$\frac{\Gamma(x) = \tau}{\Delta; \Gamma \vdash x : \tau}$$

$$\frac{\Delta \vdash \tau_a :: \star \quad \Delta; \Gamma, x : \tau_a \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \lambda x : \tau_a. e_b : \tau_a \rightarrow \tau_r}$$

$$\frac{\Delta; \Gamma \vdash e_f : \tau_a \rightarrow \tau_r \quad \Delta; \Gamma \vdash e_a : \tau_a}{\Delta; \Gamma \vdash e_f e_a : \tau_r}$$

$$\frac{\Delta, \alpha :: \kappa_a; \Gamma \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \Lambda \alpha. e_b : \forall \alpha :: \kappa_a. \tau_r}$$

$$\frac{\Delta; \Gamma \vdash e_f : \forall \alpha :: \kappa_a. \tau_r \quad \Delta \vdash \tau_a :: \kappa_a}{\Delta; \Gamma \vdash e_f [\tau_a] : \tau_r [\tau_a / \alpha]}$$

$$\frac{\Delta; \Gamma \vdash e : \tau \quad \tau \equiv \tau' \quad \Delta \vdash \tau' :: \star}{\Delta; \Gamma \vdash e : \tau'}$$

Syntax and type system easily extended with recursive and existential types.

## Polymorphic List Library with higher-order $\exists$

List library is an existential package:

```
pack( $\lambda\alpha::\star. \mu\xi::\star. \mathbf{unit} + (\alpha * \xi)$ , list_library)
as  $\exists L::\star \Rightarrow \star. \{$   

  empty :  $\forall\alpha::\star. L \alpha$ ;  

  cons :  $\forall\alpha::\star. \alpha \rightarrow L \alpha \rightarrow L \alpha$ ;  

  unlist :  $\forall\alpha::\star. L \alpha \rightarrow \mathbf{unit} + (\alpha * L \alpha)$ ;  

  map :  $\forall\alpha::\star. \forall\beta::\star. (\alpha \rightarrow \beta) \rightarrow L \alpha \rightarrow L \beta$ ;  

  ... $\}$ 
```

The witness *type operator* is `poly.lists`:  $\lambda\alpha::\star. \mu\xi::\star. \mathbf{unit} + (\alpha * \xi)$ .

The existential *type operator* variable  $L$  represents `poly.lists`.

List operations are polymorphic in element type.

The **map** function only allows mapping  $\alpha$  lists to  $\beta$  lists.

## Other Kinds of Kinds

Kinding systems for checking and tracking properties of type expressions:

- ▶ Record kinds
  - ▶ records at the type-level; define systems of mutually recursive types
- ▶ Polymorphic kinds
  - ▶ kind abstraction and application in types; System F “one level up”
- ▶ Dependent kinds
  - ▶ dependent types “one level up”
- ▶ Row kinds
  - ▶ describe “pieces” of record types for record polymorphism
- ▶ Power kinds
  - ▶ alternative presentation of subtyping
- ▶ Singleton kinds
  - ▶ formalize module systems with type sharing

# Metatheory

System  $F_\omega$  is type safe.

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System  $F_\omega$  is type safe.

► Preservation:

Induction on typing derivation, using substitution lemmas:

► Term Substitution:

if  $\Delta_1, \Delta_2; \Gamma_1, x : \tau_x, \Gamma_2 \vdash e_1 : \tau$  and  $\Delta_1; \Gamma_1 \vdash e_2 : \tau_x$ ,  
then  $\Delta_1, \Delta_2; \Gamma_1, \Gamma_2 \vdash e_1[e_2/x] : \tau$ .

► Type Substitution:

if  $\Delta_1, \alpha :: \kappa_\alpha, \Delta_2 \vdash \tau_1 :: \kappa$  and  $\Delta_1 \vdash \tau_2 :: \kappa_\alpha$ ,  
then  $\Delta_1, \Delta_2 \vdash \tau_1[\tau_2/\alpha] :: \kappa$ .

► Type Substitution:

if  $\tau_1 \equiv \tau_2$ , then  $\tau_1[\tau/\alpha] \equiv \tau_2[\tau/\alpha]$ .

► Type Substitution:

if  $\Delta_1, \alpha :: \kappa_\alpha, \Delta_2; \Gamma_1, \Gamma_2 \vdash e_1 : \tau$  and  $\Delta_1 \vdash \tau_2 :: \kappa_\alpha$ ,  
then  $\Delta_1, \Delta_2; \Gamma_1, \Gamma_2 \vdash e_1[\tau_2/\alpha] : \tau$ .

► All straightforward inductions, using various weakening and exchange lemmas.

# Metatheory

System  $F_\omega$  is type safe.

► Progress:

Induction on typing derivation, using canonical form lemmas:

- If  $\cdot; \cdot \vdash v : \mathbf{int}$ , then  $v = c$ .
- If  $\cdot; \cdot \vdash v : \tau_a \rightarrow \tau_r$ , then  $v = \lambda x:\tau_a. e_b$ .
- If  $\cdot; \cdot \vdash v : \forall \alpha::\kappa_a. \tau_r$ , then  $v = \Lambda \alpha::\kappa_a. e_b$ .
- Complicated by typing derivations that end with:

$$\frac{\Delta; \Gamma \vdash e : \tau \quad \tau \equiv \tau' \quad \Delta \vdash \tau' :: \star}{\Delta; \Gamma \vdash e : \tau'}$$

(just like with subtyping and subsumption).

# Definitional Equivalence and Parallel Reduction

Parallel Reduction of  $\tau$  to  $\tau'$ :

$$\tau \Rightarrow \tau'$$

$$\overline{\tau \Rightarrow \tau}$$

$$\frac{\tau_{a1} \Rightarrow \tau_{a2} \quad \tau_{r1} \Rightarrow \tau_{r2}}{\tau_{a1} \rightarrow \tau_{r1} \Rightarrow \tau_{a2} \rightarrow \tau_{r2}}$$

$$\frac{\tau_{r1} \Rightarrow \tau_{r2}}{\forall \alpha :: \kappa_a. \tau_{r1} \Rightarrow \forall \alpha :: \kappa_a. \tau_{r2}}$$

$$\frac{\tau_{b1} \Rightarrow \tau_{b2}}{\lambda \alpha :: \kappa_a. \tau_{b1} \Rightarrow \lambda \alpha :: \kappa_a. \tau_{b2}}$$

$$\frac{\tau_{f1} \Rightarrow \tau_{f2} \quad \tau_{a1} \Rightarrow \tau_{a2}}{\tau_{f1} \tau_{a1} \Rightarrow \tau_{f2} \tau_{a2}}$$

$$\frac{\tau_b \Rightarrow \tau'_b \quad \tau_a \Rightarrow \tau'_a}{(\lambda \alpha :: \kappa_a. \tau_b) \tau_a \Rightarrow \tau'_b[\alpha/\tau'_a]}$$

A more “computational” relation.

# Definitional Equivalence and Parallel Reduction

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  - ▶  $\tau \Leftrightarrow^* \tau'$  iff  $\tau \equiv \tau'$

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  - ▶ If  $\tau \Rightarrow^* \tau_1$  and  $\tau \Rightarrow^* \tau_2$ ,  
then there exists  $\tau'$  such that  $\tau_1 \Rightarrow^* \tau'$  and  $\tau_2 \Rightarrow^* \tau'$

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- ▶ Equivalent types share a common reduct:
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- ▶ Equivalent types share a common reduct:
  - ▶ If  $\tau_1 \equiv \tau_2$ , then there exists  $\tau'$  such that  $\tau_1 \Rightarrow^* \tau'$  and  $\tau_2 \Rightarrow^* \tau'$
- ▶ Reduction preserves shapes:
  - ▶ If  $\mathbf{int} \Rightarrow^* \tau'$ , then  $\tau' = \mathbf{int}$
  - ▶ If  $\tau_a \rightarrow \tau_r \Rightarrow^* \tau'$ , then  $\tau' = \tau'_a \rightarrow \tau'_r$  and  $\tau_a \Rightarrow^* \tau'_a$  and  $\tau_r \Rightarrow^* \tau'_r$
  - ▶ If  $\forall \alpha :: \kappa_\alpha. \tau_r \Rightarrow^* \tau'$ , then  $\tau' = \forall \alpha :: \kappa_\alpha. \tau'_r$  and  $\tau_r \Rightarrow^* \tau'_r$

## Canonical Forms

If  $\cdot; \cdot \vdash v : \tau_a \rightarrow \tau_r$ , then  $v = \lambda x:\tau_a. e_b$ .

Proof:

By cases on the form of  $v$ :

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▶  $v = \lambda x:\tau_a. e_b$ .

We have that  $v = \lambda x:\tau_a. e_b$ .

# Canonical Forms

If  $\cdot; \cdot \vdash v : \tau_a \rightarrow \tau_r$ , then  $v = \lambda x : \tau_a. e_b$ .

Proof:

By cases on the form of  $v$ :

►  $v = c$ .

Derivation of  $\cdot; \cdot \vdash v : \tau_a \rightarrow \tau_r$  must be of the form:

$$\frac{\begin{array}{c} \vdots \\ \hline \cdot; \cdot \vdash c : \mathbf{int} \quad \mathbf{int} \equiv \tau_1 \\ \hline \cdot; \cdot \vdash c : \tau_1 \end{array}}{\vdots} \quad \frac{\begin{array}{c} \vdots \\ \cdot; \cdot \vdash c : \tau_{n-1} \quad \tau_{n-1} \equiv \tau_n \\ \hline \cdot; \cdot \vdash c : \tau_n \end{array}}{\tau_n \equiv \tau_a \rightarrow \tau_r} \quad \frac{\cdot; \cdot \vdash c : \tau_n}{\cdot; \cdot \vdash c : \tau_a \rightarrow \tau_r}$$

Therefore, we can construct the derivation  $\mathbf{int} \equiv \tau_a \rightarrow \tau_r$ .

We can find a common reduct:  $\mathbf{int} \Rightarrow^* \tau^\dagger$  and  $\tau_a \rightarrow \tau_r \Rightarrow^* \tau^\dagger$ .

Reduction preserves shape:  $\mathbf{int} \Rightarrow^* \tau^\dagger$  implies  $\tau^\dagger = \mathbf{int}$ .

Reduction preserves shape:  $\tau_a \rightarrow \tau_r \Rightarrow^* \tau^\dagger$  implies  $\tau^\dagger = \tau'_a \rightarrow \tau'_r$ .

But,  $\tau^\dagger = \mathbf{int}$  and  $\tau^\dagger = \tau'_a \rightarrow \tau'_r$  is a contradiction.

# Canonical Forms

If  $\cdot; \cdot \vdash v : \tau_a \rightarrow \tau_r$ , then  $v = \lambda x : \tau_a. e_b$ .

Proof:

By cases on the form of  $v$ :

►  $v = \Lambda \alpha :: \kappa_a. e_b$ .

Derivation of  $\cdot; \cdot \vdash v : \tau_a \rightarrow \tau_r$  must be of the form:

$$\begin{array}{c}
 \vdots \\
 \hline
 \cdot; \cdot \vdash \Lambda \alpha :: \kappa_a. e_b : \forall \alpha :: \kappa_a. \tau_z \quad \forall \alpha :: \kappa_a. \tau_z \equiv \tau_1 \\
 \hline
 \cdot; \cdot \vdash \Lambda \alpha :: \kappa_a. e_b : \tau_1 \\
 \vdots \\
 \cdot; \cdot \vdash \Lambda \alpha :: \kappa_a. e_b : \tau_{n-1} \qquad \tau_{n-1} \equiv \tau_n \\
 \hline
 \cdot; \cdot \vdash \Lambda \alpha :: \kappa_a. e_b : \tau_n \qquad \tau_n \equiv \tau_a \rightarrow \tau_r \\
 \hline
 \cdot; \cdot \vdash \Lambda \alpha :: \kappa_a. e_b : \tau_a \rightarrow \tau_r
 \end{array}$$

Therefore, we can construct the derivation  $\forall \alpha :: \kappa_a. \tau_z \equiv \tau_a \rightarrow \tau_r$ .

We can find a common reduct:  $\forall \alpha :: \kappa_a. \tau_z \Rightarrow^* \tau^\dagger$  and  $\tau_a \rightarrow \tau_r \Rightarrow^* \tau^\dagger$ .

Reduction preserves shape:  $\forall \alpha :: \kappa_a. \tau_z \Rightarrow^* \tau^\dagger$  implies  $\tau^\dagger = \forall \alpha :: \kappa_a. \tau'_z$ .

Reduction preserves shape:  $\tau_a \rightarrow \tau_r \Rightarrow^* \tau^\dagger$  implies  $\tau^\dagger = \tau'_a \rightarrow \tau'_r$ .

But,  $\tau^\dagger = \forall \alpha :: \kappa_a. \tau'_z$  and  $\tau^\dagger = \tau'_a \rightarrow \tau'_r$  is a contradiction.

# Metatheory

System  $F_\omega$  is type safe.

Where was the  $\Delta \vdash \tau :: \kappa$  judgement used in the proof?

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In Type Substitution lemmas, but only in an inessential way.

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In Type Substitution lemmas, but only in an inessential way.

After weeks of thinking about type systems, kinding seems natural;  
but kinding is not required for type safety!

## System $F_\omega$ without Kinds / System F with Type-Level Abstraction and Application

$$\begin{array}{l}
 e ::= c \mid x \mid \lambda x:\tau. e \mid e e \mid \Lambda \alpha. e \mid e [\tau] \\
 v ::= c \mid \lambda x:\tau. e \mid \Lambda \alpha. e \\
 \tau ::= \text{int} \mid \tau \rightarrow \tau \mid \alpha \mid \forall \alpha. \tau \mid \lambda \alpha. \tau \mid \tau \tau
 \end{array}
 \qquad
 \begin{array}{l}
 \Gamma ::= \cdot \mid \Gamma, x:\tau \\
 \Delta ::= \cdot \mid \Delta, \alpha
 \end{array}$$

# System $F_\omega$ without Kinds / System F with Type-Level Abstraction and Application

$e ::= c \mid x \mid \lambda x:\tau. e \mid e e \mid \Lambda \alpha. e \mid e [\tau]$   
 $v ::= c \mid \lambda x:\tau. e \mid \Lambda \alpha. e$   
 $\tau ::= \text{int} \mid \tau \rightarrow \tau \mid \alpha \mid \forall \alpha. \tau \mid \lambda \alpha. \tau \mid \tau \tau$

$\Gamma ::= \cdot \mid \Gamma, x:\tau$   
 $\Delta ::= \cdot \mid \Delta, \alpha$

$e \rightarrow_{\text{cbv}} e'$

$$\frac{}{(\lambda x:\tau. e_b) v_a \rightarrow_{\text{cbv}} e_b[v_a/x]}$$

$$\frac{e_f \rightarrow_{\text{cbv}} e'_f}{e_f e_a \rightarrow_{\text{cbv}} e'_f e_a}$$

$$\frac{e_a \rightarrow_{\text{cbv}} e'_a}{v_f e_a \rightarrow_{\text{cbv}} v_f e'_a}$$

$$\frac{}{(\Lambda \alpha. e_b) [\tau_a] \rightarrow_{\text{cbv}} e_b[\tau_a/\alpha]}$$

$$\frac{e_f \rightarrow_{\text{cbv}} e'_f}{e_f [\tau_a] \rightarrow_{\text{cbv}} e'_f [\tau_a]}$$

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 \end{array}
 \qquad
 \begin{array}{l}
 \Gamma ::= \cdot \mid \Gamma, x:\tau \\
 \Delta ::= \cdot \mid \Delta, \alpha
 \end{array}$$

$\Delta \vdash \tau :: \checkmark$

$$\begin{array}{c}
 \frac{}{\Delta \vdash \text{int} :: \checkmark} \\
 \\
 \frac{\alpha \in \Delta}{\Delta \vdash \alpha :: \checkmark} \\
 \\
 \frac{\Delta, \alpha \vdash \tau_b :: \checkmark}{\Delta \vdash \lambda \alpha. \tau_b :: \checkmark} \\
 \\
 \frac{\Delta \vdash \tau_a :: \checkmark \quad \Delta \vdash \tau_r :: \checkmark}{\Delta \vdash \tau_a \rightarrow \tau_r :: \checkmark} \\
 \\
 \frac{\Delta, \alpha \vdash \tau_r :: \checkmark}{\Delta \vdash \forall \alpha. \tau_r :: \checkmark} \\
 \\
 \frac{\Delta \vdash \tau_f :: \checkmark \quad \Delta \vdash \tau_a :: \checkmark}{\Delta \vdash \tau_f \tau_a :: \checkmark}
 \end{array}$$

Check that free type variables of  $\tau$  are in  $\Delta$ , but nothing else.

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 \end{array}
 \qquad
 \begin{array}{l}
 \Gamma ::= \cdot \mid \Gamma, x:\tau \\
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 \end{array}$$

$$\tau \equiv \tau'$$

$$\begin{array}{c}
 \frac{}{\tau \equiv \tau} \qquad \frac{\tau_2 \equiv \tau_1}{\tau_1 \equiv \tau_2} \qquad \frac{\tau_1 \equiv \tau_2 \quad \tau_2 \equiv \tau_3}{\tau_1 \equiv \tau_3} \\
 \\
 \frac{\tau_{a1} \equiv \tau_{a2} \quad \tau_{r1} \equiv \tau_{r2}}{\tau_{a1} \rightarrow \tau_{r1} \equiv \tau_{a2} \rightarrow \tau_{r2}} \qquad \frac{\tau_{r1} \equiv \tau_{r2}}{\forall \alpha. \tau_{r1} \equiv \forall \alpha. \tau_{r2}} \\
 \\
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 \\
 \frac{}{(\lambda \alpha. \tau_b) \tau_a \equiv \tau_b[\alpha/\tau_a]}
 \end{array}$$

# System $F_\omega$ without Kinds / System F with Type-Level Abstraction and Application

$$\begin{array}{l}
 e ::= c \mid x \mid \lambda x:\tau. e \mid e e \mid \Lambda \alpha. e \mid e [\tau] \\
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$\Delta; \Gamma \vdash e : \tau$

$$\frac{}{\Delta; \Gamma \vdash c : \text{int}}
 \qquad
 \frac{\Gamma(x) = \tau}{\Delta; \Gamma \vdash x : \tau}$$

$$\frac{\Delta \vdash \tau_a :: \checkmark \quad \Delta; \Gamma, x : \tau_a \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \lambda x:\tau_a. e_b : \tau_a \rightarrow \tau_r}
 \qquad
 \frac{\Delta; \Gamma \vdash e_f : \tau_a \rightarrow \tau_r \quad \Delta; \Gamma \vdash e_a : \tau_a}{\Delta; \Gamma \vdash e_f e_a : \tau_r}$$

$$\frac{\Delta, \alpha; \Gamma \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \Lambda \alpha. e_b : \forall \alpha. \tau_r}
 \qquad
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$$\frac{\Delta; \Gamma \vdash e : \tau \quad \tau \equiv \tau'}{\Delta; \Gamma \vdash e : \tau'}$$

## System $F_\omega$ without Kinds / System F with Type-Level Abstraction and Application

This language is type safe.

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Induction on typing derivation, using substitution lemmas:

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if  $\Delta_1, \Delta_2; \Gamma_1, x : \tau_x, \Gamma_2 \vdash e_1 : \tau$  and  $\Delta_1; \Gamma_1 \vdash e_2 : \tau_x$ ,  
then  $\Delta_1, \Delta_2; \Gamma_1, \Gamma_2 \vdash e_1[e_2/x] : \tau$ .

► Type Substitution:

if  $\Delta_1, \alpha, \Delta_2 \vdash \tau_1 :: \checkmark$  and  $\Delta_1 \vdash \tau_2 :: \checkmark$ ,  
then  $\Delta_1, \Delta_2 \vdash \tau_1[\tau_2/\alpha] :: \checkmark$ .

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then  $\Delta_1, \Delta_2; \Gamma_1, \Gamma_2[\tau_2/\alpha] \vdash e_1[\tau_2/\alpha] : \tau$ .

► All straightforward inductions, using various weakening and exchange lemmas.

This language is type safe.

► Progress:

Induction on typing derivation, using canonical form lemmas:

- If  $\cdot; \cdot \vdash v : \mathbf{int}$ , then  $v = c$ .
- If  $\cdot; \cdot \vdash v : \tau_a \rightarrow \tau_r$ , then  $v = \lambda x:\tau_a. e_b$ .
- If  $\cdot; \cdot \vdash v : \forall \alpha. \tau_r$ , then  $v = \Lambda \alpha. e_b$ .
- Using parallel reduction relation.

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Why aren't kinds required for type safety?

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The typing derivation  $\cdot; \cdot \vdash e : \tau$

includes definitional-equivalence sub-derivations  $\tau \equiv \tau'$ ,  
which are explicit evidence that  $\tau$  and  $\tau'$  are the same.

- ▶ E.g., to show that the “natural” type of the function expression in an application is equivalent to an arrow type:

$$\frac{\frac{\frac{\vdots}{\Delta; \Gamma \vdash e_f : \tau_f} \quad \frac{\vdots}{\tau_f \equiv \tau_a \rightarrow \tau_r}}{\Delta; \Gamma \vdash e_f : \tau_a \rightarrow \tau_r} \quad \frac{\vdots}{\Delta; \Gamma \vdash e_a : \tau_a}}{\Delta; \Gamma \vdash e_f e_a : \tau_r}$$

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Definitional equivalence ( $\tau \equiv \tau'$ ) and parallel reduction ( $\tau \Rightarrow \tau'$ )  
do not require well-kinded types  
(although they preserve the kinds of well-kinded types).

► E.g.,  $(\lambda\alpha. \alpha \rightarrow \alpha) (\mathbf{int\ int}) \equiv (\mathbf{int\ int}) \rightarrow (\mathbf{int\ int})$

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do not require well-kinded types  
(although they preserve the kinds of well-kinded types).

Type (and kind) erasure means that “wrong/bad/meaningless” types  
do not affect run-time behavior.

- ▶ Ill-kinded types can't make well-typed terms get stuck.

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Two issues:

- ▶  $\frac{\Delta; \Gamma \vdash e : \tau \quad \tau \equiv \tau' \quad \Delta \vdash \tau' :: \star}{\Delta; \Gamma \vdash e : \tau'}$  is a non-syntax-directed rule
- ▶  $\tau \equiv \tau'$  is a non-syntax-directed relation

One non-issue:

- ▶  $\Delta \vdash \tau :: \kappa$  is a syntax-directed relation (STLC “one level up”)

# Type Checking for System $F_\omega$

Remove non-syntax-directed rules and relations:

$$\Delta; \Gamma \vdash e : \tau$$

$$\frac{}{\Delta; \Gamma \vdash c : \text{int}}$$

$$\frac{\Gamma(x) = \tau}{\Delta; \Gamma \vdash x : \tau}$$

$$\frac{\Delta \vdash \tau_a :: * \quad \Delta; \Gamma, x : \tau_a \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \lambda x : \tau_a. e_b : \tau_a \rightarrow \tau_r}$$

$$\frac{\Delta, \alpha :: \kappa_a; \Gamma \vdash e_b : \tau_r}{\Delta; \Gamma \vdash \Lambda \alpha. e_b : \forall \alpha :: \kappa_a. \tau_r}$$

$$\frac{\Delta; \Gamma \vdash e_f : \tau_f \quad \tau_f \Rightarrow^{\downarrow} \tau'_f \quad \tau'_f = \tau'_{fa} \rightarrow \tau'_{fr} \quad \Delta; \Gamma \vdash e_a : \tau_a \quad \tau_a \Rightarrow^{\downarrow} \tau'_a \quad \tau'_{fa} = \tau'_a}{\Delta; \Gamma \vdash e_f e_a : \tau'_{fr}}$$

$$\frac{\Delta; \Gamma \vdash e_f : \tau_f \quad \tau_f \Rightarrow^{\downarrow} \tau'_f \quad \tau'_f = \forall \alpha :: \kappa_{fa}. \tau_{fr} \quad \Delta \vdash \tau_a :: \kappa_a \quad \kappa_{fa} = \kappa_a}{\Delta; \Gamma \vdash e_f [\tau_a] : \tau_{fr}[\tau_a/\alpha]}$$

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  - ▶ But, irrelevant for type checking of expressions.  
If  $\tau_f \Rightarrow^* \tau'_f$  “gets stuck” at a type  $\tau'_f$  that is not an arrow type, then the application typing rule does not apply and a typing derivation does not exist.

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  - ▶ Proof is similar to that of termination of STLC.

Type checking for System  $F_\omega$  is decidable.

## Going Further

This is just the tip of an iceberg.

- ▶ Pure type systems
  - ▶ Why stop at three levels of expressions (terms, types, and kinds)?
  - ▶ Allow abstraction and application at the level of kinds, and introduce *sorts* to classify kinds.
  - ▶ Why stop at four levels of expressions?
  - ▶ ...
  - ▶ “For programming languages, however, three levels have proved sufficient.”