

Discrete uniformizing metrics on distributional limits of sphere packings

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Abstract

Suppose that $\{G_n\}$ is a sequence of finite graphs such that each G_n is the tangency graph of a sphere packing in \mathbb{R}^d . Let ρ_n be a uniformly random vertex of G_n and suppose that (G, ρ) is the distributional limit of $\{(G_n, \rho_n)\}$ in the sense of Benjamini and Schramm. Then the conformal growth exponent of (G, ρ) is at most d . In other words, there exists a unimodular “unit volume” weighting of the graph metric on (G, ρ) such that the volume growth of balls in the weighted path metric is bounded by a polynomial of degree d . This assertion generalizes to limits of graphs that can be “quasi-packed” in an Ahlfors d -regular metric measure space.

It implies that, under moment conditions on the degree of the root ρ , the almost sure spectral dimension of G is at most d . This fact was known previously only for graphs packed in \mathbb{R}^2 (planar graphs), and the case $d > 2$ eluded approaches based on extremal length. In the process of bounding the spectral dimension, we establish that the spectral measure of (G, ρ) is dominated by a variant of the d -dimensional Weyl law.

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1 Introduction

The theory of random planar graphs has been an active area of study in the last twenty years (see, e.g., [Ben10]), inspired partially by the connection to two-dimensional quantum gravity [ADJ97]. As noted by Benjamini and Curien [BC11], an analogous theory in higher dimensions has proved elusive, in part based on the difficulty of enumeration for higher-dimensional simplicial complexes (see [BZ11] and the references therein).

To address this discrepancy, the authors of [BC11] explored the extension of analytic and probabilistic methods based on potential theory. A graph G is said to be *sphere-packed in \mathbb{R}^d* if G is the tangency graph of a collection of interior-disjoint spheres in \mathbb{R}^d . Benjamini and Curien proved that if a family of finite graphs can be sphere-packed in \mathbb{R}^d with spheres of bounded aspect ratio (so that the ratio of the radii of tangent spheres is $O(1)$), then a distributional limit of such graphs is *d-parabolic*.

Roughly speaking, *d-parabolicity* means that the ℓ_d extremal length from a fixed vertex to ∞ is infinite, where the ℓ_d extremal length is a natural analog Cannon’s vertex extremal length [Can94] (the case $d = 2$); see also [Duf62] and Section 1.3. It is well-known that the special case of 2-parabolicity carries strong probabilistic significance; for instance, for graphs with uniformly bounded degrees, 2-parabolicity is equivalent to recurrence of the random walk (see [Duf62, DS84]). For $d > 2$, the theory of ℓ_d extremal length seems somewhat less powerful, and is not known to yield such control on the random walk.

In this work, we study a related notion that one might refer to as the “extremal growth rate.” For graphs that can be sphere-packed in \mathbb{R}^d , we show that it is possible to construct metrics that uniformize their underlying geometry so that the *counting measure* has *d-dimensional volume growth*. Employing the results of [Lee17], one does obtain substantial probabilistic consequences, including *d-dimensional lower bounds on the diagonal heat kernel* (see Theorem 1.6 below). Moreover, our results hold in considerable generality; they require no assumption on the ratio of radii of adjacent balls in the packing, and they extend to graphs that can be “quasi-packed” in an Ahlfors regular metric measure space, as we now describe.

Quasi-packings and the spectral dimension. Consider a metric space (X, dist) . A τ -quasi-ball in X is a Borel set $S \subseteq X$ that is sandwiched between two closed balls: $B(x, r) \subseteq S \subseteq B(x, \tau r)$ for some $x \in X, r > 0$. Let \mathcal{B}_τ denote the collection of τ -quasi-balls in X . Say that a graph G is (τ, M) -quasi-packed in (X, dist) if there is a mapping $\Phi : V(G) \rightarrow \mathcal{B}_\tau$ that satisfies:

1. **Quasi-tangency:**

$$\{u, v\} \in E(G) \implies \text{dist}(\Phi(u), \Phi(v)) \leq \tau \min \{\text{diam}(\Phi(u)), \text{diam}(\Phi(v))\}. \quad (1.1)$$

2. **Quasi-multiplicity:** For every $x \in X$ and $r \geq 0$:

$$\# \{v \in V(G) : B(x, r) \cap \Phi(v) \neq \emptyset \text{ and } \text{diam}(\Phi(v)) \geq \tau r\} \leq M. \quad (1.2)$$

Say that a graph G *quasi-packs in (X, dist)* if G is (τ, M) -quasi-packed in (X, dist) for some numbers $M, \tau \geq 1$. A family $\{G_n\}$ of graphs *uniformly quasi-packs in (X, dist)* if there are $M, \tau \geq 1$ such that each G_n is (τ, M) -quasi-packed in (X, dist) . Of course, the collection $\{\Phi(v) : v \in V(G)\}$ is only a genuine packing for $M = 1$. We now state a representative theorem.

Theorem 1.1. *Consider a sequence of random rooted finite graphs $\{(G_n, \rho_n)\}$ with $\rho_n \in V(G_n)$ chosen uniformly at random. Suppose the family $\{G_n\}$ has uniformly bounded degrees and is uniformly quasi-packed in an Ahlfors d -regular metric measure space. If (G, ρ) is the distributional limit of this sequence, then almost surely $\overline{\dim}_{\text{sp}}(G) \leq d$. Moreover, if $d = 2$, then G is almost surely recurrent.*

Here, “distributional limit” refers to convergence in the Benjamini-Schramm sense (i.e., in the weak local topology): $\{(G_n, \rho_n)\} \rightarrow (G, \rho)$ means that the laws of neighborhoods of ρ_n in G_n converge to the law of neighborhoods of ρ in G , where neighborhoods are considered up to rooted isomorphism. See [Section 1.6](#) for precise definitions.

And we use $\overline{\dim}_{\text{sp}}$ to denote the *upper spectral dimension*:

$$\overline{\dim}_{\text{sp}}(G) := \limsup_{n \rightarrow \infty} \frac{-2 \log p_{2n}^G(v, v)}{\log n},$$

where $p_t^G(v, v) = \mathbb{P}[X_t = v \mid X_0 = v]$ and $\{X_t\}$ is the standard random walk on G . (The value does not depend on the choice of $v \in V(G)$.)

Remark 1.2 (Coarse packings). It is not hard to check that if two metric spaces X and Y are bi-Lipschitz equivalent, then G quasi-packs in X if and only if G quasi-packs in Y , making the notion a bi-Lipschitz invariant. More generally, it is a quasisymmetric invariant when X is uniformly perfect. See [Section 2.2](#).

To relate quasi-packings to more standard notions, it is helpful to consider a simpler set of assumptions. Say that a graph G *coarsely packs in* X if there are numbers $M, \tau \geq 1$ and a map $\Phi : V(G) \rightarrow \mathcal{B}_1$ so that [\(1.1\)](#) is satisfied, as well as

$$\#\{v \in V(G) : x \in \Phi(v)\} \leq M \quad \forall x \in X. \quad (1.3)$$

Note that this is simply [\(1.2\)](#) for $r = 0$ and \mathcal{B}_1 is precisely the collection of closed balls in X . If (X, dist) is an Ahlfors d -regular length space (cf. [Section 1.7](#)) and G coarsely packs in X , then it quasi-packs in X . This is proved in [Section 2.1](#).

This implies that if G is the tangency graph of interior-disjoint spheres in \mathbb{R}^d , then it is automatically (τ, M) -quasi-packed in \mathbb{R}^d for some $M, \tau \geq 1$ depending only on d . For a non-Euclidean example, consider that the same is true of the tangency graphs of interior-disjoint balls in the Heisenberg groups equipped with their Carnot-Carathéodory metrics. See [Section 2.1](#) for a detailed discussion. In general, the reader will suffer no great conceptual loss by thinking only of classical sphere packings in \mathbb{R}^d .

1.1 Discrete conformal metrics on sphere-packed graphs

Consider a locally finite, connected graph G . A *conformal metric* (or *conformal weight*) on G is a map $\omega : V(G) \rightarrow \mathbb{R}_+$. This endows G with a graph distance as follows: Give to every edge $\{u, v\} \in E(G)$ a length $\text{len}_\omega(\{u, v\}) := \frac{1}{2}(\omega(u) + \omega(v))$. This prescribes to every path $\gamma = \{v_0, v_1, v_2, \dots\}$ in G the induced length

$$\text{len}_\omega(\gamma) := \sum_{k \geq 0} \text{len}_\omega(\{v_k, v_{k+1}\}).$$

Now for $u, v \in V(G)$, one defines the path metric $\text{dist}_\omega(u, v)$ as the infimum of the lengths of all u - v paths in G . Denote the closed ball

$$B_\omega(x, R) := \{y \in V(G) : \text{dist}_\omega(x, y) \leq R\}.$$

We can now state a special case of our main technical theorem; the connection to distributional limits and random walks is discussed subsequently.

Theorem 1.3. *For every $d, M, \tau \geq 1$ and every Ahlfors d -regular metric measure space X there is a constant C such that the following holds. If $G = (V, E)$ is a finite graph that is (τ, M) -quasi-packed in X , then there is a conformal metric $\omega : V \rightarrow \mathbb{R}_+$ that satisfies*

$$\frac{1}{|V|} \sum_{x \in V} \omega(x)^d = 1,$$

and such that

$$\max_{x \in V(G)} |B_\omega(x, R)| \leq CR^d (\log R)^2 \quad \forall R \geq 1.$$

The method of proof is based partially on a celebrated lemma of Benjamini and Schramm [BS01]. They show that if $\{G_n\}$ is a sequence of finite planar triangulations with uniformly bounded degrees and $\{G_n\}$ converges to a distributional limit (G, ρ) , then almost surely any circle packing of G has at most one accumulation point in the plane. An analogous result holds for graphs sphere-packed in \mathbb{R}^d when $d > 2$ [BC11].

We argue that, in a quantitative sense, as long as the accumulation points remain separated, one can construct a multi-scale reweighting of the spheres in the packing, endowing the graph with a metric that reflects its d -dimensional structure with respect to the underlying counting measure. This is carried out in Section 3.

1.2 Conformal growth exponents

If (G, ρ) is random rooted graph, then a *conformal metric* on (G, ρ) is a random triple (G', ω, ρ') with $\omega : V(G) \rightarrow \mathbb{R}_+$ such that (G, ρ) and (G', ρ') have the same law. We say that the conformal weight is *normalized* if $\mathbb{E}[\omega(\rho)^2] = 1$. One thinks of such a metric $\omega : V(G) \rightarrow \mathbb{R}_+$ as deforming the geometry of the underlying graph subject to a bound on the total “area.” As shown in [Lee17], normalized conformal metrics with nice geometric properties form a powerful tool in understanding the spectral geometry of (G, ρ) .

In the present work, we consider *unimodular* random graphs (see Section 1.6); such graphs arise naturally as distributional limits of finite random rooted graphs $\{(G_n, \rho_n)\}$ where $\rho_n \in V(G_n)$ is chosen uniformly at random. We will consider only unimodular conformal metrics ω on (G, ρ) ; in other words, the setting where (G, ω, ρ) is unimodular as a marked network in the sense of [AL07].

Conformal growth exponents. Consider a unimodular random graph (G, ρ) . In [Lee17], we defined the *upper and lower conformal growth exponents* of (G, ρ) , respectively, by

$$\overline{\dim}_{\text{cg}}(G, \rho) := \inf_{\omega} \limsup_{R \rightarrow \infty} \frac{\log \| \#B_\omega(\rho, R) \|_{L^\infty}}{\log R}, \quad (1.4)$$

$$\underline{\dim}_{\text{cg}}(G, \rho) := \inf_{\omega} \liminf_{R \rightarrow \infty} \frac{\log \| \#B_\omega(\rho, R) \|_{L^\infty}}{\log R}, \quad (1.5)$$

where the infimum is over all normalized unimodular conformal metrics on (G, ρ) , and we use $\|X\|_{L^\infty}$ to denote the essential supremum of a random variable X , and $\#S$ to denote the cardinality of a set S .

When $\overline{\dim}_{\text{cg}}(G, \rho) = \underline{\dim}_{\text{cg}}(G, \rho)$, define the *conformal growth exponent* by

$$\dim_{\text{cg}}(G, \rho) := \overline{\dim}_{\text{cg}}(G, \rho) = \underline{\dim}_{\text{cg}}(G, \rho).$$

Note that the quantities $\overline{\dim}_{\text{cg}}, \underline{\dim}_{\text{cg}}, \dim_{\text{cg}}$ are functions of the law of (G, ρ) ; they are not defined on (fixed) rooted graphs.

The conformal growth exponent bears a philosophical resemblance to Pansu’s notion of *conformal dimension* [Pan89]. The relationship between sphere packings in \mathbb{R}^2 and conformal mappings is classical and well-understood. For an emerging more general theory, we refer to Pansu’s recent work [Pan16] which explores in detail the relationship between sphere packings and the theory of large-scale conformal maps.

L^q conformal growth rate. Let us define a generalization: If (G, ω, ρ) is a unimodular random conformal graph, we denote

$$\|\omega\|_{L^q} := (\mathbb{E} \omega(\rho)^q)^{1/q}.$$

Say that ω is L^q -normalized if $\|\omega\|_{L^q} = 1$.

Define the analogous L^q quantities: $\overline{\dim}_{\text{cg}}^q$, $\underline{\dim}_{\text{cg}}^q$, \dim_{cg}^q where now the infima in (1.4) and (1.5) are over all L^q -normalized conformal metrics on (G, ρ) . Observe that, by monotonicity of L^q norms, we have

$$q \leq q' \implies \dim_{\text{cg}}^q(G, \rho) \leq \dim_{\text{cg}}^{q'}(G, \rho).$$

The next theorem constitutes the main new technical theorem presented here. We use \implies to denote convergence in the distributional sense; see Section 1.6.

Theorem 1.4. *For any $d \geq 2$, the following holds. If (G, ρ) is the distributional limit of finite graphs that are uniformly quasi-packed in an Ahlfors d -regular metric measure space, then there is an L^d -normalized unimodular conformal metric $\omega : V(G) \rightarrow \mathbb{R}_+$ such that almost surely, for all $R \geq 1$,*

$$|B_\omega(\rho, R)| \leq O(R^d (\log R)^2). \quad (1.6)$$

In particular, $\overline{\dim}_{\text{cg}}(G, \rho) \leq d$.

The last assertion follows from $\overline{\dim}_{\text{cg}}(G, \rho) = \overline{\dim}_{\text{cg}}^2(G, \rho) \leq \overline{\dim}_{\text{cg}}^d(G, \rho)$. If X is Ahlfors d -regular with $d < 2$, the conclusion $\overline{\dim}_{\text{cg}}(G, \rho) \leq 2$ still holds; see Section 3. We remark that some $(\log R)^{O(1)}$ factor is necessary even for the case of planar graphs; see [Lee17, §2].

A primary motivation for Theorem 1.4 is that such metrics can be used to obtain estimates on the heat kernel and spectral measure of G . For a locally finite, connected graph G , denote the discrete-time heat kernel

$$p_T^G(x, y) := \mathbb{P}[X_T = y \mid X_0 = x], \quad x, y \in V(G),$$

where $\{X_n\}$ is the standard random walk on G and $T \in \mathbb{N}$. We recall the *spectral dimension* of G :

$$\dim_{\text{sp}}(G) := \lim_{n \rightarrow \infty} \frac{-2 \log p_{2n}^G(x, x)}{\log n},$$

whenever the limit exists. If the limit does exist, then it is the same for all $x \in V(G)$.

Say that a real-valued random variable X has *negligible tails* if its tails decay faster than any inverse polynomial:

$$\lim_{n \rightarrow \infty} \frac{\log n}{|\log \mathbb{P}[|X| > n]|} = 0, \quad (1.7)$$

where we take $\log(0) = -\infty$ in the preceding definition (in the case that X is essentially bounded). The next theorem is from [Lee17]; it asserts that if $\overline{\dim}_{\text{cg}}(G, \rho) \leq d$, then almost surely G admits d -dimensional lower bounds on the diagonal heat kernel:

$$p_{2n}^G(\rho, \rho) \geq n^{-d/2-o(1)} \quad \text{as } n \rightarrow \infty.$$

Theorem 1.5. *Suppose that (G, ρ) is a unimodular random graph such that $\deg_G(\rho)$ has negligible tails. Then almost surely:*

$$\overline{\dim}_{\text{sp}}(G) \leq \overline{\dim}_{\text{cg}}(G, \rho).$$

In particular, if there is a number d such that almost surely $\dim_{\text{sp}}(G) = d$, then $d \leq \overline{\dim}_{\text{cg}}(G, \rho)$.

In certain situations, one can give stronger estimates. Indeed, when the conformal growth rate has only a polylogarithmic correction as in (1.6), one obtains stronger results (see [Lee17, §4.2]).

Theorem 1.6. *Suppose (G, ρ) is the distributional limit of finite graphs that are uniformly quasi-packed in an Ahlfors d -regular metric measure space \mathcal{X} , and that $\deg_G(\rho)$ has exponential tails in the sense that*

$$\mathbb{P}[\deg_G(\rho) > k] \leq e^{-ck}$$

for some $c > 0$. Then there is a constant $C \geq 1$ such that for n sufficiently large,

$$\mathbb{P} \left[p_{2n}^G(\rho, \rho) \geq \frac{n^{-d_*/2}}{(\log n)^C} \right] \geq 1 - \frac{1}{\log n},$$

where $d_* = \max(d, 2)$.

1.3 Gauged conformal growth and d -parabolicity

Consider a locally-finite connected graph $G = (V, E)$. Let Γ denote a collection of simple paths in G . The ℓ_d -vertex extremal length of Γ is defined by

$$\text{VEL}_d(\Gamma) := \sup_{\omega} \inf_{\gamma \in \Gamma} \frac{\text{len}_{\omega}(\gamma)}{\|\omega\|_{\ell_d(V)}},$$

where the infimum is over all conformal metrics on G , and $\|\omega\|_{\ell_d(V)} := (\sum_{v \in V} \omega(v)^d)^{1/d}$.

Fix a vertex $v_0 \in V$ and let $\Gamma_G(v_0)$ denote the set of infinite simple paths in G emanating from v_0 . One says that G is d -parabolic if $\text{VEL}_d(\Gamma_G(v_0)) = \infty$ (see [HS95, BS13]). One can check that this definition does not depend on the choice of $v_0 \in V$.

There are unimodular random graphs (G, ρ) where G is almost surely d -parabolic, but $\underline{\dim}_{\text{cg}}^d(G, \rho) \geq \underline{\dim}_{\text{cg}}(G, \rho) = \infty$, and other examples where $\dim_{\text{cg}}(G, \rho) = d \geq 2$ but G is almost surely not d -parabolic; see Section 3.3.

Gauged growth. On the other hand, there is a common strengthening of the conditions. Say that (G, ρ) has (C, R, d) -growth if there is an L^d -normalized conformal metric $\omega : V(G) \rightarrow \mathbb{R}_+$ such that

$$\| \#B_{\omega}(\rho, R) \|_{L^{\infty}} \leq CR^d. \quad (1.8)$$

Say that (G, ρ) has *gauged d -dimensional conformal growth* if there is a constant $C \geq 1$ such that (G, ρ) has (C, R, d) -growth for all $R \geq 0$. A sequence $\{(G_n, \rho_n)\}$ has *uniform gauged d -dimensional conformal growth* if there is a constant $C \geq 1$ such that (G_n, ρ_n) has (C, R, d) -growth for all $R \geq 0$ and $n \geq 1$.

It is straightforward to see that if (G, ρ) has gauged d -dimensional growth, then $\overline{\dim}_{\text{cg}}^d(G, \rho) \leq d$: For each $k \geq 1$, let ω_k denote an L^d -normalized conformal metric on (G, ρ) satisfying (1.8) and define

$$\hat{\omega} := \left(\frac{6}{\pi^2} \sum_{k \geq 1} \frac{\omega_k^d}{k^2} \right)^{1/d}.$$

(By unimodularity of the triple $(G, \hat{\omega}, \rho)$, it holds that almost surely $\sup_{x \in V(G)} \hat{\omega}(x) < \infty$; see Section 1.6).

Establishing d -parabolicity is somewhat more involved; the $d = 2$ case of the following theorem is [Lee17, Thm. 2.1]. The general case is proved in Section 3.3.1.

Theorem 1.7. *For every $d \geq 1$, the following holds. If (G, ρ) is a unimodular random graph such that $\deg_G(\rho)$ is essentially bounded and (G, ρ) has gauged d -dimensional conformal growth, then G is almost surely d -parabolic.*

In order to establish Theorem 1.4, we prove the following stronger statement in Section 3.

Theorem 1.8. *For any $d \geq 1$, the following holds. If (G, ρ) is the distributional limit of finite graphs that are uniformly quasi-packed in an Ahlfors d -regular metric measure space, then (G, ρ) has gauged $\max(d, 2)$ -dimensional conformal growth.*

Note that for the special case of planar graphs, the conjunction of [Theorem 1.7](#) and [Theorem 1.8](#) recovers the Benjamini-Schramm recurrence theorem [[BS01](#)] (that every distributional limit of finite planar graphs with uniformly bounded degrees is almost surely 2-parabolic).

1.4 The spectral measure of d -dimensional graphs

In order to obtain estimates like [Theorem 1.5](#) and [Theorem 1.6](#), it is clear that one needs to control the moments of the spectral measure at the root. Indeed, if (G, ρ) is a random rooted graph, then one can define the spectral measure $\mu := \mathbb{E}[\mu_G^\rho]$, there μ_G^v is the unique probability measure on \mathbb{R} such that for all integers $T \geq 1$:

$$\deg_G(v) \int \theta^T d\mu_G^v(\theta) = \langle \mathbb{1}_v, P_G^T \mathbb{1}_v \rangle_{\ell^2(G)}.$$

Here, P_G is the random walk operator on G and $\ell^2(G)$ is the Hilbert space of functions $f : V(G) \rightarrow \mathbb{R}$ with $\langle f, g \rangle_{\ell^2(G)} := \sum_{x \in V(G)} \deg_G(x) f(x)g(x)$. (See, e.g., [[Lee17](#), §4.4.1] and [[BSV17](#), §1.4–1.5].) Note that μ is almost surely supported on $[-1, 1]$.

In this formulation, one has: For all integers $T \geq 1$,

$$\mathbb{E}[p_{2T}^G(\rho, \rho)] = \int \theta^{2T} d\mu(\theta),$$

hence an elementary calculation shows that for every $d \geq 1$ and $T \geq 1$:

$$\frac{1}{4} \mu\left(\left[1 - \frac{1}{2T}, 1\right]\right) \leq \mathbb{E}[p_{2T}^G(\rho, \rho)] \leq T^{-d} + \mu\left(\left[1 - \frac{d \log T}{2T}, 1\right]\right).$$

Almost sure (quenched) lower bounds on p_{2T}^G as in [Theorem 1.5](#) are substantially more difficult to establish than lower bounds on $\mathbb{E}[p_{2T}^G(\rho, \rho)]$, but annealed estimates are already interesting, and one can draw a parallel to more classical settings.

The Weyl bound in \mathbb{R}^d . Consider a bounded domain $\Omega \subseteq \mathbb{R}^d$, and let $\lambda_1 \leq \lambda_2 \leq \dots$ be the corresponding Neumann eigenvalues. Let $N_\Omega(\lambda) := \#\{k : \lambda_k \leq \lambda\}$ denote the eigenvalue counting function. In 1912, addressing a conjecture of Lorentz, Weyl determined [[Wey12](#)] the first-order asymptotics of $N_\Omega(\lambda)$ as $\lambda \rightarrow \infty$:

$$N_\Omega(\lambda) \sim c_d \text{vol}(\Omega) \lambda^{d/2},$$

where c_d is some constant depending only on the dimension.

In addressing a question of S. T. Yau on the spectrum of the Laplacian on orientable surfaces, Korevaar [[Kor93](#)] showed that if Ω is a subdomain of a complete d -dimensional Riemannian manifold (M, g_0) with nonnegative Ricci curvature, and $(M, \varphi g_0)$ is a finite-volume conformal metric, then

$$N_\Omega(\lambda) \geq C_d \text{vol}(\Omega, \varphi g_0) \lambda^{d/2}, \tag{1.9}$$

where C_d is a constant depending only on the dimension d .

Analogous results can be obtained for distributional limits of finite graphs that are sphere-packed \mathbb{R}^d . Let ν denote the law of a random rooted graph (G, ρ) and define $\bar{d}_\nu : [0, 1] \rightarrow \mathbb{R}_+$ by

$$\bar{d}_\nu(\varepsilon) := \sup \{ \mathbb{E}[\deg_G(\rho) \mid \mathcal{E}] : \mathbb{P}(\mathcal{E}) \geq \varepsilon \},$$

where the supremum is over all measurable sets \mathcal{E} with $\mathbb{P}(\mathcal{E}) \geq \varepsilon$.

Theorem 1.9. Consider $d \geq 1$ and an Ahlfors d -regular metric measure space \mathcal{X} . Suppose (G, ρ) is a distributional limit of finite graphs that are uniformly quasi-packed in \mathcal{X} . Then there is a number $c > 0$ such that the following holds. Let ν denote the law of (G, ρ) , and let μ denote the corresponding spectral measure. For all $\varepsilon > 0$:

$$\mu([1 - \varepsilon, 1]) \geq c \frac{(\log(1/\varepsilon))^{-2}}{\bar{d}_\nu(\varepsilon)} \varepsilon^{d/2}. \quad (1.10)$$

The asymptotic dependence on ε is tight up to the $(\log(1/\varepsilon))^{-2}$ factor; see [Remark 1.11](#).

The Laplacian spectrum of finite tangency graphs. [Theorem 1.9](#) follows readily from an analogous result for finite graphs. Let $G = (V, E)$ denote a finite connected graph with $n = |V|$. Let $\{1 - \lambda_k(G) : k = 0, 1, \dots, n - 1\}$ be the eigenvalues of the random walk operator on G , where

$$0 = \lambda_0(G) \leq \lambda_1(G) \leq \dots \leq \lambda_{n-1}(G).$$

Define the corresponding counting function:

$$N_G(\lambda) := \#\{k > 0 : \lambda_k(G) \leq \lambda\}.$$

Define also

$$\Delta_G(k) := \max_{S \subseteq V: |S| \leq k} \sum_{x \in S} \deg_G(x),$$

where $\deg_G(x)$ denotes the degree of a vertex $x \in V$. Note, in particular, that $\Delta_G(1)$ is the maximum degree in G .

Denote $\bar{d}_G(\varepsilon) := \frac{\Delta_G(\varepsilon n)}{\varepsilon n}$. In [\[KLPT11\]](#), addressing a conjecture of Spielman and Teng [\[ST07\]](#), it is shown that there is a constant $c > 0$ such that if G is a planar graph, then for all $\lambda \in [0, 1]$,

$$N_G(\lambda) \geq \frac{c}{\Delta_G(1)} \lambda n. \quad (1.11)$$

In [\[Lee17\]](#), the author improves this bound to

$$N_G(\lambda) \geq \frac{c}{\bar{d}_G(\lambda)} \lambda n, \quad (1.12)$$

where $c > 0$ is some other constant.

While the utility of this improvement is not immediately apparent in the finite setting, one should observe that [\(1.11\)](#) yields no information for a distributional limit (G, ρ) in which there is no uniform bound on $\deg_G(\rho)$, whereas [\(1.12\)](#) yields [\(1.10\)](#) in the case $d = 2$ (and without the $\log(1/\varepsilon)^{-2}$ correction factor). Moreover, the correct quantitative dependence is essential to a spectral argument proving that the uniform infinite planar triangulation is almost surely recurrent [\[Lee17\]](#); this fact was first established by Gurel-Gurevich and Nachmias [\[GN13\]](#) using effective resistance estimates. In [Section 3.4](#), we use [Theorem 1.3](#) to establish an analogous lower bound to [\(1.9\)](#) for graphs sphere-packed in \mathbb{R}^d (and their generalizations).

Theorem 1.10 (Weyl bound for quasi-packed finite graphs). For every $d, \tau, M \geq 1$ and every Ahlfors d -regular metric measure space \mathcal{X} , there is a number $c > 0$ such that the following holds. If G is an n -vertex graph that is (τ, M) -quasi-packed in \mathcal{X} , then for all $\lambda \in [0, 1]$,

$$N_G(\lambda) \geq \frac{c}{\bar{d}_G(\lambda)} \left(\log \frac{e}{\lambda} \right)^{-2} n \lambda^{d/2}.$$

Remark 1.11. Up to the factor of $(\log(e/\lambda))^2$, this bound is tight for a d -dimensional box $\{1, 2, \dots, n^{1/d}\}^d$ considered as a subgraph of the integer lattice \mathbb{Z}^d . Whether the $(\log(1/\lambda))^2$ factor can be removed from the bound is an interesting open question.

1.5 Preliminaries

We use the notations $\mathbb{R}_+ = [0, \infty)$ and $\mathbb{Z}_+ = \mathbb{Z} \cap \mathbb{R}_+$.

All graphs appearing in this paper are undirected and locally finite and without loops or multiple edges. If G is such a graph, we use $V(G)$ and $E(G)$ to denote the vertex and edge set of G , respectively. If $S \subseteq V(G)$, we use $G[S]$ for the induced subgraph on S . For $A, B \subseteq V(G)$, we write $E_G(A, B)$ for the set of edges with one endpoint in A and the other in B . We write dist_G for the unweighted path metric on $V(G)$, and $B_G(x, r) = \{y \in V(G) : \text{dist}_G(x, y) \leq r\}$ to denote the closed r -ball around $x \in V(G)$. Also let $\deg_G(x)$ denote the degree of a vertex $x \in V(G)$, and $d_{\max}(G) = \sup_{x \in V(G)} \deg_G(x)$.

Write $G_1 \cong G_2$ to denote that G_1 and G_2 are isomorphic as graphs. If (G_1, ρ_1) and (G_2, ρ_2) are rooted graphs, we write $(G_1, \rho_1) \cong_\rho (G_2, \rho_2)$ to denote the existence of a rooted isomorphism.

1.6 Unimodular random graphs and distributional limits

We begin with a discussion of unimodular random graphs and distributional limits. One may consult the extensive reference of Aldous and Lyons [AL07]. The paper [BS01] offers a concise introduction to distributional limits of finite planar graphs. We briefly review some relevant points.

Let \mathcal{G} denote the set of isomorphism classes of connected, locally finite graphs; let \mathcal{G}_\bullet denote the set of *rooted* isomorphism classes of *rooted*, connected, locally finite graphs. Define a metric on \mathcal{G}_\bullet as follows: $\mathfrak{d}_{\text{loc}}((G_1, \rho_1), (G_2, \rho_2)) = 1/(1 + \alpha)$, where

$$\alpha = \sup \{r > 0 : B_{G_1}(\rho_1, r) \cong_\rho B_{G_2}(\rho_2, r)\}.$$

$(\mathcal{G}_\bullet, \mathfrak{d}_{\text{loc}})$ is a separable, complete metric space. For probability measures $\{\mu_n\}, \mu$ on \mathcal{G}_\bullet , write $\{\mu_n\} \Rightarrow \mu$ when μ_n converges weakly to μ with respect to $\mathfrak{d}_{\text{loc}}$. If $\{(G_n, \rho_n)\} \Rightarrow (G, \rho)$, we say that (G, ρ) is the *distributional limit* of the sequence $\{(G_n, \rho_n)\}$.

The Mass-Transport Principle. Let $\mathcal{G}_{\bullet\bullet}$ denote the set of doubly-rooted isomorphism classes of doubly-rooted, connected, locally finite graphs. A probability measure μ on \mathcal{G}_\bullet is *unimodular* if it obeys the following *Mass-Transport Principle*: For all Borel-measurable $F : \mathcal{G}_{\bullet\bullet} \rightarrow [0, \infty]$,

$$\int \sum_{x \in V(G)} F(G, \rho, x) d\mu((G, \rho)) = \int \sum_{x \in V(G)} F(G, x, \rho) d\mu((G, \rho)). \quad (1.13)$$

If (G, ρ) is a random rooted graph with law μ , and μ is unimodular, we say that (G, ρ) is a *unimodular random graph*.

Distributional limits of finite graphs. As observed by Benjamini and Schramm [BS01], unimodular random graphs can be obtained from limits of finite graphs. Consider a sequence $\{G_n\} \subseteq \mathcal{G}$ of finite graphs, and let ρ_n denote a uniformly random element of $V(G_n)$. Then $\{(G_n, \rho_n)\}$ is a sequence of \mathcal{G}_\bullet -valued random variables, and one has the following.

Lemma 1.12. *If $\{(G_n, \rho_n)\} \Rightarrow (G, \rho)$, then (G, ρ) is unimodular.*

When $\{G_n\}$ is a sequence of finite graphs, we write $\{G_n\} \Rightarrow (G, \rho)$ for $\{(G_n, \rho_n)\} \Rightarrow (G, \rho)$ where $\rho_n \in V(G_n)$ is chosen uniformly at random.

Unimodular random conformal graphs. A *conformal graph* is a pair (G, ω) , where G is a connected, locally finite graph and $\omega : V(G) \rightarrow \mathbb{R}_+$. Let \mathcal{G}^* and \mathcal{G}_\bullet^* denote the collections of isomorphism

classes of conformal graphs and conformal rooted graphs, respectively. As in [Section 1.6](#), one can define a metric on \mathcal{G}_\bullet^* as follows: $\mathfrak{d}_{\text{loc}}^*((G_1, \omega_1, \rho_1), (G_2, \omega_2, \rho_2)) = 1/(\alpha + 1)$, where

$$\alpha = \sup \left\{ r > 0 : B_{G_1}(\rho_1, r) \cong_\rho B_{G_2}(\rho_2, r) \text{ and } \mathfrak{d}(\omega_1|_{B_{G_1}(\rho_1, r)}, \omega_2|_{B_{G_2}(\rho_2, r)}) \leq \frac{1}{r} \right\},$$

where for two weights $\omega_1 : V(H_1) \rightarrow \mathbb{R}_+$ and $\omega_2 : V(H_2) \rightarrow \mathbb{R}_+$ on rooted-isomorphic graphs (H_1, ρ_1) and (H_2, ρ_2) , we write

$$\mathfrak{d}(\omega_1, \omega_2) := \inf_{\psi: V(H_1) \rightarrow V(H_2)} \|\omega_2 \circ \psi - \omega_1\|_{\ell^\infty}, \quad (1.14)$$

and the infimum is over all graph isomorphisms from H_1 to H_2 satisfying $\psi(\rho_1) = \rho_2$.

If $\{\mu_n\}$ and μ are probability measures on \mathcal{G}_\bullet^* , we abuse notation and write $\{\mu_n\} \Rightarrow \mu$ to denote weak convergence with respect to $\mathfrak{d}_{\text{loc}}^*$. One defines unimodularity of a random rooted conformal graph (G, ω, ρ) analogously to [\(1.13\)](#): It should now hold that for all Borel-measurable $F : \mathcal{G}_{\bullet\bullet}^* \rightarrow [0, \infty]$,

$$\int \sum_{x \in V(G)} F(G, \omega, \rho, x) d\mu((G, \omega, \rho)) = \int \sum_{x \in V(G)} F(G, \omega, x, \rho) d\mu((G, \omega, \rho)).$$

Indeed, such decorated graphs are a special case of the marked networks considered in [\[AL07\]](#), and again it holds that every distributional limit of finite unimodular random conformal graphs is a unimodular random conformal graph.

Suppose that (G, ρ) is a unimodular random graph. A *conformal weight* on (G, ρ) is a unimodular random conformal graph (G', ω, ρ') such that (G, ρ) and (G', ρ') have the same law. We will speak simply of a “conformal metric ω on (G, ρ) .” Only such unimodular metrics are considered in this work.

1.6.1 Conformal growth rates under distributional limits

In order to establish our main result, we need to pass from a sequence of conformal metrics on finite graphs to a conformal metric on the distributional limit.

Theorem 1.13. *Consider $d, q \geq 1$. Suppose $\{(G_n, \rho_n)\}$ is a sequence of unimodular random graphs and $\{(G_n, \rho_n)\} \Rightarrow (G, \rho)$. If there is a function $h : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ such that $h(R) \leq R^{o(1)}$ as $R \rightarrow \infty$, and a sequence of L^q -normalized unimodular random conformal graphs $\{(G_n, \omega_n, \rho_n)\}$ satisfying*

$$\|B_{\omega_n}(\rho_n, R)\|_{L^\infty} \leq R^d h(R), \quad (1.15)$$

then $\overline{\dim}_{\text{cg}}^q(G, \rho) \leq d$. If the unimodular random graphs $\{(G_n, \rho_n)\}$ have uniform gauged d -dimensional growth, then (G, ρ) has gauged d -dimensional growth.

The preceding theorem follows immediately from the next lemma.

Lemma 1.14. *Consider a sequence $\{(G_n, \omega_n, \rho_n)\}$ of unimodular random conformal graphs satisfying the following conditions:*

1. $\{(G_n, \rho_n)\}$ has a distributional limit.
2. $\limsup_{n \rightarrow \infty} \mathbb{E}[\omega_n(\rho_n)] < \infty$.

Then $\{(G_n, \omega_n, \rho_n)\}$ has a subsequential weak limit in the metric $\mathfrak{d}_{\text{loc}}^$.*

Proof. By passing to a subsequence and scaling, we may assume that

$$\mathbb{E}[\omega_n(\rho_n)] \leq 1 \quad \forall n \geq 1. \quad (1.16)$$

Let μ_n denote the law of (G_n, ω_n, ρ_n) . We will prove that the sequence $\{\mu_n\}$ is tight. Since $(\mathcal{G}_\bullet^*, \mathbb{d}_{\text{loc}}^*)$ is a complete, separable metric space, Prokhorov's theorem then implies that the sequence $\{\mu_n\}$ has a weak subsequential limit.

To establish tightness, it suffices to exhibit a sequence $\{K_j \subseteq \mathcal{G}_\bullet^* : j \geq 1\}$ such that each K_j is compact in the topology induced by $\mathbb{d}_{\text{loc}}^*$ and

$$\lim_{j \rightarrow \infty} \lim_{n \rightarrow \infty} \mu_n(K_j) = 1. \quad (1.17)$$

Let $\hat{\mu}_n$ denote the law of (G_n, ρ_n) . Since (G_n, ρ_n) has a distributional limit and $(\mathcal{G}_\bullet, \mathbb{d}_{\text{loc}})$ is complete, Prokhorov's theorem yields a sequence of compact sets $\{\hat{K}_j \subseteq \mathcal{G}_\bullet : j \geq 1\}$ such that

$$\lim_{j \rightarrow \infty} \lim_{n \rightarrow \infty} \hat{\mu}_n(\hat{K}_j) = 1. \quad (1.18)$$

Denote the numbers:

$$b_{j,k} := \sup \{|B_G(\rho, k)| : (G, \rho) \in \hat{K}_j\}.$$

Since each \hat{K}_j is compact, we have $b_{j,k} < \infty$ for all $j, k \geq 1$.

Define the compact sets

$$K_j := \left\{ (G, \omega, \rho) : (G, \rho) \in \hat{K}_j \text{ and } \max_{x \in B_G(\rho, k)} \omega(x) \leq jk^2 b_{j,2k} \quad \forall k \geq 1 \right\}.$$

We are left to verify that (1.17) holds.

To that end, we apply the Mass-Transport Principle to (G_n, ω_n, ρ_n) with the flow

$$F_{j,k}(G, \omega, x, y) := \omega(y) \mathbb{1}_{\{\text{dist}_G(x, y) \leq k\}} \mathbb{1}_{\{(G, x) \in \hat{K}_j\}},$$

yielding

$$\begin{aligned} jk^2 b_{j,2k} \mathbb{P} \left[(G_n, \rho_n) \in \hat{K}_j \text{ and } \max_{x \in B_{G_n}(\rho_n, k)} \omega_n(x) > jk^2 b_{j,2k} \right] &\leq \mathbb{E} \left[\mathbb{1}_{\{(G_n, \rho_n) \in \hat{K}_j\}} \sum_{y \in B_{G_n}(\rho_n, k)} \omega_n(y) \right] \\ &= \mathbb{E} \left[\sum_{y \in V(G_n)} F_{j,k}(G_n, \omega_n, \rho_n, y) \right] \\ &= \mathbb{E} \left[\sum_{x \in V(G_n)} F_{j,k}(G_n, \omega_n, x, \rho_n) \right] \\ &= \mathbb{E} \left[\omega_n(\rho_n) \sum_{x \in B_{G_n}(\rho_n, k)} \mathbb{1}_{\{(G, x) \in \hat{K}_j\}} \right] \\ &\leq \mathbb{E}[\omega_n(\rho_n)] b_{j,2k}. \end{aligned}$$

Using (1.16), this gives

$$\mathbb{P}[(G_n, \omega_n, \rho_n) \in K_j] \geq \mathbb{P}[(G_n, \rho_n) \in \hat{K}_j] - \frac{1}{j} \sum_{k \geq 1} \frac{1}{k^2}.$$

In conjunction with (1.18), this yields (1.17). \square

1.7 Ahlfors regularity and systems of dyadic cubes

Consider a complete, separable metric space (X, d) . For $x \in X$ and two subsets $S, T \subseteq X$, we use the notations $d(S, T) := \inf_{x \in S, y \in T} d(x, y)$ and $d(x, S) = d(\{x\}, S)$. Define $\text{diam}(S, d) := \sup_{x, y \in S} d(x, y)$ and for $R \geq 0$, define the closed balls

$$B_{(X,d)}(x, R) := \{y \in X : d(x, y) \leq R\}.$$

We omit the subscript (X, d) if the underlying metric space is clear from context. We say that (X, d) is *doubling* if there is a constant \mathcal{D} such that every closed ball in X can be covered by \mathcal{D} closed balls of half the radius, and we let $\mathcal{D}_{(X,d)}$ denote the infimal \mathcal{D} for which this holds. (X, d) is a *length space* if, for every $x, y \in X$, the distance $d(x, y)$ is equal to the infimum of the length of continuous curves connecting x to y in X .

If μ is a measure on the Borel σ -algebra of X , we refer to (X, d, μ) as a *metric measure space*. Such a space is said to be *Ahlfors β -regular* if there are constants $c_1, c_2 > 0$ such that

$$c_1 R^\beta \leq \mu(B(x, R)) \leq c_2 R^\beta \quad \forall x \in X, R \in [0, \text{diam}(X)].$$

It will occasionally be convenient to record the constants c_1, c_2 , in which case we say that (X, d, μ) is (c_1, c_2, β) -regular. We recall the following elementary fact:

Fact 1.15. *If (X, d, μ) is Ahlfors β -regular for some $\beta > 0$, then (X, d) is doubling, and $\mathcal{D}_{(X,d)} \leq C$ for some constant $C = C(c_1, c_2, \beta)$ depending only on c_1, c_2, β .*

We will employ an appropriate system of hierarchical dyadic partitions of a doubling metric space (X, d) along the lines of [Chr90] and [Dav91]. Deterministic and stochastic constructions of this type are a basic tool in harmonic analysis and metric geometry (see, e.g., [LN05] and [HK12]).

For our purposes, it will be easiest to use a construction from [HK12] which we summarize here. Consider a metric space (X, d) . A bi-infinite sequence $\mathbf{P} = \{\mathbf{P}_n : n \in \mathbb{Z}\}$ of partitions of X is said to be a *hierarchical system* if \mathbf{P}_n is a refinement of \mathbf{P}_{n+1} for all $n \in \mathbb{Z}$. We say that \mathbf{P} is Δ -adic if

$$S \in \mathbf{P}_n \implies \text{diam}_{(X,d)}(S) \leq \Delta^n \quad \forall n \in \mathbb{Z}.$$

Theorem 1.16 ([HK12]). *Suppose (X, d) is a doubling metric space. Then there are numbers $Q, \ell, \Delta \geq 2$ that depend only on $\mathcal{D}_{(X,d)}$ such that the following holds. There is a collection $\{\mathbf{P}^{(1)}, \dots, \mathbf{P}^{(Q)}\}$ of Δ -adic hierarchical systems such that for every subset $S \subseteq X$ with $\text{diam}_{(X,d)}(S) \leq \Delta^m$, there is a set*

$$C \in \bigcup_{i=1}^Q \mathbf{P}_{m+\ell}^{(i)}$$

such that $S \subseteq C$.

2 Quasi-packings and quasisymmetric invariance

We first demonstrate that the quasi-multiplicity condition (1.2) can be replaced by a simpler assumption whenever (X, dist) is an Ahlfors-regular length space and one uses only strict balls instead of quasi-balls.

2.1 Round balls, length spaces, and coarse packings

Let \mathcal{B} denote the set of closed balls in (X, dist) . Say that a graph G is (τ, M) -coarsely packed in (X, dist) if there is a map $\Phi : V(G) \rightarrow \mathcal{B}$ satisfying (1.1) as well as

$$\#\{v \in V(G) : x \in \Phi(v)\} \leq M \quad \forall x \in X. \quad (2.1)$$

Recall that G *coarsely packs* in (X, dist) if it is (τ, M) -coarsely packed for some $\tau, M \geq 1$. Our goal in this section is to provide conditions on (X, dist) under which coarse packings yield quasi-packings.

Theorem 2.1. *Suppose that (X, dist, μ) is an Ahlfors d -regular metric measure space and additionally that (X, dist) is a length space. Then for every locally finite graph G :*

$$G \text{ coarsely packs in } (X, \text{dist}) \implies G \text{ quasi-packs in } (X, \text{dist}).$$

Quantitatively, if G is (τ, M) -coarsely packed in (X, dist) , then it is (τ', M) -quasi-packed in (X, dist) with $\tau' \leq C\tau$, for some $C = C(X, \text{dist})$.

We will prove the theorem after establishing a few preliminary results. Assume now that $\mathcal{X} = (X, \text{dist}, \mu)$ is a complete, separable metric measure space. A Borel set $S \subseteq X$ is said to be η -round if the following holds: For every ball B in X whose center lies in \bar{S} (the closure of S) and for which $S \not\subseteq B$, we have

$$\mu(S \cap B) \geq \eta \cdot \mu(B). \quad (2.2)$$

Say that \mathcal{X} is η -round if every ball in \mathcal{X} is η -round, and that \mathcal{X} is *uniformly round* if it is η -round for some $\eta > 0$. For instance, \mathbb{R}^d with the Euclidean metric is 2^{-d} -round.

We recall that the measure μ is said to be *doubling* if there is a constant $C \geq 1$ such that

$$\mu(B(x, 2r)) \leq C\mu(B(x, r)) \quad (2.3)$$

for all $x \in X$ and $r \geq 0$.

Lemma 2.2. *If \mathcal{X} is a length space and μ is doubling, then \mathcal{X} is uniformly round. In particular, if (2.3) holds for some $C \geq 1$, then \mathcal{X} is $1/(2C)$ -round.*

Proof. Let $B_0 = B(x, r)$. Consider any $y \in B_0$ and $r' < r$. Since (X, dist) is a length space, there is a point $z \in B_0$ with $\text{dist}(y, z) + \text{dist}(z, x) = \text{dist}(x, y)$ and satisfying

$$\begin{aligned} \text{dist}(x, z) &\leq r - r', \\ \text{dist}(y, z) &\leq r'. \end{aligned}$$

In particular, it holds that $B(z, r') \subseteq B(y, r') \cap B(x, r)$, implying that

$$\mu(B_0 \cap B(y, r')) \geq \mu(B(z, r')) \geq C^{-1}\mu(B(z, 2r')) \geq C^{-1}\mu(B(y, r')). \quad \square$$

We will require the following elementary fact which states that a point in an Ahlfors d -regular space cannot be near too many pairwise-disjoint η -round bodies of large diameter.

Lemma 2.3. *Suppose \mathcal{X} is (c_1, c_2, d) -regular and $S_1, S_2, \dots, S_K \subseteq X$ are η -round sets that satisfy*

$$\#\{i \in \{1, \dots, K\} : y \in S_i\} \leq s \quad \forall y \in X, \quad (2.4)$$

and furthermore there is some $x \in X$ such that

$$\max_{i \in [K]} \text{dist}(x, S_i) < \alpha \cdot \min_{i \in [K]} \text{diam}(S_i),$$

Then,

$$K \leq s \frac{c_2}{c_1 \eta} (1 + 2\alpha)^d.$$

Proof. Let $\lambda = \max_{i \in [K]} \text{dist}(x, S_i)$, and let $\{x_i\}$ be a collection of points such that $x_i \in \bar{S}_i$ and $\text{dist}(x, x_i) \leq \lambda$. Consider the balls $B_i = B(x_i, \lambda/(2\alpha))$. By assumption, $\text{diam}(S_i) > \lambda/\alpha$, hence $S_i \not\subseteq B_i$. Thus by the definition of η -round,

$$\mu(S_i \cap B_i) \geq \eta \mu(B_i) \geq \eta c_1 (\lambda/(2\alpha))^d,$$

where the latter inequality follows from the Ahlfors regularity of \mathcal{X} . But the sets $\{S_i\}$ satisfy $S_i \cap B_i \subseteq B(x, \lambda(1 + 1/(2\alpha)))$ for every $i \in [K]$ and (2.4), implying that

$$K \eta c_1 (\lambda/(2\alpha))^d \leq s \cdot \mu(B(x, \lambda(1 + 1/(2\alpha)))) \leq s c_2 \lambda^d (1 + 1/(2\alpha))^d,$$

where again the final inequality uses the Ahlfors d -regularity. \square

Proof of Theorem 2.1. Consider $\Phi : V(G) \rightarrow \mathcal{B}$ and suppose that (2.1) holds for some M' . Let $v_1, \dots, v_M \in V(G)$ be such that $B(x, r) \cap \Phi(v_i) \neq \emptyset$ and $\text{diam}(\Phi(v_i)) \geq r$ for each $i = 1, \dots, M$. Under our assumptions, for some $c_1, c_2, \eta > 0$, Lemma 2.3 (applied with $s = M'$ and $\alpha = 1$) yields

$$M \leq M' \frac{c_2}{c_1 \eta} 3^d \quad \square$$

2.2 Quasisymmetric stability

Recall that if (X, d_X) and (Y, d_Y) are metric spaces, then a map $f : X \rightarrow Y$ is η -quasisymmetric if there is a homeomorphism $\eta : [0, \infty) \rightarrow [0, \infty)$ such that for all $x, y, z \in X$:

$$\frac{d_Y(f(x), f(y))}{d_Y(f(x), f(z))} \leq \eta \left(\frac{d_X(x, y)}{d_X(x, z)} \right). \quad (2.5)$$

The spaces (X, d_X) and (Y, d_Y) are said to be *quasisymmetrically equivalent* if, for some η , there is an η -quasisymmetric bijection from X to Y .

A metric space (X, d_X) is *uniformly perfect* if there is a number $\lambda \geq 1$ so that for every $x \in X$ and $r > 0$, the set $B_X(x, r) \setminus B_X(x, r/\lambda)$ is non-empty whenever $B_X(x, r)$ is non-empty. We refer to [Hei01, §11] for background on these notions and their interplay. In particular, one has the following basic facts.

Lemma 2.4. *If (X, d_X) and (Y, d_Y) are quasisymmetrically equivalent, then (X, d_X) is uniformly perfect if and only if (Y, d_Y) is uniformly perfect.*

Lemma 2.5. *If $f : X \rightarrow Y$ is η -quasisymmetric and $A \subseteq B \subseteq X$, then*

$$\frac{1}{2\eta \left(\frac{\text{diam}_X(B)}{\text{diam}_X(A)} \right)} \leq \frac{\text{diam}_Y(f(A))}{\text{diam}_Y(f(B))} \leq \eta \left(\frac{2 \text{diam}_X(A)}{\text{diam}_X(B)} \right).$$

Lemma 2.6 ([Tys01, Lem. 2.5]). *If $f : X \rightarrow Y$ is η -quasisymmetric and S is a τ -quasi-ball in X , then $f(S)$ is a $2\eta(\tau)$ -quasi-ball in Y .*

The main result of this section is that, for uniformly perfect spaces, if X and Y are quasisymmetrically equivalent, then the classes of graphs that quasi-pack into X and Y coincide.

Theorem 2.7. *Suppose (X, d_X) and (Y, d_Y) are quasisymmetrically equivalent uniformly perfect spaces. Then there is a constant $K \geq 1$ such that a locally finite graph G is (τ, M) -quasi-packed in (X, d_X) if and only if it is (τ', M) -quasi-packed in (Y, d_Y) , and moreover $K^{-1}\tau \leq \tau' \leq K\tau$.*

Proof. Let $f : X \rightarrow Y$ be an η -quasisymmetric bijection. Since f is a bijection, we will assume that $X = Y$. We use B_X and B_Y to denote balls in the metrics d_X and d_Y , respectively. Assume that (X, d_X) is uniformly perfect with constant $\lambda \geq 1$.

Suppose that G is (τ, M) -quasi-packed in (X, d_X) , and let $\Phi : V(G) \rightarrow \mathcal{B}_\tau$ denote a mapping that verifies (1.1) and (1.2). Our goal is to establish that $f \circ \Phi$ witnesses a (τ', M) -quasi-packing in (Y, d_Y) for some $\tau' \geq 1$. For every $v \in V(G)$, let (x_v, r_v) be such that $B_X(x_v, r_v) \subseteq \Phi(v) \subseteq B_X(x_v, \tau r_v)$.

Quasi-tangency. Consider $\{u, v\} \in E(G)$ and suppose that $\text{diam}_Y(\Phi(u)) \geq \text{diam}_Y(\Phi(v))$. Observe that (1.1) implies there is a $z \in \Phi(u) \cap B_X(x_v, 2\tau^2 r_v)$. Thus Lemma 2.5 gives

$$\begin{aligned} d_Y(\Phi(u), \Phi(v)) &\leq \text{diam}_Y(B_X(x_v, 2\tau^2 r_v)) \\ &\leq \text{diam}_Y(\Phi(v)) \cdot 2\eta \left(\frac{4\tau^2 r_v}{\text{diam}_X(\Phi(v))} \right) \\ &\leq \text{diam}_Y(\Phi(v)) \cdot 2\eta(4\lambda\tau^2), \end{aligned}$$

where the second inequality employs Lemma 2.5, and in the last inequality we have used that X is uniformly perfect. Employing Lemma 2.6, we have thus verified that (1.1) holds for $f \circ \Phi$ with $\tau' = 2\eta(4\lambda\tau^2)$.

Quasi-multiplicity. Consider now some $x' \in Y$, $r' > 0$, and a subset $S \subseteq V(G)$ such that $B_Y(x', r') \cap \Phi(v) \neq \emptyset$ and $\text{diam}_Y(\Phi(v)) \geq \tau' r'$ for all $v \in S$.

Let $D := \text{diam}_X(B_Y(x', r'))$. Fix $v \in S$ and $z \in B_Y(x', r') \cap \Phi(v)$. Choose $z' \in \Phi(v)$ such that $d_Y(z, z') \geq \tau' r'/2$. Choose $z'' \in B_Y(x', r')$ so that $d_X(z, z'') \geq D/2$. Note that f^{-1} is η' -quasisymmetric with $\eta'(t) = 1/\eta^{-1}(1/t)$, therefore from (2.5):

$$\frac{\text{diam}_X(B_Y(x', r'))}{\text{diam}_X(\Phi(v))} \leq \frac{\text{diam}_X(B_Y(x', r'))}{d_X(z, z')} \leq 2 \frac{d_X(z, z'')}{d_X(z, z')} \leq \eta' \left(\frac{d_Y(z, z'')}{d_Y(z, z')} \right) \leq \eta' \left(\frac{4}{\tau'} \right). \quad (2.6)$$

Choose τ' large enough so that $\eta'(4/\tau') \leq 1/\tau$. Let $r := \text{diam}_X(B_Y(x', r'))$ and fix any $x \in B_Y(x', r)$. By construction, $B_X(x, r) \cap \Phi(v) \neq \emptyset$ for every $v \in S$. By (2.6) and our choice of τ' , we have

$$\text{diam}_X(\Phi(v)) \geq \tau r \quad \forall v \in S.$$

Applying the quasi-multiplicity condition (1.2) to Φ , we see that $|S| \leq M$. We have thus verified that (1.2) holds also for $f \circ \Phi$ with τ' is chosen appropriately. \square

3 Discrete conformal metrics on d -dimensional graphs

We first state the main technical result of this section. Recall the definition

$$d_* := \max(d, 2).$$

Theorem 3.1. *For every $d, \tau, M \geq 1$ and $c_1, c_2 > 0$, there is a number $C \geq 1$ such that the following holds. Suppose $G = (V, E)$ is a finite graph that is (τ, M) -quasi-packed in a (c_1, c_2, d) -regular space \mathcal{X} . Then for every $R \geq 0$, there is a conformal weight $\omega : V \rightarrow \mathbb{R}_+$ that satisfies*

$$\frac{1}{|V|} \sum_{x \in V} \omega(x)^{d_*} = 1, \quad (3.1)$$

and such that

$$\max_{x \in V} |B_\omega(x, R)| \leq CR^{d_*}. \quad (3.2)$$

Combining this with Theorem 1.13 yields Theorem 1.8.

3.1 Properties of quasi-packings

Suppose that G is (τ, M) -quasi-packed in a (c_1, c_2, d) -regular space (X, dist, μ) for some $d, \tau, M \geq 1$ and $c_1, c_2 > 0$. Let $\{S_v : v \in V(G)\}$ denote a family of τ -quasi-balls in X that satisfy (1.1) and (1.2). We now collect all the properties we will require of such a “packing” in proving the main theorem.

Throughout this section and the next, we will use the asymptotic notation $A \lesssim B$ to denote that $A \leq C \cdot B$ for some constant C that depends only the parameters d, c_1, c_2, τ, M . We use $A \asymp B$ to denote the conjunction of $A \lesssim B$ and $B \lesssim A$.

1. For every $v \in V(G)$,

$$\text{diam}(S_v)^d \asymp \mu(S_v). \quad (3.3)$$

This follows immediately from the definition of (c_1, c_2, d) -regular.

2. For every $x \in X$,

$$\#\{v \in V(G) : x \in S_v\} \lesssim 1.$$

This follows from (1.2) with $r = 0$.

3. For every $\{u, v\} \in E(G)$ and $x \in S_u, y \in S_v$:

$$\text{dist}(x, y) \lesssim \text{diam}(S_u) + \text{diam}(S_v). \quad (3.4)$$

This follows immediately from (1.1).

4. Consider a Borel set $Y \subseteq X$. It holds that

$$\sum_{v \in V(G) : S_v \subseteq Y} \mu(S_v) \lesssim \mu(Y) \lesssim \text{diam}(Y)^d. \quad (3.5)$$

The first inequality follows from (2) and the second from Ahlfors regularity.

5. For any $\lambda \geq 1$, there is a number $C = C(\lambda, c_1, c_2, d, \tau)$ such that for all $x \in X$ and $r > 0$,

$$\#\{v \in V(G) : \text{diam}(S_v) \geq r \text{ and } \text{dist}(x, S_v) \leq \lambda r\} \lesssim C. \quad (3.6)$$

We derive this from (1.2) as follows. Cover $B(x, \lambda r)$ by balls $B_1, B_2, \dots, B_{C'}$ of radius r/τ , where $C' = C'(c_1, c_2, d, \tau, \lambda)$. Now apply (1.2) to each B_i separately to obtain (3.6) with $C \leq C'M$.

3.2 Discrete uniformization

Our proof of [Theorem 3.1](#) is inspired by the “isolation lemma” of Benjamini and Schramm [BS01] (see also [BC11, Gil14]). Suppose $G = (V, E)$ is sphere-packed in \mathbb{R}^d . When the spheres $\{S_v : v \in V\}$ in the packing have comparable radii, the background Euclidean metric provides a reasonable conformal weight; one sets $\omega(v)$ proportional to the radius of the sphere S_v .

Difficulties arise when the radii degenerate, for instance near an accumulation point (in the case of infinite G); see, for example, [Figure 1\(a\)](#). But if one imagines an *isolated* accumulation point as a cone, then it becomes rather tame: If we think of it as a metric on $\mathbb{S}^{d-1} \times [0, \infty)$, where the d th dimension is along the axis of the cone, then we merely need to do a “1-dimensional uniformization” along the axis (this can be seen in the use of the concavity of $x \mapsto x^{1/d}$ in [Corollary 3.9](#) below). It would be problematic if the accumulation points themselves accumulated, e.g., as for a circle packing of a triangulation of the hyperbolic plane (e.g., [Figure 1\(b\)](#)). But the Benjamini-Schramm lemma asserts that this cannot happen for distributional limits of finite graphs packed in \mathbb{R}^d .

By default, we use the notation $\text{diam}(\cdot)$ to denote the diameter in the metric dist . When we consider another metric, it will be explicitly specified.

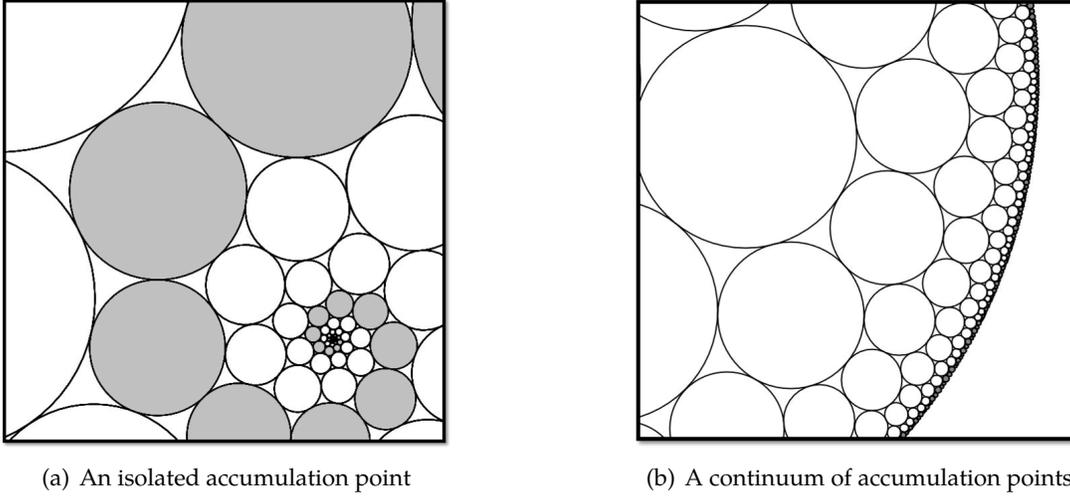


Figure 1: Accumulation points

3.2.1 Construction of the conformal weight

Suppose now that $G = (V, E)$ is a finite graph that is (τ, M) -quasi-packed in (X, dist, μ) . To each $v \in V$, associate a τ -quasi-ball $S_v \subseteq X$ so that Section 3.1(1)–(5) are satisfied.

Assume that $k \geq 3$ is given. We will establish the existence of a metric $\omega : V \rightarrow \mathbb{R}_+$ that satisfies $\frac{1}{|V|} \sum_{x \in V} \omega(x)^d \lesssim 1$ and such that any subset $U \subseteq V$ with $|U| = 2^k$ satisfies $\text{diam}_\omega(U) \gtrsim 2^{k/d}$. This suffices to establish Theorem 3.1.

Identify v with an arbitrary point in S_v so that we may consider $V \subseteq X$. Define $\omega_0(v) := \mu(S_v)^{1/d}$. Then (3.3) gives:

$$\text{diam}(S_v) \asymp \omega_0(v). \quad (3.7)$$

Let $\mathbf{P} = \{\mathbf{P}_n : n \in \mathbb{Z}\}$ denote a Δ -adic hierarchical system in X (recall Section 1.7). Define

$$\hat{\mathbf{P}} := \{(C, n) : n \in \mathbb{Z}, C \in \mathbf{P}_n\}.$$

Consider a positive integer $s \lesssim 1$ to be chosen soon.

The level of a cube. For a pair $(C, n) \in \hat{\mathbf{P}}$, define

$$\text{lev}_{\mathbf{P}}(C, n) := \max \{j \in \mathbb{N} : |(V \cap C) \setminus C^j| \geq 2^j \text{ for all } C^j \in \mathbf{P}_{n-s}\}.$$

The relevance of this definition is as follows. If $\text{lev}_{\mathbf{P}}(C, n) = j$, then we are witnessing a “feature” of size $\approx 2^j$ that will not be fully seen by any cube at any lower scale. (For technical reasons, we actually shift by s scales, but $s \lesssim 1$.)

Thus we need to “uniformize” this feature at the current scale. Since we are trying to ensure d -dimensional volume growth, it should not be that this set of 2^j points is contained in a set of dist_ω -diameter significantly less than $2^{j/d}$ (for $d \geq 2$).

Let us first present a heuristic analysis. Suppose we consider a cube $C \in \mathbf{P}_n$ of diameter at most Δ^n and $\text{lev}_{\mathbf{P}}(C, n) = j$. Moreover, suppose that for $v \in V \cap C$, it holds that $\omega_0(v) \lesssim \Delta^n$. (This is the case of “small bodies” in the arguments below; large bodies are handled by a separate argument.)

Then we should scale the metric ω_0 by $\approx \Delta^{-n} 2^{j/d}$ to ensure that we inflate this set to large enough diameter. (This is assuming that $\text{diam}(V \cap C) \approx \Delta^n$; if the bulk $V \cap C$ has much smaller

diameter, this feature will be detected at the correct scale in some other hierarchical system.) Thus we should endow the vertices $v \in V \cap C$ with weight $\omega(v) \geq \beta \omega_0(v)$, where $\beta \approx \Delta^{-n} 2^{j/d}$.

Consider now how much conformal weight we have spent. By a simple volume argument (3.5), the total ℓ_d -weight allocated is proportional to

$$\Delta^{-nd} 2^j \sum_{v \in V \cap C} \omega_0(v)^d \lesssim \Delta^{-nd} 2^j \Delta^{nd} \lesssim 2^j.$$

Thus if we hope to keep the total ℓ_d -weight bounded, it should be that we cannot detect too many level- j features. This is the content of the next lemma which follows [BS01, Lem 2.3].

Lemma 3.2. *For all integers $j \geq 0$,*

$$\#\{(C, n) \in \hat{\mathbf{P}} : \text{lev}_P(C, n) = j\} \leq \frac{2s|V|}{2^j}. \quad (3.8)$$

Proof. Fix $j \geq 0$. Denote

$$[\sigma] := \{n \in \mathbb{Z} : n \equiv \sigma \pmod{s}\}.$$

We will prove that for $\sigma \in \{0, 1, \dots, s-1\}$,

$$\#\{(C, n) \in \hat{\mathbf{P}} : \text{lev}_P(C, n) = j \text{ and } n \in [\sigma]\} \leq \frac{2|V|}{2^j}. \quad (3.9)$$

Fix $\sigma \in \{0, 1, \dots, s-1\}$. For a pair $(C, n) \in \hat{\mathbf{P}}$, define the set of children

$$\Lambda(C, n) := \{C' \subseteq C : C' \in \mathbf{P}_{n-s}\}.$$

Define a “flow” $F : (2^X \times [\sigma]) \times (2^X \times [\sigma]) \rightarrow \mathbb{R}$ “up” the hierarchical system \mathbf{P} as follows: For every $n \in [\sigma]$,

$$F((C', n-s), (C, n)) = \begin{cases} \min\{2^{j-1}, |C' \cap V|\} & C \in \mathbf{P}_n, C' \in \Lambda(C, n) \\ 0 & \text{otherwise.} \end{cases}$$

Define also:

$$\begin{aligned} F_{\text{in}}(C, n) &:= \sum_{(C', n') \in \hat{\mathbf{P}}} F((C', n'), (C, n)), \\ F_{\text{out}}(C, n) &:= \sum_{(C', n') \in \hat{\mathbf{P}}} F((C, n), (C', n')), \\ F_{\text{in}}^{(n)} &:= \sum_{C \in \mathbf{P}_n} F_{\text{in}}(C, n). \end{aligned}$$

We make three observations:

1. First, notice that flow only goes “up” from a child set to a parent set, and thus from a lower level to a higher level:

$$F((C', n'), (C, n)) > 0 \implies n, n' \in [\sigma], n = n' + s, C' \in \Lambda(C, n).$$

2. The flow out of (C, n) is always at most the flow into (C, n) : $F_{\text{out}}(C, n) \leq F_{\text{in}}(C, n)$. This is because for $C \in \mathbf{P}_n$,

$$\sum_{C' \in \Lambda(C, n)} |C' \cap V| = |C \cap V|.$$

3. When $\text{lev}_P(C, n) = j$, the flow leaving (C, n) is less than the flow entering (C, n) by a least 2^{j-1} because by definition of $\text{lev}_P(C, n)$,

$$\sum_{C' \in \Lambda(C, n)} \min\{2^{j-1}, |C' \cap V|\} \geq 2^j.$$

In particular, combining this with observation (2) yields, for every $n \in \mathbb{Z}$,

$$F_{\text{in}}^{(n+1)} \leq F_{\text{in}}^{(n)} - 2^{j-1} \#\{C \in P_n : \text{lev}_P(C, n) = j\}. \quad (3.10)$$

On the other hand, let $n_0 \in [\sigma]$ be small enough so that every $C \in P_{n_0}$ contains at most one point of V . Then $F_{\text{in}}^{(n)} \leq |V|$ for all $n \leq n_0$. Combining this with (3.10) and the fact that $F \geq 0$ implies (3.9). \square

Let us now assume additionally that P is Δ -adic for some $2 \leq \Delta \leq 1$ to be fixed momentarily. Given $S \subseteq X$ and a parameter $n \in \mathbb{Z}$, we define the enlargements

$$N(S, R) := \{x \in X : \text{dist}(x, S) \leq R\}.$$

Define also the truncated level function:

$$\text{lev}_P^*(C, n) := \min\{\text{lev}_P(C, n), k\},$$

where we recall that k is the parameter defined at the beginning of [Section 3.2.1](#).

Remark 3.3. The motivation for this truncation lies in the definition (3.12) below, and the fact that we are only attempting to establish (3.2) for a single value of R or, equivalently, a single value of k . Considering “features” with level larger than k would incur a quantitative overhead that doesn’t allow us to obtain a constant C in (3.2) that is independent of R .

Note that [Lemma 3.2](#) gives

$$\#\{(C, n) \in \hat{P} : \text{lev}_P^*(C, n) = j\} \leq \frac{4s|V|}{2^j}, \quad (3.11)$$

where the extra factor of 2 comes from the consequence

$$\#\{(C, n) \in \hat{P} : \text{lev}_P(C, n) \geq j\} \leq \frac{4s|V|}{2^j}.$$

Recall that $d_* = \max(d, 2)$. For every $(C, n) \in \hat{P}$, we define a function $\theta_P^{(C, n)} : V \rightarrow \mathbb{R}$ as follows:

$$\theta_P^{(C, n)}(v) := \begin{cases} \frac{2^{\text{lev}_P^*(C, n)/d_*}}{(1 + k - \text{lev}_P^*(C, n))^{2/d_*}} \cdot \min\left\{\Delta^{-n}, \frac{1}{\omega_0(v)}\right\} & \text{if } S_v \cap N(C, 2\tau\Delta^n) \neq \emptyset, \\ 0 & \text{otherwise.} \end{cases} \quad (3.12)$$

Define a conformal weight $\omega_P : V \rightarrow \mathbb{R}_+$ by

$$\omega_P(v) := \omega_0(v) \left(\sum_{(C, n) \in \hat{P}} \left(\theta_P^{(C, n)}(v) \right)^{d_*} \right)^{1/d_*}$$

The $1/\omega_0(v)$ factor in (3.12) is there to handle the case of a set S_v with $\text{diam}(S_v) > \Delta^n$ intersecting the neighborhood of C . Denote

$$E_n(C) := \left\{ v \in V : \omega_0(v) > \Delta^n \text{ and } S_v \cap N(C, 2\tau\Delta^n) \neq \emptyset \right\}, \quad (3.13)$$

From (3.7), we have $v \in E_n(C) \implies \text{diam}(S_v) \gtrsim \omega_0(v) \gtrsim \Delta^n$, and therefore (3.6) implies that

$$|E_n(C)| \lesssim 1 \quad \text{for all } (C, n) \in \hat{\mathbf{P}}. \quad (3.14)$$

Now write:

$$\sum_{v \in V} \omega_{\mathbf{P}}(v)^{d_*} = \sum_{j=0}^k \frac{2^j}{(1+k-j)^2} \sum_{n \in \mathbb{Z}} \sum_{\substack{C \in \mathbf{P}_n: \\ \text{lev}_{\mathbf{P}}^*(C, n) = j}} \left(|E_n(C)| + \Delta^{-d_* n} \sum_{\substack{v \in V: \\ S_v \cap N(C, 2\tau\Delta^n) \neq \emptyset \\ \omega_0(v) \leq \Delta^n}} \omega_0(v)^{d_*} \right). \quad (3.15)$$

From (3.7), we have $\text{diam}(S_v) \leq K_0 \omega_0(v)$ for some $1 \leq K_0 \lesssim 1$ and every $v \in V$. Thus in the case $d = d_*$, for a fixed $C \in \mathbf{P}_n$, we have

$$\begin{aligned} \Delta^{-d_* n} \sum_{\substack{v \in V: \\ S_v \cap N(C, 2\tau\Delta^n) \neq \emptyset \\ \omega_0(v) \leq \Delta^n}} \omega_0(v)^{d_*} &= \Delta^{-d n} \sum_{\substack{v \in V: \\ S_v \cap N(C, 2\tau\Delta^n) \neq \emptyset \\ \omega_0(v) \leq \Delta^n}} \mu(S_v) \\ &\stackrel{(3.5)}{\lesssim} \Delta^{-d n} \text{diam}(N(C, (2\tau + K_0)\Delta^n))^d \\ &\lesssim 1. \end{aligned}$$

When $d < d_*$, use monotonicity of ℓ_p norms to write:

$$\left(\sum_{\substack{v \in V: \\ S_v \cap N(C, 2\tau\Delta^n) \neq \emptyset \\ \omega_0(v) \leq \Delta^n}} \left(\frac{\omega_0(v)}{\Delta^n} \right)^{d_*} \right)^{d/d_*} \leq \sum_{\substack{v \in V: \\ S_v \cap N(C, 2\tau\Delta^n) \neq \emptyset \\ \omega_0(v) \leq \Delta^n}} \left(\frac{\omega_0(v)}{\Delta^n} \right)^d = \Delta^{-d n} \sum_{\substack{v \in V: \\ S_v \cap N(C, 2\tau\Delta^n) \neq \emptyset \\ \omega_0(v) \leq \Delta^n}} \mu(S_v) \stackrel{(3.5)}{\lesssim} 1.$$

Using this in (3.15) together with (3.14), we conclude that

$$\begin{aligned} \sum_{x \in V} \omega_{\mathbf{P}}(x)^{d_*} &\lesssim \sum_{j=0}^k \frac{2^j}{(1+k-j)^2} \#\{(C, n) \in \hat{\mathbf{P}} : \text{lev}_{\mathbf{P}}^*(C, n) = j\} \\ &\stackrel{(3.11)}{\leq} |V| \sum_{j=0}^k \frac{4s}{(1+k-j)^2} \\ &\lesssim |V|. \end{aligned} \quad (3.16)$$

Since (X, dist) is doubling, [Theorem 1.16](#) implies that for some positive integers $Q, \ell, \lesssim 1$ and $2 \leq \Delta \lesssim 1$, there is a sequence $\{\mathbf{P}^{(1)}, \dots, \mathbf{P}^{(Q)}\}$ of Δ -adic hierarchical systems in X such that:

$$S \subseteq X, \text{diam}(S) \leq \Delta^m \implies S \subseteq C \text{ for some } (C, m + \ell) \in \bigcup_{i=1}^Q \hat{\mathbf{P}}^{(i)}. \quad (3.17)$$

Let us now set

$$s := \ell + 4$$

in the preceding construction. To construct our final weight, we define

$$\omega := \omega_{\mathbf{P}(1)} + \cdots + \omega_{\mathbf{P}(Q)}. \quad (3.18)$$

It follows that

$$\left(\frac{1}{|V|} \sum_{x \in V} \omega(x)^{d_*} \right)^{1/d_*} \lesssim \max \left\{ \left(\frac{1}{|V|} \sum_{x \in V} \omega_{\mathbf{P}(i)}(x)^{d_*} \right)^{1/d_*} : i = 1, \dots, Q \right\} \stackrel{(3.16)}{\lesssim} 1,$$

where in the first inequality we used the fact that $Q \lesssim 1$.

3.2.2 The growth bound

The next lemma finishes the proof of [Theorem 3.1](#).

Lemma 3.4. *For every subset of vertices $U \subseteq V$ with $|U| = 2^k$, there is an index $i \in \{1, \dots, Q\}$ satisfying*

$$\text{diam}_{\omega_{\mathbf{P}(i)}}(U) \gtrsim 2^{k/d_*}. \quad (3.19)$$

Proof. Let us fix a subset $U \subseteq V$, and denote $D = \text{diam}(U) > 0$. Let $n' := \lceil \log_{\Delta} D \rceil + \ell$. Then by [\(3.17\)](#), there is an index $i \in \{1, \dots, Q\}$ such that $U \subseteq C$ for some $(C, n') \in \hat{\mathbf{P}}^{(i)}$. Let $\mathbf{P} = \mathbf{P}^{(i)}$.

We now define inductively a sequence of pairs $(C'_0, n'), (C'_1, n' - s), \dots, (C'_{m'}, n' - m's) \in \hat{\mathbf{P}}$ as follows.

- Let $C'_0 := C$.
- If $|U \cap C'_i| \leq 1$, we set $m' := i$ and stop.

Otherwise, we choose $C'_{i+1} \in \mathbf{P}_{n-s(i+1)}$ to be an element of the set $\{C' \in \mathbf{P}_{n-s(i+1)} : C' \subseteq C'_i\}$ that maximizes $|U \cap C'|$.

Let us then pass to the maximal subsequence $\{(C_0, n_0), (C_1, n_1), \dots, (C_m, n_m)\}$ of the sequence $\{(C'_0, n), (C'_1, n - s), \dots, (C'_{m'}, n - m's)\}$ with $n_0 > n_1 > \dots > n_m$ and the property that

$$n_i = \min \left\{ n : \exists (C'_j, n' - js) \text{ with } n = n' - js \text{ and } C'_j \cap U = C_i \cap U \right\}.$$

In other words, we enforce the property that

$$C_{i+1} \cap U \neq C_i \cap U \quad \text{for each } i = 0, 1, \dots, m-1. \quad (3.20)$$

Define $C_{m+1} = \emptyset$.

We have chosen the sequence $\{n_i\}$ in this way so that for every $i \in \{0, 1, \dots, m\}$,

$$\text{lev}_{\mathbf{P}}^*(C_i, n_i) \geq \lfloor \log_2 |(U \cap C_i) \setminus C_{i+1}| \rfloor. \quad (3.21)$$

From our choice of $s = \ell + 4$ and the fact that \mathbf{P} is Δ -adic with $\Delta \geq 2$, it holds that

$$\text{diam}(C_1) \leq \Delta^{n'-s} \leq \Delta^{-3} D \leq \frac{D}{8}.$$

Since $\text{diam}(U) = D$, there must exist some $u_0 \in U$ such that

$$\text{dist}(u_0, C_1) > \frac{D}{4} > \Delta^{n_1}. \quad (3.22)$$

exist because γ begins at $u_0 \notin N(C_1, \Delta^{n_1})$ (recall (3.22)) and γ ends at $u_m \in C_m$. Let γ_i denote the subpath $\langle v_{s_i}, \dots, v_{t_i} \rangle$. Define γ_0 similarly unless $\gamma \subseteq N(C_0, \Delta^{n_0})$. In that case, we define $\gamma_0 := \gamma$. Observe that, by construction,

$$\text{len}_{\text{dist}}(\gamma_i) \gtrsim \Delta^{n_i}. \quad (3.26)$$

For $i \geq 1$, this follows from $v_{s_i} \notin N(C_i, \Delta^{n_i})$ but $v_{t_i} \in N(C_i, \Delta^{n_i}/2)$. If $i = 0$ and $\gamma_0 = \gamma$, it follows from

$$\text{len}_{\text{dist}}(\gamma) \geq \text{dist}(u_0, u_m) \stackrel{(3.22)}{\geq} D/4 \gtrsim \Delta^{n_0}.$$

This yields a lower bound on the ω_0 -length of each γ_i .

Lemma 3.5. *For each $i \in \{0, 1, \dots, m\}$,*

$$\text{len}_{\omega_0}(\gamma_i) \gtrsim \Delta^{n_i}.$$

Proof. Parameterize $\gamma_i = \langle x_1, x_2, \dots, x_h \rangle$. From (3.4), we have

$$\text{dist}(x_j, x_{j+1}) \lesssim \text{diam}(S_{x_j}) + \text{diam}(S_{x_{j+1}}) \lesssim \omega_0(x_j) + \omega_0(x_{j+1}), \quad (3.27)$$

where the last inequality is (3.7).

We conclude that

$$\text{len}_{\omega_0}(\gamma_i) \geq \frac{1}{2} \sum_{j=1}^h \omega_0(x_j) \stackrel{(3.27)}{\gtrsim} \sum_{j=1}^{h-1} \text{dist}(x_j, x_{j+1}) = \text{len}_{\text{dist}}(\gamma_i) \gtrsim \Delta^{n_i}. \quad \square$$

Toward proving (3.25), observe that

$$\text{len}_{\omega_P}(\gamma) \geq \frac{1}{2} \sum_{j=0}^t \omega_P(v_j) \geq \frac{1}{2} \sum_{j=0}^t \omega_0(v_j) \left(\sum_{i=0}^m (\theta_P^{(C_i, n_i)}(v_j))^{d_*} \right)^{1/d_*} \quad (3.28)$$

Recall that $1 \leq K_0 \leq 1$ was chosen so that $\text{diam}(S_v) \leq K_0 \omega_0(v)$ for all $v \in V$. Recalling (3.4), let $1 \leq K_1 \leq 1$ be such that

$$\max \{ \text{dist}(x, y) : x \in S_u, y \in S_v \} \leq K_1 (\text{diam}(S_u) + \text{diam}(S_v)) \quad \forall \{u, v\} \in E.$$

For each $v \in V$, denote

$$L(v) := \left\{ i \in \{0, 1, \dots, m\} : \omega_0(v) > \frac{\Delta^{n_i}}{8K_0K_1} \text{ and } S_v \cap N(C_i, 2\tau\Delta^{n_i}) \neq \emptyset \right\}.$$

This is the set of indices i such that S_v intersects the neighborhood of C_i but $\text{diam}(S_v)$ is “large” with respect to $\text{diam}(C_i)$.

Define the subset

$$\Lambda := \left\{ i \in \{0, 1, \dots, m\} : i \notin \bigcup_{v \in \gamma} L(v) \right\},$$

and the quantities

$$N_\Lambda := \sum_{i \in \Lambda} N_i$$

$$N_{\bar{\Lambda}} := 2^k - N_\Lambda.$$

Clearly the following two claims suffice to establish (3.25).

Lemma 3.6 (Large bodies). *If $N_{\bar{\Lambda}} \geq 2^{k-1}$, then*

$$\text{len}_{\omega_P}(\gamma) \gtrsim 2^{k/d_*}.$$

Lemma 3.7 (Small bodies). *If $N_{\Lambda} \geq 2^{k-1}$, then*

$$\text{len}_{\omega_P}(\gamma) \gtrsim 2^{k/d_*}.$$

In proving these two lemmas, we will need the following elementary estimate. It is a discretized version of the fact that the $x \mapsto (\log x)^{-2/d_*} x^{1/d_*}$ is concave on the interval $[c, \infty)$ for some $c > 1$.

Lemma 3.8. *For some integer $A \geq 2$, consider $S_A = \{(a_0, a_1, \dots, a_k) \in \mathbb{Z}_+^{k+1} : A = a_0 2^k + a_1 2^{k-1} + \dots + a_k\}$. Then the quantity*

$$\sum_{i=0}^k a_i \frac{2^{(k-i)/d_*}}{(7+i)^{2/d_*}} \quad (3.29)$$

is minimized over S_A when $a_1, \dots, a_k \in \{0, 1\}$.

Proof. Consider any $(a_0, a_1, \dots, a_k) \in S_A$ such that $a_i \geq 2$ for some $i > 0$. Then $(a'_0, a'_1, \dots, a'_k) \in S_A$ where $a'_j = a_j$ if $j \notin \{i, i-1\}$, and $a'_i = a_i - 2$, $a'_{i-1} = a_{i-1} + 1$. We can calculate the change in the value of (3.29):

$$\begin{aligned} \frac{2^{(k-i)/d_*}}{(6+i)^{2/d_*}} - 2 \frac{2^{(k-(i+1))/d_*}}{(7+i)^{2/d_*}} &= 2^{(k-i)/d_*} \left(\frac{1}{(6+i)^{2/d_*}} - \frac{2^{1-1/d_*}}{(7+i)^{2/d_*}} \right) \\ &= \frac{2^{(k-i)/d_*}}{(7+i)^{2/d_*}} \left(\left(1 + \frac{1}{6+i}\right)^{2/d_*} - 2^{1-1/d_*} \right) < 0, \end{aligned}$$

where we have used $d_* \geq 2$. □

Corollary 3.9. *Suppose that for some $a_0, a_1, a_2, \dots, a_k \in \mathbb{Z}_+$, it holds that $a_0 2^k + a_1 2^{k-1} + \dots + a_k \geq 2^{k-2}$. Then,*

$$\sum_{i=0}^k a_i \frac{2^{(k-i)/d_*}}{(1+i)^{2/d_*}} \geq \frac{2^{(k-2)/d_*}}{9}.$$

Proof. Applying Lemma 3.8 gives

$$\sum_{i=0}^k a_i \frac{2^{(k-i)/d_*}}{(1+i)^{2/d_*}} \geq \sum_{i=0}^k a_i \frac{2^{(k-i)/d_*}}{(7+i)^{2/d_*}} \geq \frac{2^{(k-2)/d_*}}{9 \cdot 2^{2/d_*}} \geq \frac{2^{(k-2)/d_*}}{9}. \quad \square$$

Contribution from large bodies. Now we can prove Lemma 3.6.

Proof of Lemma 3.6. From the definition (3.12), we have

$$i \in L(v) \implies \left(\omega_0(v) \theta_P^{(C_i, n_i)}(v) \right)^{d_*} \gtrsim \frac{2^{\ell_i}}{(1+k-\ell_i)^2} \quad (3.30)$$

Using (3.28) in conjunction with (3.30) yields

$$\text{len}_{\omega_P}(\gamma) \gtrsim \sum_{v \in \gamma} \left(\sum_{i \in L(v)} \frac{2^{\ell_i}}{(1+k-\ell_i)^2} \right)^{1/d_*} \geq \sum_{v \in \gamma} \sum_{i \in L(v)} \frac{2^{\ell_i/d_*}}{(1+k-\ell_i)^{2/d_*}}. \quad (3.31)$$

Now from (3.23), we have

$$\sum_{v \in \gamma} \sum_{i \in L(v)} 2^{\ell_i} \geq N_{\bar{\Lambda}}/2 \geq 2^{k-2}.$$

Thus Corollary 3.9 in conjunction with (3.31) yields the desired bound. □

Contribution from small bodies. Once we restrict ourselves to subpaths γ_i composed of bodies that are “small” with respect to the scale of the cube C_i , we can argue that the corresponding subpaths are well-behaved.

Lemma 3.10. *For every $i \in \Lambda$, if $\gamma_i = \langle x_1, \dots, x_h \rangle$, then*

$$\text{dist}(x_j, x_{j+1}) \leq \frac{\Delta^{n_i}}{4} \quad \text{for } j = 1, 2, \dots, h-1.$$

In particular, it holds that $\gamma_i \subseteq N(C_i, 2\Delta^{n_i}) \setminus N(C_i, \Delta^{n_i}/4)$.

Proof. By construction, we have $x_2, \dots, x_h \in N(C_i, \Delta^{n_i})$ and $x_1, \dots, x_{h-1} \notin N(C_i, \Delta^{n_i}/2)$. Thus the second assertion of the lemma follows from the first.

To verify the former, note that since $x_2, \dots, x_h \in N(C_i, \Delta^{n_i})$, we have $S_{x_j} \cap N(C_i, \Delta^{n_i}) \neq \emptyset$ for $j = 2, \dots, h$. Therefore since $i \in \Lambda$, it holds that $\omega_0(x_j) \leq \frac{\Delta^{n_i}}{8K_0K_1}$ for $j = 2, \dots, h$. In particular, $\text{diam}(S_{x_2}) \leq K_0\omega_0(x_2) \leq \frac{\Delta^{n_i}}{8}$. Since $\{x_1, x_2\} \in E$, the quasi-tangency condition (1.1) gives

$$\text{dist}(S_{x_1}, S_{x_2}) \leq \tau \cdot \text{diam}(S_{x_2}) \leq \tau \frac{\Delta^{n_i}}{8},$$

and therefore

$$S_{x_2} \cap N(C_i, \Delta^{n_i}) \neq \emptyset \implies S_{x_1} \cap N(C_i, 2\tau\Delta^{n_i}) \neq \emptyset.$$

Since $i \in \Lambda$, we have $\omega_0(x_1) \leq \frac{\Delta^{n_i}}{8K_0K_1}$ as well.

Using this in conjunction with (3.4), it holds that for $j = 1, 2, \dots, h-1$, since $\{x_j, x_{j+1}\} \in E(G)$,

$$\text{dist}(x_j, x_{j+1}) \leq K_1 \left(\text{diam}(S_{x_j}) + \text{diam}(S_{x_{j+1}}) \right) \leq K_0K_1(\omega_0(x_j) + \omega_0(x_{j+1})) \leq 2K_0K_1 \frac{\Delta^{n_i}}{8K_0K_1} \leq \frac{\Delta^{n_i}}{4}. \quad \square$$

Recall that $\gamma = \langle v_0, v_1, \dots, v_t \rangle$.

Lemma 3.11. *For each $j \in \{0, 1, \dots, t\}$, v_j occurs in at most one subpath $\{\gamma_i : i \in \Lambda\}$.*

Proof. Note that since $n_{i+1} \leq n_i - s$ for all $i = 0, 1, \dots, m-1$, and $\Delta \geq 2, s \geq 4$, the sets $N(C_i, 2\Delta^{n_i}) \setminus N(C_i, \Delta^{n_i}/4)$ are pairwise disjoint for all $i = 0, 1, \dots, m$. Hence the result follows from Lemma 3.10. \square

We can now finish the proof.

Proof of Lemma 3.7. First, note that Lemma 3.11 implies that for every $j \in \{0, 1, \dots, t\}$,

$$\left(\sum_{\substack{i \in \Lambda: \\ v_j \in \gamma_i}} \left(\theta_P^{(C_i, n_i)}(v_j) \right)^{d_*} \right)^{1/d_*} = \sum_{\substack{i \in \Lambda: \\ v_j \in \gamma_i}} \theta_P^{(C_i, n_i)}(v_j).$$

Using this in (3.28) yields

$$\text{len}_{\omega_P}(\gamma) \geq \frac{1}{2} \sum_{j=0}^t \omega_0(v_j) \sum_{\substack{i \in \Lambda: \\ v_j \in \gamma_i}} \theta_P^{(C_i, n_i)}(v_j) = \frac{1}{2} \sum_{i \in \Lambda} \left(\sum_{v \in \gamma_i} \theta_P^{(C_i, n_i)}(v) \omega_0(v) \right). \quad (3.32)$$

From Lemma 3.5, we know that

$$\sum_{v \in \gamma_i} \omega_0(v) \gtrsim \Delta^{n_i}. \quad (3.33)$$

For $i \in \Lambda$, Lemma 3.10 yields $\gamma_i \subseteq N(C_i, 2\Delta^{n_i})$, hence $S_v \cap N(C_i, 2\Delta^{n_i}) \neq \emptyset$ for each $v \in \gamma_i$. From the definition of Λ , this yields $\omega_0(v) \leq \frac{\Delta^{n_i}}{8K_0}$, thus from the definition (3.12),

$$v \in \gamma_i \implies \theta_{\mathbf{P}}^{(C_i, n_i)}(v) \gtrsim \Delta^{-n_i} \frac{2^{\ell_i/d_*}}{(1+k-\ell_i)^{2/d_*}}.$$

Combining this with (3.32) and (3.33) gives

$$\text{len}_{\omega_{\mathbf{P}}}(\gamma) \gtrsim \sum_{i \in \Lambda} \frac{2^{\ell_i/d_*}}{(1+k-\ell_i)^{2/d_*}}. \quad (3.34)$$

By (3.23) and our assumption that $N_{\Lambda} = \sum_{i \in \Lambda} N_i \geq 2^{k-1}$, we have $\sum_{i \in \Lambda} 2^{\ell_i} \geq 2^{k-2}$. Thus Corollary 3.9 in conjunction with (3.34) yields

$$\text{len}_{\omega_{\mathbf{P}}}(\gamma) \gtrsim 2^{k/d_*},$$

completing the proof. □

□

3.3 d -parabolicity

We first discuss two examples showing that for distributional limits of finite graphs with uniformly bounded degrees, d -parabolicity and the property that $\overline{\text{dim}}_{\text{cg}}^d(G, \rho) \leq d$ are incomparable.

First, we remark on the following general construction. Let $\{(H_n, \rho_n) : n \geq 1\}$ be a sequence of non-isomorphic, finite rooted graphs, and let p be a probability on \mathbb{N} . Let (\mathbf{H}, \mathbf{h}) be the random rooted graph that arises by choosing (H_n, ρ_n) with probability $p(n)$. Suppose furthermore that

$$\mathbb{E}[|V(\mathbf{H})|] = \sum_{n \geq 1} p(n) |V(H_n)| < \infty. \quad (3.35)$$

Consider a path P_N of length $N \geq 1$, and attach to each vertex of P_N an independent copy of (\mathbf{H}, \mathbf{h}) (we identify \mathbf{h} with the corresponding vertex in P_N). This yields a random graph G_N , and we choose a root $r_N \in V(G_N)$ uniformly at random. We claim that $\{(G_N, r_N)\}$ has a distributional limit (G, ρ) . To see this, note that

$$q(n) := \lim_{N \rightarrow \infty} \mathbb{P}[r_N \text{ is in a copy of } H_n] = \frac{p(n) |V(H_n)|}{\mathbb{E}[|V(\mathbf{H})|]}.$$

Now (3.35) implies that q is a probability on \mathbb{N} .

It is then straightforward to describe the limit: (G, ρ) is a bi-infinite path P with some fixed vertex $v_0 \in V(P)$. At v_0 , we attach a copy H of (H_n, ρ_n) with probability $q(n)$, and choose $\rho \in V(H)$ uniformly at random. At every vertex in $V(P) \setminus \{v_0\}$, we attach an independent copy of (\mathbf{H}, \mathbf{h}) .

Using the weight $W(v) := \frac{1_{V(P)}(v)}{1 + \text{dist}_G(v_0, v)}$ verifies the following claim.

Claim 3.12. G is almost surely 2-parabolic.

Example 3.13 (Infinite conformal growth exponent but 2-parabolic). Now let $\{H_n : n \geq 1\}$ denote an infinite family of connected, transitive, d -regular graphs with $|V(H_n)| \in [n, 2n]$ and

$$\text{diam}(H_n) < C \log(n+1), \quad (3.36)$$

for some $C > 0$. (The diameter here refers to the graph metric.) For instance, one can take a family of expanding Cayley graphs.

Lemma 3.14. *If $\rho_n \in V(H_n)$ is uniformly random, then for any $\omega : V(H_n) \rightarrow \mathbb{R}_+$:*

$$\max_{x \in V(H_n)} \left| B_\omega \left(x, 2C \log(n+1) \sqrt{\mathbb{E}[\omega(\rho_n)^2]} \right) \right| \geq \frac{n}{4}. \quad (3.37)$$

Proof. Consider the following family of convex sets indexed by $D > 0$:

$$C_D := \left\{ \omega : \mathbb{E}[\omega(\rho_n)^2] \leq 1 \text{ and } \frac{1}{|V(H_n)|^2} \sum_{x, y \in V(H_n)} \text{dist}_\omega(x, y) \geq D \right\}.$$

By convexity and transitivity of H_n , $\omega_0 \in C_D \iff C_D \neq \emptyset$, where $\omega_0 \equiv 1$ is the uniform weight. Note that dist_{ω_0} is simply the graph metric dist_{H_n} , hence (3.36) implies that $C_{C \log(n+1)} = \emptyset$.

Thus for any $\omega : V(H_n) \rightarrow \mathbb{R}_+$, there is an $x_0 \in V(H_n)$ such that

$$\frac{1}{|V(H_n)|} \sum_{x \in V(H_n)} \text{dist}_\omega(x, x_0) < C \sqrt{\mathbb{E}[\omega(\rho_n)^2]} \cdot \log(n+1).$$

In particular, for $R := C \sqrt{\mathbb{E}[\omega(\rho_n)^2]} \cdot \log(n+1)$, it holds that

$$|B_\omega(x_0, 2R)| > \frac{1}{2} |V(H_n)|,$$

completing the proof. \square

Define $p(n) := \frac{c'}{n^2(\log(n+1))^2}$, where the constant c' is chosen so that p is a probability on \mathbb{N} . Then (3.35) is satisfied, hence there is a distributional limit (G, ρ) as above. By Claim 3.12, G is almost surely 2-parabolic.

Let ω denote a (unimodular) L^2 -normalized conformal weight on (G, ρ) , and define the numbers

$$W_n := \sqrt{\mathbb{E}[\omega(\rho)^2 \mid \rho \text{ is in a copy of } H_n]}.$$

Since ω is L^2 -normalized, we have

$$\sum_{n \geq 1} q(n) W_n^2 \leq 1.$$

Because $q(n) \asymp \frac{1}{n(\log n)^2}$, there must exist an infinite set $I \subseteq \mathbb{N}$ such that $n \in I \implies W_n \leq \log n$.

Note that the Mass-Transport Principle yields, for $n \geq 2$,

$$\mathbb{E} \left[\frac{1}{|V(H)|} \sum_{x \in V(H)} \omega(x)^2 \mid \rho \text{ is in a copy } H \text{ of } H_n \right] = W_n^2,$$

hence Markov's inequality gives

$$\mathbb{P} \left[\frac{1}{|V(H)|} \sum_{x \in V(H)} \omega(x)^2 > (\log n)^2 W_n^2 \mid \rho \text{ is in a copy } H \text{ of } H_n \right] \leq \frac{1}{\log n}.$$

Applying the Mass-Transport Principle again, a straightforward application of Borel-Cantelli shows that almost surely there are infinitely many $n \in I$ such that G contains a copy H of H_n with

$$\frac{1}{|V(H)|} \sum_{x \in V(H)} \omega(x)^2 < (\log n)^2 W_n^2 \leq (\log n)^4.$$

And in this case, (3.37) yields

$$\max_{v \in V(H)} |B_\omega(v, 2C \log(n+1)^3)| \geq \frac{n}{4},$$

clearly ruling out any finite growth exponent. This demonstrates that $\underline{\dim}_{\text{cg}}(G, \rho) = \infty$.

Example 3.15 (2-dimensional conformal growth, but not 2-parabolic). We will exhibit a unimodular random graph (\widehat{T}, ρ) with $\deg_{\widehat{T}}(\rho) \leq 6$ almost surely, and such that \widehat{T} is almost surely transient (and hence *not* 2-parabolic), yet $\overline{\dim}_{\text{cg}}(\widehat{T}, \rho) \leq 2$.

Denote by T_n the complete 4-ary tree of height $n \geq 1$. Let us obtain a graph \widetilde{T}_n by replacing every edge at distance h from the leaves by $f(h)$ parallel paths of length $g(h)$, with

$$\begin{aligned} f(h) &:= 2^h, \\ g(h) &:= \left\lfloor 2^{h-\sqrt{h}} \right\rfloor. \end{aligned}$$

Observe that for any $x \in V(\widetilde{T}_n)$ and $i \geq 0$, it holds that

$$|B_{\widetilde{T}_n}(x, 2^{i-\sqrt{i}})| \leq O(1) \sum_{j=1}^i 4^{i-j} f(j)g(j) \leq O(4^i), \quad (3.38)$$

and moreover there is a flow from a leaf of \widetilde{T}_n to the root with energy at most

$$O(1) \sum_{j=1}^h \frac{g(j)}{f(j)} \leq O(1). \quad (3.39)$$

Thus if we let (T, ρ) denote the distributional limit of $\{(\widetilde{T}_n, \rho_n)\}$ with $\rho_n \in V(\widetilde{T}_n)$ chosen uniformly at random, then (3.39) implies that T is almost surely transient, and (3.38) implies that $\overline{\dim}_{\text{cg}}(T, \rho) \leq 2$ (using the normalized conformal weight $\omega \equiv 1$).

The only remaining issue is that the vertex degrees in (T, ρ) are not bounded. Since every distributional limit of finite planar graphs with uniformly bounded degrees is 2-parabolic, replacing the parallel paths with bounded-degree subgraphs will require the final step in our construction to be non-planar.

To obtain uniformly bounded degrees, we replace every vertex $x \in V(T_n)$ at distance $h = 0, 1, 2, \dots$ from the leaves with a cloud C_x containing $f(h) = 2^h$ vertices. Moreover, if $y \in V(T_n)$ is a child of x , we connect every vertex in C_y to exactly two vertices of C_x via internally-disjoint paths of length $g(h)$ to obtain a graph \widehat{T}_n .

Clearly one can do this in a manner so that if x is an internal node of T_n , then the degree of every vertex in C_x in \widehat{T}_n is precisely 6 (one path from each of its four children and two paths to its parent), unless x is the root of T_n , in which case the vertices in C_x have degree 4. Now let (\widehat{T}, ρ) denote the distributional limit of $\{(\widehat{T}_n, \rho_n)\}$ where $\rho_n \in V(\widehat{T}_n)$ is chosen uniformly at random.

It is straightforward that both the growth and energy estimates (3.38) and (3.39) hold for \widehat{T}_n as well, where now the flow is from a leaf to the cloud C_r of the root $r \in V(\widehat{T}_n)$. Therefore (\widehat{T}, ρ) is a unimodular random graph with essentially bounded degrees that is almost surely transient (and hence *not* 2-parabolic) but which satisfies $\overline{\dim}_{\text{cg}}(\widehat{T}, \rho) \leq 2$.

Using the duality between d -parabolicity and the $\ell^{d'}$ energy of a flow to ∞ (where $d' = \frac{d}{d-1}$ is the dual exponent to d), one can similarly construct examples, for every $d \geq 2$, of unimodular random graphs (G, ρ) such that is almost surely not d -parabolic but satisfies $\overline{\dim}_{\text{cg}}^d(G, \rho) \leq d$.

3.3.1 Gauged conformal growth and vertex extremal length

We now prove that gauged d -dimensional conformal growth implies d -parabolicity when the degree of the root is almost surely uniformly bounded.

Proof of Theorem 1.7. Fix $d \geq 1$ and a unimodular random graph (G, ρ) with gauged d -dimensional conformal growth and such that $\deg_G(\rho)$ is essentially bounded. For each $R \geq 0$, let ω_R be an L^d -normalized conformal metric on (G, ρ) that satisfies

$$\|B_{\omega_R}(\rho, R)\|_{L^\infty} \leq CR^d \quad (3.40)$$

for some constant $C \geq 1$.

From [Lee17, Lem. 2.6], we may assume that for each $R \geq 0$, the following additional properties hold almost surely:

1. For all $x \in V(G)$, $\omega_R(x) \geq 1/2$.
2. For all $\{x, y\} \in E(G)$, we have $\omega_R(x) \leq C' \omega_R(y)$, where $C' > 1$ is a constant depending only on $\|\deg_G(\rho)\|_{L^\infty}$.

Moreover, these additional properties are sufficient to guarantee that we can compare dist_{ω_R} balls to dist_G balls in the following sense (see [Lee17, Lem. 2.5]): Almost surely, for every $x \in V(G)$ and $R, r \geq 0$,

$$B_G\left(x, \frac{\log \frac{r}{2\omega_R(x)}}{\log C'}\right) \subseteq B_{\omega_R}(x, r) \subseteq B_G(x, 2r). \quad (3.41)$$

Fix $\varepsilon \in (0, 1)$, $n \geq 1$. Let $\{r_j\}$ be the sequence of numbers with $r_1 = 1$ and, that satisfies, for $j > 1$,

$$\frac{\log \frac{\varepsilon r_j}{16C'}}{\log C'} = 2r_{j-1}.$$

Denote

$$\Lambda_G := \left\{x \in V(G) : \omega_{r_j}(x) \leq \frac{1}{\varepsilon} \text{ for } j \leq n\right\}.$$

For $x \in V(G)$, let

$$A_j(x) := B_{\omega_{r_j}}(x, r_j) \setminus B_{\omega_{r_j}}\left(x, \frac{r_j}{8C'}\right).$$

By our choice of the sequence $\{r_j\}$ and (3.41), for every $x \in \Lambda_G$, we have

$$B_{\omega_{r_{j-1}}}(x, r_{j-1}) \subseteq B_G(x, 2r_{j-1}) \subseteq B_{\omega_{r_j}}(x, r_j/(8C')), \quad (3.42)$$

hence if $x \in \Lambda_G$, then the sets $A_1(x), A_2(x), \dots, A_n(x)$ are pairwise disjoint.

Consider now the following conformal weight which depends on the choice of some $z \in V(G)$:

$$\omega_{(z)}(x) := \left(\sum_{j=1}^n r_j^{-d} \omega_{r_j}(x)^d \mathbb{1}_{A_j(z)}(x) \right)^{1/d}.$$

By construction, if $z \in \Lambda_G$, then

$$\sum_{x \in V(G)} \omega_{(z)}(x)^d \leq \sum_{j=0}^n r_j^{-d} \mathcal{V}_{\omega_{r_j}}(z, r_j), \quad (3.43)$$

where

$$\mathcal{V}_\omega(x, r) := \sum_{y \in B_\omega(x, r)} \omega(y)^d,$$

we used the fact established earlier that $z \in \Lambda_G$ implies that the sets $A_j(z)$ are pairwise disjoint for $j = 1, 2, \dots, n$.

Now observe that

$$\text{dist}_{\omega(z)}(z, x) \geq \sum_{j=1}^n \frac{\text{dist}_{\omega_{r_j} \mathbb{1}_{A_j(z)}}(z, x)}{r_j}. \quad (3.44)$$

Suppose that $x \in V(G) \setminus B_G(z, 2r_n)$ and consider any path γ from z to x in G . Let γ_j denote the portion of γ which lies inside $A_j(z)$. Every vertex $u \in B_{\omega_j}(z, r_j/(8C'))$ satisfies $\omega_{r_j}(u) \leq r_j/(4C')$ by definition of $\text{dist}_{\omega_{r_j}}$, thus if $\{u, v\} \in E(G)$, then by Property (2) above, $\omega(v) \leq r_j/4$.

In particular,

$$\text{len}_{\omega_{r_j} \mathbb{1}_{A_j(z)}}(\gamma) = \text{len}_{\omega_{r_j}}(\gamma_j) \geq \frac{r_j}{2C'}.$$

Using (3.44), we conclude that

$$z \in \Lambda_G \implies \text{dist}_{\omega(z)}(z, V(G) \setminus B_G(z, 2r_n)) \geq \sum_{j=1}^n \frac{r_j}{2C'r_j} \geq \frac{n}{2C'}. \quad (3.45)$$

Let us now return to (3.43). For a conformal metric $\omega : V(G) \rightarrow \mathbb{R}_+$ and some $R > 0$, define the transport

$$F(G, \omega, x, y) = \omega(x)^d \mathbb{1}_{\{\text{dist}_\omega(x, y) \leq R\}}.$$

Then by the Mass-Transport Principle,

$$\begin{aligned} \mathbb{E}[\mathcal{V}_\omega(\rho, R)] &= \mathbb{E} \left[\sum_{x \in V(G)} F(G, \omega, x, \rho) \right] = \mathbb{E} \left[\sum_{x \in V(G)} F(G, \omega, \rho, x) \right] \\ &= \mathbb{E} \left[\omega(\rho)^d |B_\omega(\rho, R)| \right] \leq \|B_\omega(\rho, R)\|_{L^\infty} \mathbb{E} \left[\omega(\rho)^d \right]. \end{aligned}$$

We conclude from (3.40) that for each $j \leq n$,

$$\mathbb{E} \left[\mathcal{V}_{\omega_{r_j}}(\rho, r_j) \mid \rho \in \Lambda_G \right] \leq \frac{Cr_j^d}{\mathbb{P}[\rho \in \Lambda_G]},$$

hence

$$\mathbb{E} \left[\sum_{x \in V(G)} \omega(\rho)(x)^d \mid \rho \in \Lambda_G \right] \leq \frac{Cn}{1 - \varepsilon^d n},$$

where we have used Markov's inequality and a union bound to assert that $\mathbb{P}[\rho \in \Lambda_G] \geq 1 - \varepsilon^d n$.

Take $\varepsilon = 1/n$ and $n \geq 2$ in the preceding construction and define the event

$$\mathcal{E}(n) := \left\{ \omega_{r_j}(\rho) \leq n \text{ for } j \leq n \text{ and } \|\omega(\rho)\|_{\ell^d(V(G))}^d \leq 2Cn^{1.5} \right\}.$$

By Markov's inequality and a union bound, we have

$$\mathbb{P}(\mathcal{E}(n)) \geq 1 - \frac{2}{\sqrt{n}}.$$

Moreover from (3.45),

$$\mathcal{E}(n) \implies \frac{\text{dist}_{\omega(\rho)}(\rho, V(G) \setminus B_G(\rho, 2r_n))}{\|\omega(\rho)\|_{\ell_d(V(G))}} \geq \frac{n}{4C'C^{1/d}n^{1.5/d}} \geq \frac{n^{1/4}}{4C'\sqrt{C}}.$$

In other words, for every $n \geq 1$, it holds that

$$\mathbb{P} \left[\text{VEL}_d(\Gamma_G(\rho)) \geq \frac{n^{1/4}}{4C'\sqrt{C}} \right] \geq 1 - \frac{2}{\sqrt{n}}.$$

Sending $n \rightarrow \infty$, it follows that

$$\mathbb{P}[\text{VEL}_d(\Gamma_G(\rho)) = \infty] = 1,$$

i.e., almost surely G is d -parabolic. □

3.4 Spectral bounds for the graph Laplacian

We now prove the following generalization of [Theorem 1.10](#).

Theorem 3.16. *For every $d, \tau, M \geq 1, c_1, c_2 > 0$, there is a constant $C \geq 1$ such that the following holds. Suppose $G = (V, E)$ is an n -vertex graph that is (τ, M) -quasi-packed in a (c_1, c_2, d) -regular space (X, dist, μ) . Then for $k = 1, 2, \dots, n-1$,*

$$\lambda_k(G) \leq C \frac{\Delta_G(k)}{k} \left(\log \frac{n}{k} \right)^2 \left(\frac{k}{n} \right)^{2/d}.$$

Consider a finite connected graph $G = (V, E)$. Define the *Rayleigh quotient* $\mathcal{R}_G(f)$ of non-zero $f : V \rightarrow \mathbb{R}$ by

$$\mathcal{R}_G(f) := \frac{\sum_{\{x,y\} \in E} |f(x) - f(y)|^2}{\sum_{x \in V} \deg_G(x) f(x)^2}.$$

It is an elementary fact (see, e.g., [[Lee17](#), Cor. 3.1]) that to establish [Theorem 3.16](#), it suffices to find k disjointly supported functions $\varphi_1, \varphi_2, \dots, \varphi_k : V \rightarrow \mathbb{R}$ such that for each $i = 1, 2, \dots, k$,

$$\mathcal{R}_G(\varphi_i) \leq C \frac{\Delta_G(k)}{k} \left(\log \frac{n}{k} \right)^2 \left(\frac{k}{n} \right)^{2/d}.$$

Toward this end, we now state [[Lee17](#), Thm. 3.12]. For a finite graph $G = (V, E)$, denote $\bar{d}_G(\varepsilon) := \frac{\Delta_G(\varepsilon|V|)}{\varepsilon|V|}$.

Theorem 3.17. *There is a constant $C \geq 1$ such that the following holds. Consider a finite graph $G = (V, E)$ with $n = |V|$. Suppose that $\omega : V \rightarrow \mathbb{R}_+$ is a conformal metric on G satisfying*

1. $\frac{1}{|V|} \sum_{x \in V} \omega(x)^2 \leq 1$,
2. For some numbers $R > 0, K \geq 2$:

$$\max_{x \in V} |B_\omega(x, R)| \leq K \leq n/2. \tag{3.46}$$

Then there exist disjoint supported functions $\varphi_1, \varphi_2, \dots, \varphi_k : V \rightarrow \mathbb{R}_+$ with $k \geq n/16K$, and such that

$$\max \{ \mathcal{R}_G(\varphi_1), \dots, \mathcal{R}_G(\varphi_k) \} \leq C \frac{(\log K)^2 (\bar{d}_G(1/K) + \bar{d}_G(1/R^2))}{R^2}.$$

Remark 3.18. The statement of [Lee17, Thm. 3.12] contains an additional parameter α , and here we have used the fact that one can take $\alpha \leq O(\log K)$. This is a basic and well-known estimate; it follows, for instance, from [Lee17, Lem. 4.5] which itself is a reference to [LN05, Lem. 3.11].

Now [Theorem 3.16](#) is a consequence of the following proposition combined with [Theorem 3.1](#).

Proposition 3.19. *Suppose that $G = (V, E)$ is an n -vertex graph with (c, R, d) -growth for some numbers $c \geq 1, d \geq 2$ and all $R \geq 0$. Then for $k = 1, 2, \dots, n - 1$,*

$$\lambda_k(G) \leq O(1) \frac{\Delta_G(k)}{k} \left(\log \frac{n}{k} \right)^2 \left(\frac{ck}{n} \right)^{2/d}.$$

Proof. For each $R \geq 0$, let $\omega_R : V \rightarrow \mathbb{R}_+$ be a conformal metric on G satisfying

$$\frac{1}{|V|} \sum_{x \in V} \omega_R(x)^d = 1,$$

and

$$\max_{x \in V} |B_\omega(x, R)| \leq cR^d.$$

Note that from Hölder's inequality,

$$\frac{1}{|V|} \sum_{x \in V} \omega_R(x)^2 \leq \left(\frac{1}{|V|} \sum_{x \in V} \omega_R(x)^d \right)^{2/d} = 1.$$

So we can apply [Theorem 3.17](#) with ω_R and $K = cR^d$ to obtain, for $k \leq n/(16cR^d)$,

$$\lambda_k(G) \leq O(1) \frac{(d \log R)^2 \bar{d}_G(\frac{1}{cR^d})}{R^2}.$$

Setting $R := (n/16ck)^{1/d}$ yields

$$\lambda_k(G) \leq O(1) \left(\frac{ck}{n} \right)^{2/d} \left(\log \frac{n}{k} \right)^2 \frac{\Delta_G(k)}{k}.$$

completing the proof. □

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