

Are Mutants a Valid Substitute for Real Faults in Software Testing?

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How good is my test suite?

A good test suite detects real faults

Test quality metric is necessary in many areas:

- ▶ Test generation, minimization, prioritization, ...

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Solution: Use a **proxy metric** for test quality

- ▶ Code coverage ratio
- ▶ **Mutant detection rate**

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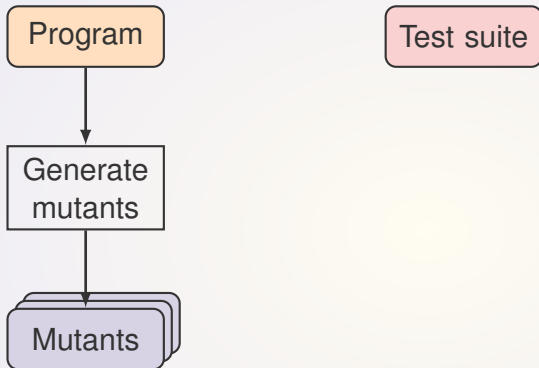
Mutant detection rate \approx Real fault detection rate?

Mutation analysis: Overview

Program

Test suite

Mutation analysis: Overview



Mutation analysis: Overview

Program

```
public float avg(float[] data) {  
    float sum = 0;  
    for (float num : data) {  
        sum += num;  
    }  
    return sum / data.length;  
}
```

Generate mutants

Mutants

```
public float avg(float[] data) {  
    float sum = 1;  
    for (float num : data) {  
        sum += num;  
    }  
    return sum / data.length;  
}
```

Each mutant contains one small syntactic change

Mutation analysis: Overview

Program



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Mutation analysis: Overview

Program

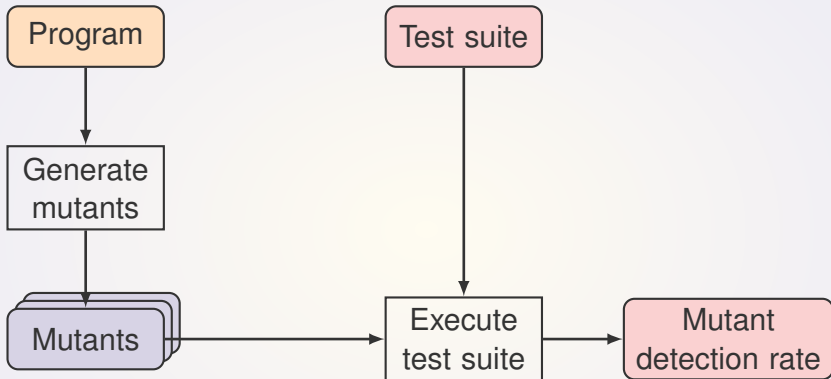
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Generate mutants

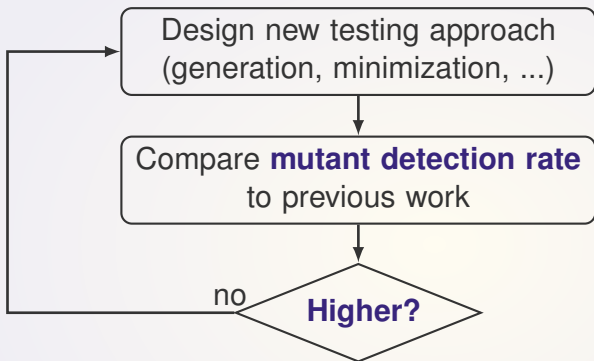
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            }  
            return sum * data.length;  
        }  
    }  
}
```

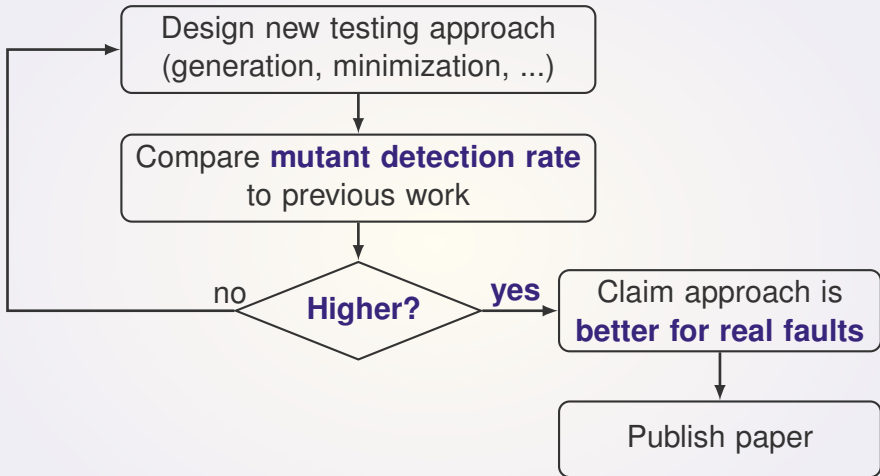
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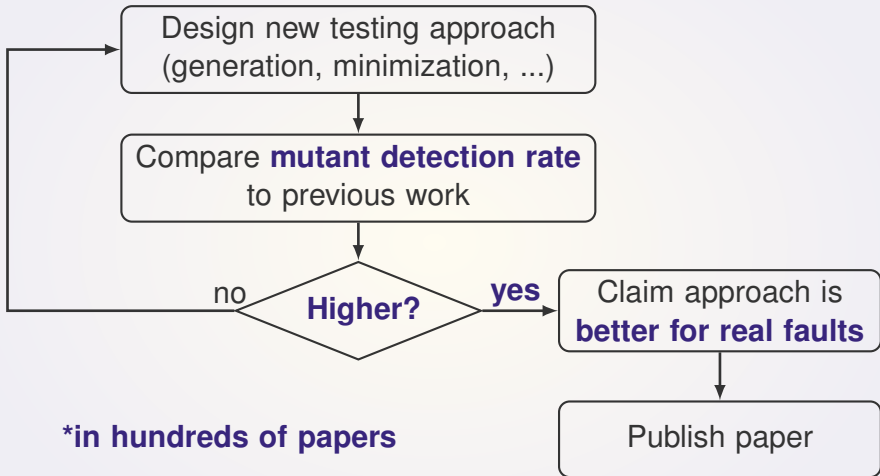
Mutation analysis: How it is used



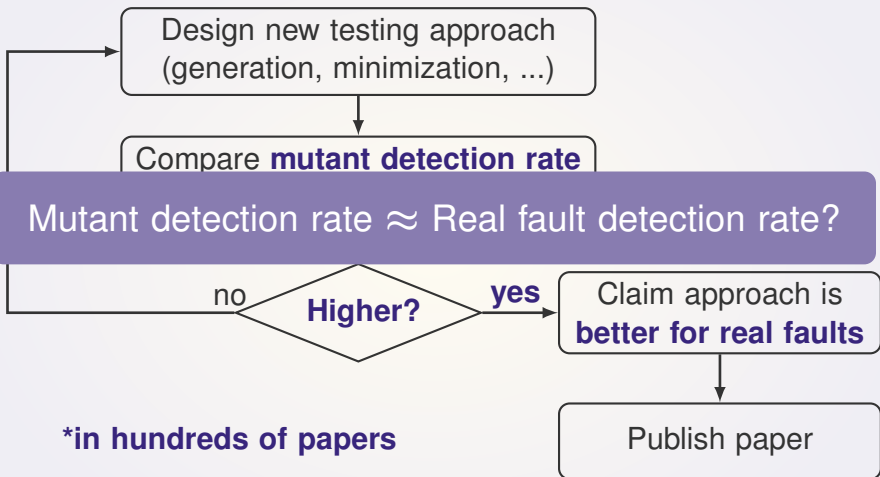
Mutation analysis: How it is used



Mutation analysis: How it is used*



Mutation analysis: How it is used*



Related work

ISSTA'96¹

ICSE'05²

FSE'14

¹Daran and Thévenod-Fosse, *ISSTA'96*.

²Andrews et al., *ICSE'05*.

Related work

	ISSTA'96 ¹	ICSE'05 ²	FSE'14
KLOC	1	6	321

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Tests	generated	generated	generated & developer-written
	—	—	Effect of code coverage considered
	—	—	Qualitative study of real faults

¹Daran and Thévenod-Fosse, *ISSTA'96*.

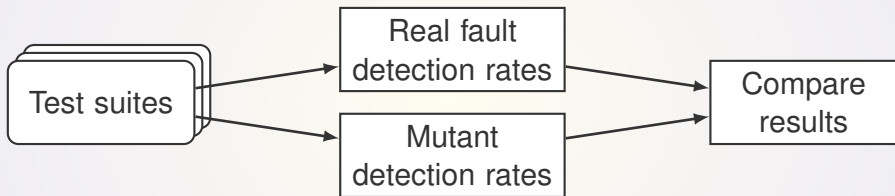
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Are mutants a valid substitute for real faults?

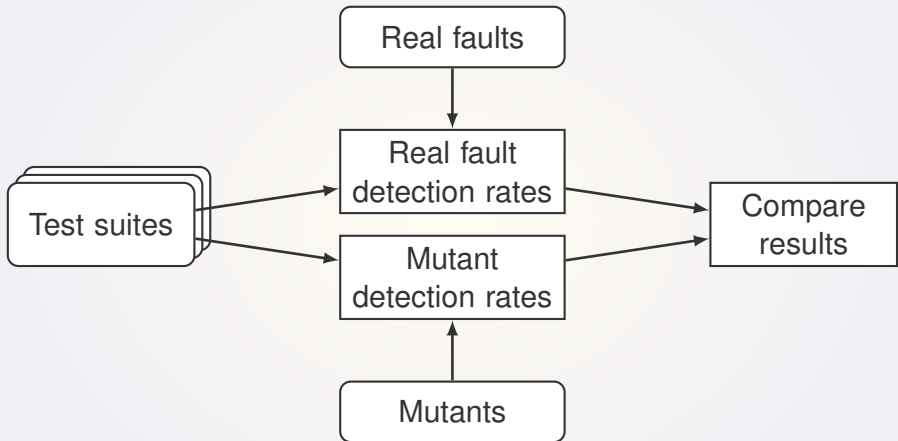
Research Questions

1. Do stronger test suites detect more mutants?
2. What types of real faults are not represented by mutants?
3. Is mutant detection correlated with fault detection?

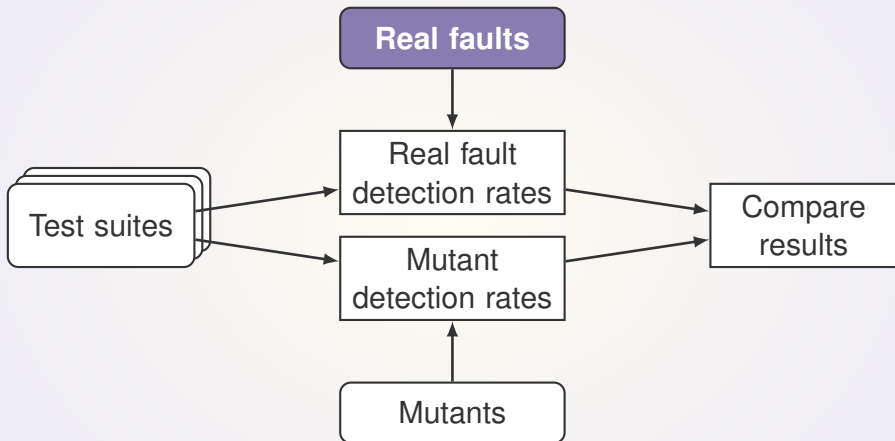
Methodology: Overview



Methodology: Overview



Methodology: Overview



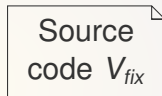
Reproducible and isolated real faults



Source
code V_{bug}

A rectangular box with a folded top-right corner, containing the text "Source code V_{bug} ".

Buggy version

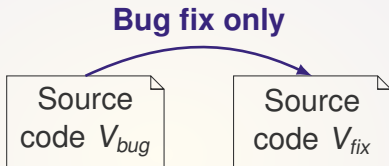


Source
code V_{fix}

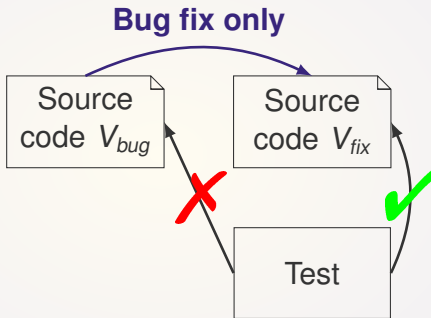
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Fixed version

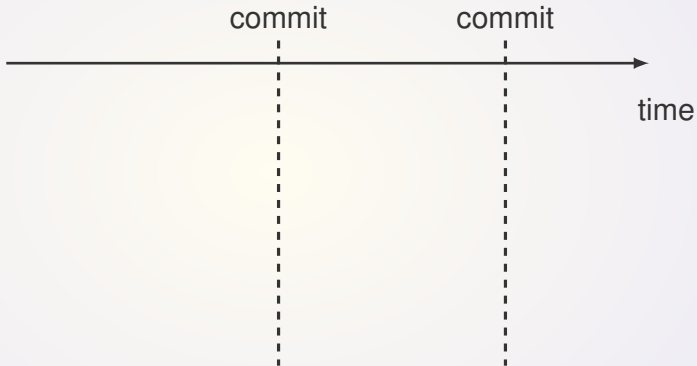
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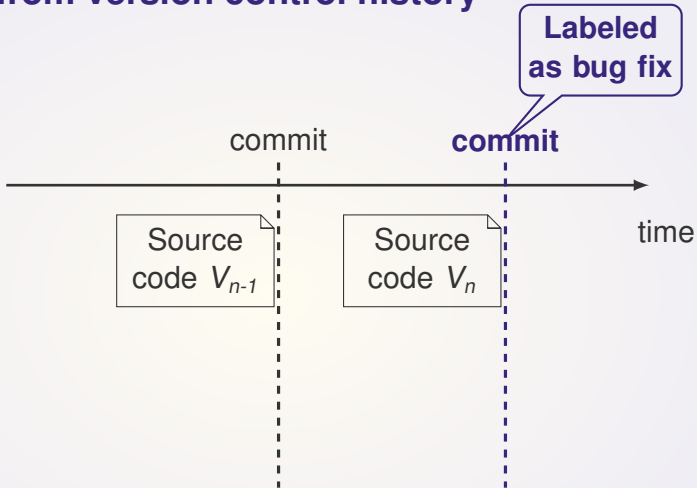
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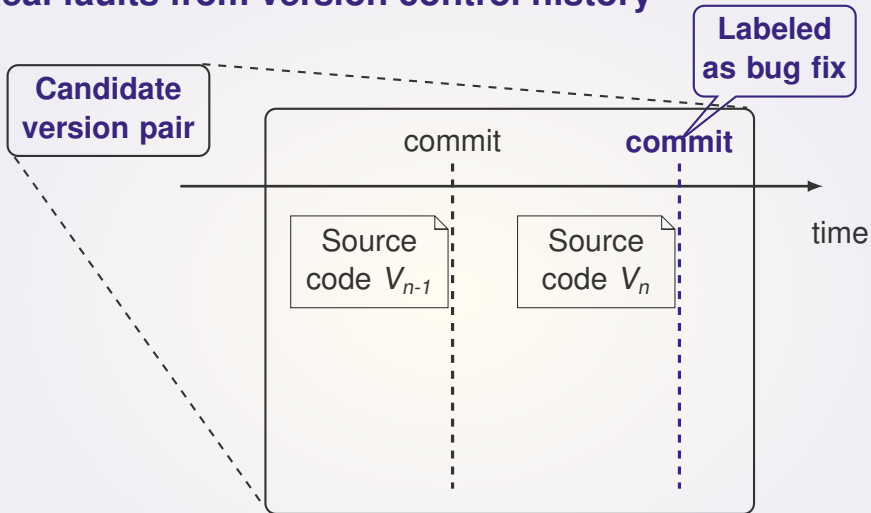
Real faults from version control history



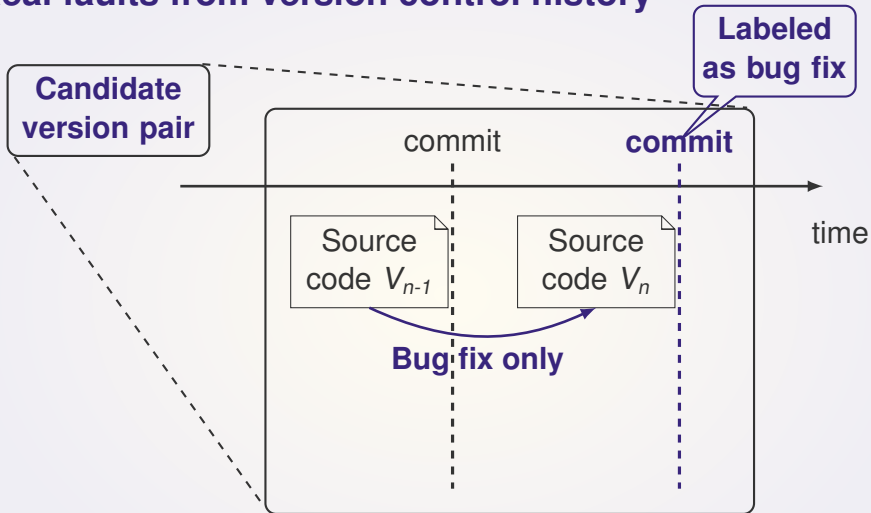
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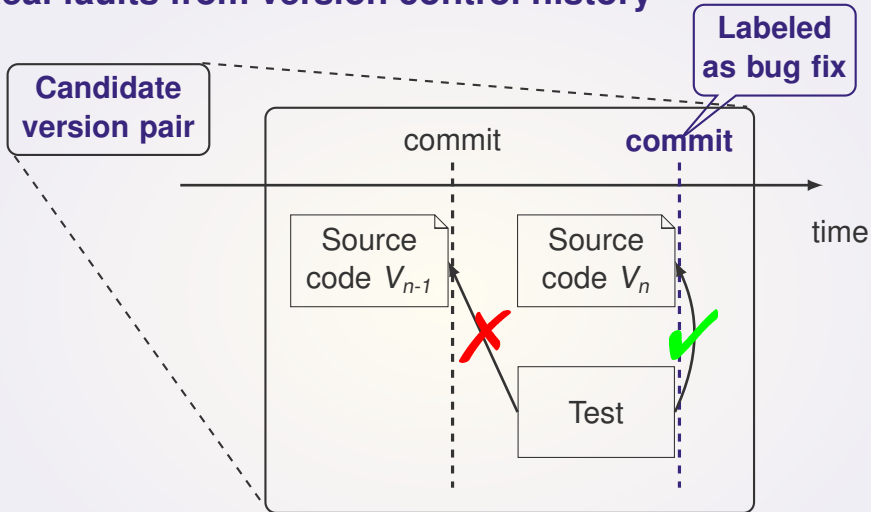
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Subject programs

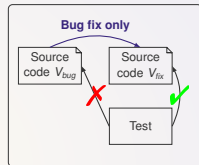
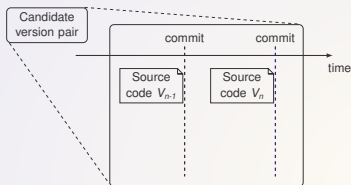
5 open source Java programs

- ▶ Different application domains
- ▶ Version control and bug tracking systems
- ▶ Comprehensive test suites

	KLOC	Test KLOC	Tests
JFreeChart	96	50	2,205
Closure Compiler	90	83	7,927
Commons Math	85	19	3,602
Joda Time	28	53	4,130
Commons Lang	22	6	2,245
Total	321	211	20,109

Real faults

357 reproducible and isolated real faults

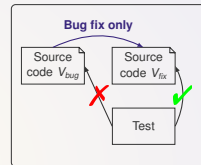
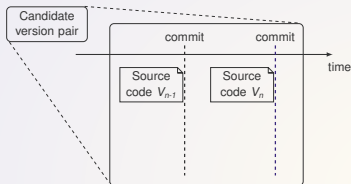


Candidates Compilable Reproducible Isolated

JFreeChart	80	62	28	26
Closure Compiler	316	227	179	133
Commons Math	435	304	132	106
Joda Time	75	57	29	27
Commons Lang	273	186	69	65
Total	1,179	836	437	357

Real faults

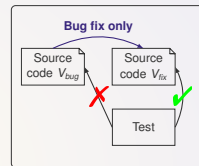
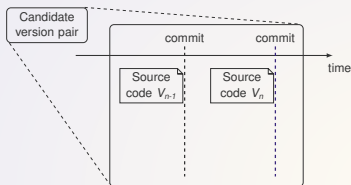
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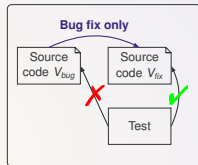
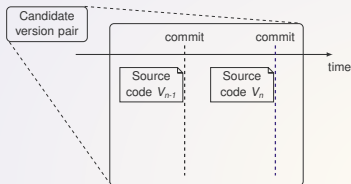
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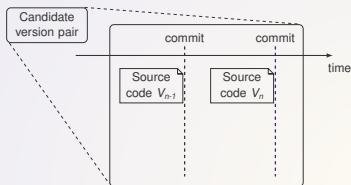
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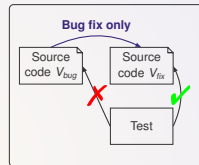
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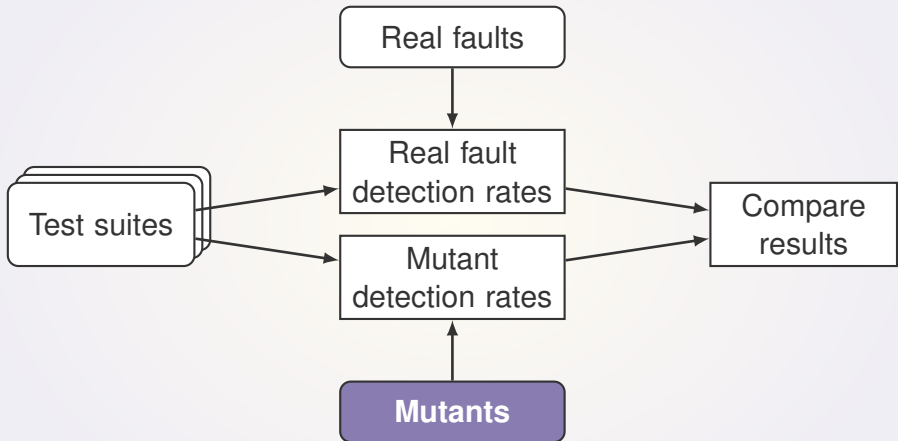


~1 person year
 no false positives



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Methodology: Overview



Mutants

230,000 mutants generated by Major mutation framework

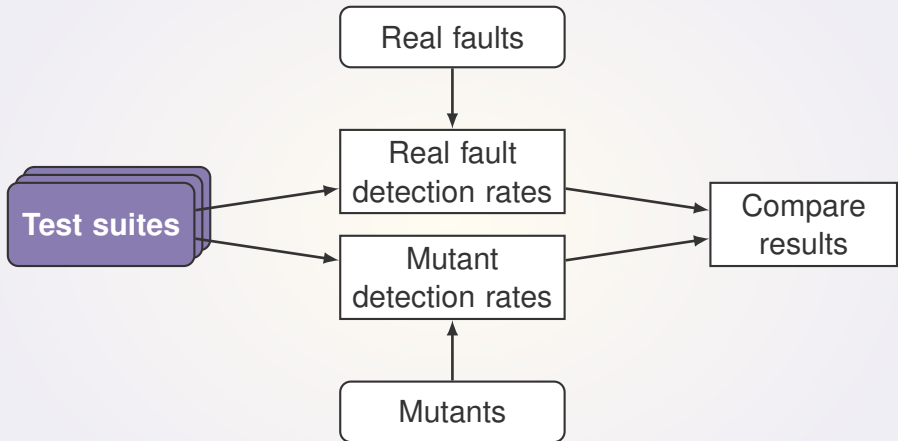
Mutation operators^{1,2}

- ▶ Replace operators
- ▶ Replace literals
- ▶ Delete statements
- ▶ Modify branch conditions

¹Namin et al., *ICSE'08*.

²Jia and Harman, *TSE'11*.

Methodology: Overview



Developer-written test suites

Obtaining related test suites T_{bug} and T_{fix}

Source
code V_{bug}

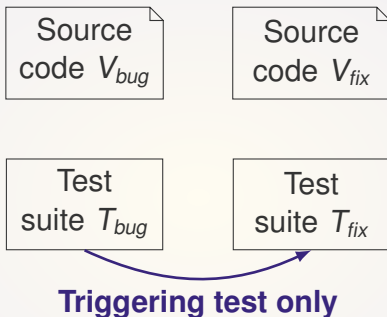
Source
code V_{fix}

Test
suite T_{bug}

Test
suite T_{fix}

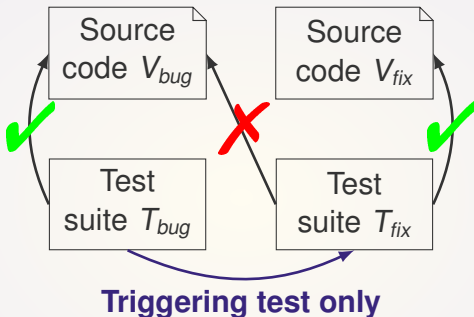
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Developer-written test suites

Obtaining related test suites T_{bug} and T_{fix}



Developer-written test suites

Obtaining related test suites T_{bug} and T_{fix}

Source
code V_{bug}

Source
code V_{fix}

Test
suite T_{n-1}

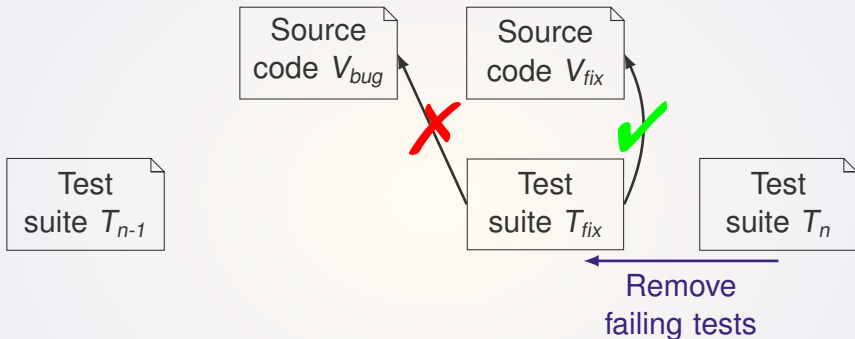
Test
suite T_n

We cannot directly use T_{n-1} and T_n from version control

- ▶ T_{n-1} and T_n might include failing tests
- ▶ T_n might include additional tests (unrelated to the fault)

Developer-written test suites

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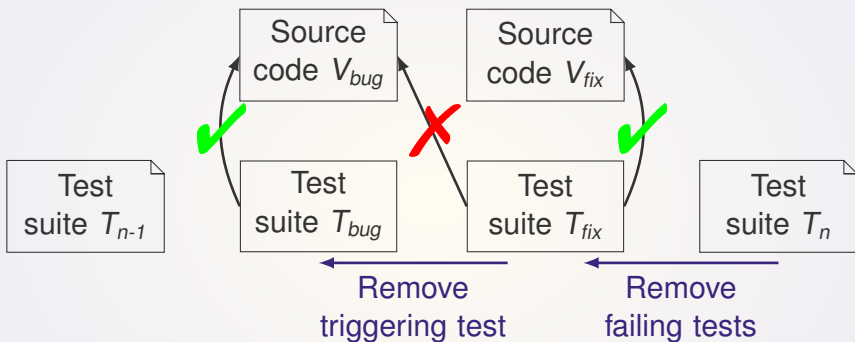


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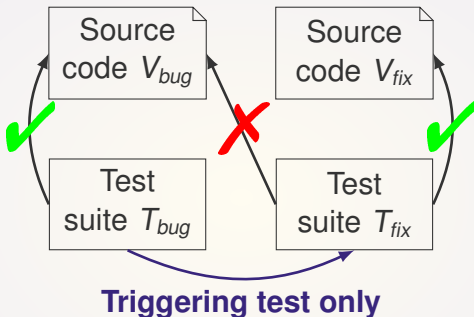


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Developer-written test suites

Obtaining related test suites T_{bug} and T_{fix}



Automatically-generated test suites

EvoSuite, Randoop, and JCrasher

- ▶ Multiple configurations and test objectives

Workflow

1. Generate tests for fixed program version
2. Automatically remove failing tests

Test suites: Summary

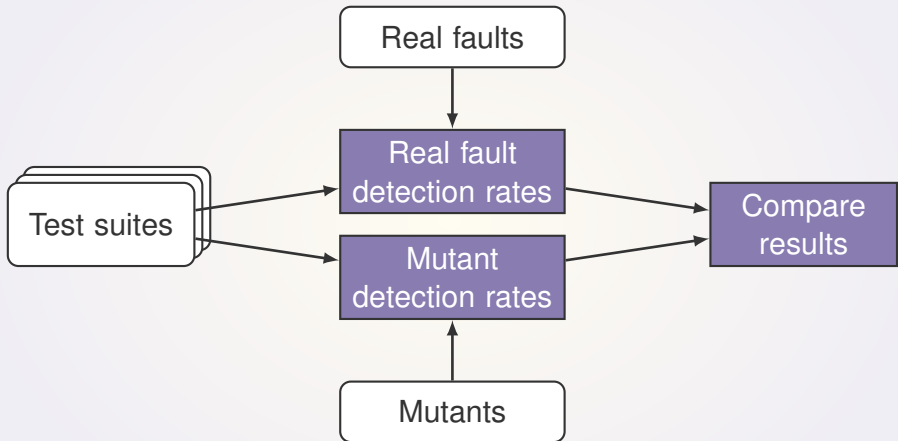
Developer-written test suites

- ▶ Related test suite pairs T_{bug} and T_{fix}
- ▶ Average **statement coverage** of T_{bug} : **90%**

Automatically-generated test suites

- ▶ 35,141 test suites
- ▶ Average **statement coverage**: **55%**

Methodology: Overview



Evaluation: Overview

Research Questions

1. Do stronger test suites detect more mutants?
2. What types of real faults are not represented by mutants?
3. Is mutant detection correlated with fault detection?

RQ1: Do stronger test suites detect more mutants?

Setup

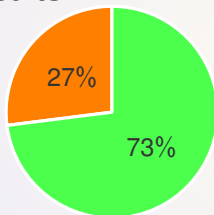
- ▶ Developer-written test suite pairs T_{bug} and T_{fix}
- ▶ Does T_{fix} have a higher mutant detection rate than T_{bug} ?

RQ1: Do stronger test suites detect more mutants?

Setup

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Results



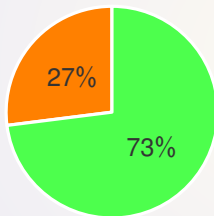
Mutant detection rate
increased for **73%** of faults

- Mutant detection rate increased
- Mutant detection rate unchanged

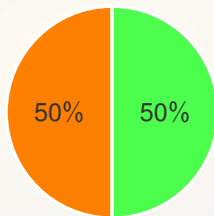
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Comparison to code coverage

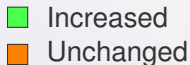
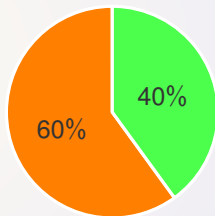
Mutant detection



Branch coverage



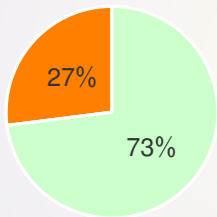
Statement coverage



RQ2: What types of faults are not represented by mutants?

Setup

- ▶ Qualitative study for 27% of faults
- ▶ Weakness or general limitation?



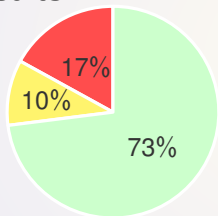
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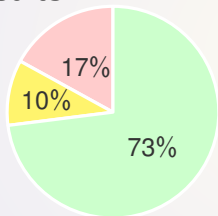
- Mutant detection rate increased
- Weak or missing mutation operator
- No such mutation operator

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Results



- Mutant detection rate increased
- Weak or missing mutation operator
- No such mutation operator

Buggy version

```
switch (x) {  
  case 1:  
    ...  
  case 2:  
    ...
```

Fixed version

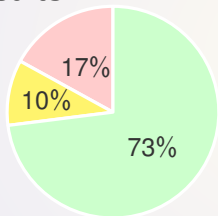
```
switch (x) {  
  case 1:  
    ...  
  case 2:  
    return false;  
  ...
```

RQ2: What types of faults are not represented by mutants?

Setup

- ▶ Qualitative study for 27% of faults
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Results



- Mutant detection rate increased
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- No such mutation operator

Mutation operator:
Delete all returns

Buggy version

```
switch (x) {  
  case 1:  
    ...  
  case 2:  
    ...
```

Fixed version

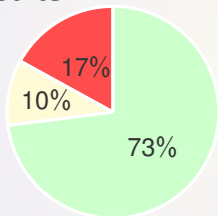
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Results



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- No such mutation operator

Buggy version

```
...
if (isNumZero) {
    return INF;
}
return NaN;
...
```

Fixed version

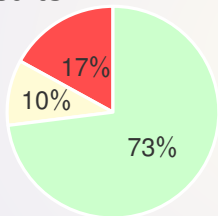
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Results



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Mutation operator:
Insert ???

Buggy version

```
...  
if (isNumZero) {  
    return INF;  
}  
return NaN;  
...
```

Fixed version

```
...  
[Redacted]  
return NaN;  
...
```

RQ3: Is mutant detection correlated with fault detection?

Setup

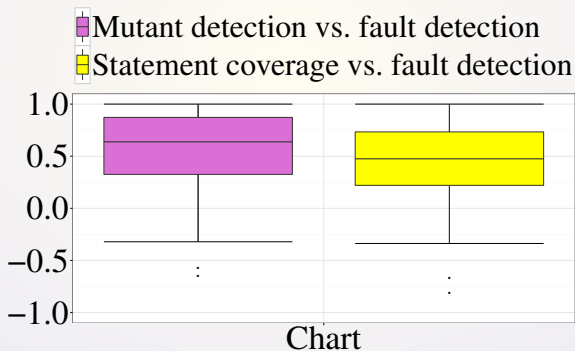
- ▶ 35,141 automatically-generated test suites
- ▶ How well does mutant detection predict fault detection?

RQ3: Is mutant detection correlated with fault detection?

Setup

- ▶ 35,141 automatically-generated test suites
- ▶ How well does mutant detection predict fault detection?

Results

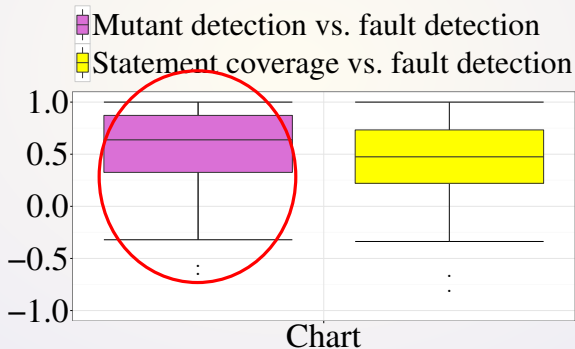


RQ3: Is mutant detection correlated with fault detection?

Setup

- ▶ 35,141 automatically-generated test suites
- ▶ How well does mutant detection predict fault detection?

Results

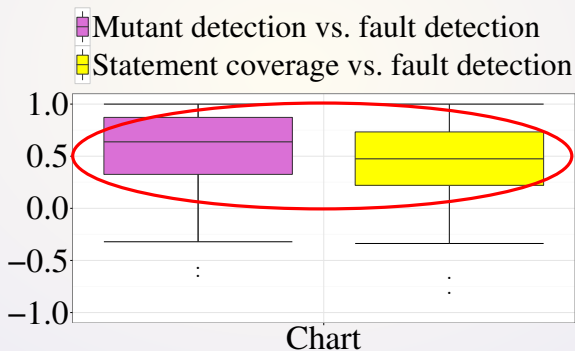


RQ3: Is mutant detection correlated with fault detection?

Setup

- ▶ 35,141 automatically-generated test suites
- ▶ How well does mutant detection predict fault detection?

Results

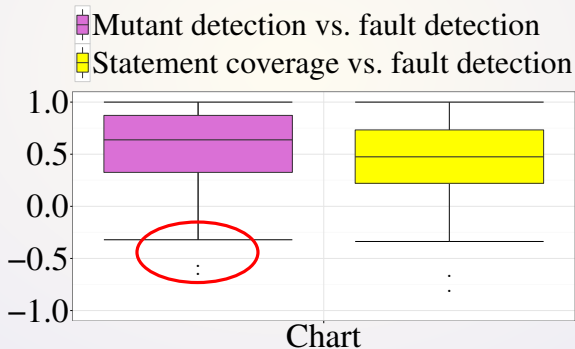


RQ3: Is mutant detection correlated with fault detection?

Setup

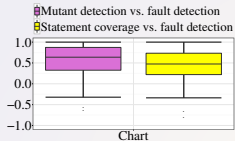
- ▶ 35,141 automatically-generated test suites
- ▶ How well does mutant detection predict fault detection?

Results



Mutants are a valid substitute for most real faults

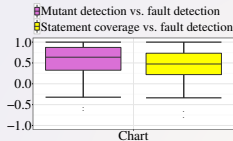
Mutant detection is positively correlated with fault detection



Mutation-based test generation is promising

Mutants are a valid substitute for most real faults

Mutant detection is positively correlated with fault detection



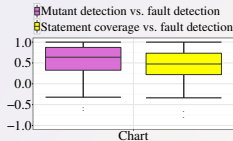
Mutation-based test generation is promising

Mutant detection is more sensitive to faults than coverage

Don't use code coverage for test suite minimization:
You might miss up to 60% of real faults!

Mutants are a valid substitute for most real faults

Mutant detection is positively correlated with fault detection

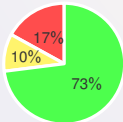


Mutation-based test generation is promising

Mutant detection is more sensitive to faults than coverage

Don't use code coverage for test suite minimization:
 You might miss up to 60% of real faults!

17% of faults cannot be represented by any mutants



Mutation results do not generalize to those faults

<http://defects4j.org>



<http://mutation-testing.org>