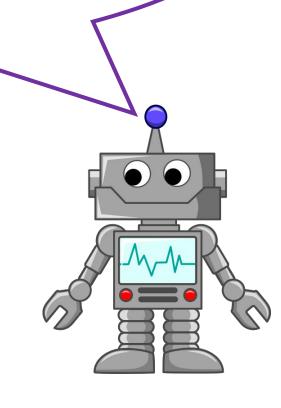
Natural language is a programming language

Michael D. Ernst UW CSE

Joint work with Arianna Blasi, Juan Caballero, Sergio Delgado Castellanos, Alberto Goffi, Alessandra Gorla, Xi Victoria Lin, Deric Pang, Mauro Pezzè, Irfan Ul Haq, Kevin Vu, Chenglong Wang, Luke Zettlemoyer, and Sai Zhang



Questions about software

- How many of you have used software?
- How many of you have written software?

A sequence of instructions that perform some task

An engineered object amenable to formal analysis

A sequence of instructions that perform some task

```
Formalizations
```

```
Set of Addresses \cup {null<sub>a</sub>}
                                                                                         Addr
                                                                                    \subset
                                                                                          0bi
                                                                                                                 = Type, Fields
                                                                                          <sup>r</sup>Type
                                                                                                                        OwnerAddr ClassId<Type>
                              ::= Class, ClassId, Expr
         \in Program
                                                                                    \in
                                                                                          Fields
                                                                                                                        FieldId \rightarrow Addr
                                        class ClassId<TVarId
Cls
               Class
                                                                                                                        \mathtt{Addr} \cup \{\mathtt{any}_a\}
                                                                                    \in
                                                                                          OwnerAddr
                                         extends ClassId< Typ
                                                                                                                        TVarId Type; ParId Addr
                                                                                          ^{r}Env
                                        { FieldId Type; Met
         \in {}^{\mathtt{s}}\mathsf{Type}
                                        SNType | TVarId
                                ::=
                                                                                                                                h, {}^{r}\Gamma, e_0 \rightsquigarrow h', \iota_0
         \in {}^{\mathtt{s}}\mathtt{NType}
                                        OM ClassId< Type>
                                ::=
                                                                                                                                    \iota_0 \neq \mathtt{null}_a
               OM
                                ::=
                                                              h, {}^{r}\Gamma, e_0 \rightsquigarrow h_0, \iota_0
                                                                                                         OS-Read \frac{\iota = h'(\iota_0) \downarrow_2 (f)}{h, {}^{\mathbf{r}}\Gamma, e_0.f \leadsto h', \iota}
 mt
               Meth
                                ::=
                                                                   \iota_0 \neq \mathtt{null}_a
               MethSig
                                                              h_0, {}^{\mathbf{r}}\Gamma, e_2 \rightsquigarrow h_2, \iota
         \in Purity
         \in Expr
                                                                                                                \Gamma \vdash e_0 : N_0 \qquad N_0 = u_0 C_0 < >
                                        Expr.MethId<sType>(Expr) |
                                                                                                                         T_1 = fType(C_0, f)
                                        new SType | (SType) Expr
                                                                                                                          \Gamma \vdash e_2 : N_0 \triangleright T_1
                               TVarId SNType; ParId SType
 h \vdash {}^{\mathbf{r}}\Gamma : {}^{\mathbf{s}}\Gamma
 h \vdash \iota_1 : dyn({}^{\mathfrak{s}}N, h, {}^{\mathfrak{s}}_{1})
                                                         \implies h \vdash \iota_2 : dyn({}^{\mathtt{s}}\mathtt{N} \triangleright {}^{\mathtt{s}}\mathtt{T},\mathtt{h},{}^{\mathtt{r}}\Gamma)
 h \vdash \iota_2 : dyn(^{\mathfrak{s}}\mathsf{T}, \iota_1, \mathbf{h}(\iota_1) \downarrow_1)
 {}^{\mathtt{s}}\mathtt{N} = \mathtt{u}_N \; \mathtt{C}_N < >
                                                    \mathtt{u}_N = \mathtt{this}_u \Rightarrow {}^{\mathbf{r}}\Gamma(\mathtt{this})
                                                                                                         free(^{s}T) \subseteq \overline{X} \circ X'
                                                                   dom(C) = \overline{X}
 free({}^{\mathtt{s}}\mathtt{T}) \subseteq dom(\mathtt{C}_N)
                                        DYN-
                                                   dyn(^{s}T, \iota, ^{r}T, (\overline{X' ^{r}T'}; \_)) = ^{s}T[\iota'/this, \iota'/peer, \iota/rep, any_{a}/any_{u}, \overline{^{r}T/X}, \overline{^{r}T'/X'}]
```

= Addr \rightarrow Obj

Heap

A sequence of instructions that perform some task

A sequence of instructions that perform some task

- Test cases
- Version control history
- Issue tracker
- Documentation
- ...

How should it be analyzed?

Requirements

Discussions

Models

Issue tracker

Documentation

User stories

Specifications

Version control

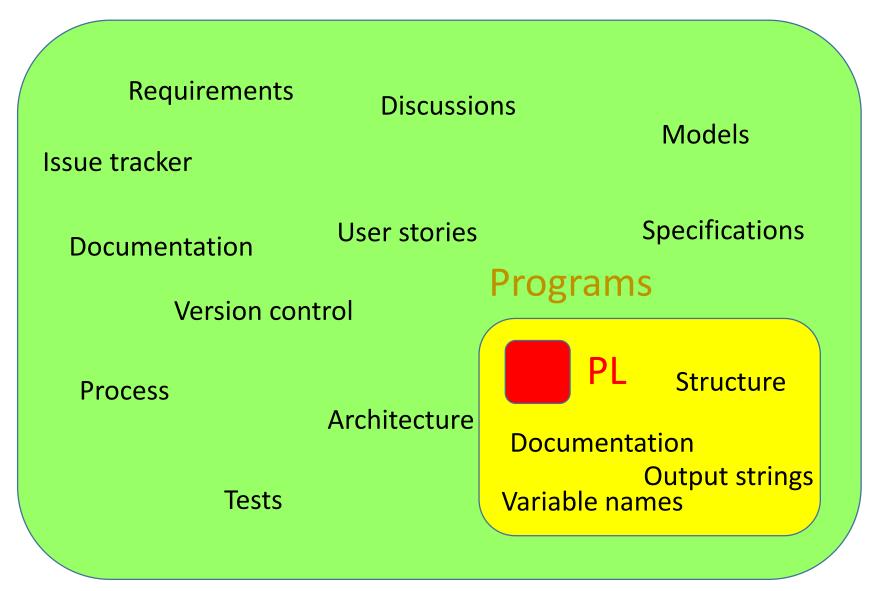
Process

Architecture

Programs

Tests

Requirements **Discussions** Models Issue tracker **Specifications User stories Documentation Programs** Version control PL Structure **Process** Architecture **Documentation Output strings Tests** Variable names



Requirements

Discussions

Issue tracker

Documentation

 Models

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Process

Architecture

Tests

Programs



Structure

Documentation
Output strings
Variable names

Requirements **Discussions** Models Issue tracker **Specifications User stories Documentation Programs** Version control Structure **Process** Architecture **Documentation Output strings Tests** Variable names

Analysis of a natural object

Machine learning over executions



- Version control history analysis
- Bug prediction
- Upgrade safety
- Prioritizing warnings
- Program repair

Specifications are needed; Tests are available but ignored

- Specs are needed. Many papers start: "Given a program and its specification..."
- Tests are ignored. Formal verification process:
 - Write the program
 - Test the program
 - Verify the program, ignoring testing artifacts

Observation: Programmers embed semantic info in tests

Goal: translate tests into specifications

Approach: machine learning over executions

Dynamic detection of likely invariants



- Observe values that the program computes
- Generalize over them via machine learning
- Result: invariants (as in asserts or specifications)
 - $\bullet x > abs(y)$
 - $\bullet x = 16*y + 4*z + 3$
 - array a contains no duplicates
 - for each node n, n = n.child.parent
 - graph g is acyclic
- Unsound, incomplete, and useful

Requirements

Discussions

Issue tracker

Formalizations h, "T, eo.f - h', Documentation

Models

Specifications

Programs

Version control

Process

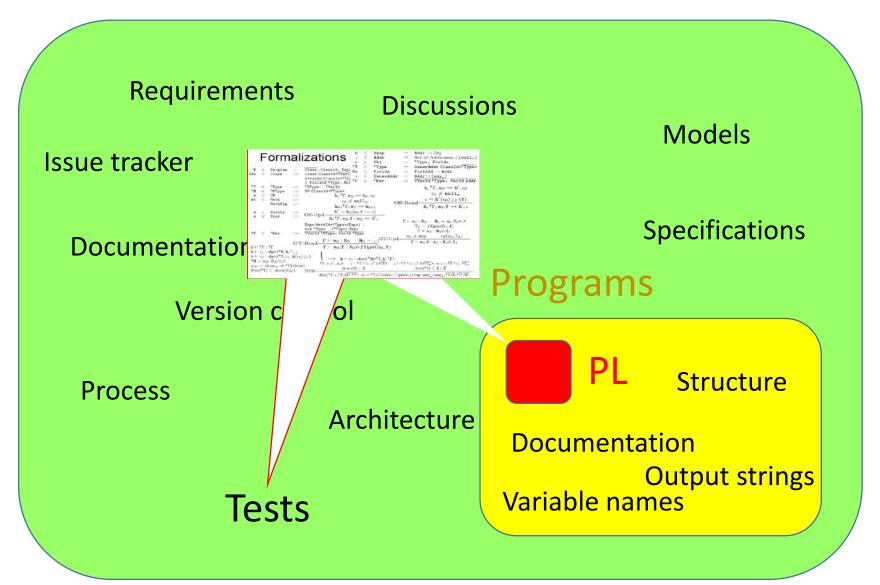
Architecture

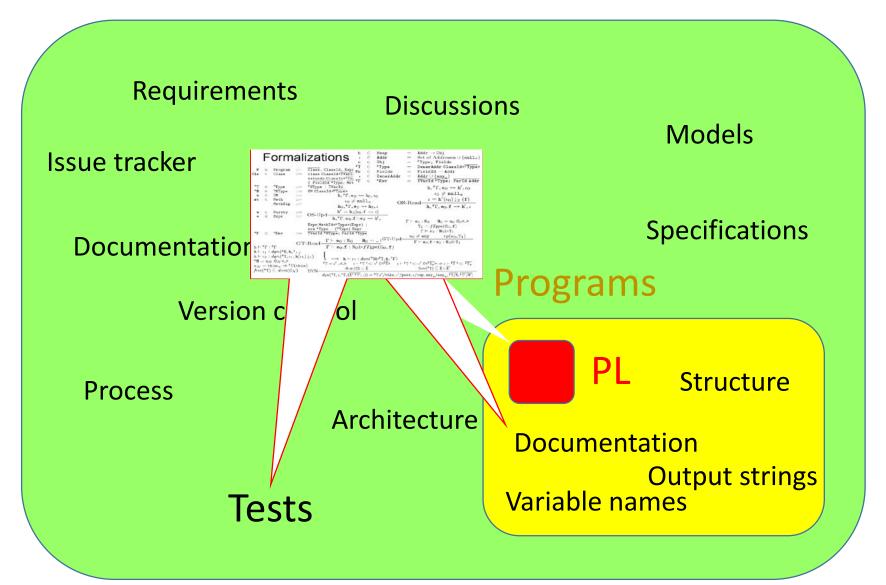
Tests



Structure

Documentation Output strings Variable names





Applying NLP to software engineering

Problems

NL sources

NLP techniques

inadequate diagnostics incorrect operations

Analyze existing code

error

messages

variable

names

document

similarity

word

semantics

missing tests

Generate new code code comments

trees

parse

unimplemented

functionality

user

questions

translation

Applying NLP to software engineering

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[ISSTA 2015]

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Inadequate diagnostic messages

Scenario: user supplies a wrong configuration option
--port_num=100.0

Problem: software issues an unhelpful error message

- "unexpected system failure"
- "unable to establish connection"

Hard for end users to diagnose

Goal: detect such problems before shipping the code

• Better message: "--port_num should be an integer"

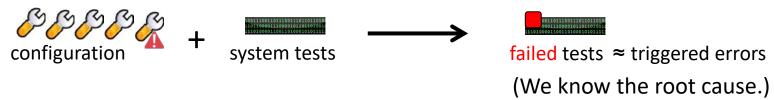
Challenges for proactive detection of inadequate diagnostic messages

• How to trigger a configuration error?

How to determine the inadequacy of a diagnostic message?

ConfDiagDetector's solutions

- How to trigger a configuration error?
 - Configuration mutation + run system tests



- How to determine the inadequacy of a diagnostic message?
 - Use a NLP technique to check its semantic meaning

Similar semantic meanings?



Diagnostic messages output by failed tests

User manual (Assumption: a manual, webpage, or man page exists.)

When is a message adequate?

 Contains the mutated option name or value [Keller'08, Yin'11]

Mutated option:
 --percentage-split
Diagnostic message:
 "the value of percentage-split should be > 0"

• Similar semantic meaning as the manual description Mutated option:

--fnum

Diagnostic message:

"Number of folds must be greater than 1" User manual description of --fnum:

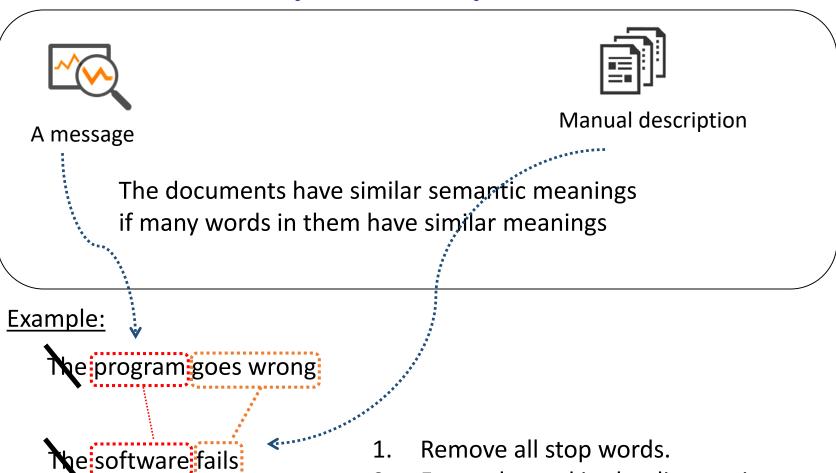
"Sets number of folds for cross-validation"

Classical document similarity: TF-IDF + cosine similarity

- 1. Convert document into a real-valued vector
- 2. Document similarity = vector cosine similarity
- Vector length = dictionary size, values = term frequency (TF)
 - Example: [2 classical, 8 document, 3 problem, 3 values, ...]
- Problem: frequent words swamp important words
- Solution: values = TF x IDF (inverse document frequency)
 - IDF = log(total documents / documents with the term)

Problem: does not work well on very short documents

Text similarity technique [Mihalcea'06]



V

- 2. For each word in the diagnostic message, try to find similar words in the manual.
- 3. Two sentences are similar, if "many" words are similar between them.

Results

- Reported 25 missing and 18 inadequate messages in Weka, JMeter, Jetty, Derby
- Validation by 3 programmers:
 - 0% false negative rate
 - Tool says message is adequate, humans say it is inadequate
 - 2% false positive rate
 - Tool says message is inadequate, humans say it is adequate
 - Previous best: 16%

Related work

Configuration error diagnosis techniques

 Dynamic tainting [Attariyan'08], static tainting [Rabkin'11], Chronus [Whitaker'04]

Troubleshooting an exhibited error rather than detecting inadequate diagnostic messages

Software diagnosability improvement techniques

 PeerPressure [Wang'04], RangeFixer [Xiong'12], ConfErr [Keller'08] and Spex-INJ [Yin'11], EnCore [Zhang'14]

Requires source code, usage history, or OS-level support

Applying NLP to software engineering

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NL sources

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inadequate diagnostics

error messages document similarity

incorrect operations

variable names

word semantics

missing tests

code comments

trees

parse

unimplemented functionality

user questions translation

[WODA 2015]

Undesired variable interactions

```
int totalPrice;
int itemPrice;
int shippingDistance;
totalPrice = itemPrice + shippingDistance;
```

Undesired variable interactions

```
int totalPrice;
int itemPrice;
int shippingDistance;
totalPrice = itemPrice + shippingDistance;
```

- The compiler issues no warning
- A human can tell the abstract types are different

Idea:

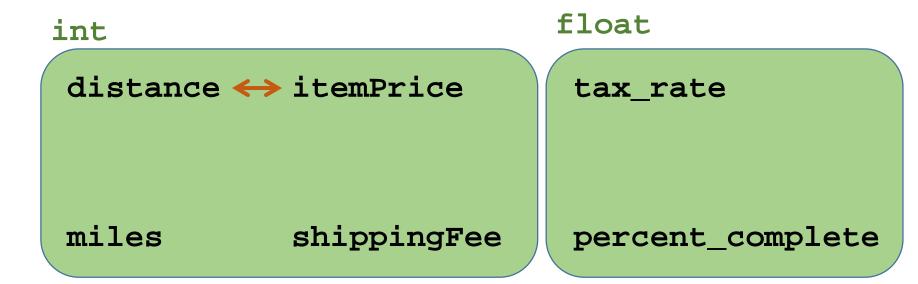
- Cluster variables based on words in variable names
- Cluster variables based on usage in program operations
 Differences indicate bugs or poor variable names

distance itemPrice tax_rate

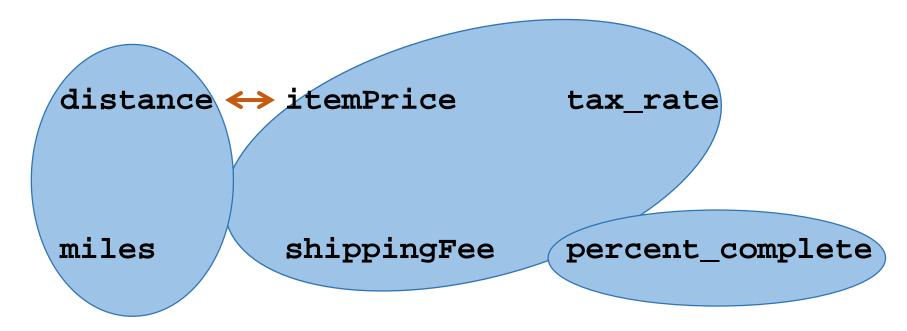
miles shippingFee percent_complete

```
distance ←→ itemPrice tax_rate
   itemPrice + distance
```

miles shippingFee percent_complete

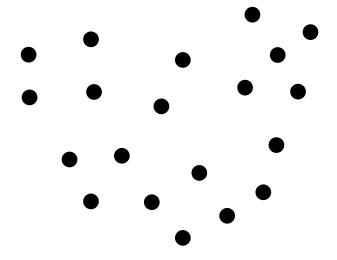


Program types don't help



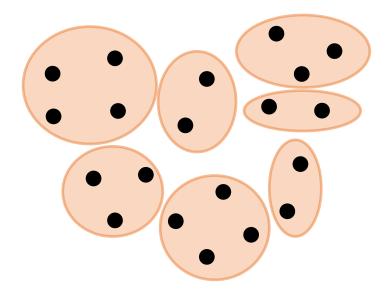
Language indicates the problem

Variables



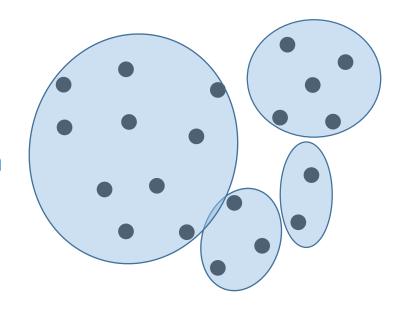
Variable clustering

Cluster based on interactions: operations



Variable clustering

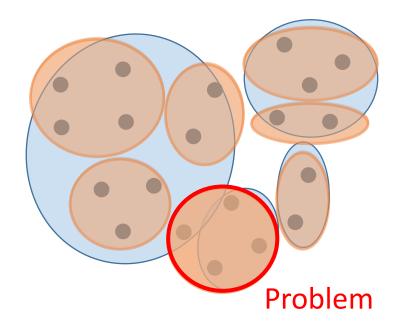
Cluster based on language: variable names



Variable clustering

Cluster based on interactions: operations

Cluster based on language: variable names



Actual algorithm:

- 1. Cluster based on operations
- 2. Sub-cluster based on names
- 3. Rank an operation cluster as suspicious if it contains well-defined name sub-clusters

Clustering based on operations

Abstract type inference [ISSTA 2006]

```
int totalCost(int miles, int price, int tax) {
  int year = 2016;
  if ((miles > 1000) && (year > 2000)) {
    int shippingFee = 10;
    return price + tax + shippingFee;
  } else {
    return price + tax;
```

Clustering based on operations

Abstract type inference [ISSTA 2006]

```
int totalCost(int miles, int price, int tax) {
  int year = 2016;
  if ((miles > 1000) && (year > 2000)) {
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  } else {
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```

Clustering based on variable names

Compute variable name similarity for var₁ and var₂

- 1. Tokenize each variable into dictionary words
 - in_authskey15 ⇒ {"in", "authentications", "key"}
 - Expand abbreviations, best-effort tokenization
- 2. Compute word similarity
 - For all $w_1 \in var_1$ and $w_2 \in var_2$, use WordNet (or edit distance)
- 3. Combine word similarity into variable name similarity
 - maxwordsim(w_1 , var_2) = max wordsim(w_1 , w_2) $w_2 \in var_2$
 - varsim(var₁, var₂) = average maxwordsim(w₁, var₂)
 w1 ∈ var1

Results

- Ran on grep and Exim mail server
- Top-ranked mismatch indicates an undesired variable interaction in grep

```
if (depth < delta[tree->label])
  delta[tree->label] = depth;
```

- Loses top 3 bytes of depth
- Not exploitable because of guards elsewhere in program, but not obvious here

Related work

- Reusing identifier names is error-prone [Lawrie 2007, Deissenboeck 2010, Arnaoudova 2010]
- Identifier naming conventions [Simonyi]
- Units of measure [Ada, F#, etc.]
- Tokenization of variable names [Lawrie 2010, Guerrouj 2012]

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translation [ISSTA 2016]

Test oracles (assert statements)

A test consists of

- an input (for a unit test, a sequence of calls)
- an oracle (an assert statement)

Programmer-written tests

often trivial oracles, or too few tests

Automatic generation of tests:

- inputs are easy to generate
- oracles remain an open challenge



Goal: create test oracles from what programmers already write

Automatic test generation

Code under test:

```
public class FilterIterator implements Iterator {
  public FilterIterator(Iterator i, Predicate p) {...}
  public Object next() {...}

...

/** @throws NullPointerException if either
  * the iterator or predicate are null */
```

Automatically generated test:

2. Illegal input

3. Implementation bug

Automatically generated tests

- A test generation tool outputs:
 - Failing tests indicates a program bug
 - Passing tests useful for regression testing
- Without a specification, the tool guesses whether a given behavior is correct
 - <u>False positives</u>: report a failing test that was due to illegal inputs
 - <u>False negatives</u>: fail to report a failing test because it might have been due to illegal inputs

Programmers write code comments

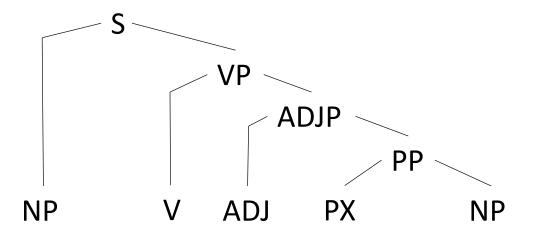
Javadoc is standard procedure documentation

```
/**
 * Checks whether the comparator is now
 * locked against further changes.
 *
 * @throws UnsupportedOperationException
 * if the comparator is locked
 */
protected void checkLocked() {...}
```

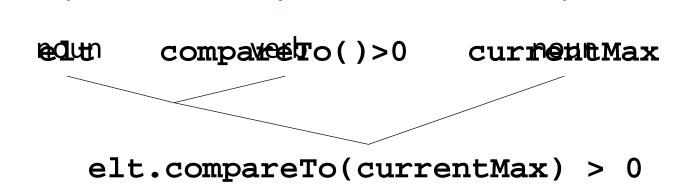
Javadoc comment and assertion

```
class MyClass {
  ArrayList allFoundSoFar = ...;
  boolean canConvert(Object arg) { ... }
  /** @throws IllegalArgumentException if the
      element is not in the list and is not
   * convertible. */
  void myMethod(Object element) { ... }
Condition for exception: myMethod should throw iff ...
   (!allFoundSoFar.contains(element)
     && !canConvert(element) )
```

Nouns = objects, verbs = operations



The element is greater than the current maximum.



Text to code: Toradocu algorithm

- 1. Parse @param, @return, and @throws expressions using the Stanford Parser
 - Parse tree, grammatical relations, cross-references
 - Challenges:
 - Often not a well-formed sentence; code snippets as nouns/verbs
 - Referents are implicit, assumes coding knowledge
- 2. Match each subject to a Java element
 - Pattern matching
 - Lexical similarity to identifiers, types, documentation
- 3. Match each predicate to a Java element
- 4. Create assert statement from expressions and methods

Results

Accuracy on 857 Javadoc tags:

- 97% precision
- 72% recall

Can tune parameters to favor either metric

Pre-processing and pattern-matching are important

Discovered specification errors

Improving test generation tools:

- Reduced false positive test failures in EvoSuite by ≥ 1/3
- Also improved Randoop, but by less

Related work

Heuristics

- JCrasher, Crash'n'Check [Csallner'04, Csallner'05]
- Randoop [Pacheco'07]

Specifications

- ASTOOT [Doong'94]
- Models, contracts, ...

Properties

- Cross-checking oracles [Carzaniga'14]
- Metamorphic testing [Chen'13]
- Symmetric testing [Gotlieb'03]

Natural language documentation

• iComment, aComment, @tComment [Tan'07, Tan'11, Tan'12]

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Machine translation

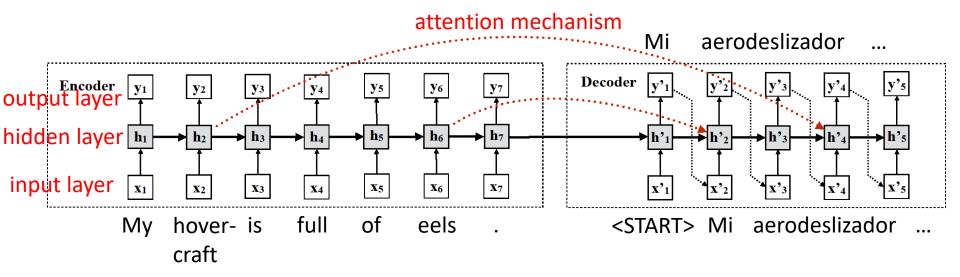
English: "My hovercraft is full of eels."

Spanish: "Mi aerodeslizador está lleno de anguilas."

English: "Don't worry."

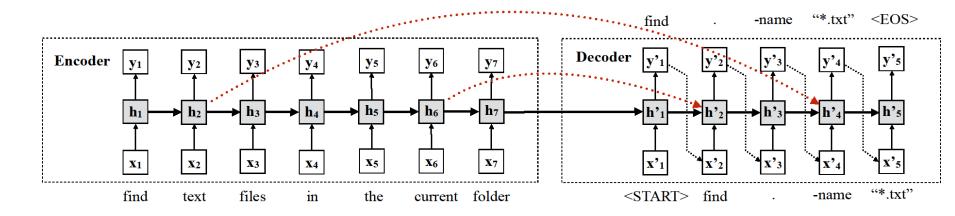
Spanish: "No te preocupes."

Sequence-to-sequence recurrent neural network translators



Input, hidden, and output functions are inferred from training data using probability maximization.

Tellina: text to commands



- Training data: ~5000 (text, command) pairs
 - Collected manually from webpages, plus cleaning
- 17 file system utilities, > 200 flags, 9 types of constants
 - Compound commands: (), &&, |
 - Nesting: |, \$(), <()
 - Strings are opaque; no command interpreters (awk, sed)
 - No bash compound statements (for)

Results

Accuracy for Tellina's first output:

- Structure of command (without constants): 69%
- Full command (with constants): 30%

User experiment:

- Tellina makes users 22% more efficient
 - Even though it rarely gives a perfect command
- Qualitative feedback
 - Most participants wanted to continue using Tellina (5.8/7 Likert scale)
 - Partially-correct answers were helpful, not too hard to correct
 - Output bash commands are sometimes non-syntactic or subtly wrong
 - Needs explanation of meaning of output bash commands

Related work

Neural machine translation

- Sequence-to-sequence learning with neural nets [Sutskever 2014]
- Attention mechanism [Luong 2015]

Semantic parsing

 Translating natural language to a formal representation [Zettlemoyer 2007, Pasupat 2016]

Translating natural language to DSLs

- If-this-then-that recipes [Quirk 2015]
- Regular expressions [Locascio 2016]
- Text editing, flight queries [Desai 2016]

Other software engineering projects

- Analyzing programs before they are written
- Gamification (crowd-sourcing) of verification
- Evaluating and improving fault localization
- Pluggable type-checking for error prevention

• ... many more: systems, synthesis, verification, etc.



UW is hiring! Faculty, postdocs, grad students

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Programming

Requirements **Discussions** Models Issue tracker **Specifications** User stories **Documentation Programs** Version control Structure **Process** Architecture **Documentation Output strings Tests** Variable names

Analyzing text

- iComment [Tan 2007]: pattern matching for null
- N-gram models: code completion [Hindle 2011], predict variable names, whitespace [Allemanis 2014]
- Mining variable names [Pollock et al.]
- Code → comments [Sridhara 2010]
- DARPA Big Mechanism (read cancer papers)
- JSNice [Raychev 2015]: learn rules for identifiers and types

Analyzing other artifacts by machine learning over the program

- Tests (dynamic invariant detection)
- Mining software repositories
- Defect prediction
- Code completion
- Clone detection
- ... many, many more

Machine learning + software engineering

- Software is more than source code
- Formal program analysis is useful, but insufficient
- Analyze and generate all software artifacts

A rich space for further exploration

Programming

