

# Tunable Static Inference for Generic Universe Types

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COMPUTER SCIENCE & ENGINEERING



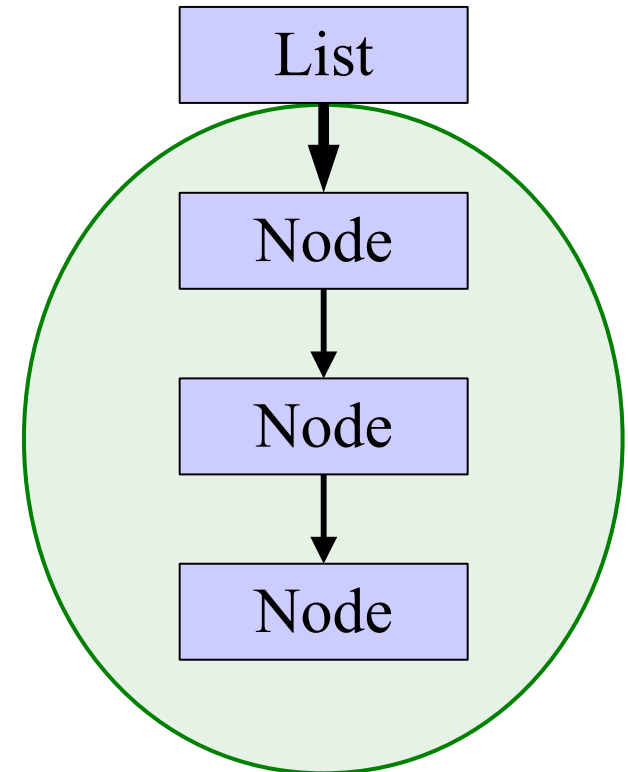
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Swiss Federal Institute of Technology Zurich

# Generic Universe Types (GUT)

- Lightweight ownership type system
- Heap topology
- Owner-as-Modifier encapsulation discipline

# Glimpse of Generic Universe Types

```
class List<Y> {  
    rep Node<Y> head;  
    ...  
}  
  
class Node<X> {  
    peer Node<X> next;  
    ...  
}
```



# Generic Universe Types (GUT)

- Lightweight ownership type system
- Heap topology
- Owner-as-Modifier encapsulation discipline
  
- Large-scale use hampered by annotation effort
  - All fields, parameters, object creations, ... need annotations

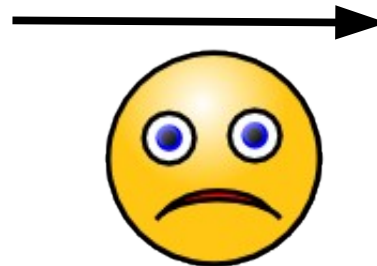
# Manual annotation effort huge

```
AnnotatedTypeMirror lhsBase = lhs;
while (lhsBase.getKind() != rhs.getKind()
    && (lhsBase.getKind() == TypeKind.WILDCARD || lhsBase.getKind() ==
TypeKind.TYPEVAR)) {
    if (lhsBase.getKind() == TypeKind.WILDCARD && rhs.getKind() !=
TypeKind.WILDCARD) {
        AnnotatedWildcardType wildcard = (AnnotatedWildcardType)lhsBase;
        if (lhsBase == null || !lhsBase.isAnnotated())
            return true;
        visited.add(lhsBase.getElement());
    } else if (rhs.getKind() == TypeKind.WILDCARD) {
        rhs = ((AnnotatedWildcardType)rhs).getExtendsBound();
    } else if (lhsBase.getKind() == TypeKind.TYPEVAR && rhs.getKind() !=
TypeKind.TYPEVAR) {
        AnnotatedTypeVariable lhsb_atv = (AnnotatedTypeVariable)lhsBase;
        Set<AnnotationMirror> IAnnos = lhsb_atv.getLowerBoundAnnotations();
        if (!IAnnos.isEmpty())
            return qualifierHierarchy.isSubtype(rhs.getAnnotations(), IAnnos);
        rhs.getAnnotations().contains(qualifierHierarchy.getBottomQualifier());
    }
}

AnnotatedTypeMirror rhsBase = rhs.typeFactory.atypes.asSuper(rhs,
lhsBase);

if (!qualifierHierarchy.isSubtype(rhsBase.getAnnotations(),
lhsBase.getAnnotations()))
    return false;

if (lhs.getKind() == TypeKind.ARRAY && rhsBase.getKind() ==
TypeKind.ARRAY) {
    AnnotatedTypeMirror rhsComponent =
((AnnotatedArrayType)rhsBase).getComponentType();
    AnnotatedTypeMirror lhsComponent =
((AnnotatedArrayType)lhsBase).getComponentType();
    return isSubtypeAsArrayComponent(rhsComponent, lhsComponent);
} else if (lhsBase.getKind() == TypeKind.DECLARED && rhsBase.getKind()
== TypeKind.DECLARED) {
    return isSubtypeTypeArguments((AnnotatedDeclaredType)rhsBase,
(AnnotatedDeclaredType)lhsBase);
} else if (lhsBase.getKind() == TypeKind.TYPEVAR && rhsBase.getKind() ==
TypeKind.TYPEVAR) {
    AnnotatedTypeMirror rhsSuperClass = rhsBase;
    while (rhsSuperClass.getKind() == TypeKind.TYPEVAR) {
        rhsSuperClass = ((AnnotatedTypeVariable)
rhsSuperClass).getUpperBound();
    }
    Set<AnnotationMirror> las = ((AnnotatedTypeVariable)
lhsBase).getLowerBoundAnnotations();
    Set<AnnotationMirror> ras = ((AnnotatedTypeVariable)
rhsBase).getUpperBoundAnnotations();
    if (!las.isEmpty()) {
        return qualifierHierarchy.isSubtype(ras, las);
    }
}
```



```
rep AnnotatedTypeMirror lhsBase = lhs;
while (lhsBase.getKind() != rhs.getKind()
    && (lhsBase.getKind() == TypeKind.WILDCARD || lhsBase.getKind() ==
TypeKind.TYPEVAR)) {
    if (lhsBase.getKind() == TypeKind.WILDCARD && rhs.getKind() !=
TypeKind.WILDCARD) {
        rep AnnotatedWildcardType wildcard = (rep
AnnotatedWildcardType)lhsBase; if (lhsBase == null || !lhsBase.isAnnotated())
            return true;
        visited.add(lhsBase.getElement());
    } else if (rhs.getKind() == TypeKind.WILDCARD) {

        rhs = ((peer AnnotatedWildcardType)rhs).getExtendsBound();
    } else if (lhsBase.getKind() == TypeKind.TYPEVAR && rhs.getKind() !=
TypeKind.TYPEVAR) { rep AnnotatedTypeVariable lhsb_atv = (rep
AnnotatedTypeVariable)lhsBase;

        rep Set<peer AnnotationMirror> IAnnos =
lhsb_atv.getLowerBoundAnnotations();
        if (!IAnnos.isEmpty())
            return qualifierHierarchy.isSubtype(rhs.getAnnotations(), IAnnos);
        rhs.getAnnotations().contains(qualifierHierarchy.getBottomQualifier());
    }
} rep AnnotatedTypeMirror rhsBase =
rhs.typeFactory.atypes.asSuper(rhs, lhsBase);

if (lhs.getKind() == TypeKind.ARRAY && rhsBase.getKind() ==
TypeKind.ARRAY) {

        peer AnnotatedTypeMirror rhsComponent = ((peer
AnnotatedArrayType)rhsBase).getComponentType();

        peer AnnotatedTypeMirror lhsComponent = ((peer
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        return isSubtypeAsArrayComponent(rhsComponent, lhsComponent);
    } else if (lhsBase.getKind() == TypeKind.DECLARED && rhsBase.getKind()
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rhs.typeFactory.atypes.asSuper(rhs, lhsBase);
```

# Automated annotation support

```
AnnotatedTypeMirror lhsBase = lhs;
while (lhsBase.getKind() != rhs.getKind()
    && (lhsBase.getKind() == TypeKind.WILDCARD || lhsBase.getKind() ==
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    if (lhsBase.getKind() == TypeKind.WILDCARD && rhs.getKind() !=
TypeKind.WILDCARD) {
        AnnotatedWildcardType wildcard = (AnnotatedWildcardType)lhsBase;
        if (lhsBase == null || !lhsBase.isAnnotated())
            return true;
        visited.add(lhsBase.getElement());
    } else if (rhs.getKind() == TypeKind.WILDCARD) {
        rhs = ((AnnotatedWildcardType)rhs).getExtendsBound();
    } else if (lhsBase.getKind() == TypeKind.TYPEVAR && rhs.getKind() !=
TypeKind.TYPEVAR) {
        AnnotatedTypeVariable lhsb_atv = (AnnotatedTypeVariable)lhsBase;
        Set<AnnotationMirror> lAnnos = lhsb_atv.getLowerBoundAnnotations();
        if (!lAnnos.isEmpty())
            return qualifierHierarchy.isSubtype(rhs.getAnnotations(), lAnnos);
        rhs.getAnnotations().contains(qualifierHierarchy.getBottomQualifier());
    }
}

AnnotatedTypeMirror rhsBase = rhs.typeFactory.atypes.asSuper(rhs,
lhsBase);

if (!qualifierHierarchy.isSubtype(rhsBase.getAnnotations(),
lhsBase.getAnnotations()))
    return false;

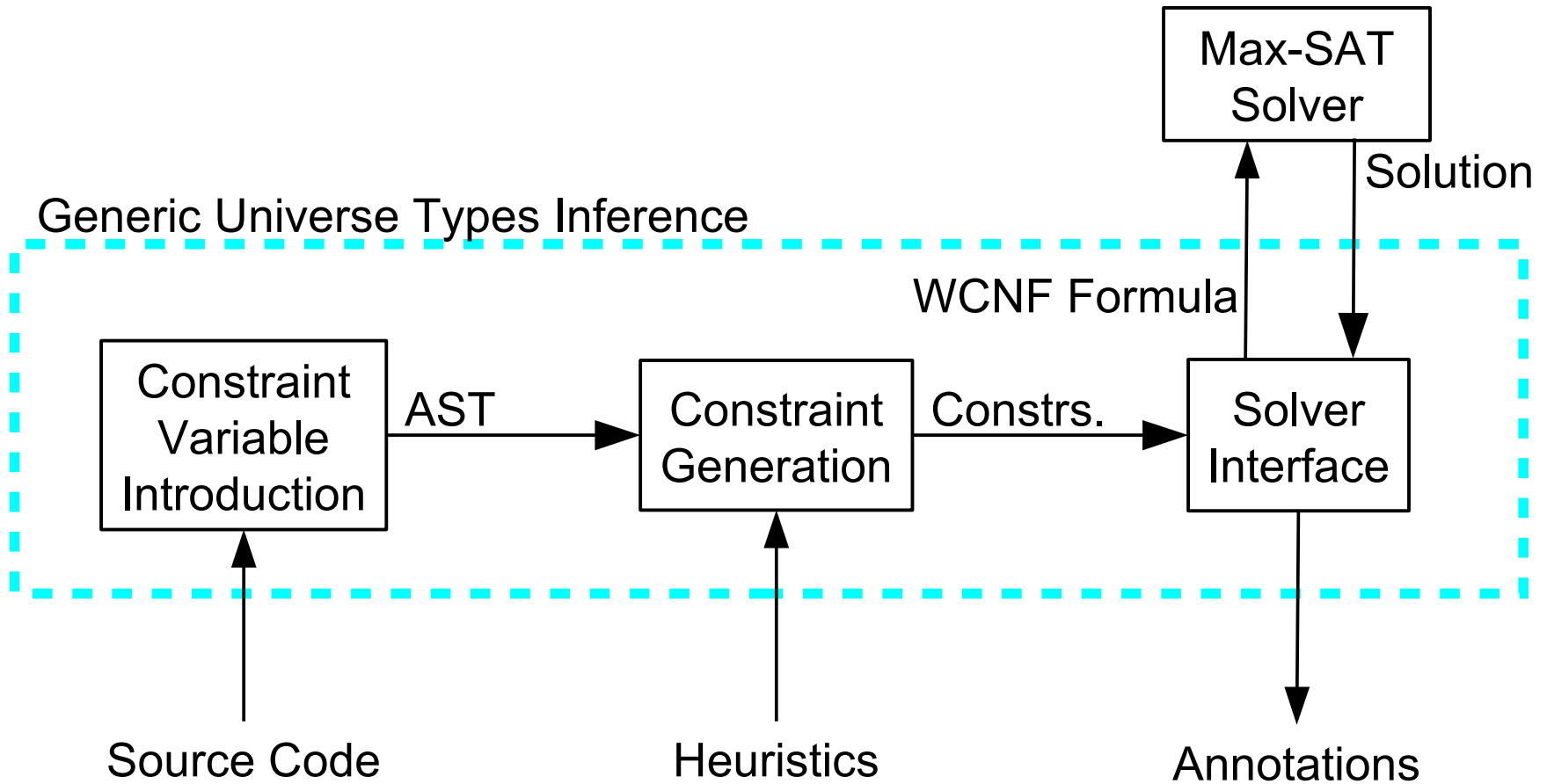
if (lhs.getKind() == TypeKind.ARRAY && rhsBase.getKind() ==
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    AnnotatedTypeMirror rhsComponent =
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    AnnotatedTypeMirror lhsComponent =
((AnnotatedArrayType)lhsBase).getComponentType();
    return isSubtypeAsArrayComponent(rhsComponent, lhsComponent);
} else if (lhsBase.getKind() == TypeKind.DECLARED && rhsBase.getKind()
== TypeKind.DECLARED) {
    return isSubtypeTypeArguments((AnnotatedDeclaredType)rhsBase,
(AnnotatedDeclaredType)lhsBase);
} else if (lhsBase.getKind() == TypeKind.TYPEVAR && rhsBase.getKind() ==
TypeKind.TYPEVAR) {
    AnnotatedTypeMirror rhsSuperClass = rhsBase;
    while (rhsSuperClass.getKind() == TypeKind.TYPEVAR) {
        rhsSuperClass = ((AnnotatedTypeVariable)
rhsSuperClass).getUpperBound();
    }
    Set<AnnotationMirror> las = ((AnnotatedTypeVariable)
lhsBase).getLowerBoundAnnotations();
    Set<AnnotationMirror> ras = ((AnnotatedTypeVariable)
rhsBase).getUpperBoundAnnotations();
    if (!las.isEmpty()) {
        return qualifierHierarchy.isSubtype(ras, las);
    }
}
```



```
rep AnnotatedTypeMirror lhsBase = lhs;
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    && (lhsBase.getKind() == TypeKind.WILDCARD || lhsBase.getKind() ==
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TypeKind.WILDCARD) {
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    }
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        return isSubtypeAsArrayComponent(rhsComponent, lhsComponent);
    } else if (lhsBase.getKind() == TypeKind.DECLARED && rhsBase.getKind()
== TypeKind.DECLARED) { rep AnnotatedTypeMirror rhsBase =
rhs.typeFactory.atypes.asSuper(rhs, lhsBase);
```

# Architecture



# Many solutions exist

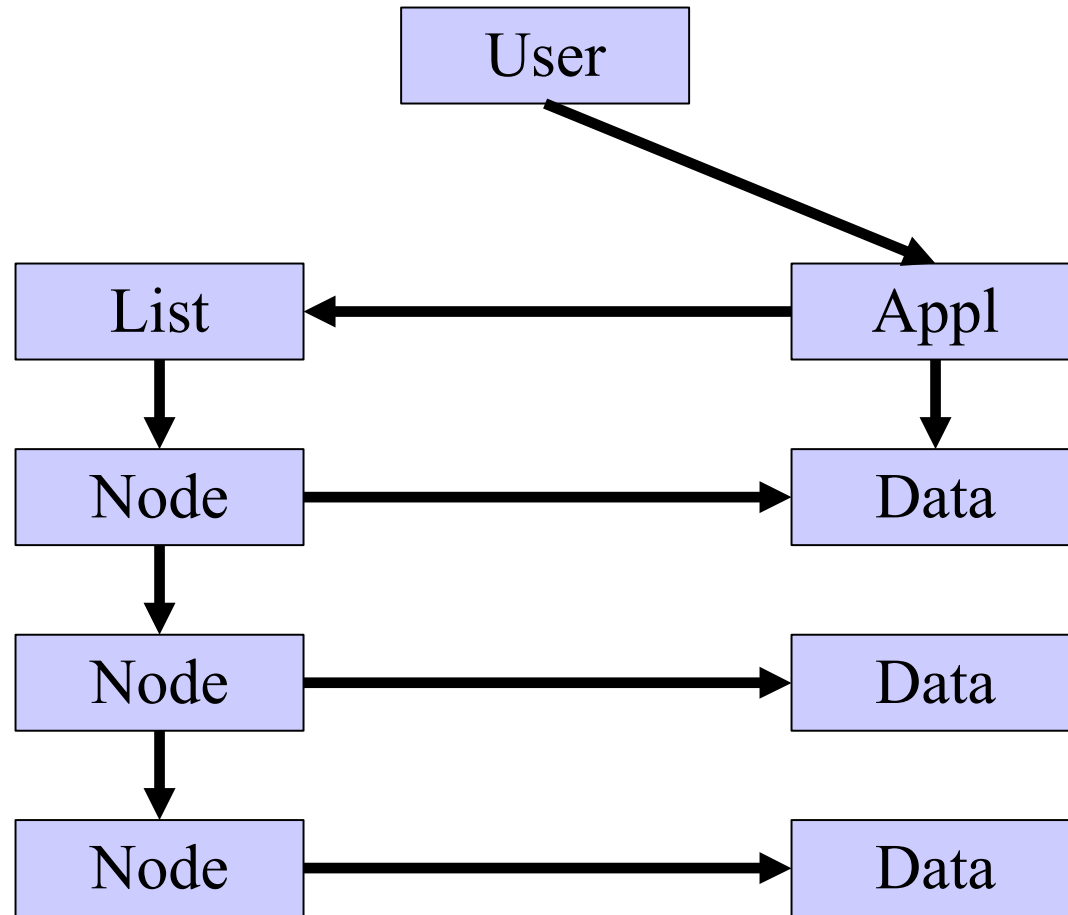
- Problem is different from usual type inference
- Not interested in only a typable solution
- We want a good structure



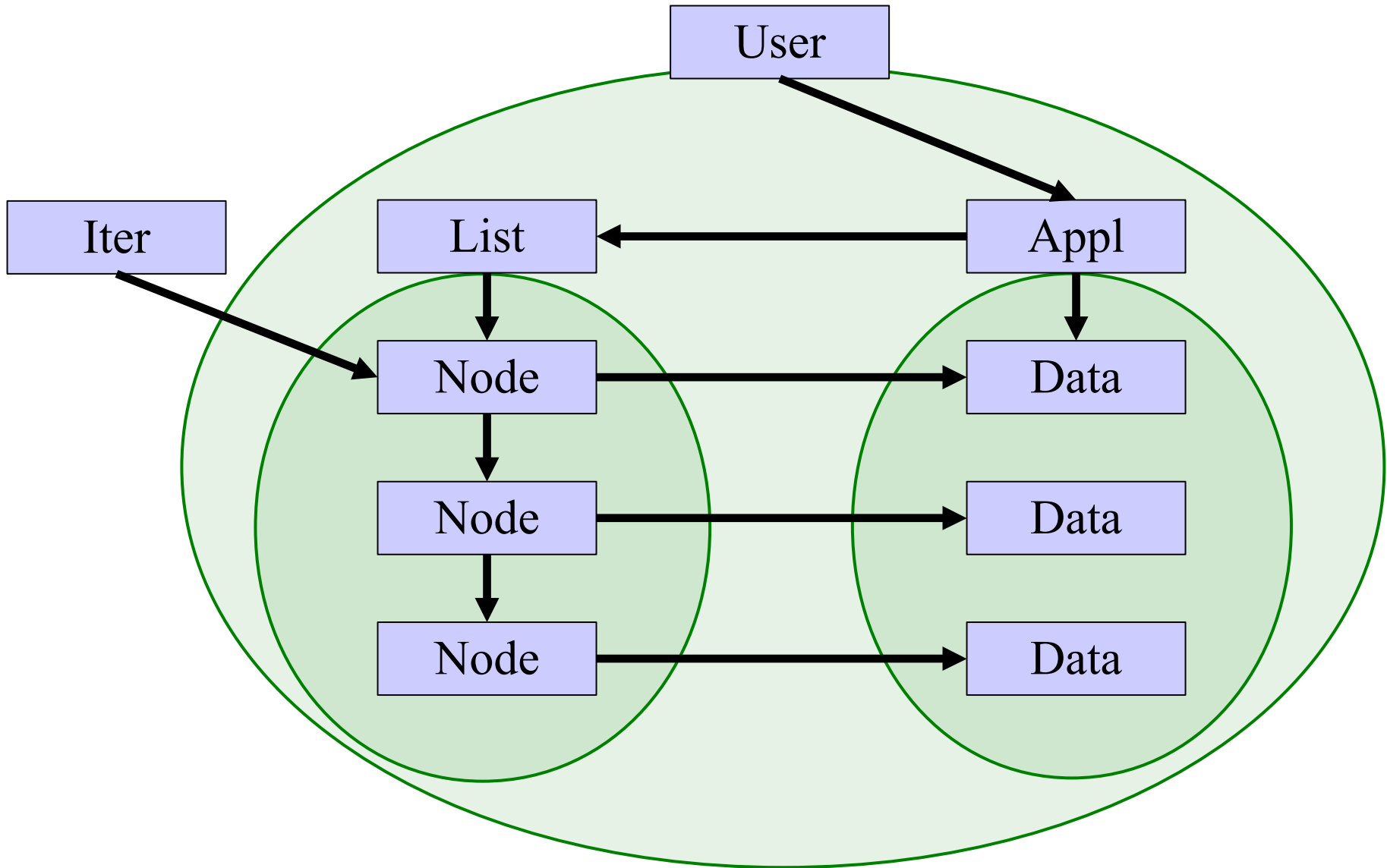
# Outline

- Overview
- Tunable Static Inference for GUT
  - ■ GUT motivation & example
  - Constraint variable introduction
  - Constraint generation
  - Max-SAT encoding
- Implementation & Evaluation
- Conclusion

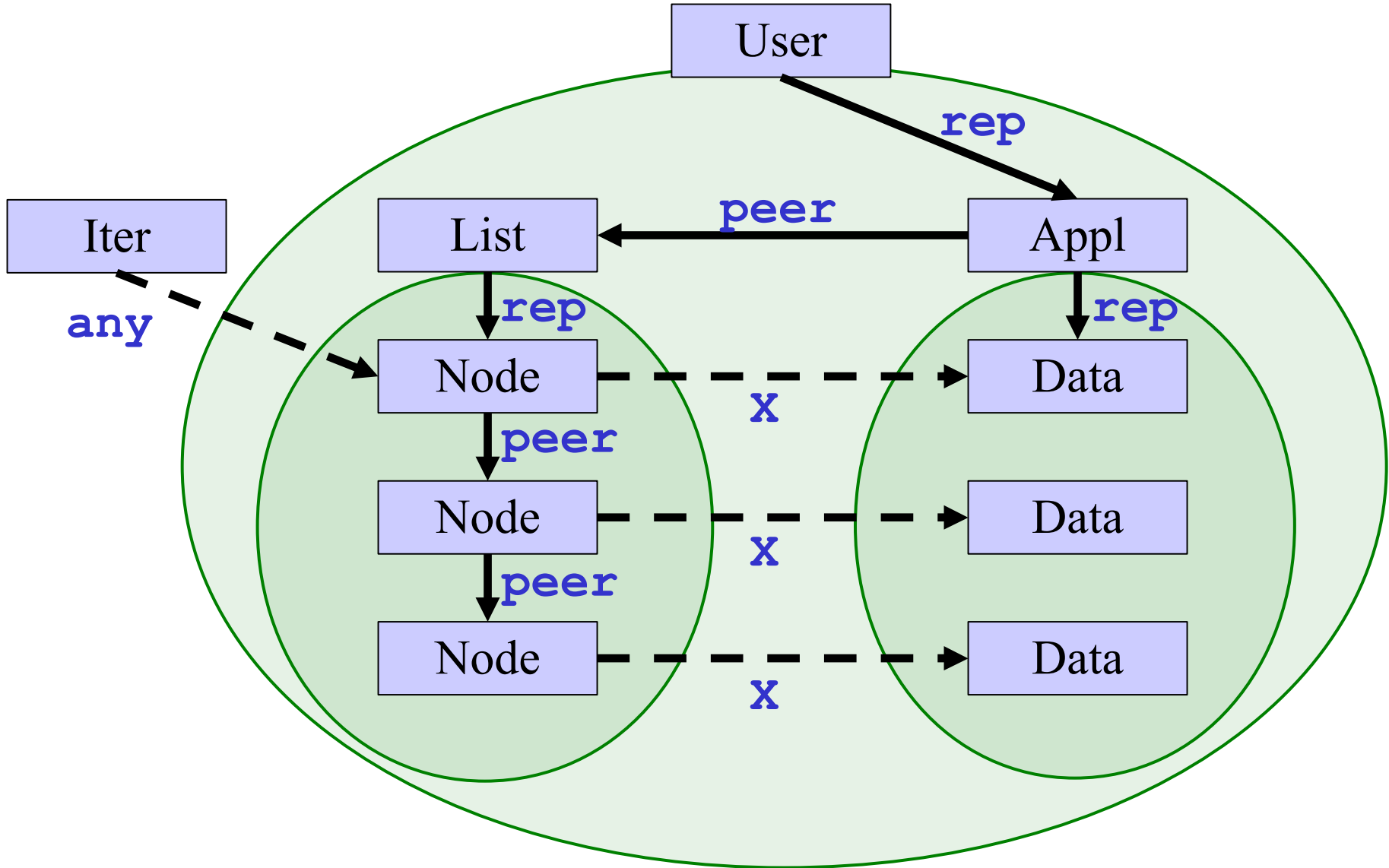
# Intended Structure



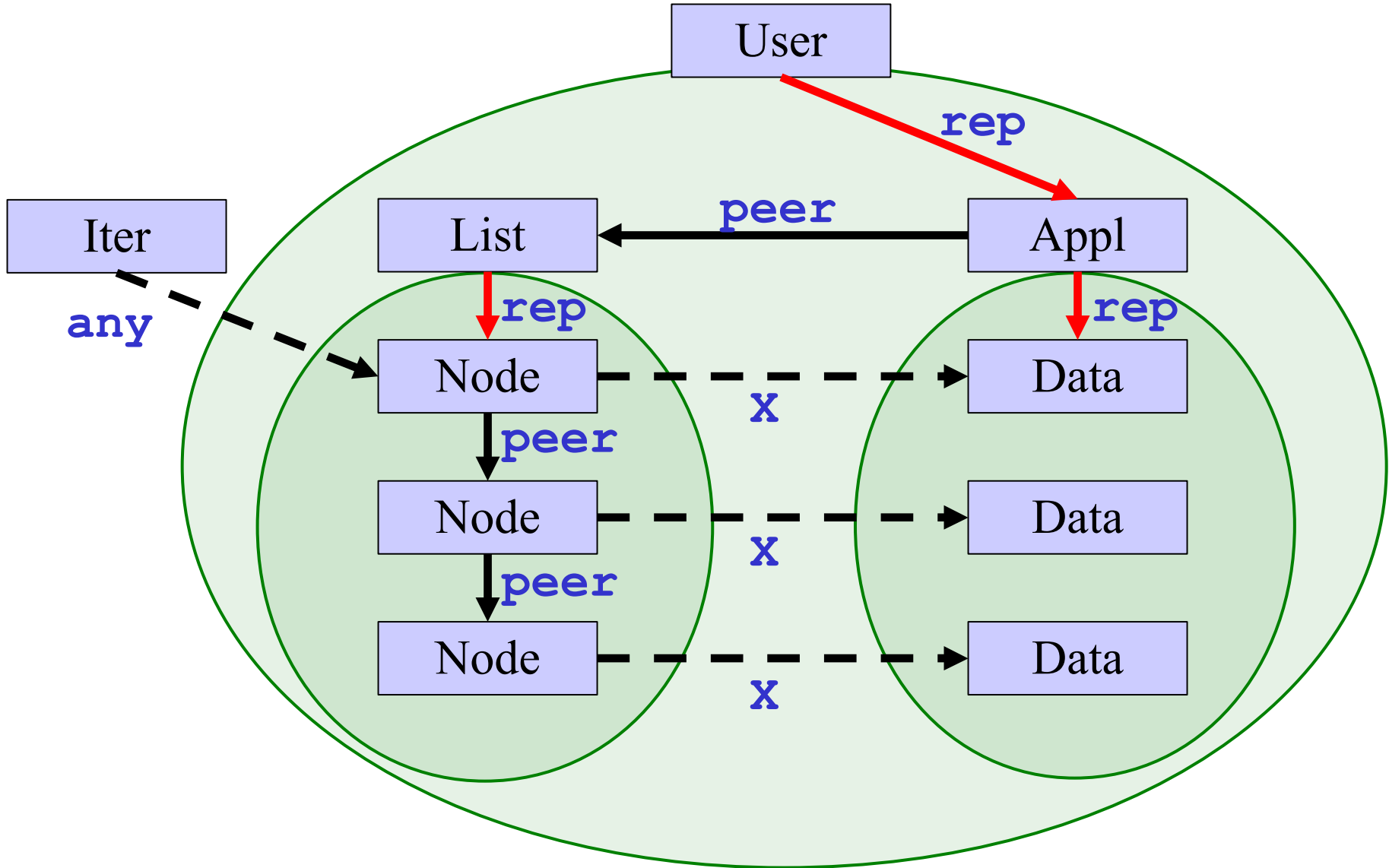
# Object Ownership



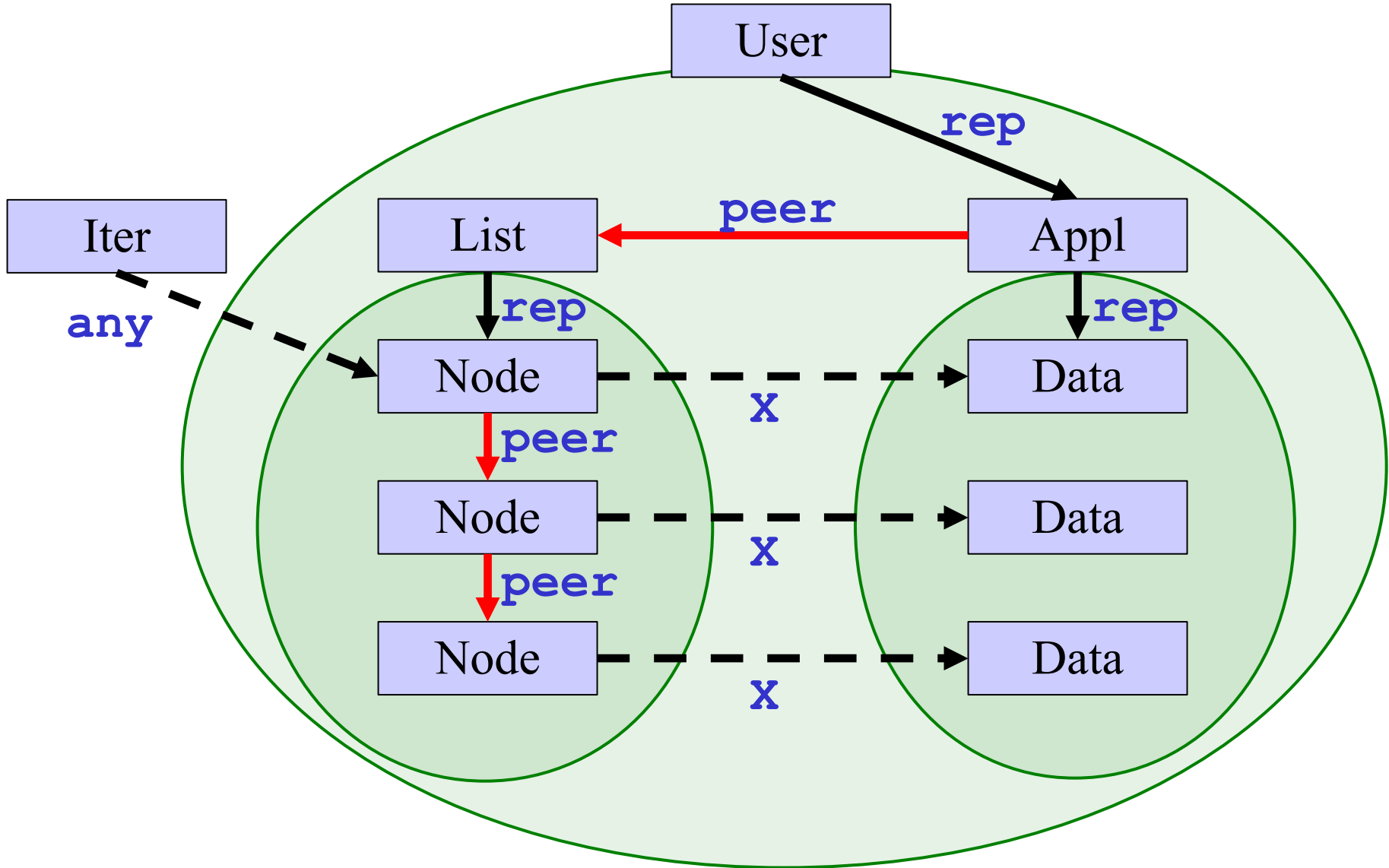
# Generic Universe Types (GUT)



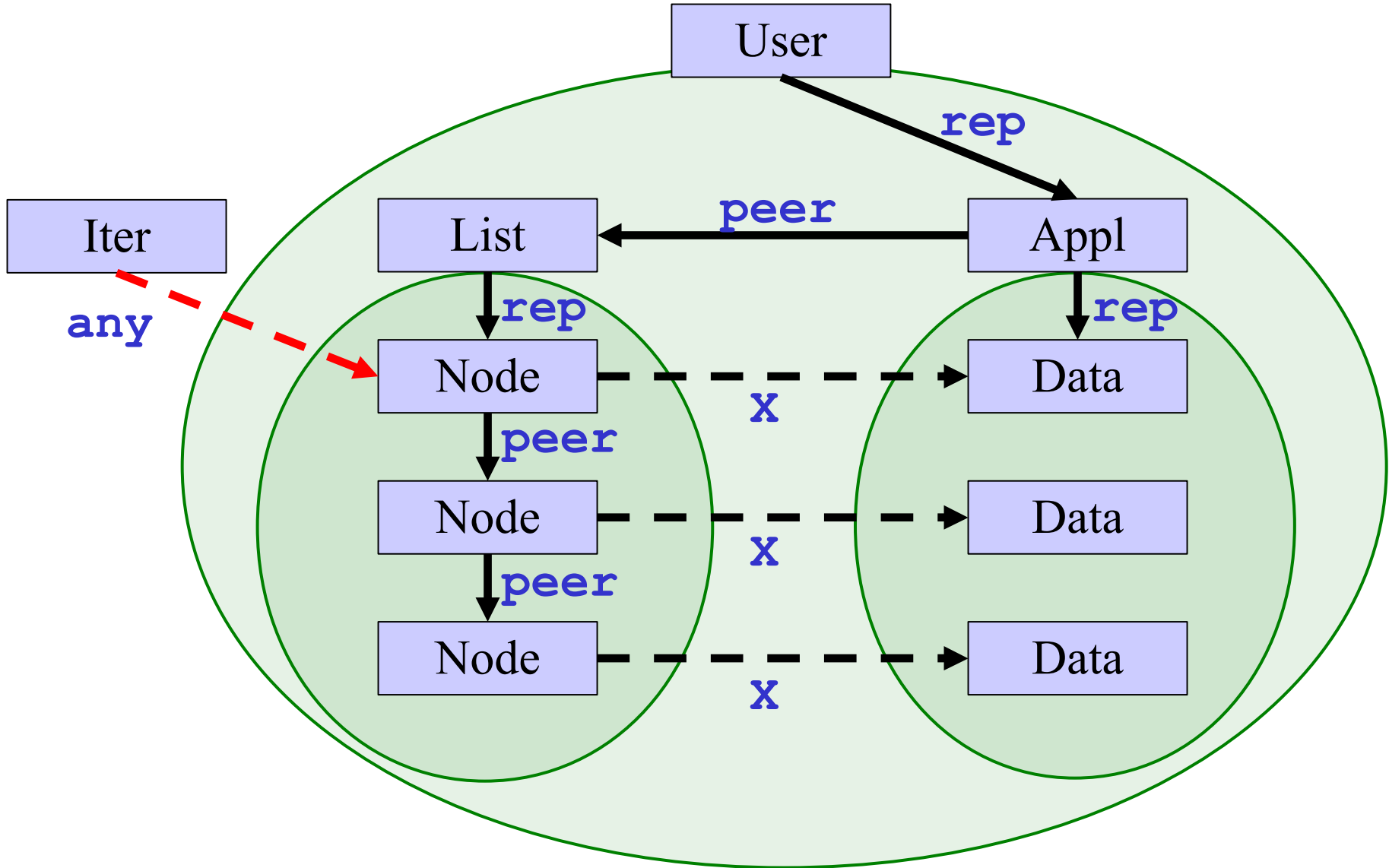
# Generic Universe Types (GUT)



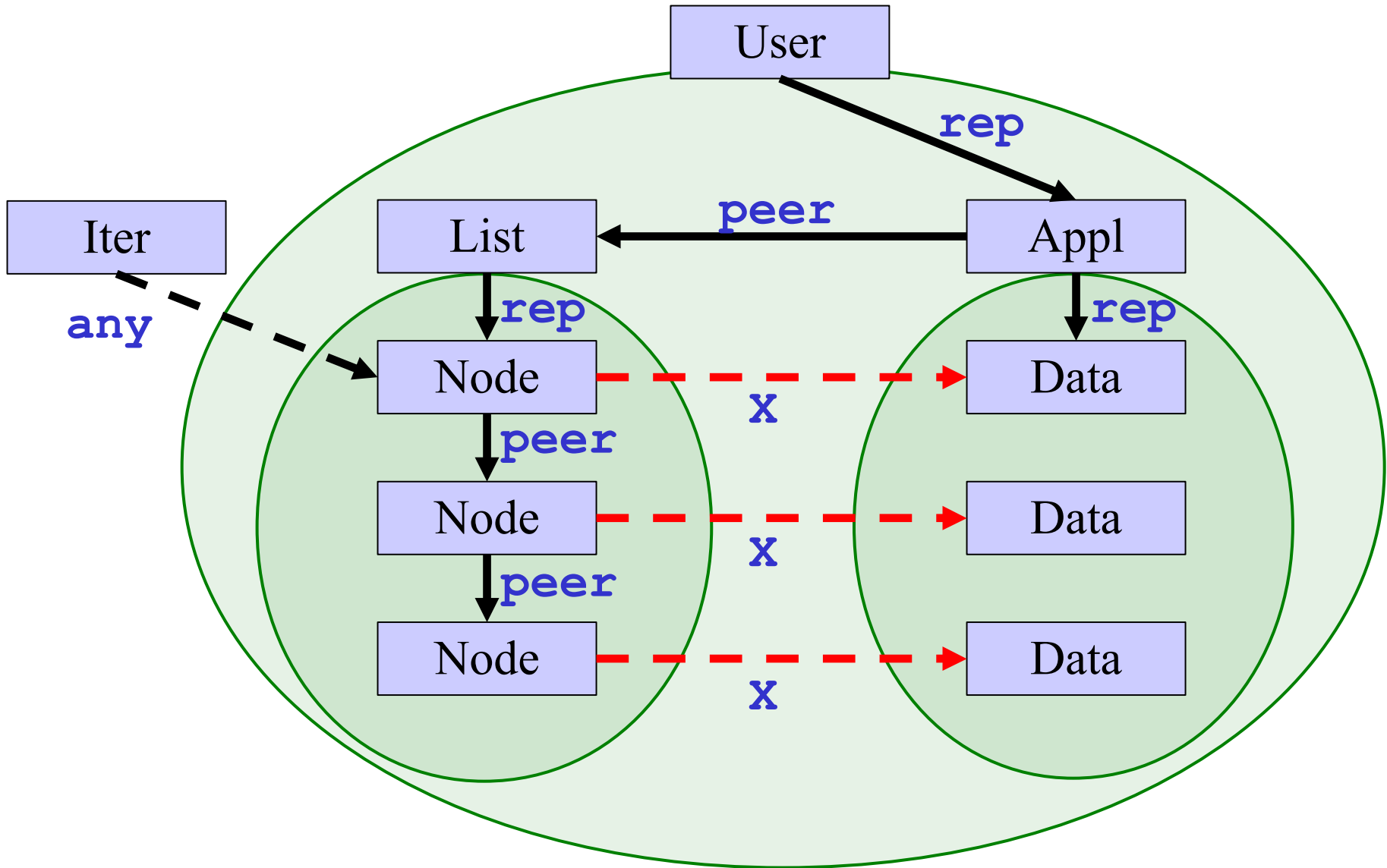
# Generic Universe Types (GUT)



# Generic Universe Types (GUT)

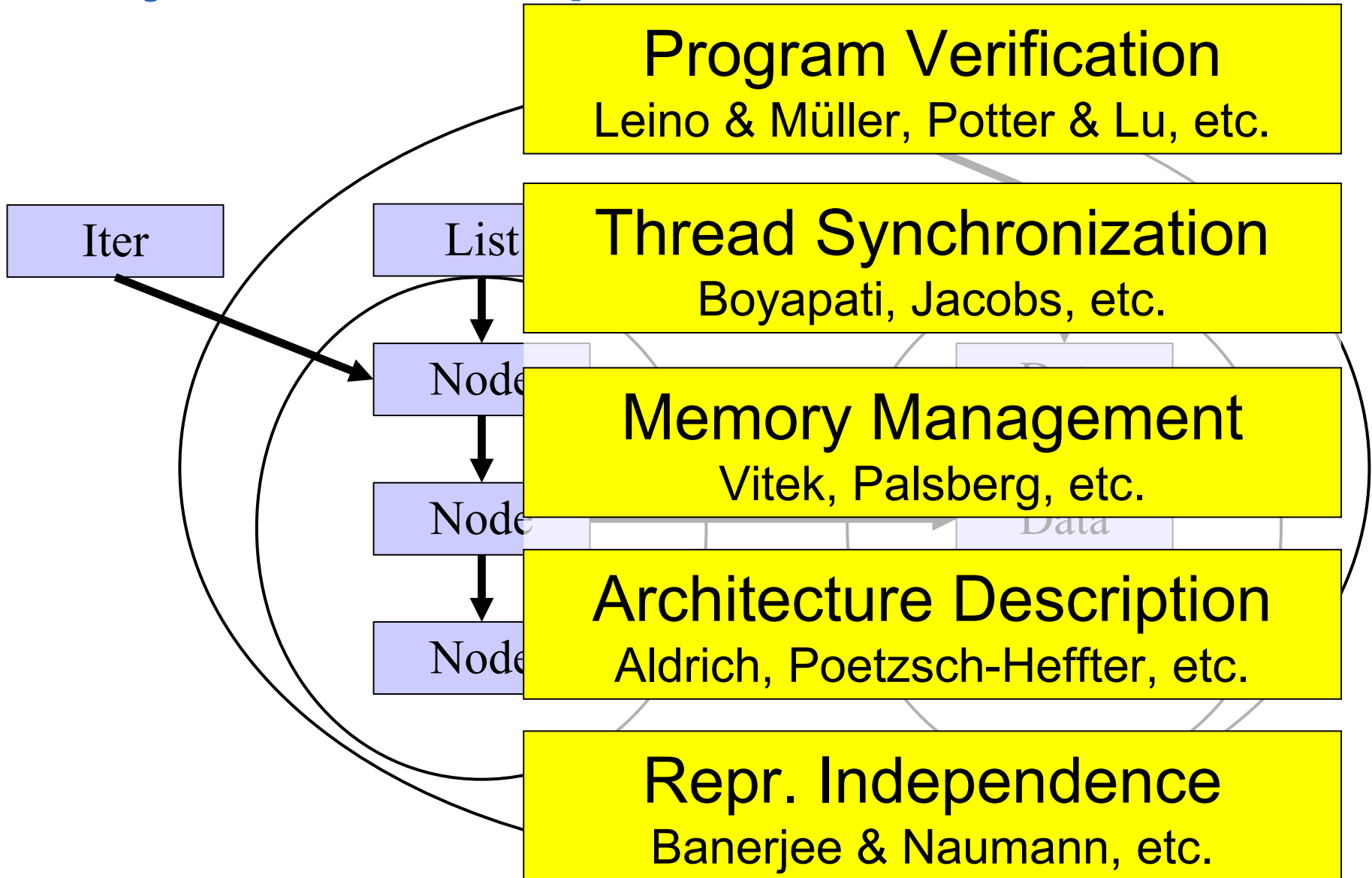


# Generic Universe Types (GUT)

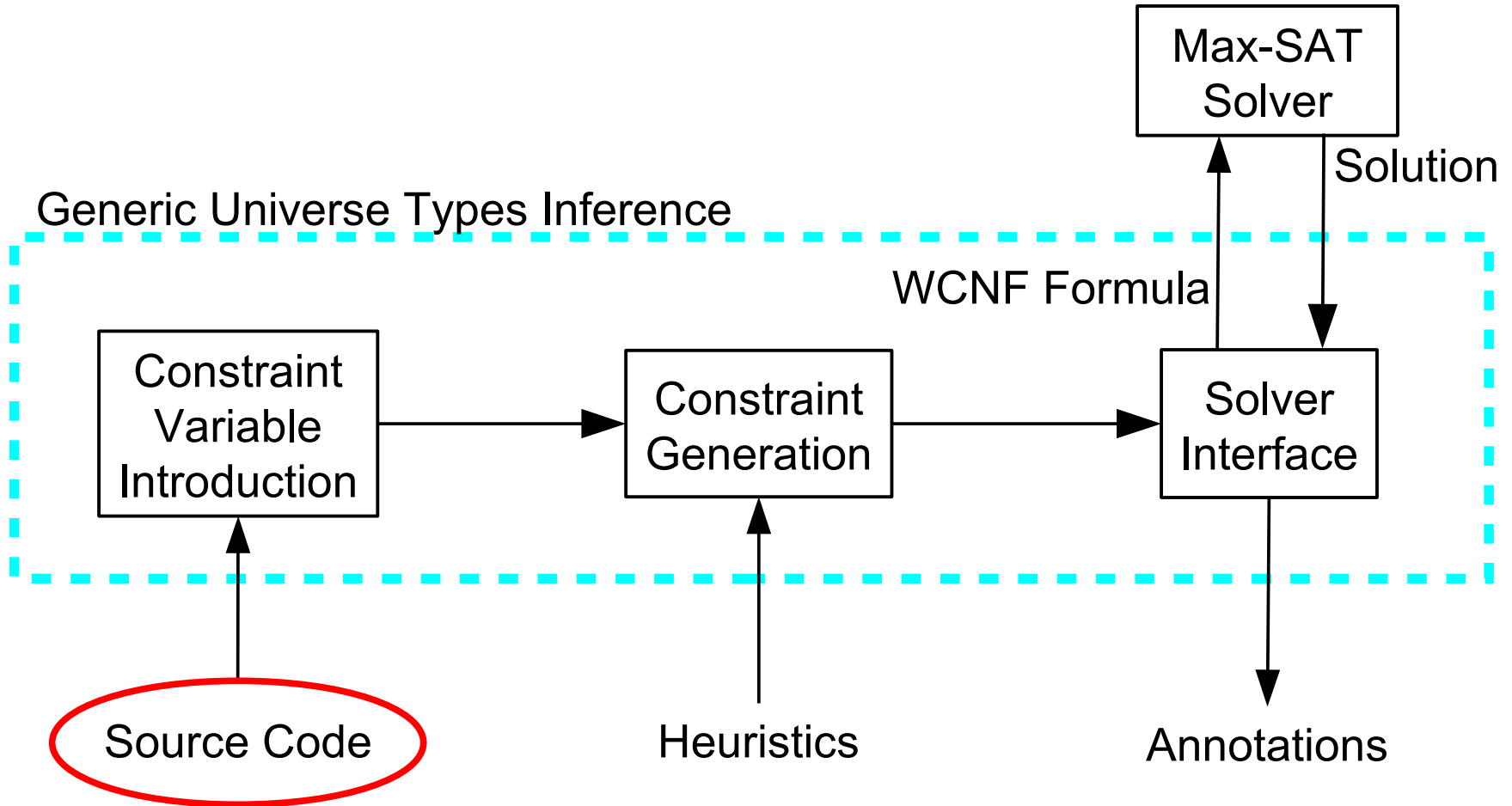




# Object Ownership



# Architecture



# Programming Language

- Generic Featherweight Java
  - Extended with state and ownership
- Non-variable types:

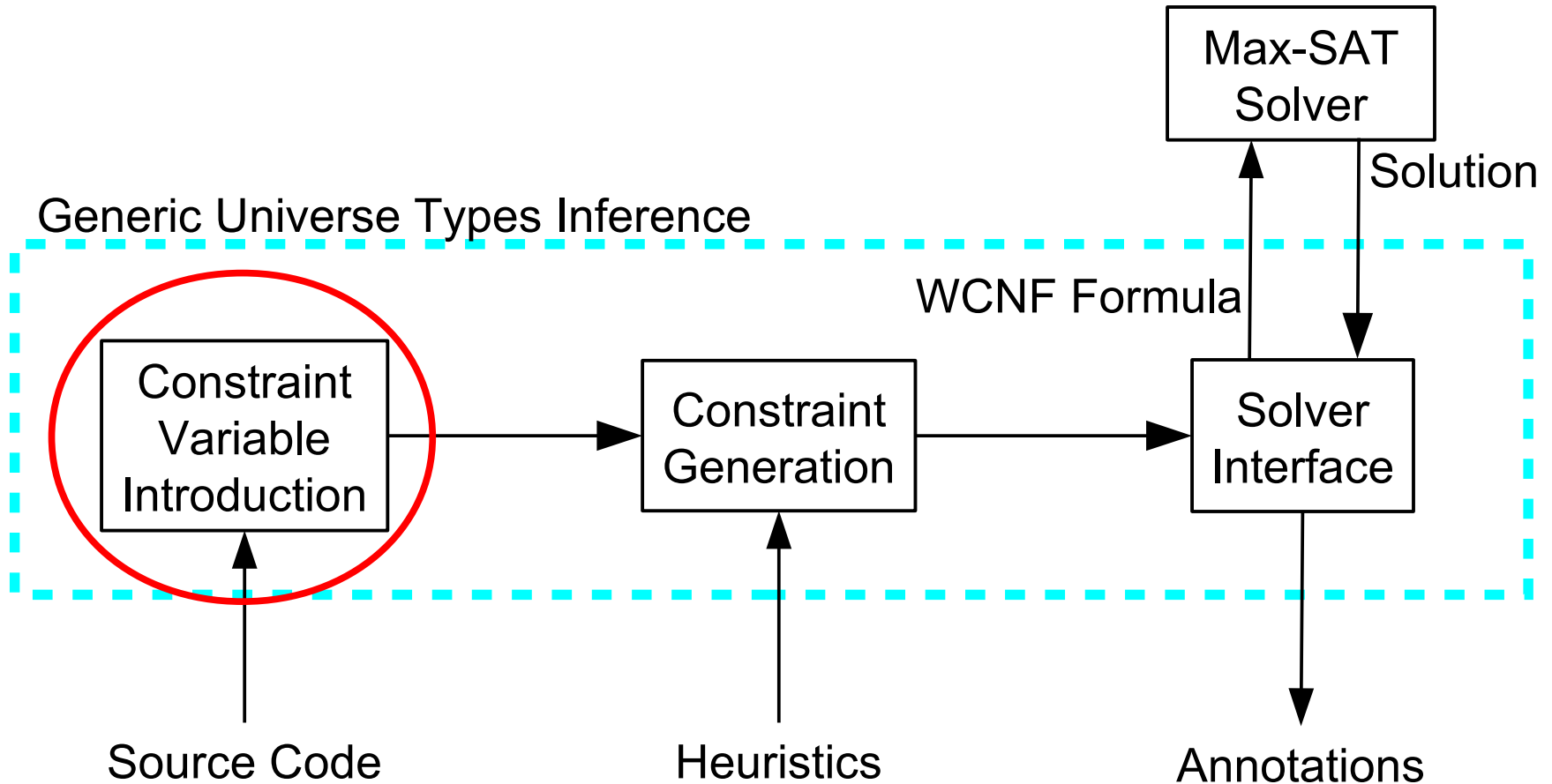
$$N ::= \textcircled{u} C \langle \overline{T} \rangle$$

- Ownership modifiers:

$$u ::= \text{peer} \mid \text{rep} \mid \text{any} \mid \text{lost} \mid \text{self} \mid \textcircled{\alpha}$$

Constraint  
Variables

# Architecture



# Constraint Variable Introduction

- Introduce constraint variables  $\alpha$  for
  - Every reference type
  - Every expression

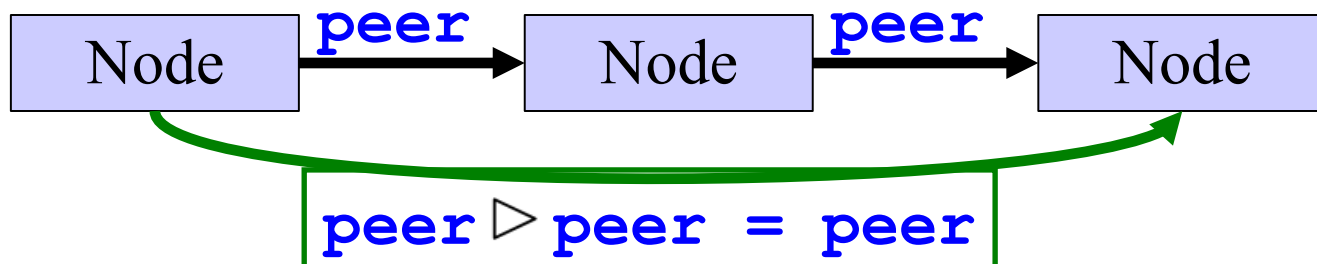
# Generic Universe Types Example

```
class Node<X> {  
    peer Node<X> next;  
    X elem;  
    void replaceNext(X p) { ...  
        peer Node<X> tmp = next.next;  
        ...  
    }  
}  
  
class List<Y> {  
    rep Node<Y> head;  
    void dropFirst() {  
        head = head.next;  
    }  
}
```

# Adaptation of Ownership Modifiers

`next.next`

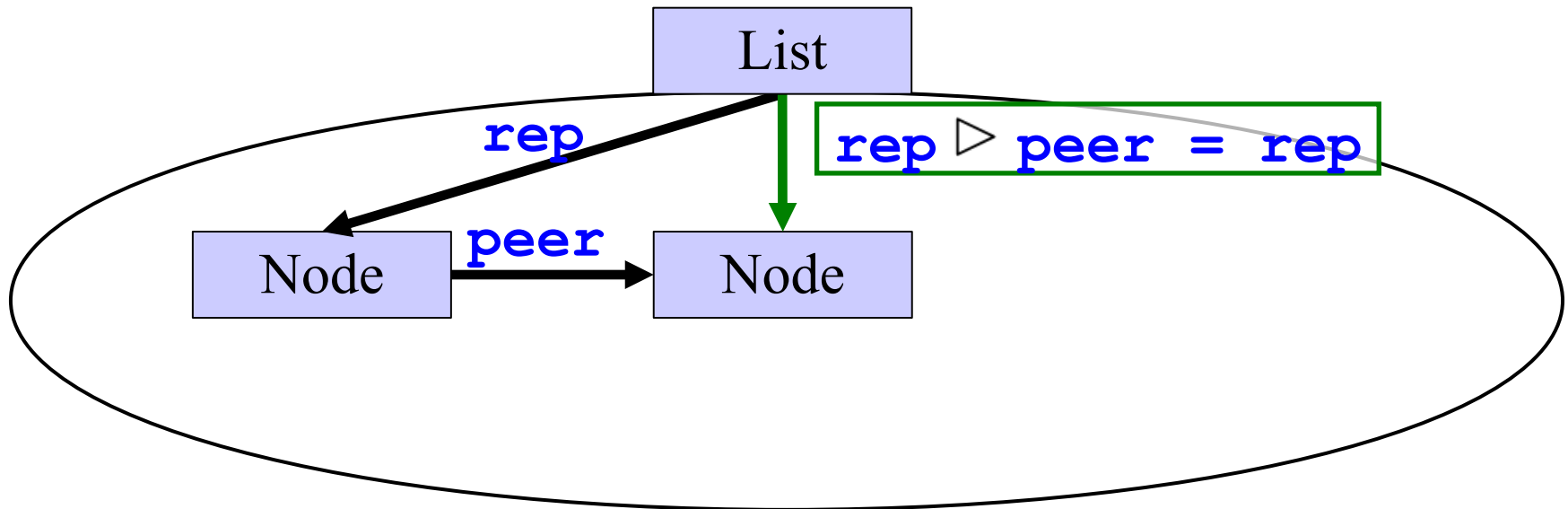
`peer ▷ peer = peer`



# Adaptation of Ownership Modifiers

`head.next`

`rep ▷ peer = rep`



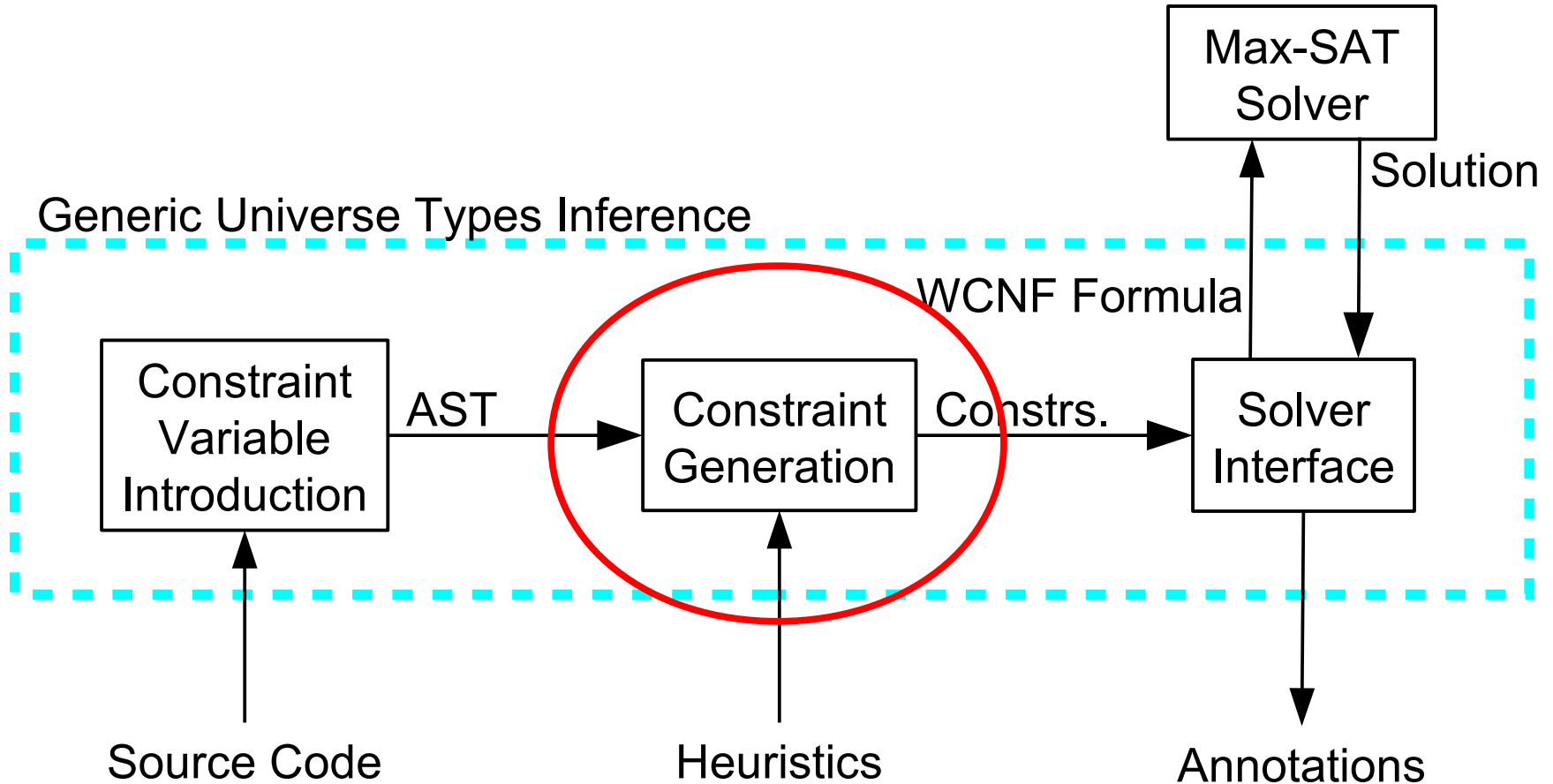


# Adaptation of Ownership Modifiers

$$\begin{array}{c} \alpha_3 \\ \underbrace{\qquad\qquad\qquad} \\ e_1 \cdot f \\ \underbrace{\qquad\qquad\qquad} \\ \alpha_1 \qquad \alpha_2 \end{array}$$

$$\alpha_1 \triangleright \alpha_2 = \alpha_3$$

# Architecture



# Constraints

- Subtype

$$u_1 <: u_2$$

- Equality

$$u_1 = u_2$$

- Inequality

$$u_1 \neq u_2$$

- Comparable

$$u_1 <:> u_2$$

- Adaptation

$$u_1 \triangleright u_2 = \alpha_3$$

# Constraint Generation

- Traverse AST and generate constraints corresponding to the GUT type rules
- Standard typing judgment

$$\Gamma \vdash e : T$$

- Constraint generation rule

$$\Gamma \vdash e : T, \Sigma$$

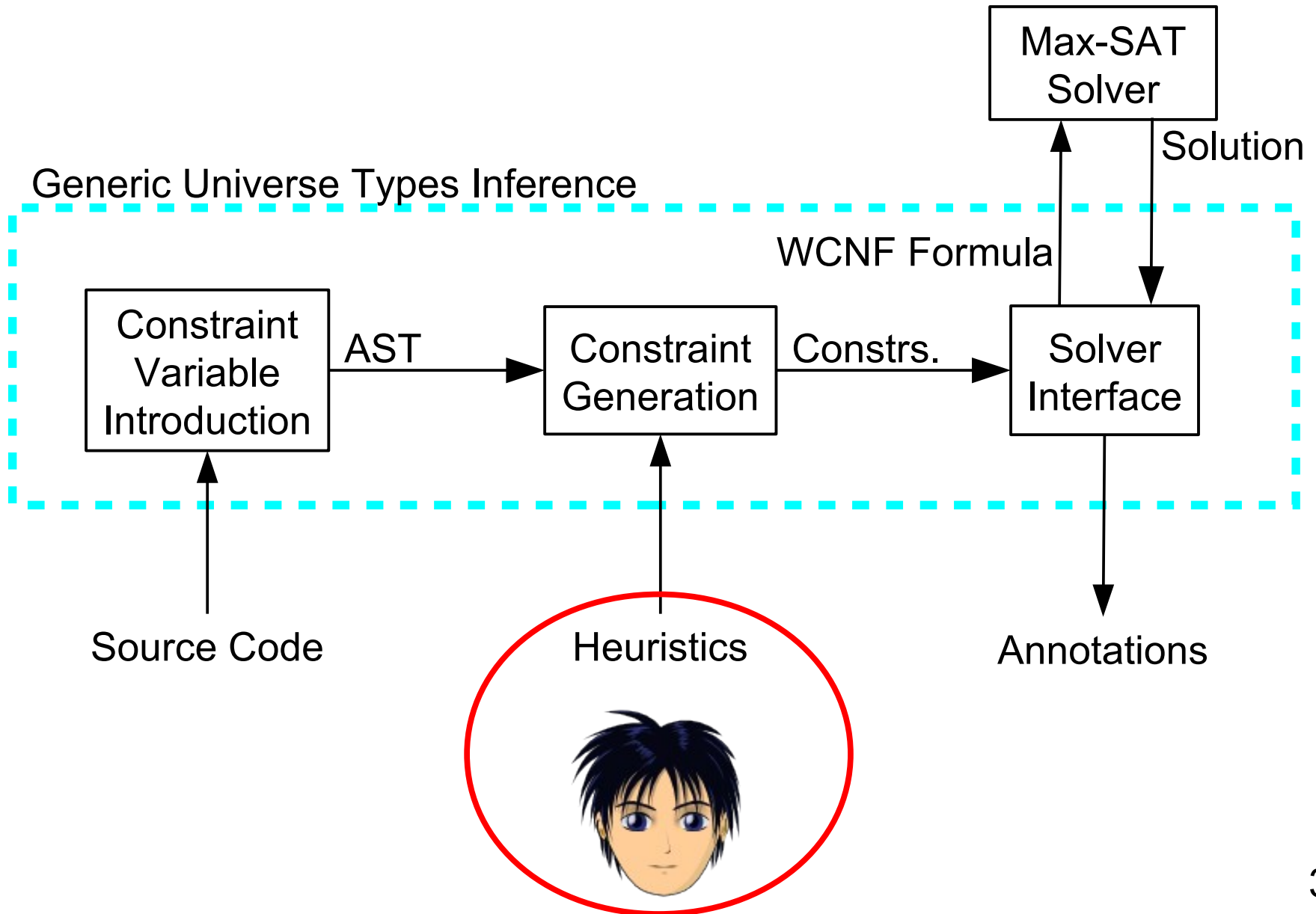


Constraint  
Set

# Constraint Generation – Field Update

$$\frac{\begin{array}{l} \Gamma \vdash e_0 : N_0, \Sigma_0 \\ \Gamma \vdash e_1 : T_1, \Sigma_1 \\ \text{fType}(N_0, f) = T_2, \Sigma_2 \\ \Gamma \vdash T_1 <: T_2 : \Sigma_3 \\ \Sigma_4 = \{\text{lost} \notin T_2\} \end{array}}{\Gamma \vdash e_0.f := e_1 : T_2, \bigcup_{i=0}^4 \Sigma_i}$$

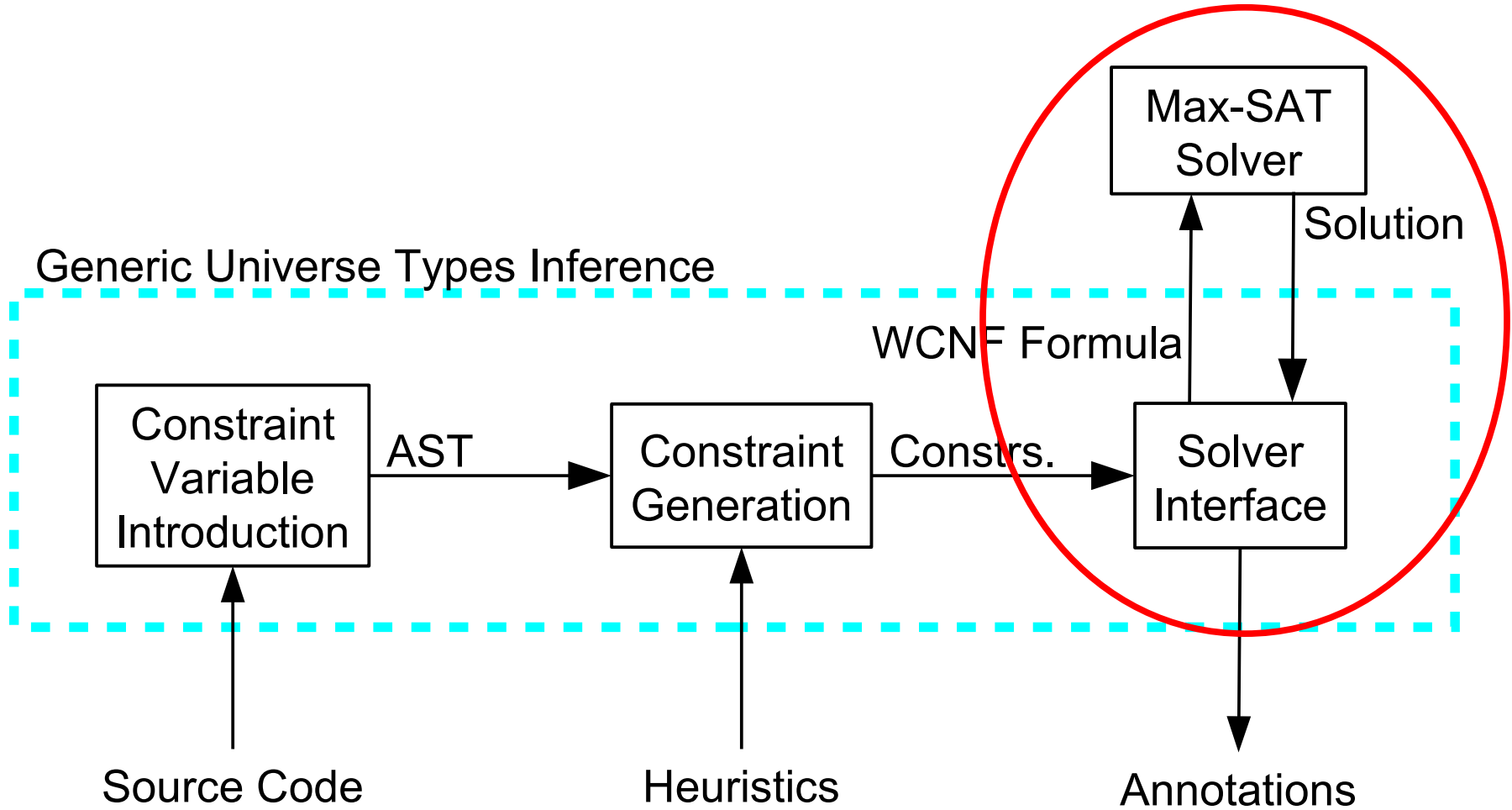
# Architecture



# Multiple solutions are possible

- Solving the constraints might give flat structure
- Add new, optional and weighted constraints to encode preferences
- Goal: satisfy as many preferences as possible
- For constraint variable  $\alpha$  that appears in
  - Field types, prefer **rep**
  - Return types, prefer **rep**
  - Parameter types, prefer **any**
  - Type variable bounds, prefer **any**

# Architecture





# Boolean representation

- Each constraint variable encoded by four booleans

$$\alpha \iff \beta^{peer}, \beta^{rep}, \beta^{any}, \beta^{lost}$$

# Converting constraints to CNF formulas

Constraint	Encoding
$\alpha_1 <: \alpha_2$	$(\beta_1^{any} \Rightarrow \beta_2^{any}) \wedge (\beta_2^{peer} \Rightarrow \beta_1^{peer}) \wedge$ $(\beta_2^{rep} \Rightarrow \beta_1^{rep}) \wedge (\beta_1^{lost} \Rightarrow (\beta_2^{lost} \vee \beta_2^{any}))$
$\alpha_1 = \alpha_2$	$(\beta_1^{peer} \Rightarrow \beta_2^{peer}) \wedge (\beta_1^{rep} \Rightarrow \beta_2^{rep}) \wedge$ $(\beta_1^{lost} \Rightarrow \beta_2^{lost}) \wedge (\beta_1^{any} \Rightarrow \beta_2^{any})$
$\alpha_1 \neq \alpha_2$	$(\beta_1^{peer} \Rightarrow \neg\beta_2^{peer}) \wedge (\beta_1^{rep} \Rightarrow \neg\beta_2^{rep}) \wedge$ $(\beta_1^{lost} \Rightarrow \neg\beta_2^{lost}) \wedge (\beta_1^{any} \Rightarrow \neg\beta_2^{any})$
$\alpha_1 <:> \alpha_2$	$(\beta_1^{peer} \Rightarrow \neg\beta_2^{rep}) \wedge (\beta_1^{rep} \Rightarrow \neg\beta_2^{peer})$
$\alpha_1 \triangleright \alpha_2 = \alpha_3$	$(\beta_1^{peer} \wedge \beta_2^{peer} \Rightarrow \beta_3^{peer}) \wedge$ $(\beta_1^{rep} \wedge \beta_2^{peer} \Rightarrow \beta_3^{rep}) \wedge$ $(\beta_2^{any} \Rightarrow \beta_3^{any}) \wedge (\beta_2^{lost} \Rightarrow \beta_3^{lost}) \wedge$ $(\beta_1^{any} \wedge \neg\beta_2^{any} \Rightarrow \beta_3^{lost}) \wedge$ $(\beta_1^{lost} \wedge \neg\beta_2^{any} \Rightarrow \beta_3^{lost}) \wedge (\beta_2^{rep} \Rightarrow \beta_3^{lost})$

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# Implementation

- Built on top of the OpenJDK Java compiler
- Written in Scala
- Result in Annotation File Utilities format
- Type checker for GUT
  - Uses JSR 308 type annotation syntax [@Peer](#)

# Evaluation

Benchmark	SLOC	Timing	peer	rep	any
zip	2611	5.6s	67%	18%	15%
javad	1846	4.5s	51%	24%	25%
jdepend	2460	6.5s	64%	21%	15%
classycle	4658	7.8s	73%	13%	14%

Correct



Desirable



Of the annotations inserted into the source code (excluding viewpoint adaptation)

# Related Work

- Milanova et al. (TOOLS 2011, IWACO 2011)
  - Static dominance inference on alias graphs
  - Only partial annotations
- Beckman & Nori (PLDI 2011)
  - Typestate system
  - Probabilistic constraints allow overconstrained systems
- Welsch & Schäfer (TOOLS 2011)
  - Location type system
  - IDE integration and overconstrained systems

# Future Work

## Generalized inference framework

- Other ownership type systems
- Other type systems
- Other solvers

# Tunable Static Inference for GUT

- Infers ownership type annotations
- Preferences among multiple legal typings
- Uses a Max-SAT solver as back-end
- Gives correct and desirable annotations
- Tool available from:

<http://www.cs.washington.edu/homes/wmdietl/>

<http://checker-framework.googlecode.com/>