

CSE P 504

Advanced topics in Software Systems

Fall 2022

Mutation-based Testing

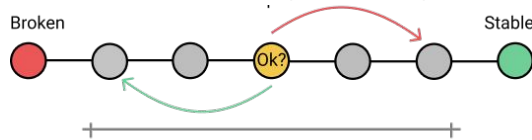
October 24, 2022

Today

- Recap: Git bisect exercise
- Mutation-based testing
 - The basics
 - Productive mutants
 - Mutant subsumption
- Coverage-based vs. mutation-based testing
- In-class exercise 3

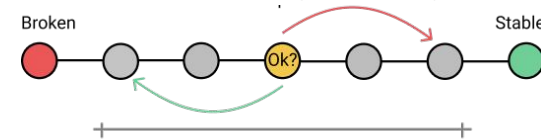
Recap: Git bisect

- Git bisect run-time complexity is always $O(\log(n))$

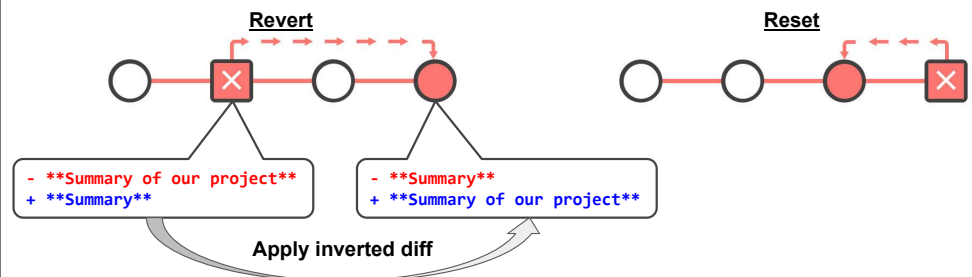


Recap: Git bisect

- Git bisect run-time complexity is always $O(\log(n))$

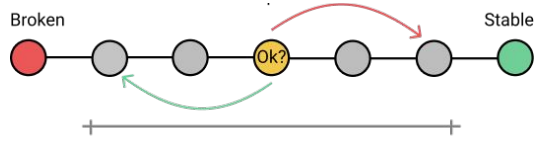


- Git revert vs. git reset



Recap: Git bisect

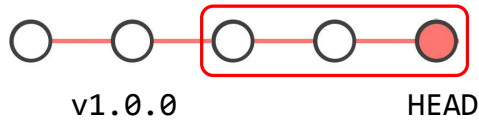
- Git bisect run-time complexity is always $O(\log(n))$



- Git revert vs. git reset



- `git rev-list v1.0.0..HEAD` (or `HEAD ^v1.0.0`)



Mutation-based testing: the basics

Mutation testing



Mutation testing

Program

Mutation testing: mutant generation



Mutation testing

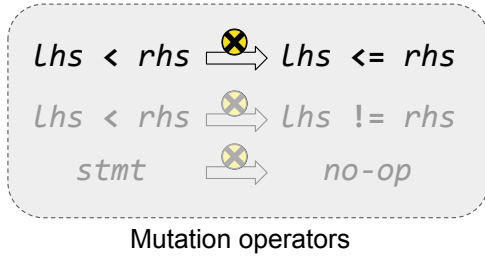
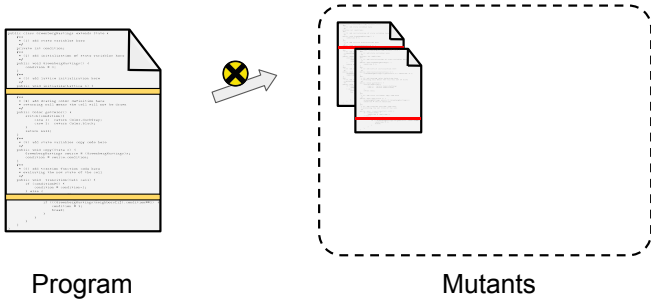
Program



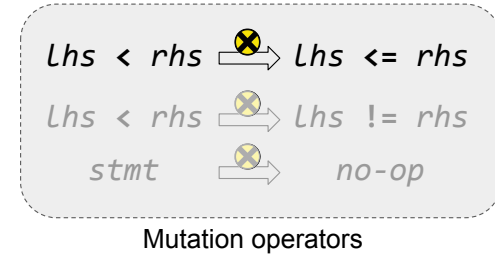
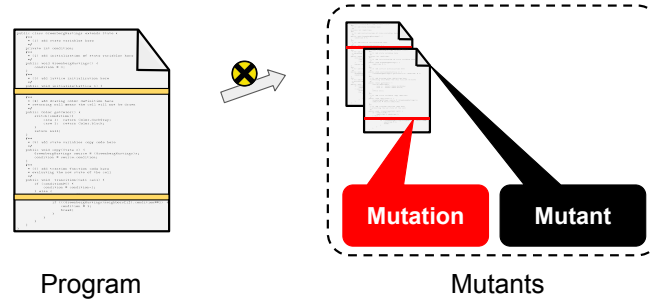
$Lhs < rhs \xrightarrow{\text{⊗}} Lhs \leq rhs$
 $Lhs < rhs \xrightarrow{\text{⊗}} Lhs \neq rhs$
 $stmt \xrightarrow{\text{⊗}} no-op$

Mutation operators

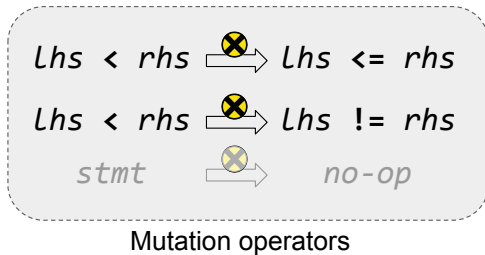
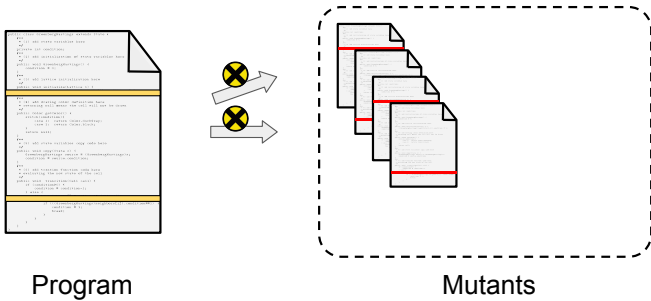
Mutation testing: mutant generation



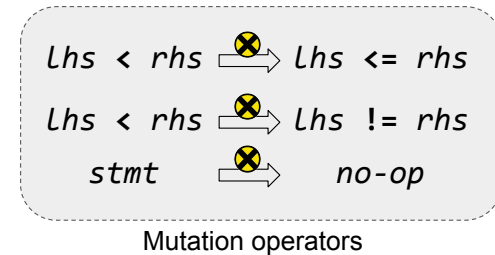
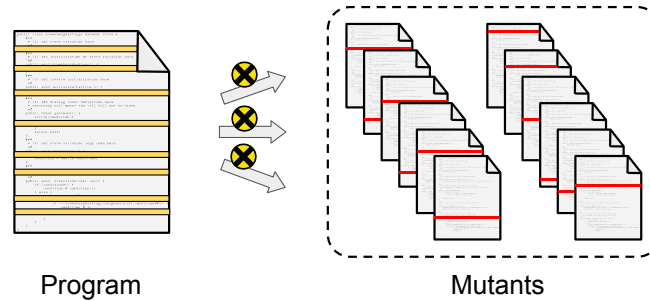
Mutation testing: mutant generation



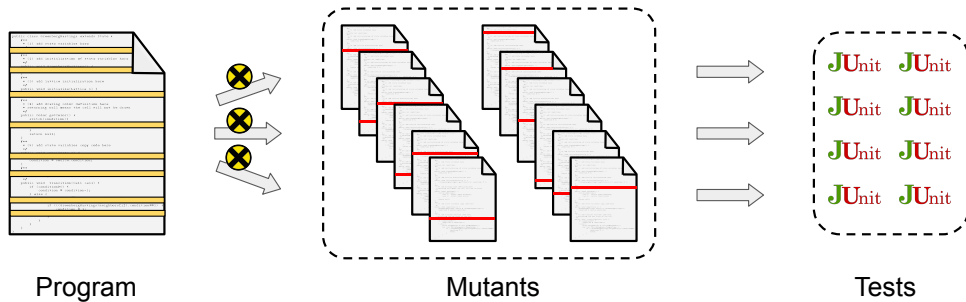
Mutation testing: mutant generation



Mutation testing: mutant generation



Mutation testing: test creation



Assumptions

- Mutants are coupled to real faults
- Mutant detection is correlated with real-fault detection

https://homes.cs.washington.edu/~rjust/publ/mutants_real_faults_fse_2014.pdf

https://homes.cs.washington.edu/~rjust/publ/mutation_testing_practices_icse_2021.pdf

Mutation testing: a concrete example

Original program:

```
public int min(int a, int b) {  
    return a < b ? a : b;  
}
```

Mutant 1:

```
public int min(int a, int b) {  
    return a;  
}
```

Mutation testing: another example

Original program:

```
public int min(int a, int b) {  
    return a < b ? a : b;  
}
```

Mutant 2:

```
public int min(int a, int b) {  
    return b;  
}
```

Mutation testing: yet another example

Original program:

```
public int min(int a, int b) {  
    return a < b ? a : b;  
}
```

Mutant 3:

```
public int min(int a, int b) {  
    return a >= b ? a : b;  
}
```

Mutation testing: last example (I promise)

Original program:

```
public int min(int a, int b) {  
    return a < b ? a : b;  
}
```

Mutant 4:

```
public int min(int a, int b) {  
    return a <= b ? a : b;  
}
```

Mutation testing: exercise



Original program:

```
public int min(int a, int b) {  
    return a < b ? a : b;  
}
```

Mutants:

```
M1: return a;  
M2: return b;  
M3: return a >= b ? a : b;  
M4: return a <= b ? a : b;
```

For each mutant, provide a test case that detects it
(i.e., passes on the original program but fails on the mutant)

Mutation testing: exercise

Original program:

```
public int min(int a, int b) {  
    return a < b ? a : b;  
}
```

Mutants:

```
M1: return a;  
M2: return b;  
M3: return a >= b ? a : b;  
M4: return a <= b ? a : b;
```

M4 cannot be detected (equivalent mutant).

a	b	Original	M1	M2	M3	M4
1	2	1	1	2	2	1
1	1	1	1	1	1	1
2	1	1	2	1	2	1

Mutation testing: exercise

Original program:

```
public int min(int a, int b) {  
    return a < b ? a : b;  
}
```

Mutants:

```
M1: return a;  
M2: return b;  
M3: return a >= b ? a : b;  
M4: return a <= b ? a : b;
```

Which mutant(s) should we show to a developer?

a	b	Original	M1	M2	M3	M4
1	2	1	1	2	2	1
1	1	1	1	1	1	1
2	1	1	2	1	2	1

Mutation testing: summary

Original program:

```
public int min(int a, int b) {
    return a < b ? a : b;
}
```

Mutants:

```
M1: return a;
M2: return b;
M3: return a >= b ? a : b;
M4: return a <= b ? a : b;
```

Redundant

Equivalent

a	b	Original	M1	M2	M3	M4
1	2	1	1	2	2	1
1	1	1	1	1	1	1
2	1	1	2	1	2	1

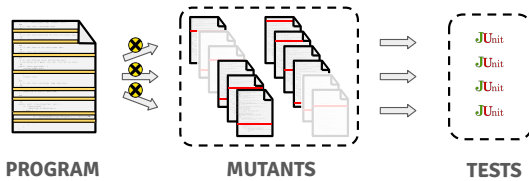
Mutation testing: challenges

- Redundant mutants
 - Inflate the mutant detection ratio
 - Hard to assess progress and remaining effort
- Equivalent mutants
 - Max mutant detection ratio != 100%
 - Waste resources (CPU and human time)

a	b	Original	M1	M2	M3	M4
1	2	1	1	2	2	1
1	1	1	1	1	1	1
2	1	1	2	1	2	1

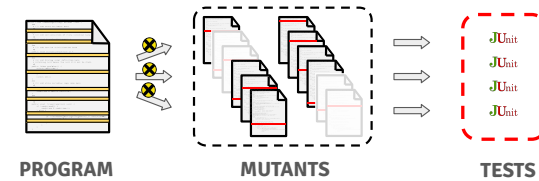
Mutation Testing vs. Mutation Analysis

Mutation Testing



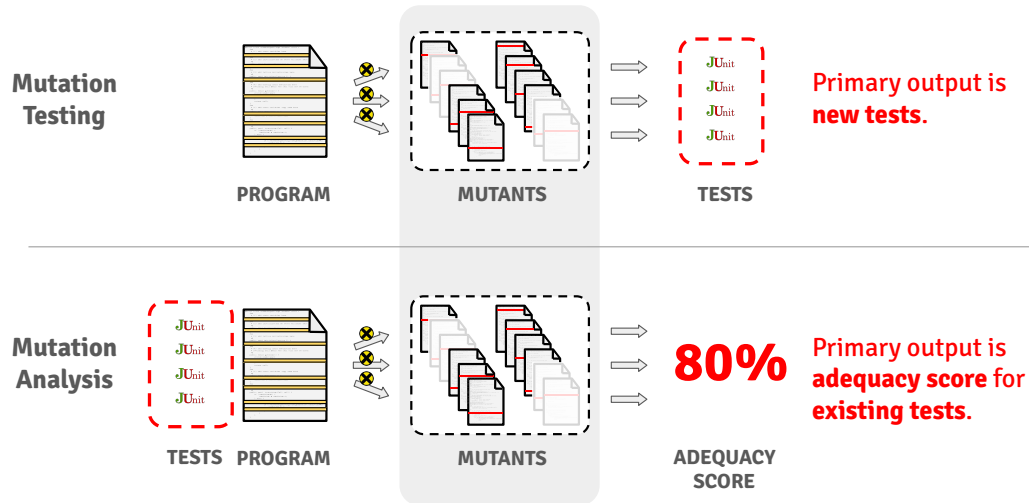
Mutation Testing vs. Mutation Analysis

Mutation Testing

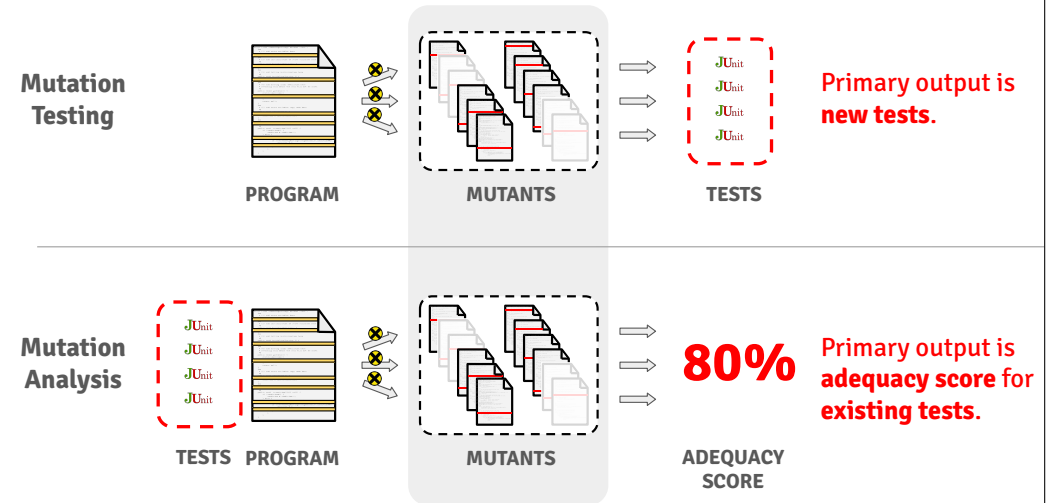


Primary output is new tests.

Mutation Testing vs. Mutation Analysis



Mutation Testing vs. Mutation Analysis



How expensive is mutation testing?
Is the mutation score meaningful?

Mutation-based testing: productive mutants

Detectable vs. productive mutants

Historically

- Detectable mutants are **good** → tests
- Equivalent mutants are **bad** → no tests

A more nuanced view

- Detectable vs. equivalent is **too simplistic**
- Productive mutants elicit effective tests, but
 - detectable mutants can be useless, and
 - equivalent mutants can be useful!

The core question here concerns test-goal utility
(applies to any adequacy criterion).

Detectable vs. productive mutants

Historically

- Detectable mutants are good \implies tests
- Equivalent mutants are bad \implies no tests

A more nuanced view

- Detectable vs. equivalent is too simplistic
- Productive mutants elicit effective tests, but
 - detectable mutants can be useless, and
 - equivalent mutants can be useful!

The notion of productive mutants is fuzzy!

A mutant is **productive** if it is

1. detectable and elicits an effective test or
2. equivalent and advances code quality or knowledge

An Industrial Application of Mutation Testing: Lessons, Challenges, and Research Directions (Reading 1)

Productive mutants: mutation testing at Google

```
int RunMe(int a, int b) {
  if (a == b || b == 1) {
```

▼ Mutants
14:25, 28 Mar

Changing this 1 line to

```
if (a != b || b == 1) {
```

does not cause any test exercising them to fail.

Consider adding test cases that fail when the code is mutated to ensure those bugs would be caught.

Mutants ran because goranpetrovic is whitelisted

[Please fix](#) [Not useful](#)

Practical Mutation Testing at Scale: A view from Google (Reading 3)

Productive mutants: mutation testing at Google

```
int RunMe(int a, int b) {
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if (a != b || b == 1) {
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does not cause any test exercising them to fail.

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Mutants ran because goranpetrovic is whitelisted

[Please fix](#) [Not useful](#)

Practical Mutation Testing at Scale: A view from Google (Reading 3)

Detectable vs. productive mutants (1)

Original program

```
public double getAvg(double[] nums) {
  double sum = 0;
  int len = nums.length;

  for (int i = 0; i < len; ++i) {
    sum = sum + nums[i];
  }

  return sum / len;
}
```

Mutant

```
public double getAvg(double[] nums) {
  double sum = 0;
  int len = nums.length;

  for (int i = 0; i < len; ++i) {
    sum = sum * nums[i];
  }

  return sum / len;
}
```

Is the mutant is **detectable**?

Detectable vs. productive mutants (1)

Original program

```
public double getAvg(double[] nums) {  
    double sum = 0;  
    int len = nums.length;  
  
    for (int i = 0; i < len; ++i) {  
        sum = sum + nums[i];  
    }  
  
    return sum / len;  
}
```

Mutant

```
public double getAvg(double[] nums) {  
    double sum = 0;  
    int len = nums.length;  
  
    for (int i = 0; i < len; ++i) {  
        sum = sum * nums[i];  
    }  
  
    return sum / len;  
}
```

The mutant is **detectable**, but is it **productive**?

Detectable vs. productive mutants (1)

Original program

```
public double getAvg(double[] nums) {  
    double sum = 0;  
    int len = nums.length;  
  
    for (int i = 0; i < len; ++i) {  
        sum = sum + nums[i];  
    }  
  
    return sum / len;  
}
```

Mutant

```
public double getAvg(double[] nums) {  
    double sum = 0;  
    int len = nums.length;  
  
    for (int i = 0; i < len; ++i) {  
        sum = sum * nums[i];  
    }  
  
    return sum / len;  
}
```

The mutant is **detectable**, but is it **productive**? **Yes!**

Detectable vs. productive mutants (2)

Original program

```
public double getAvg(double[] nums) {  
    int len = nums.length;  
    double sum = 0;  
    double avg = 0;  
  
    for (int i = 0; i < len; ++i) {  
        avg = avg + (nums[i] / len);  
        sum = sum + nums[i];  
    }  
  
    return sum / len;  
}
```

Mutant

```
public double getAvg(double[] nums) {  
    int len = nums.length;  
    double sum = 0;  
    double avg = 0;  
  
    for (int i = 0; i < len; ++i) {  
        avg = avg * (nums[i] / len);  
        sum = sum + nums[i];  
    }  
  
    return sum / len;  
}
```

Is the mutant **detectable**?

Detectable vs. productive mutants (2)

Original program

```
public double getAvg(double[] nums) {  
    int len = nums.length;  
    double sum = 0;  
    double avg = 0;  
  
    for (int i = 0; i < len; ++i) {  
        avg = avg + (nums[i] / len);  
        sum = sum + nums[i];  
    }  
  
    return sum / len;  
}
```

Mutant

```
public double getAvg(double[] nums) {  
    int len = nums.length;  
    double sum = 0;  
    double avg = 0;  
  
    for (int i = 0; i < len; ++i) {  
        avg = avg * (nums[i] / len);  
        sum = sum + nums[i];  
    }  
  
    return sum / len;  
}
```

The mutant is **not detectable**, but is it **unproductive**?

Detectable vs. productive mutants (2)

Original program

```
public double getAvg(double[] nums) {  
    int len = nums.length;  
    double sum = 0;  
    double avg = 0;  
  
    for (int i = 0; i < len; ++i) {  
        avg = avg + (nums[i] / len);  
        sum = sum + nums[i];  
    }  
  
    return sum / len;  
}
```

Mutant

```
public double getAvg(double[] nums) {  
    int len = nums.length;  
    double sum = 0;  
    double avg = 0;  
  
    for (int i = 0; i < len; ++i) {  
        avg = avg * (nums[i] / len);  
        sum = sum + nums[i];  
    }  
  
    return sum / len;  
}
```

The mutant is **not detectable**, but is it **unproductive**? **No!**

Detectable vs. productive mutants (3)

Original program

```
...  
Set cache = new HashSet(a * b);  
...
```

Mutant

```
...  
Set cache = new HashSet(a + b);  
...
```

Is the mutant **detectable**?

Detectable vs. productive mutants (3)

Original program

```
...  
Set cache = new HashSet(a * b);  
...
```

Mutant

```
...  
Set cache = new HashSet(a + b);  
...
```

The mutant is **detectable**, but is it **productive**?

Detectable vs. productive mutants (3)

Original program

```
...  
Set cache = new HashSet(a * b);  
...
```

Mutant

```
...  
Set cache = new HashSet(a + b);  
...
```

The mutant is **detectable**, but is it **productive**? **No!**

Mutation-based testing: mutant subsumption

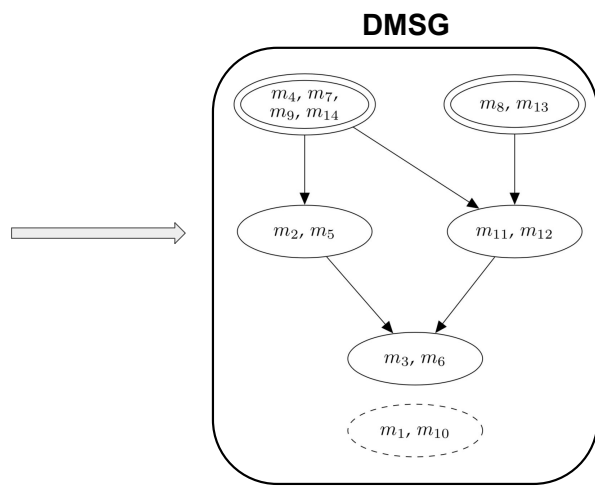
Mutant subsumption

Mutant	Tests					
	MutOp	t ₁	t ₂	t ₃	t ₄	
m ₁ : < \mapsto !=		●	●	●	●	Mutant detected (assertion)
m ₂ : < \mapsto ==		●	●	●	●	
m ₃ : < \mapsto <=		★	★	★	★	Mutant detected (exception)
m ₄ : < \mapsto >		●	●	●	●	
m ₅ : < \mapsto >=		●	●	●	●	Mutant not detected
m ₆ : < \mapsto true		★	★	★	★	
m ₇ : < \mapsto false		●	●	●	●	
m ₈ : < \mapsto !=		●	●	●	●	
m ₉ : < \mapsto ==		●	●	●	●	
m ₁₀ : < \mapsto <=		●	●	●	●	
m ₁₁ : < \mapsto >		●	●	●	●	
m ₁₂ : < \mapsto >=		●	●	●	●	
m ₁₃ : < \mapsto true		●	●	●	●	
m ₁₄ : < \mapsto false		●	●	●	●	

Prioritizing Mutants to Guide Mutation Testing ([Reading 2](#))

DMSG: Dynamic Mutant Subsumption Graph

Mutant	Tests				
	MutOp	t ₁	t ₂	t ₃	t ₄
m ₁ : < \mapsto !=		●	●	●	●
m ₂ : < \mapsto ==		●	●	●	●
m ₃ : < \mapsto <=		★	★	★	★
m ₄ : < \mapsto >		●	●	●	●
m ₅ : < \mapsto >=		●	●	●	●
m ₆ : < \mapsto true		★	★	★	★
m ₇ : < \mapsto false		●	●	●	●
m ₈ : < \mapsto !=		●	●	●	●
m ₉ : < \mapsto ==		●	●	●	●
m ₁₀ : < \mapsto <=		●	●	●	●
m ₁₁ : < \mapsto >		●	●	●	●
m ₁₂ : < \mapsto >=		●	●	●	●
m ₁₃ : < \mapsto true		●	●	●	●
m ₁₄ : < \mapsto false		●	●	●	●



Prioritizing Mutants to Guide Mutation Testing ([Reading 2](#))

Coverage-based vs. mutation-based testing

See dedicated [Slides \(4 pages\)](#).