

# CSE P 504

Advanced topics in Software Systems

Fall 2022

## Static Analysis

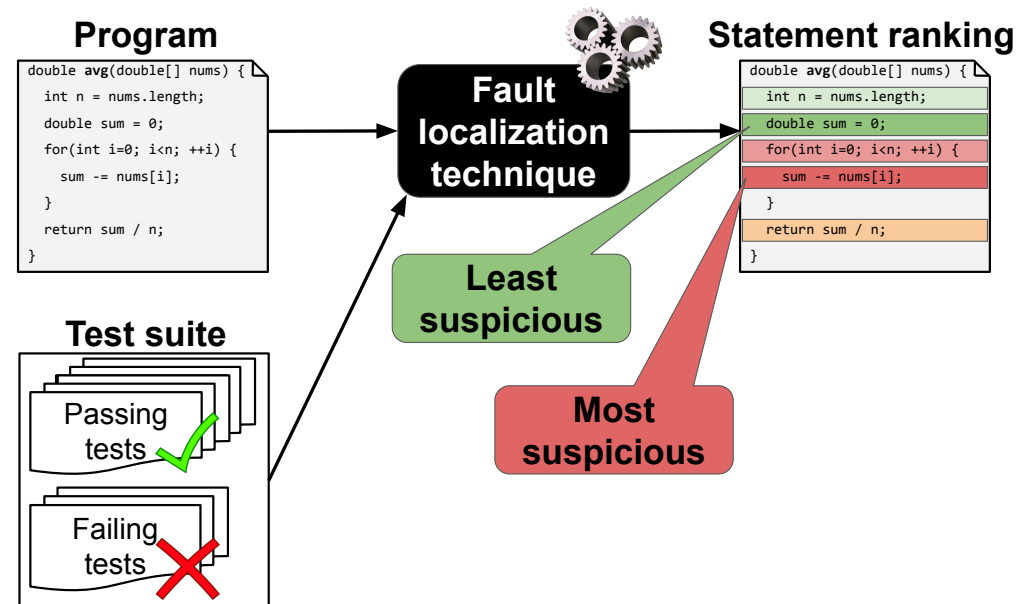
November 21, 2022

## Today

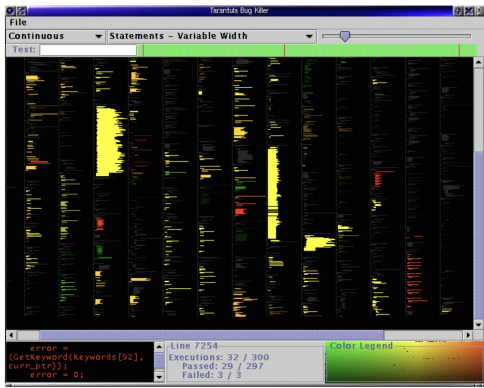
- Recap: statistical fault localization
- Static Analysis
  - Motivation
  - Examples
  - Intro to Abstract Interpretation

## Recap: statistical fault localization

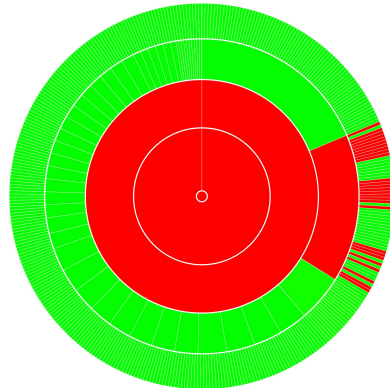
## Recap: statistical fault localization



## Recap: statistical fault localization



Jones et al., Visualization of test information to assist fault localization, ICSE'02



GZoltar

## Recap: statistical fault localization

### Developer in the loop

- Which granularity is most useful?
  - file level
  - method level
  - statement level
- What context do you need to reason about?
  - a file
  - a method
  - a statement

## Recap: statistical fault localization

### Developer in the loop

- Which granularity is most useful?
  - file level
  - method level
  - statement level
- What context do you need to reason about?
  - a file
  - a method
  - a statement
- Processing FL output
  - How useful is color coding (heatmap) vs. ranking?
  - How realistic is “sequential debugging”?

## Static Analysis

## Static vs. dynamic analysis

### Dynamic analysis

- Reason about the program based on **some** program **executions**.
- Observe **concrete behavior** at run time.
- Improve confidence in correctness.
- **Unsound\*** but **precise**.

\* Some static analyses are unsound; dynamic analyses can be sound.

## Static vs. dynamic analysis

### Dynamic analysis

- Reason about the program based on **some** program **executions**.
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[y:=2, x:=2]

y = x++

???

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## Static vs. dynamic analysis

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[y:=2, x:=2]

y = x++

[y:=2, x:=3]

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## Static vs. dynamic analysis

### Dynamic analysis

- Reason about the program based on **some** program **executions**.
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- **Unsound\*** but **precise**.

### Static analysis

- Reason about the program **without executing** it.
- Build an **abstraction of run-time states**.
- Reason over **abstract domain**.
- **Prove a property** of the program.
- **Sound\*** but **imprecise**.

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### Static analysis

- Reason about the program **without executing** it.
- Build an **abstraction of run-time states**.
- Reason over **abstract domain**.
- **Prove a property** of the program.
- **Sound\*** but **imprecise**.

[y:=even, x:=even]

y = x++

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## Static vs. dynamic analysis

### Dynamic analysis

- Reason about the program based on **some** program **executions**.
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[y:=2, x:=2]

y = x++

[y:=2, x:=3]

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[y:=even, x:=even]

y = x++

???

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## Static vs. dynamic analysis

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- Reason about the program based on **some** program **executions**.
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[y:=2, x:=2]

y = x++

[y:=2, x:=3]

### Static analysis

- Reason about the program **without executing** it.
- Build an **abstraction of run-time states**.
- Reason over **abstract domain**.
- **Prove a property** of the program.
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[y:=even, x:=even]

y = x++

[y:=even, x:=odd]

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## Static vs. dynamic analysis

### Dynamic analysis

- Reason about the program based on **some** program **executions**.
- Observe **concrete behavior** at run time.
- Improve confidence in correctness.
- **Unsound\*** but **precise**.

[y:=2, x:=2]

y = x++

[y:=2, x:=3]

### Static analysis

- Reason about the program **without executing** it.
- Build an **abstraction of run-time states**.
- Reason over **abstract domain**.
- **Prove a property** of the program.
- **Sound\*** but **imprecise**.

[y:=prime, x:=prime]

y = x++

???

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## Static vs. dynamic analysis

### Dynamic analysis

- Reason about the program based on **some** program **executions**.
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[y:=2, x:=2]

y = x++

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### Static analysis

- Reason about the program **without executing** it.
- Build an **abstraction of run-time states**.
- Reason over **abstract domain**.
- **Prove a property** of the program.
- **Sound\*** but **imprecise**.

[y:=prime, x:=prime]

y = x++

[y:=prime, x:=*anything*]

\* Some static analyses are unsound; dynamic analyses can be sound.

## Static vs. dynamic analysis

### Dynamic analysis

- Concrete domain
- Precise but unsound
- Slow if exhaustive

### Static analysis

- Abstract domain
- Sound but imprecise
- Slow if precise

## Static vs. dynamic analysis

### Dynamic analysis

- Concrete domain
- Precise but unsound
- Slow if exhaustive

### Static analysis

- Abstract domain
- Sound but imprecise
- Slow if precise

#### Concrete domain

```
int getValue(int a) {  
    return (a % 3) * 2;  
}  
int x = getValue(7);
```

#### Abstract domain

What possible value(s) does getValue() return?

## Static vs. dynamic analysis

### Dynamic analysis

- Concrete domain
- Precise but unsound
- Slow if exhaustive

### Static analysis

- Abstract domain
- Sound but imprecise
- Slow if precise

#### Concrete domain

0, 2, 4, 6, 8, 10, ...

```
int getValue(int a) {  
    return (a % 3) * 2;  
}  
int x = getValue(7);
```

#### Abstract domain

*even, odd, anything*

What possible value(s) does getValue() return?

# Terminology and important concepts



## Recall the following terms:

1. Precision vs. Recall (and FP/FN/TP/TN)
2. Soundness vs. Completeness
3. Accuracy vs. Precision

		Analysis result	
		Pos	Neg
Ground Truth	Pos		
	Neg		

Concrete domain vs. Abstract domain  
 0, 2, 4, 6, 8, 10, ... even, odd, anything

```
int getValue(int a) {
    return (a % 3) * 2;
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int x = getValue(7);
```

# Terminology and important concepts

1. Precision vs. Recall (and FP/FN/TP/TN)

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# Terminology and important concepts

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# Terminology and important concepts

1. Precision vs. Recall (and FP/FN/TP/TN)

		Analysis result	
		Pos	Neg
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**Recall:**  
 $\frac{|TP|}{|TP| + |FN|}$

**Precision:**  
 $\frac{|TP|}{|TP| + |FP|}$

## Terminology and important concepts

1. Precision vs. Recall (and FP/FN/TP/TN)
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## Terminology and important concepts

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		Analysis result	
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Ground Truth	Pos	TP	FN
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**Soundness: no FNs**

**Completeness: no FPs**

## Terminology and important concepts

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```
int getValue(int a) {
    return (a % 3) * 2;
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int x = getValue(7);
```

Concrete domain

0, 2, 4, 6, 8, 10, ...

Abstract domain

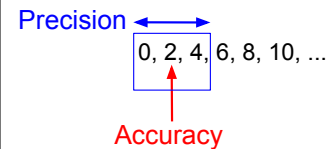
even, odd, anything

## Terminology and important concepts

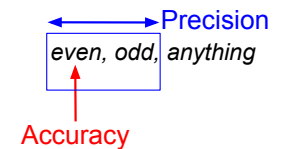
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```
int getValue(int a) {
    return (a % 3) * 2;
}
int x = getValue(7);
```

Concrete domain



Abstract domain



## Terminology and important concepts

1. Precision vs. Recall (and FP/FN/TP/TN)
2. Soundness vs. Completeness
3. Accuracy vs. Precision

```
int getValue(int a) {  
    return (a % 3) * 2;  
}  
int x = getValue(7);
```

Concrete domain

Precision

0, 2, 4, 6, 8, 10, ...

Accuracy

Abstract domain

Precision

even, odd, anything

Accuracy

An analysis/measure can be precise and inaccurate at the same time!

## Static analysis: applications

### Compiler checks and optimizations

- Liveness analysis (register reallocation)
- Reachability analysis (dead code elimination)
- Code motion (`while(cond){x = comp(); ...}`)

## Static analysis: code examples

### Liveness

```
public class Liveness {  
    public void liveness() {  
        int a;  
        if (alwaysTrue()) {  
            a = 1;  
        }  
        System.out.println(a);  
    }  
}
```

### Reachability

```
public void deadCode() {  
    return;  
    System.out.println("Here!");  
}
```

## Common static analyses

### Live examples

- Definitive assignment
- Dead code
- Linter warnings



## Challenges to adopting static analysis

- **Not integrated** into the developer's workflow.
- Reported **issues are not actionable**.
- Developers **do not trust the results** (FPs).
- Fixing an issue **is too expensive** or risky.
- Developers **do not understand** the reported **issues**.
- **Issues** theoretically possible but **don't manifest in practice**.

*"Produce **less than 10% effective false positives**. Developers should feel the check is pointing out an actual issue at least 90% of the time."*

"Lessons from Building Static Analysis Tools at Google", CACM 2018

## Effective false positive

- We consider an issue to be an **"effective false positive"** if developers did not take positive action after seeing the issue.
- If an analysis incorrectly reports an issue, but developers make the fix anyway to improve code readability or maintainability, that is not an effective false positive.
- If an analysis reports an actual fault, but the developer did not understand the fault and therefore took no action, that is an effective false positive.

"Lessons from Building Static Analysis Tools at Google", CACM 2018

## Effective false positive: example (mutation testing)

```
int RunMe(int a, int b) {  
  if (a == b || b == 1) {  
    ~ 7  
    ~ 8  
  }  
}
```

▼ Mutants 14:25, 28 Mar

Changing this 1 line to

```
if (a != b || b == 1) {
```

does not cause any test exercising them to fail.

Consider adding test cases that fail when the code is mutated to ensure those bugs would be caught.

Mutants ran because goranpetrovic is whitelisted

Please fix [Not useful](#)

Petrovic et al., ICSTW'18

## Effective false positive: discussion

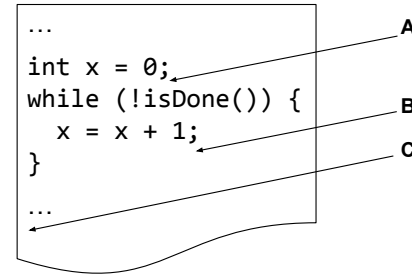
- We consider an issue to be an **"effective false positive"** if developers did not take positive action after seeing the issue.
- If an analysis incorrectly reports an issue, but developers make the fix anyway to improve code readability or maintainability, that is not an effective false positive.
- If an analysis reports an actual fault, but the developer did not understand the fault and therefore took no action, that is an effective false positive.

**Do you agree with this characterization?**  
**Is effective false positive rate an adequate measure?**

## Abstract Interpretation

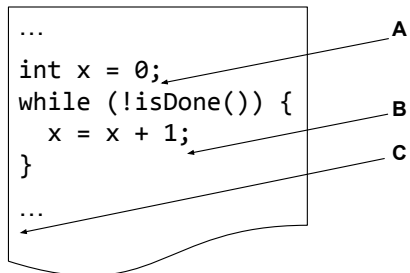
## Properties of an ideal program analysis

- Soundness
- Completeness
- Termination



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- Soundness
- Completeness
- Termination



## A first example

### Program

```
x = 0;  
y = read_even();  
x = y + 1;  
y = 2 * x;  
x = y - 2;  
y = x / 2;
```

Abstract interpretation sacrifices completeness (precision)

Are all statements necessary?

## A first example: SSA form

### Program

```
x = 0;  
y = read_even();  
x = y + 1;  
y = 2 * x;  
x = y - 2;  
y = x / 2;
```

### SSA form

```
x1 = 0;  
y1 = read_even();  
x2 = y1 + 1;  
y2 = 2 * x2;  
x3 = y2 - 2;  
y3 = x3 / 2;
```

x<sub>1</sub> is never read.

## A first example: one concrete execution

### Program

```
x = 0;  
y = read_even();  
x = y + 1;  
y = 2 * x;  
x = y - 2;  
y = x / 2;
```

### Concrete execution

```
{x=0; y=undef}  
{x=0; y=8}  
{x=9; y=8}  
{x=9; y=18}  
{x=16; y=18}  
{x=16; y=8}
```

## A first example: symbolic reasoning



### Program

```
x = 0;  
y = read_even();  
x = y + 1;  
y = 2 * x;  
x = y - 2;  
y = x / 2;
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### SSA form

```
x1 = 0;  
y1 = read_even();  
x2 = y1 + 1;  
y2 = 2 * x2;  
x3 = y2 - 2;  
y3 = x3 / 2;
```

What facts can you deduce about y and x after execution?

## A first example: symbolic reasoning

### Program

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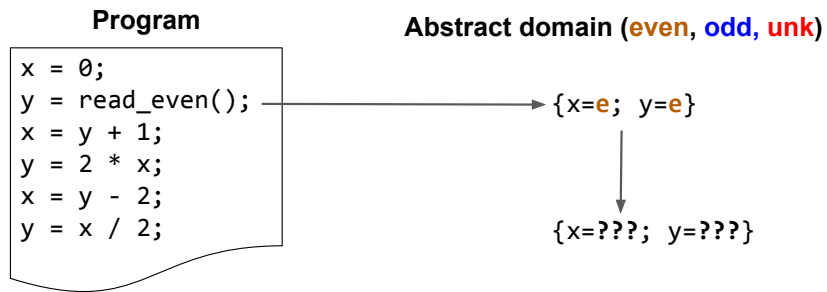
### SSA form

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x1 = 0;  
y1 = read_even();  
x2 = y1 + 1;  
y2 = 2 * x2;  
x3 = y2 - 2;  
y3 = x3 / 2;
```

```
y3 = x3 / 2  
y3 = (y2 - 2) / 2  
y3 = (2 * x2 - 2) / 2  
y3 = (2 * (y1 + 1) - 2) / 2  
y3 = (2 * y1 + 2 - 2) / 2  
y3 = y1  
x3 = y1 * 2
```

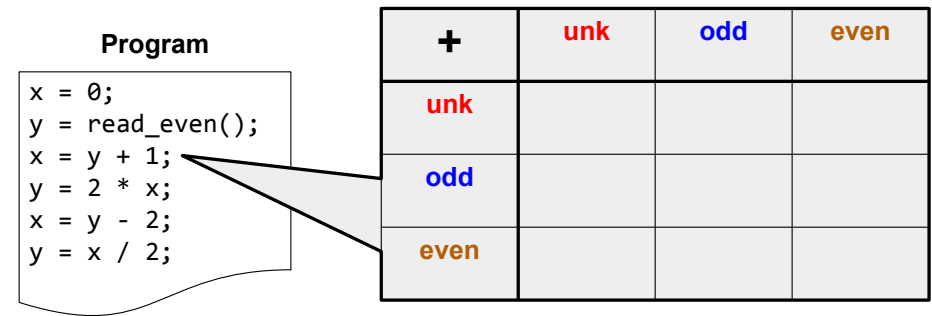
Symbolic reasoning shows simplification potential.

## A first example: abstract interpretation



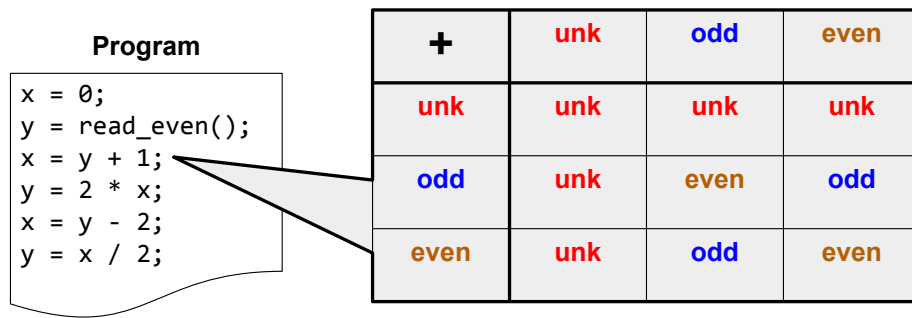
What's the abstract type of x and y after (abstract) execution?

## A first example: "abstract execution"



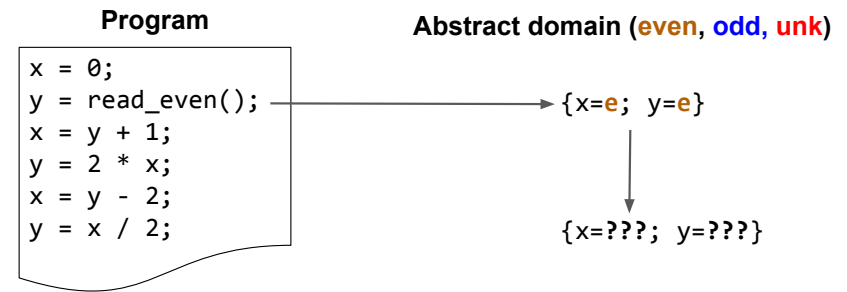
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## A first example: "abstract execution"



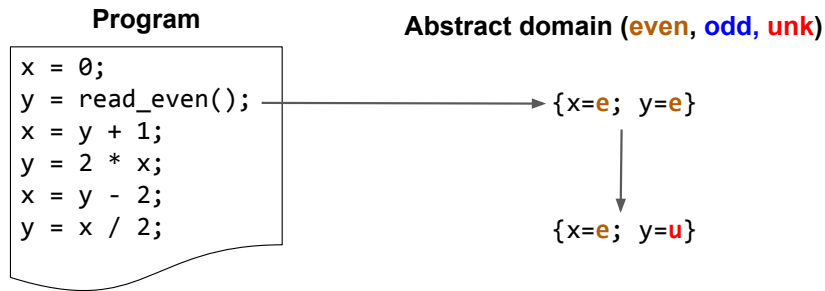
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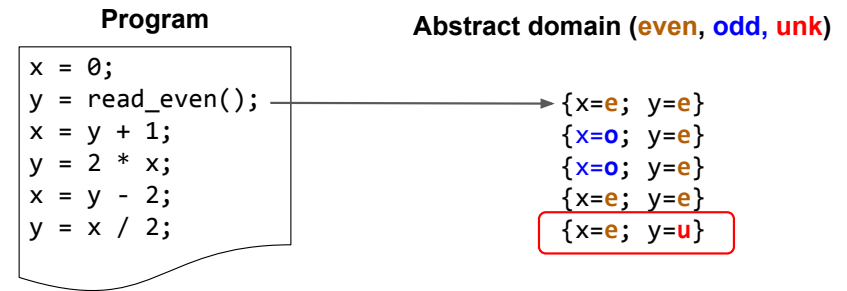
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# A first example: abstract interpretation



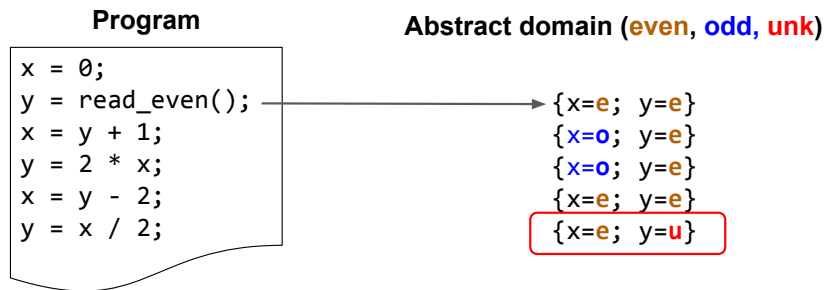
Convince yourself that this is true.

# A first example: abstract interpretation



This result is accurate but imprecise.

# A first example: abstract interpretation



What abstract domain would allow us to conclude that y is even?