

# CSE P 504

Advanced topics in Software Systems

Fall 2022

## Abstract Interpretation

November 28, 2022

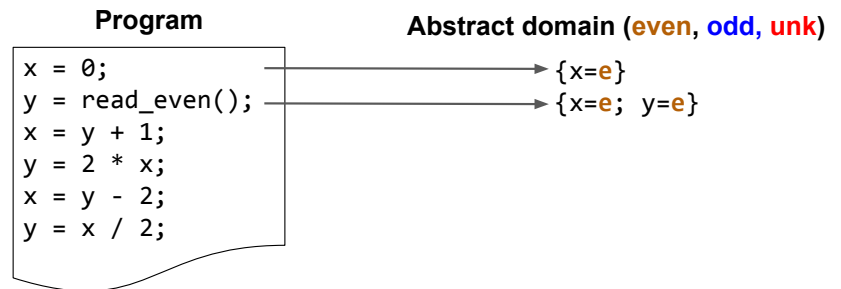
Today

### Abstract interpretation

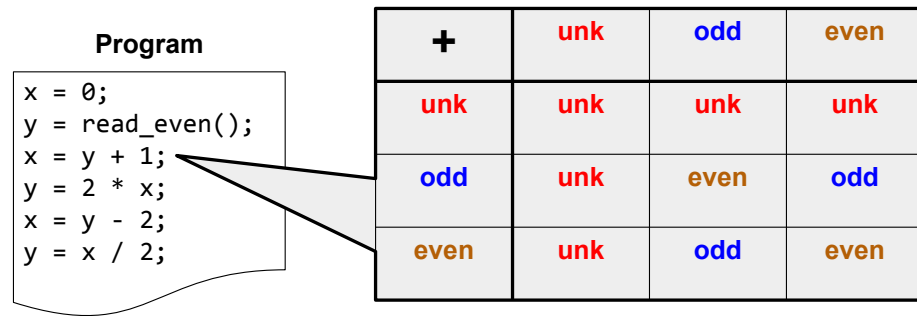
- Lattices
- Abstraction function
- Concretization function
- Transfer function (vs. lub vs. glb)
- Galois connection
- Exercise: concrete examples

## Abstract interpretation (intuition)

## Abstract domain and abstraction function (intuition)



## Transfer function (intuition)



Transfer function corresponds to the “abstract execution” of +

## Abstract interpretation (a bit more formal)

Set, semilattice, lattice

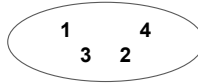
Set, semilattice, lattice

Set

## Set, semilattice, lattice

### Set

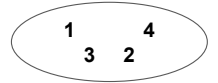
- **unordered** collection of **distinct** objects



## Set, semilattice, lattice

### Set

- unordered collection of distinct objects

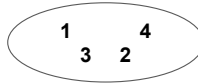


### Partially ordered set

## Set, semilattice, lattice

### Set

- unordered collection of distinct objects



### Partially ordered set

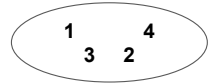
- Binary relationship  $\leq$ :
  - Reflexive:  $x \leq x$
  - Anti-symmetric:  $x \leq y \wedge y \leq x \Rightarrow x = y$
  - Transitive:  $x \leq y \wedge y \leq z \Rightarrow x \leq z$



## Set, semilattice, lattice

### Set

- unordered collection of distinct objects



### Partially ordered set

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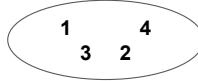
### Join semilattice

### Meet semilattice

## Set, semilattice, lattice

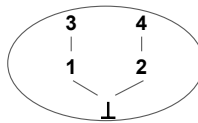
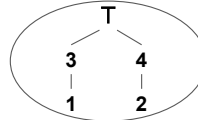
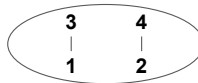
### Set

- unordered collection of distinct objects



### Partially ordered set

- Binary relationship  $\leq$ :
  - Reflexive:  $x \leq x$
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  - Transitive:  $x \leq y \wedge y \leq z \Rightarrow x \leq z$



### Join semilattice

- Partially ordered set with least upper bound (join)

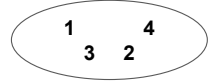
### Meet semilattice

- Partially ordered set with greatest lower bound (meet)

## Set, semilattice, lattice

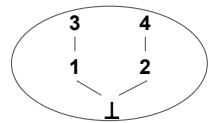
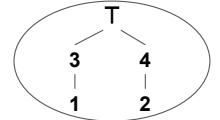
### Set

- unordered collection of distinct objects



### Partially ordered set

- Binary relationship  $\leq$ :
  - Reflexive:  $x \leq x$
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### Join semilattice

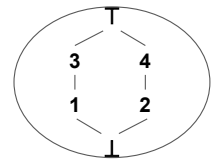
- Partially ordered set with least upper bound (join)

### Meet semilattice

- Partially ordered set with greatest lower bound (meet)

### Lattice

- Both a join semilattice and a meet semilattice

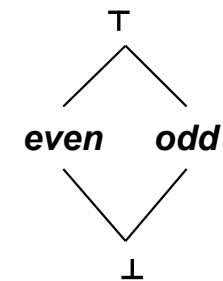


## Lattice: example

**Abstract domain: *even, odd, unknown*,  $\{\}$**

## Lattice: example

**Abstract domain: *even, odd, unknown* ( $\top$ ),  $\{\}$  ( $\perp$ )**



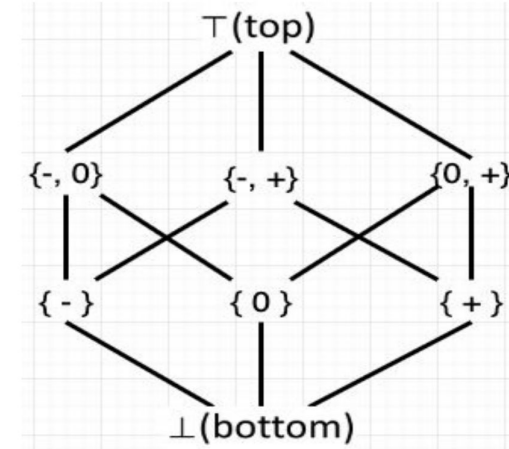


# Lattice: example

Abstract domain: -, 0, +, unknown, {}

# Lattice: example

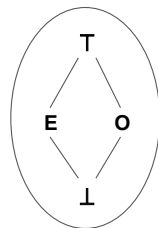
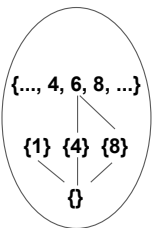
Abstract domain: -, 0, +, unknown, {}



# Abstraction function

Concrete ( $P(\mathbb{N})$ )

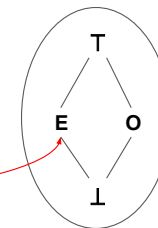
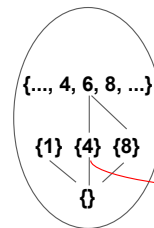
Abstract



# Abstraction function

Concrete ( $P(\mathbb{N})$ )

Abstract



$\alpha$

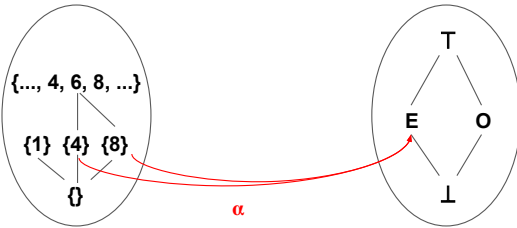
What is the abstraction ( $\alpha$ ) of {4}?

What is the abstraction ( $\alpha$ ) of {8}?

## Abstraction function

Concrete ( $P(\mathbb{N})$ )

Abstract

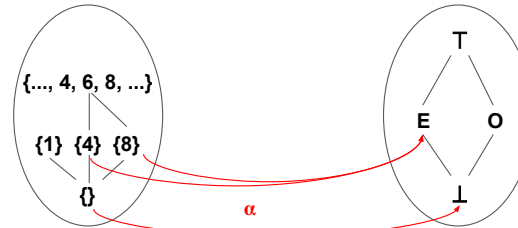


What is the abstraction ( $\alpha$ ) of  $\{\}$ ?

## Abstraction function

Concrete ( $P(\mathbb{N})$ )

Abstract

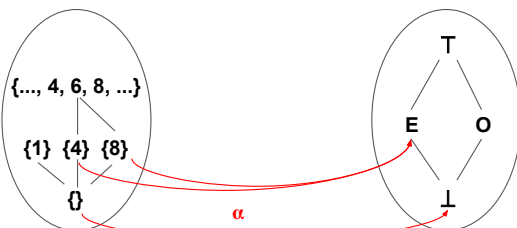


Why do we need an abstraction function?

## Concretization function

Concrete ( $P(\mathbb{N})$ )

Abstract

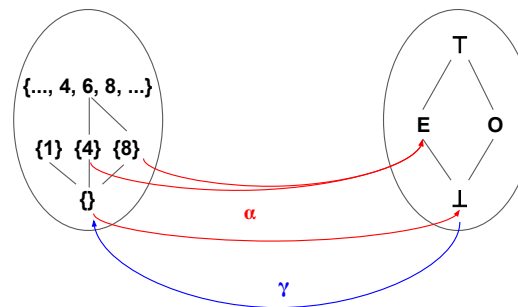


What is the concretization ( $\gamma$ ) of  $\perp$ ?

## Concretization function

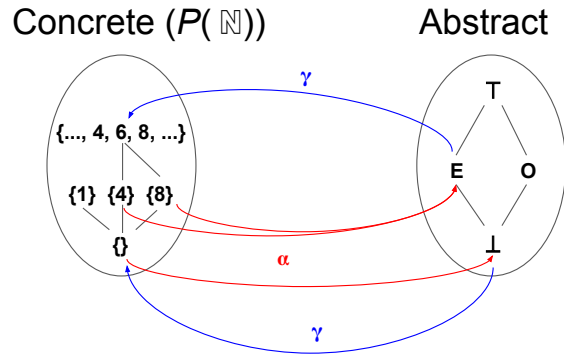
Concrete ( $P(\mathbb{N})$ )

Abstract

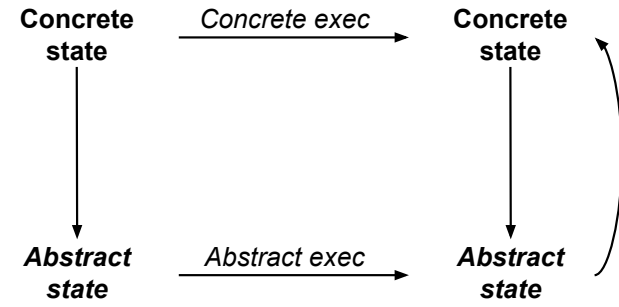


What is the concretization ( $\gamma$ ) of  $E$ ?

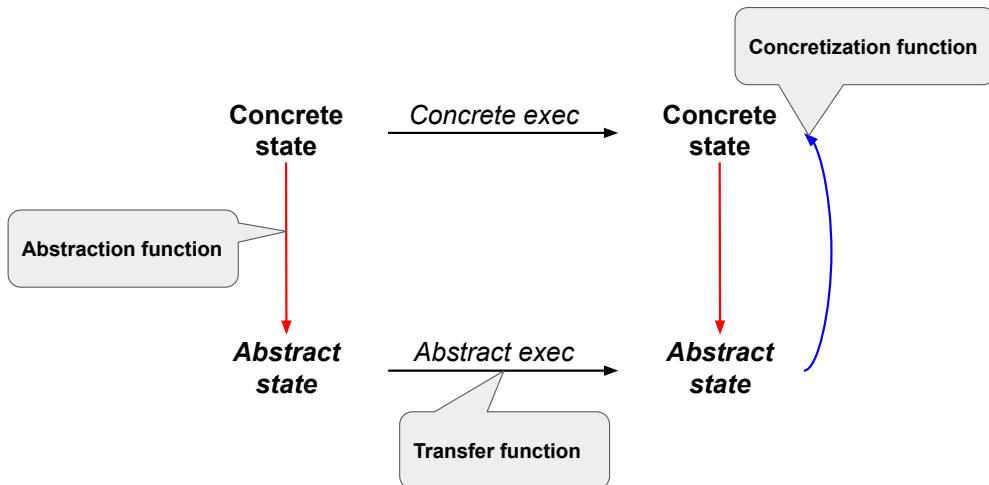
# Concretization function



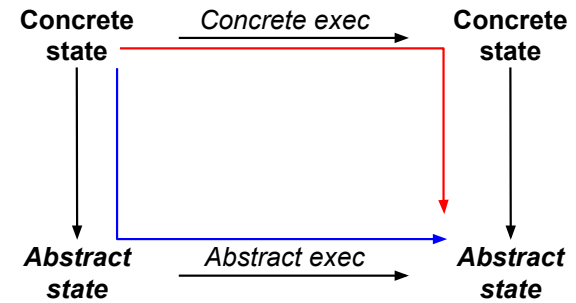
# Transfer function



# Transfer function

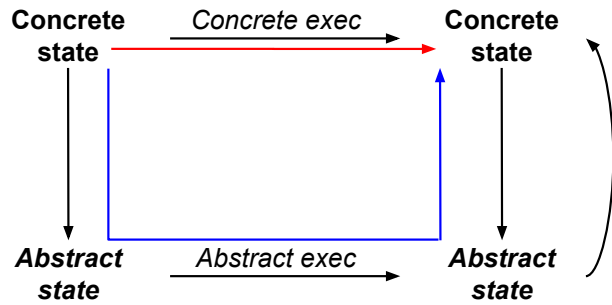


# Abstract interpretation: approximation



Do both paths lead to the same abstract state?

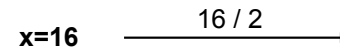
## Abstract interpretation: approximation



Do both paths lead to the same concrete state?

## Abstract interpretation: soundness example

Abstract domain:  $\{odd, even_2, even_4, is2, unk\}$



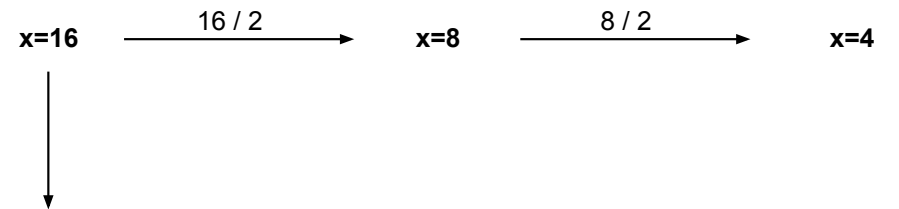
## Abstract interpretation: soundness example

Abstract domain:  $\{odd, even_2, even_4, is2, unk\}$



## Abstract interpretation: soundness example

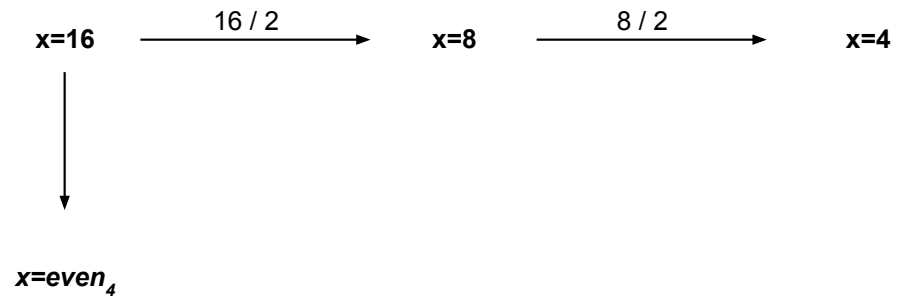
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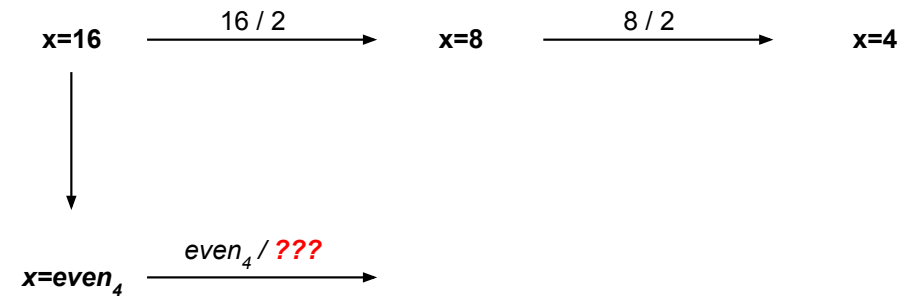
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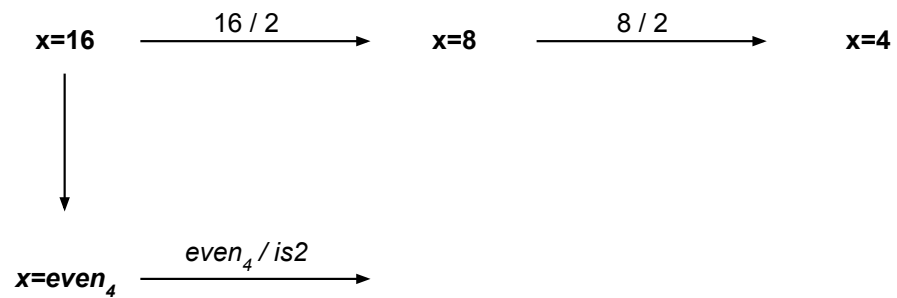
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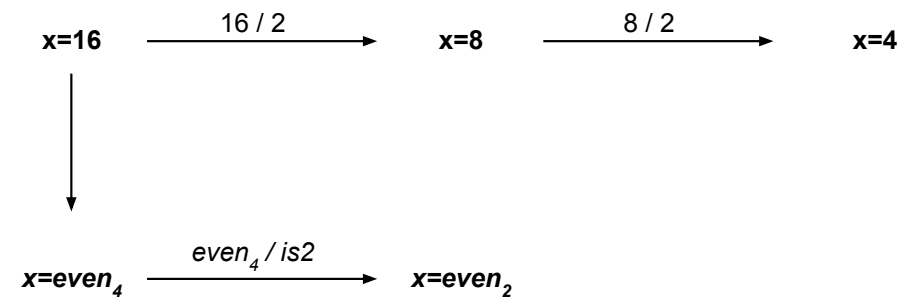
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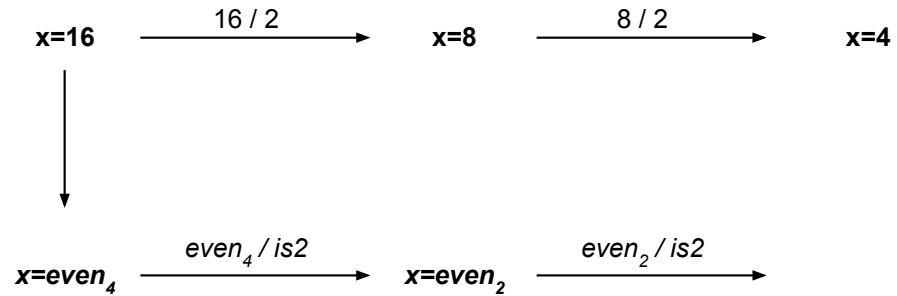
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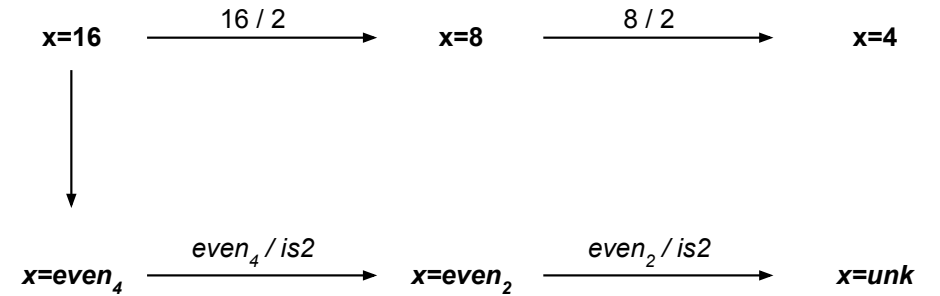
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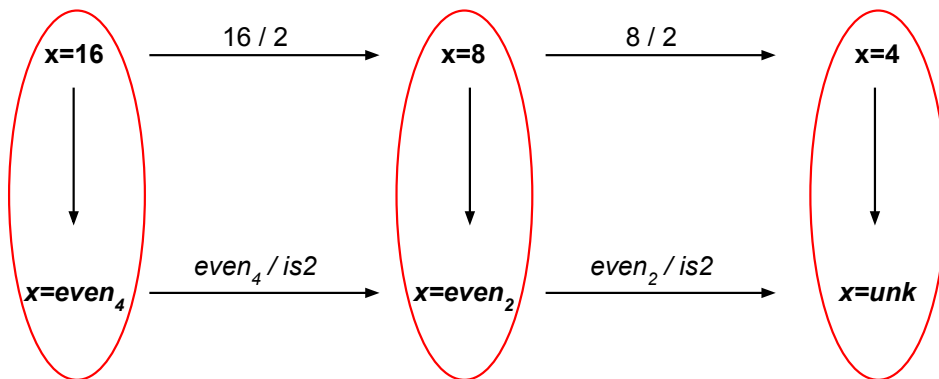
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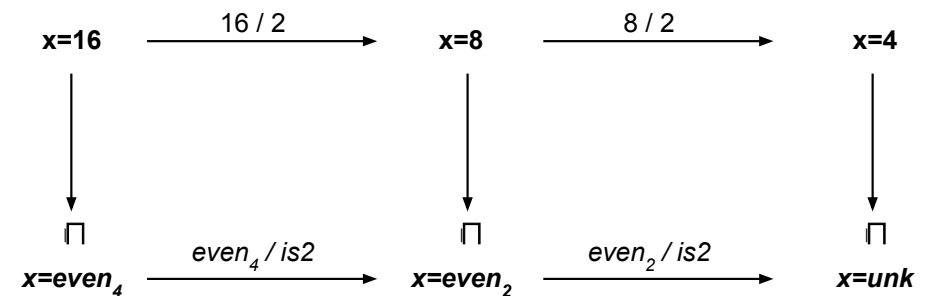
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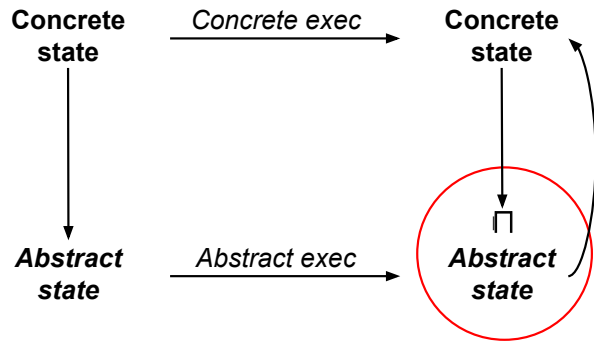


## Abstract interpretation: soundness example

Abstract domain:  $\{odd, even_2, even_4, is2, unk\}$

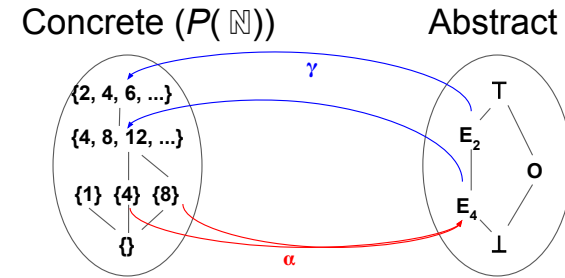


## Abstract interpretation: soundness



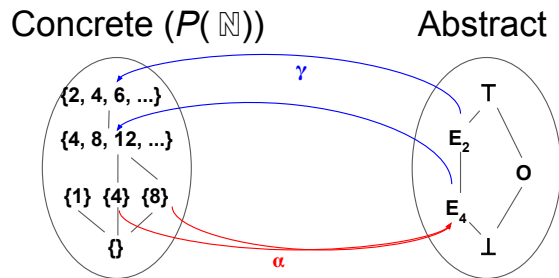
What properties must be satisfied by the abstraction, concretization, and transfer functions?

## Sound approximation: properties



What properties must  $\alpha$  and  $\gamma$  satisfy?

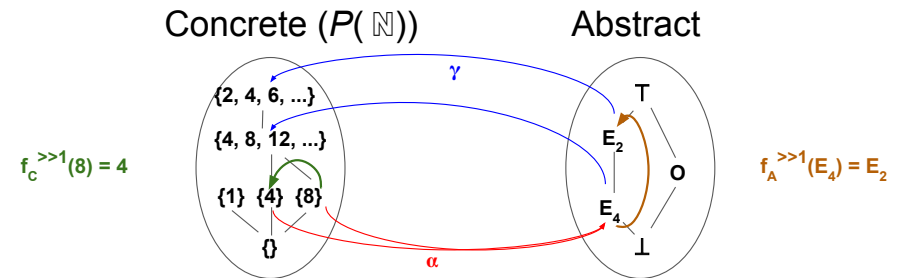
## Sound approximation: galois connection



### Galois connection

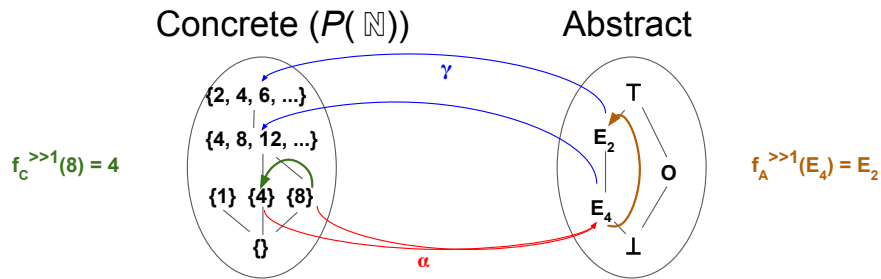
- $\alpha: C \rightarrow A$
- $\gamma: A \rightarrow C$
- $\forall c \in C: c \leq \gamma(\alpha(c))$

## Sound approximation: properties



What properties must the transfer function(s) satisfy?

## Sound approximation: consistency



### Transfer function

- Consistent with concrete execution
  - $c$ : concrete state;  $c' = f_C(c)$
  - $a$ :  $\alpha(c)$
  - $a' = f_A(a)$
  - $c'' = \gamma(a')$
  - $c' \leq c''$

## Sound approximation: properties

### Transfer function

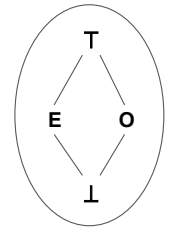
- $f_A^+ : A \times A \rightarrow A$

### Lub

- $\text{lub} : A \times A \rightarrow A$

+	E	O	T	...
E	E	O	T	
O	O	E	T	
T	T	T	T	
...				

$$\text{lub}(E, O) = T$$



What properties must the lub function satisfy?

## Sound approximation: monotonicity

### Transfer function

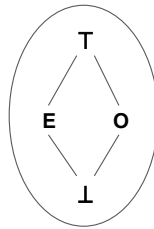
- $f_A^+ : A \times A \rightarrow A$
- may not be monotone

### Lub

- $\text{lub} : A \times A \rightarrow A$
- must be monotone

+	E	O	T	...
E	E	O	T	
O	O	E	T	
T	T	T	T	
...				

$$\text{lub}(E, O) = T$$



## Sound approximation: join (lub) vs. meet (glb)

### Transfer function

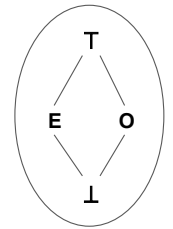
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### Lub

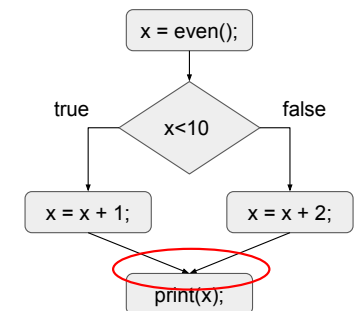
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E	E	O	T	
O	O	E	T	
T	T	T	T	
...				

$$\text{lub}(E, O) = T$$



```
int x = even();
if (x < 10) {
  x = x + 1;
} else {
  x = x + 2;
}
print(x);
```





## Small-group exercise

- Work through two examples:

- Join vs. meet operation ( $f(\text{int } a, \text{int } b, \text{int } c): \text{int}$ )

```
if (cond) {
  x = a * b;
} else {
  x = a * c;
}
return(x);
```

Which parameters (a, b, c)

- will definitely be used?
- may be used?

(cond is independent of the parameters)

- Termination/fix point iteration

```
int x = 2;
while (x < 10) {
  x = x + 2;
}
```

Is the value of x after the loop an even number? Use an abstract domain with {odd, 2, even<sub>2</sub>, and even<sub>4</sub>}



## Small-group exercise

- Work through two examples:

- Join vs. meet operation ( $f(\text{int } a, \text{int } b, \text{int } c): \text{int}$ )

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Is the value of x after the loop an even number? Use an abstract domain with {odd, 2, even<sub>2</sub>, and even<sub>4</sub>}

See Q&A write-up:

<https://docs.google.com/document/d/1VEWmFIJvtD2F9ZkXIZ9xeOXGAtkRZATIX13wc1NYmtw>

## CheckerFramework live demo