

Sequencing Alignment II

Lectures 17 – Nov 23, 2011 CSE 527 Computational Biology, Fall 2011

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Monday & Wednesday 12:00-1:20

Johnson Hall (JHN) 022

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Needleman-Wunsch Algorithm

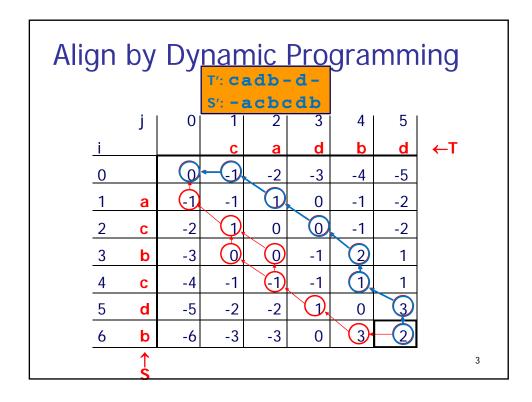
- Key idea: build up an optimal alignment using previous solutions for optimal alignments of smaller subsequences.
- Optimal align of S[1], ..., S[i] vs T[1], ..., T[j]:

$$\begin{bmatrix} \sim \sim \sim \\ S[i] \\ \sim \sim \sim \\ T[j] \end{bmatrix}, \quad \begin{bmatrix} \sim \sim \sim \\ \sim \sim \\ \end{bmatrix}, \text{ or } \begin{bmatrix} \sim \sim \sim \\ \sim \sim \\ \end{bmatrix}, \text{ or } \begin{bmatrix} \sim \sim \sim \\ \sim \sim \\ \end{bmatrix}, \text{ or } \begin{bmatrix} \sim \sim \sim \\ \sim \sim \\ \end{bmatrix}$$

$$Copt align of \\ S_1...S_{i-1} & T_1...T_j \\ S_1...S_i & T_1...T_{j-1} \\ Value = V(i-1, j-1) \\ Value = V(i, j-1) \\ Value = V(i, j-1)$$

$$V(i,j) = \max \begin{cases} V(i-1,j-1) + \sigma(S[i],T[j]) \\ V(i-1,j) + \sigma(S[i],-) \\ V(i,j-1) + \sigma(-,T[j]) \end{cases},$$

$$V(i,j-1) + \sigma(-,T[j])$$
for all $1 \le i \le n$, $1 \le j \le m$.



Scoring Rules/Matrices

- How should σ be defined?
 - $\sigma(A,G)$, $\sigma(A,-)$, $\sigma(A,-)$, etc? $V(i,j) = \max \begin{cases} V(i-1,j-1) + \sigma(S[i],T[j]) \\ V(i-1,j) + \sigma(S[i],-) \\ V(i,j-1) + \sigma(-,T[j]) \end{cases}$
- Why are they important?
 - The choice of a scoring rule can strongly influence the outcome of sequence analysis
- What do they mean?
 - Scoring matrices implicitly represent a particular theory of evolution
 - Elements of the matrices specify the similarity of one residue to another

Refers to an amino acid

Outline: Scoring Alignments

- Probabilistic meaning
- Scoring matrices
 - PAM: scoring based on evolutionary statistics
 - BLOSUM: tuning to evolutionary conservation
- Gaps revisited

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Probabilistic Interpretation

Chance or true homology?

Sharing a common ancestor

Likelihood Ratio

X: TCCAGGTG-GAT

Y: TGCAAGTGCG-T

Pr(Data | Homology)
Pr(Data | Chance)

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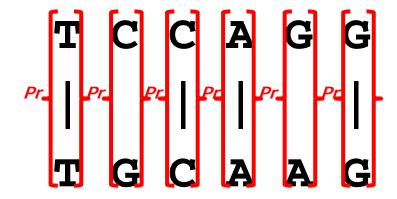
Pr(Data | Chance)

Given an alignment between TCCAGG and TGCAAG,

R

Pr(Data | Homology)

Given an alignment between TCCAGG and TGCAAG,



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Likelihood Ratio

X: T C C A G G

Y: T G C A A G

Pr(Data | homology)

Pr(Data | Chance)

$$= \prod_{i} \frac{\Pr(x_{i} y_{i})}{\Pr(x_{i}) \Pr(y_{i})}$$

Score: Log Likelihood Ratio

- The most commonly used alignment score of aligning two sequences is the log likelihood ratio of the alignment under two models
 - Common ancestry
 - By chance

$$Score = \log \left(\prod_{i} \frac{\Pr(x_{i} y_{i})}{\Pr(x_{i}) \Pr(y_{i})} \right) =$$

$$= \sum_{i} \log \left(\frac{\Pr(x_{i} y_{i})}{\Pr(x_{i}) \Pr(y_{i})} \right) = \sum_{i} s(x_{i}, y_{i})$$

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The S in a Scoring Matrix (as log likelihood ratio)

How do we acquire the probabilities Pr(a), Pr(a,b)?

ARNDCQEGHILKMFPSTWYV

Making a Scoring Matrix

- Scoring matrices S are created based on biological evidence.
 - Alignments can be thought of as two sequences that differ due to mutations.
 - Some of these mutations have little effect on the protein's function, therefore some penalties will be less harsh than others.



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Scoring Matrix: Example

	Α	R	Ν	(X)
Α	5	-2	-1	-1
R	-	7	-1	3
N	-	-	7	0
K	-	-	-	6

 Notice that although R (arginine) and K (Lysine) are different amino acids, they have a positive score.



Why? They are both positively charged amino acids → will not greatly change function of protein.

Conservation

- Amino acid changes that tend to preserve the physical/ chemical properties of the original residue
 - Polar to polar
 - aspartate (D) → glutamate (E)
 - Nonpolar to nonpolar
 - alanine (A) → valine (V)
 - Similarly behaving residues
 - leucine (L) to isoleucine (I)
- More prone to mutate in the evolutionary process.

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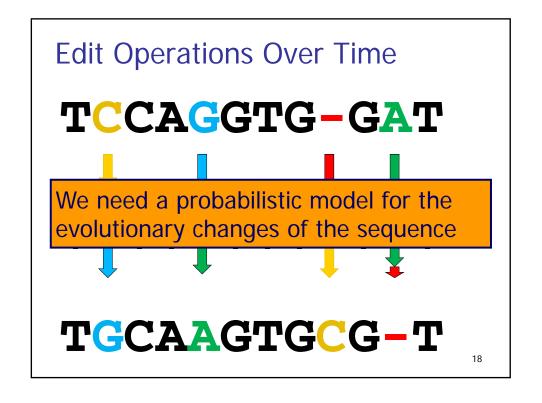
Edit Operations Over Time

TCCAGGTG-GAT

| || || || |

TGCAAGTGCG-T

Edit Operations Over Time TCCAGGTG-GAT | | | | | | | | | | | TGCAAGTGCG-T



Most Widely Used Scoring Matrices

- Amino acid substitution matrices
 - PAM
 - BLOSUM
- DNA substitution matrices
 - Warning: when the sequences of interest code for protein, it is almost always better to compare the protein translations than to compare the DNA sequences directly.
 - DNA is less conserved than protein sequences
 - After only a small amount of evolutionary change, the DNA sequences, when compared using simple nucleotide substitution scores, contain less information with which to deduce homology than do the encoded protein sequences
 - Less effective to compare coding regions at nucleotide level

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PAM

- Point Accepted Mutation*
- 1 PAM = PAM₁ = 1% average change of all amino acid positions
 - After 100 PAMs of evolution, not every residue will have changed
 - some residues may have mutated several times
 - some residues may have returned to their original state
 - some residues may not changed at all

PAM Matrices: Training Data

- Take aligned set of closely related proteins
 - 71 groups of proteins that were at least 85% similar
- Each group of sequences were organized into a phylogenetic tree
 - Creates a model of the order in which substitutions occurred
- Count the number of changes of each amino acid into every other amino acid
 - Each substitution is considered to be an "accepted mutation" - an amino acid change "accepted" by natural selection

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PAM: Point Accepted Mutation

- A_{ij}: number of times amino acid j mutates to amino acid i.
 - A mutation could go in both directions, therefore the tally of mutation i-j enters both A_{ij} and A_{ji} entries, while the tally of conservation i-i enters A_{ii} entry twice.

```
A B C D G H I J

A 8 0 1 1 0 0 0 0

B 0 8 A; 1 1 0 0 0 0

i → C 1 1 0 0 0 0 0

D 1 1 0 0 0 0 0 0

G 0 0 0 0 6 0 1

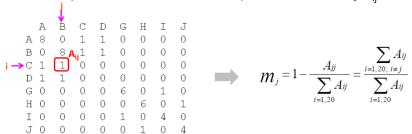
I 0 0 0 0 0 6 0 1

I 0 0 0 0 0 1 0 4

J 0 0 0 0 1 0 4
```

Mutability of Residue j

- m_i is the probability that amino acid j will change in a given evolutionary interval.
 - It depends on how similar the sequences used to tally A_{ii} are



Relative mutability of amino acids

N (Asn)	134	H (His)	66	S (Ser)	120	R (Arg)	65
D (Asp)	106	K (Lys)	56	E (Glu)	102	P (Pro)	56
A (Ala)	100	G (Gly)	49	T (Thr)	97	Y (Tyr)	41
I (IIe)	96	F (Phe)	41	M (Met)	94	L (Leu)	40
Q (Gln)	93	C (Cys)	20	V (Val)	74	W (Trp)	18

Total Mutation Rate

 P_i: probability of occurrence of amino ačid j

$$P_{j} = \frac{\sum_{i=1,20}^{N} A_{ij}}{\sum_{i=1,20}^{N} \sum_{j=1,20}^{N} A_{ij}}$$

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Total mutation rate of all amino acids
$$\sum_{j=1,20} P_j m_j = m_j \text{ is the probability that amino acid j will change in a given evolutionary interval.} m_j = 1 - \frac{A_{jj}}{\sum_{i=1,20} A_{ij}} = \frac{1}{\sum_{i=1,20} A_{ij}}$$

- Normalize total mutation rate to 1%
 - λ is a scaling constant to make sure that the total mutation is 1%

$$\lambda \cdot \sum_{j=1,20} P_j m_j = 1\% \implies \text{solve for } \lambda$$

This defines an evolutionary period: the period in which the 1% of all sequences are mutated

Normalized Mutation Probability Matrix

 Normalize mutation probability matrix such that the total mutation rate is 1%

 M_{ij} $(i \neq j)$: Probability of amino acid j changing into i in the evolutionary period

$$M_{ij} = \lambda \frac{A_{ij}}{\sum_{i=1,20} A_{ij}}$$

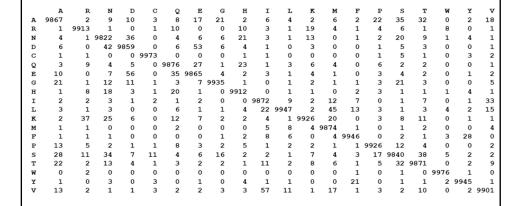
M_{ij}: Probability of amino acid j not changing in PAM-1

$$M_{ij} = 1 - \sum_{i=1,20; i \neq j} M_{ij} = 1 - \lambda m_{i}$$

$$A = \begin{bmatrix} A & B & C & D & G & H & I & J \\ A & 8 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ B & 0 & 8 & A_{i} & 1 & 1 & 0 & 0 & 0 & 0 \\ C & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ D & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ G & 0 & 0 & 0 & 0 & 6 & 0 & 1 & 0 \\ H & 0 & 0 & 0 & 0 & 0 & 6 & 0 & 1 \\ I & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 4 \end{bmatrix}$$

$$M = \begin{bmatrix} O & O & O & O & O & 0 & 0 & 0 \\ O & O & O & O & O & 1 & 0 & 0 \\ O & O & O & O & O & 1 & 0 & 0 & 4 \end{bmatrix}$$

Mutation Probability Matrix (transposed) M*10000



* Dayhoff, M. O.; Schwartz, R. M.; Orcutt, B. C. (1978). "A model of evolutionary change in proteins". Atlas of Protein Sequence and Structure 5 (3): 345–352.

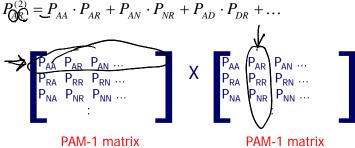
In Two PAM1 Periods

- M⁽¹⁾: PAM-1 mutation probability matrix
- M⁽²⁾: PAM-2 mutation probability matrix
 - Mutations that happen in twice the evolution period of that for a PAM1
- $\{A \rightarrow R\} = \{A \rightarrow A \text{ and } A \rightarrow R\}$ or $\{A \rightarrow N \text{ and } N \rightarrow R\}$ or $\{A \rightarrow D \text{ and } D \rightarrow R\}$ or ... or $\{A \rightarrow V \text{ and } V \rightarrow R\}$ or

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Entries in a PAM-2 Mut. Prob. Mat.

 $Pr(A \rightarrow R \text{ in 2 periods}) =$ $Pr(A \rightarrow A \text{ in 1st period}) \times Pr(A \rightarrow R \text{ in 2nd period}) +$ $Pr(A \rightarrow N \text{ in 1st period}) \times Pr(N \rightarrow R \text{ in 2nd period}) +$ $Pr(A \rightarrow D \text{ in 1st period}) \times Pr(D \rightarrow R \text{ in 2nd period}) +$



PAM-1 matrix

Entries in a PAM2 Mut. Prob. Mat.

PAM-k Mutation Prob. Matrix

$$M^{(2)} = M^{(1)} \times M^{(1)}$$

 $M^{(K)} = \{M^{(1)}\}^{K}$

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PAM-k Log-Likelihood Matrix

Log likelihood ratio score

$$s_{ij} = 10 \log_{10} \left(\frac{\Pr(a_i, a_j)}{\Pr(a_i) \Pr(a_j)} \right)$$

$$\mathcal{M}_{z_b} \cdot \rho_{b}$$

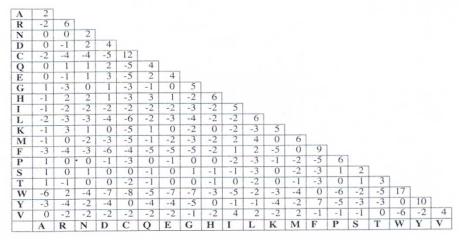
$$S_{ij} = 10\log_{10} \frac{(M^K)_{ij}}{P_i}$$

 P_i is the probability of random occurrence of amino acid i

$$P_{i} = \frac{\sum_{j=1,20}^{\sum} A_{ij}}{\sum_{i=1,20}^{\sum} \sum_{j=1,20}^{\sum} A_{ij}}$$

S is a symmetric matrix

PAM Score Matrix*



Log likelihood ratio matrix for PAM-250

* Dayhoff, M. O.; Schwartz, R. M.; Orcutt, B. C. (1978). "A model of evolutionary change in proteins". Atlas of Protein Sequence and Structure **5** (3): 345–352.

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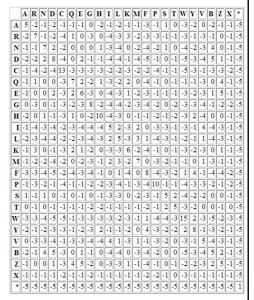
BLOSUM: Henikoff & Henikoff 92

- BLOSUM: Block Substitution Matrices
- Motivation: PAM use of matrix power can result in large errors
- Key idea: consider conserved patterns (blocks) of a large sample of proteins
 - Classify protein families (over 500 families)
 - Family has characteristic patterns (signatures) that are conserved
 - The probabilities used in the matrix calculation are computed by looking at "blocks" of conserved sequences found in multiple protein alignments.
- P(a,b) = probability of (a,b) substitution; P(a) = probability of "a"

Bpi Bovine npGivaRItqkgLdyacqqqvltlQkele
Bpi Human npGvvvRIsqkgLdyasqqgtaalQkelk
Cept Human eaGivcRItkpaLlvlnhetakviQtafq
Lbp Human npGlvaRItdkgLqyaaqegllalQsell
Lbp Rabbit npGlitRItdkgLeyaaregllalQrkll

Scoring Matrices (e.g., BLOSUM)

- BLOSUMx=based on patterns that are x% similar
- The level of x% can provide different performance in identifying similarity
- BLOSUM62 provides good scoring (used as default)



Constructing BLOSUM r

- To avoid bias in favor of a certain protein, first eliminate sequences that are more than r% identical
- The elimination is done by either
 - removing sequences from the block, or
 - finding a cluster of similar sequences and replacing it by a new sequence that represents the cluster.
- BLOSUM r is the matrix built from blocks with no more the r% of similarity
 - E.g., BLOSUM62 is the matrix built using sequences with no more than 62% similarity.
 - Note: BLOSUM 62 is the default matrix for protein BLAST

Collecting substitution statistics

- Count amino acids pairs in each column; e.g.,
- A A
- 6 AA pairs, 4 AB pairs, 4 AC, 1 BC, 0 BB, 0 CC.
- В

• Total = 6+4+4+1=15

- A
- Normalize results to obtain probabilities $(p_{\chi}$'s and $p_{\chi \gamma}$'s)
- C A
- 3. Compute log likelihood ratio score matrix from probabilities:

$$s(X,Y) = log (\rho_{XY}/(\rho_X \rho_Y))$$

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Comparison

- PAM is based on an evolutionary model using phylogenetic trees
- BLOSUM assumes no evolutionary model, but rather conserved "blocks" of proteins

