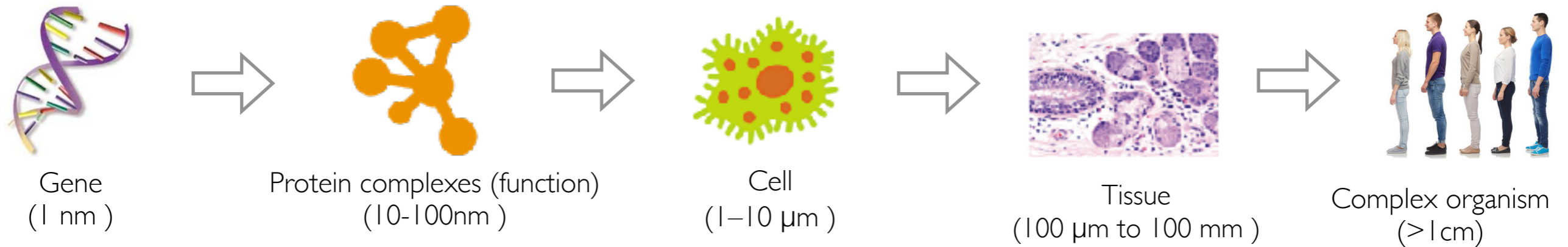


# CSE 427 Computational Biology

## Lecture 1: Introduction

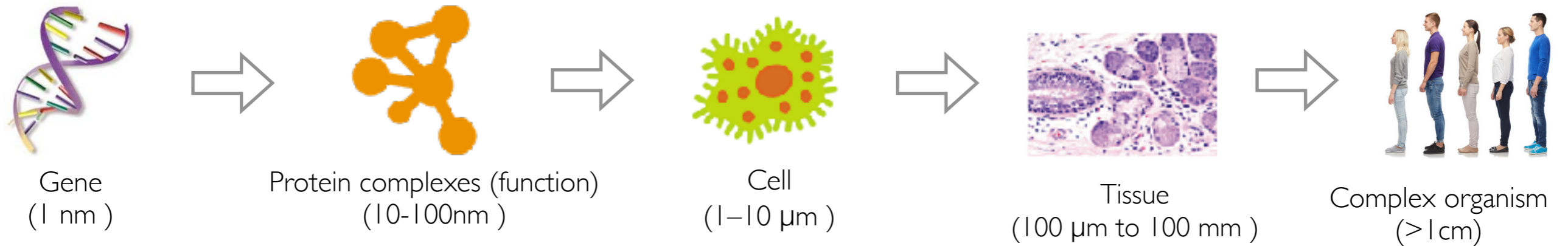
# CSE427: Computational methods for biology at different scales



A rich hierarchy of biological subsystems at multiple scales: genotypic variations in nucleotides (1 nm scale) -> proteins (1-10 nm) -> protein complexes (10-100 nm), cellular processes (100 nm) -> phenotypic behaviors of cells (1-10 μm), tissues (100 μm to 100 mm), -> complex organisms (>1 m).

source: Yu, Michael Ku, et al. "Translation of genotype to phenotype by a hierarchy of cell subsystems." *Cell systems* 2.2 (2016): 77-88.

# Data structure for each scale: cell



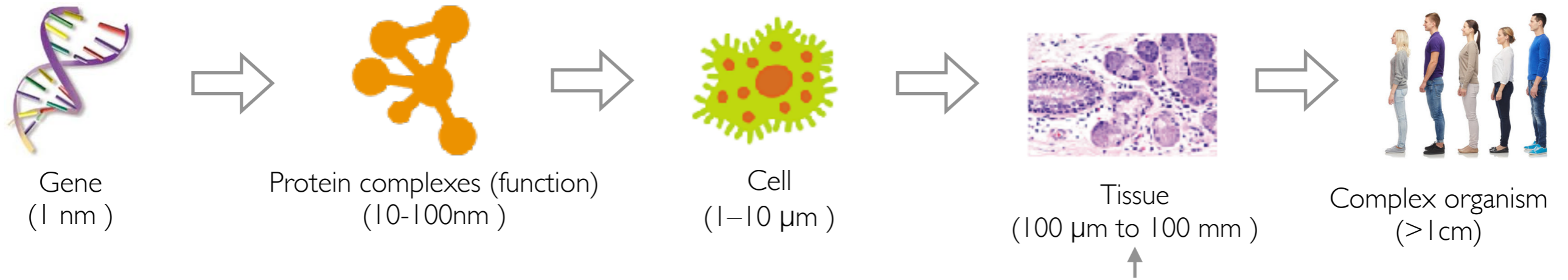
A cell by gene matrix  $\rightarrow$  vector/matrix (high-dimensional, no spatial information)  
Dimensionality reduction methods (PCA, t-SNE, variety of embedding methods)

**High-dimensional, noisy, large-scale**

# Single cell RNA sequencing (scRNA-seq)

- What is scRNA-seq?
  - A technique that can measure the gene expression vector of each cell
- What is the data structure?
  - A 2D array. Rows are cells. Columns are genes.
  - Lots of rows (millions of cells)
  - ~20k columns for human
- Analogy in other applications?
- **What is the research question here?**
  - Machine learning: dimensionality reduction, clustering, classification.

# Data structure for each scale: tissue

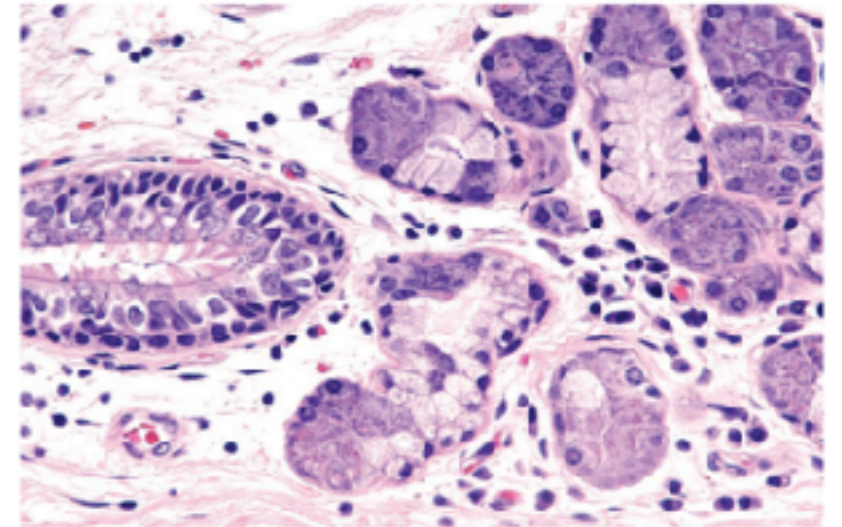


Tissue image -> image analysis  
Image analysis (segmentation, detection, CNN)

Image analysis, lack of high-quality annotations

# Medical imaging technology

- What is the data structure?
  - One image for a small part of the tissue
  - Analogy in other applications?
    - Image analysis
- **What is the research question here?**
  - Machine learning: image segmentation (which region is tumor), image classification (tumor v.s. healthy)

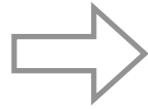


Tumor tissue image

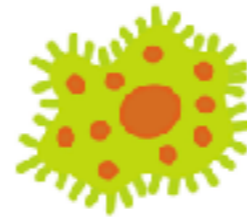
# Data structure for each scale: organism



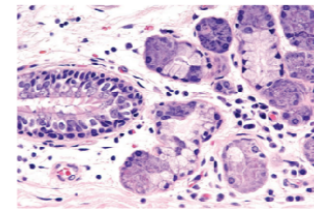
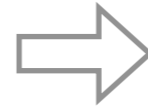
Gene  
(1 nm)



Protein complexes (function)  
(10-100nm)



Cell  
(1-10  $\mu\text{m}$ )



Tissue  
(100  $\mu\text{m}$  to 100 mm)



Complex organism  
(> 1cm)

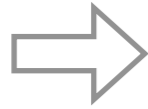
Disease mechanisms -> Multimodality  
Integration of information from sequences, networks,  
images and matrixes

## Multi-modality and heterogeneous

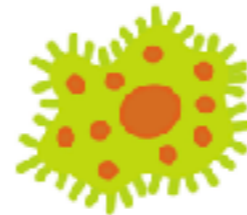
# Computational methods for biology at different scales



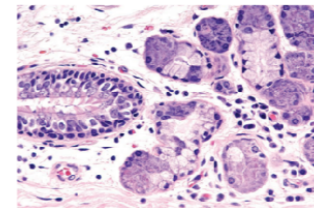
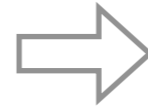
Gene  
(1 nm)



Protein complexes (function)  
(10-100nm)



Cell  
(1-10  $\mu\text{m}$ )



Tissue  
(100  $\mu\text{m}$  to 100 mm)



Complex organism  
(> 1cm)

Genetics

Systems biology

Cellular biology

Focus of CSE 427

Medical imaging

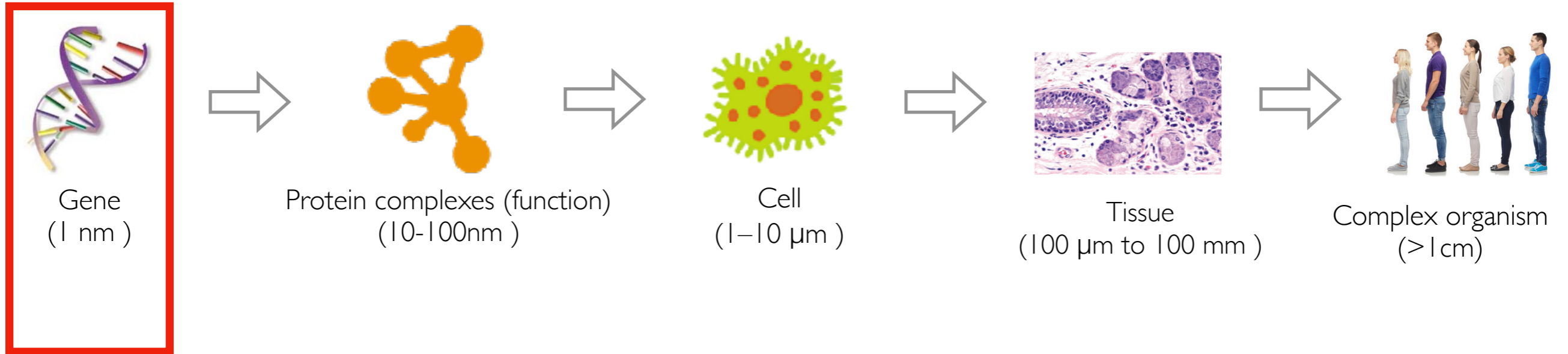
Computational medicine



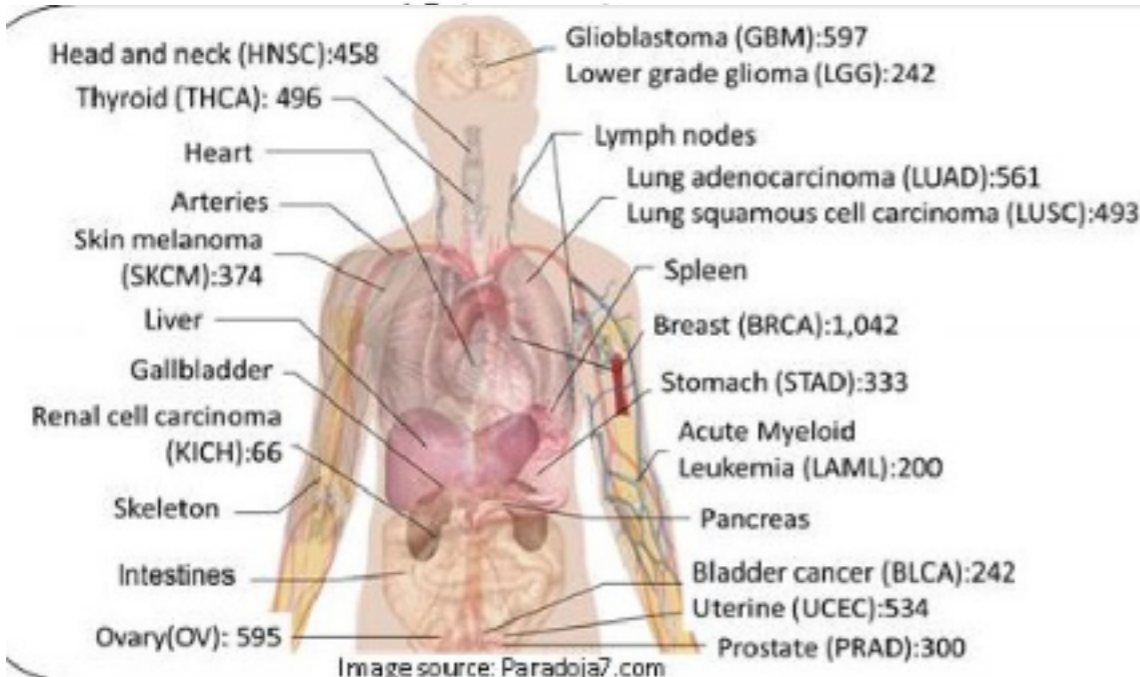
# Real world research question: how to measure the similarity between two patients

- We will have
  - DNA sequences of these two persons
  - A protein-protein interaction network
  - Gene expression matrix of cells in each person
  - Tissue image
  - Other datasets...
- Which of these data should we use?
- How should we integrate these multiple datasets?

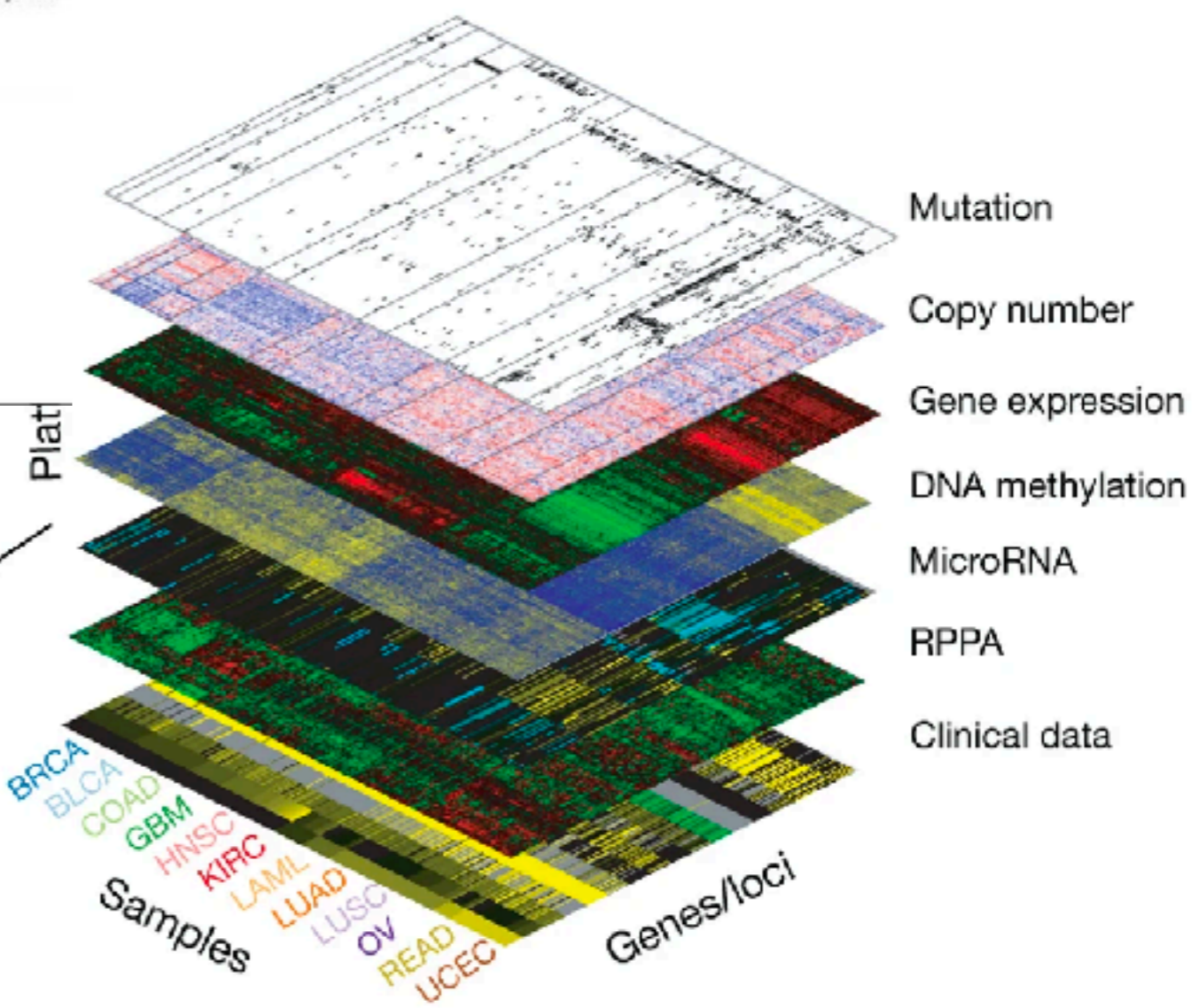
# Computational methods for biology at different scales



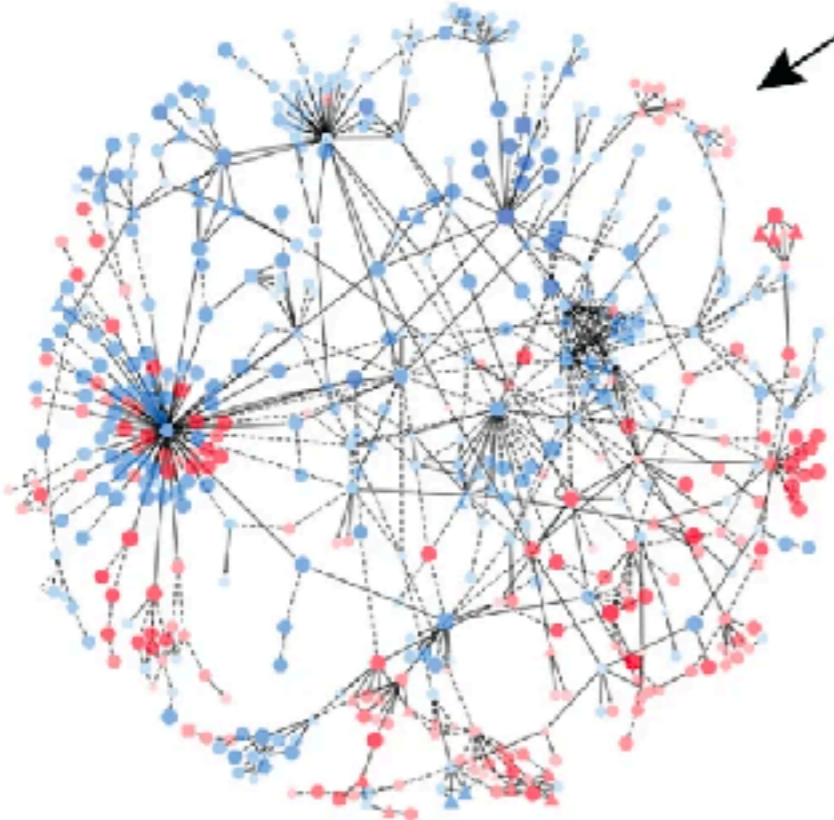
# A concrete example: The Cancer Genome Atlas Program



## Omic characterizations



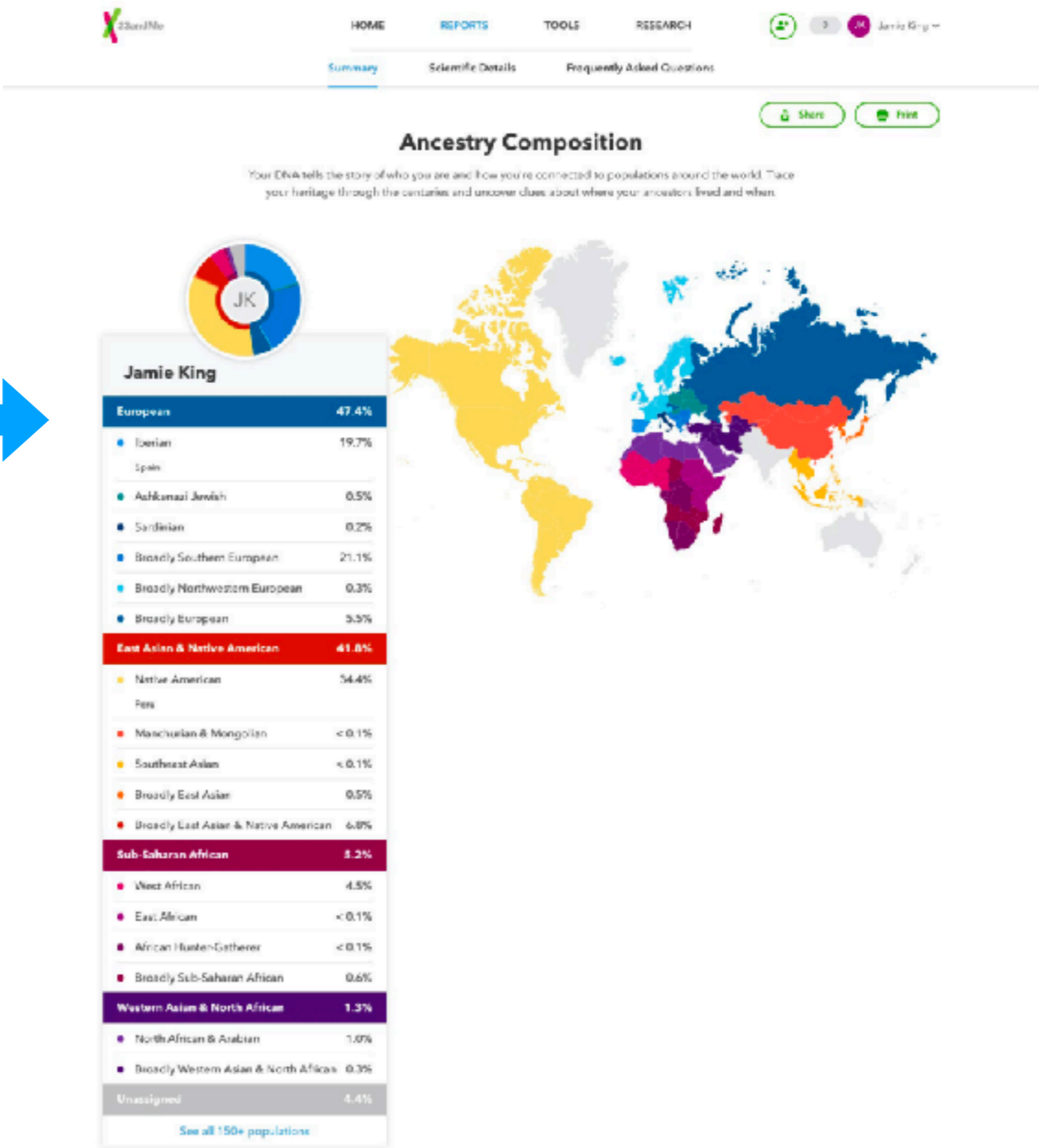
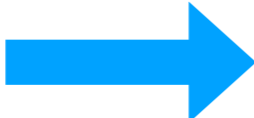
## Thematic pathways



# DNA sample analysis by 23andMe



DNA sample



# How did they do this?



DNA sample



Sequencing machine  
~2000 dollars

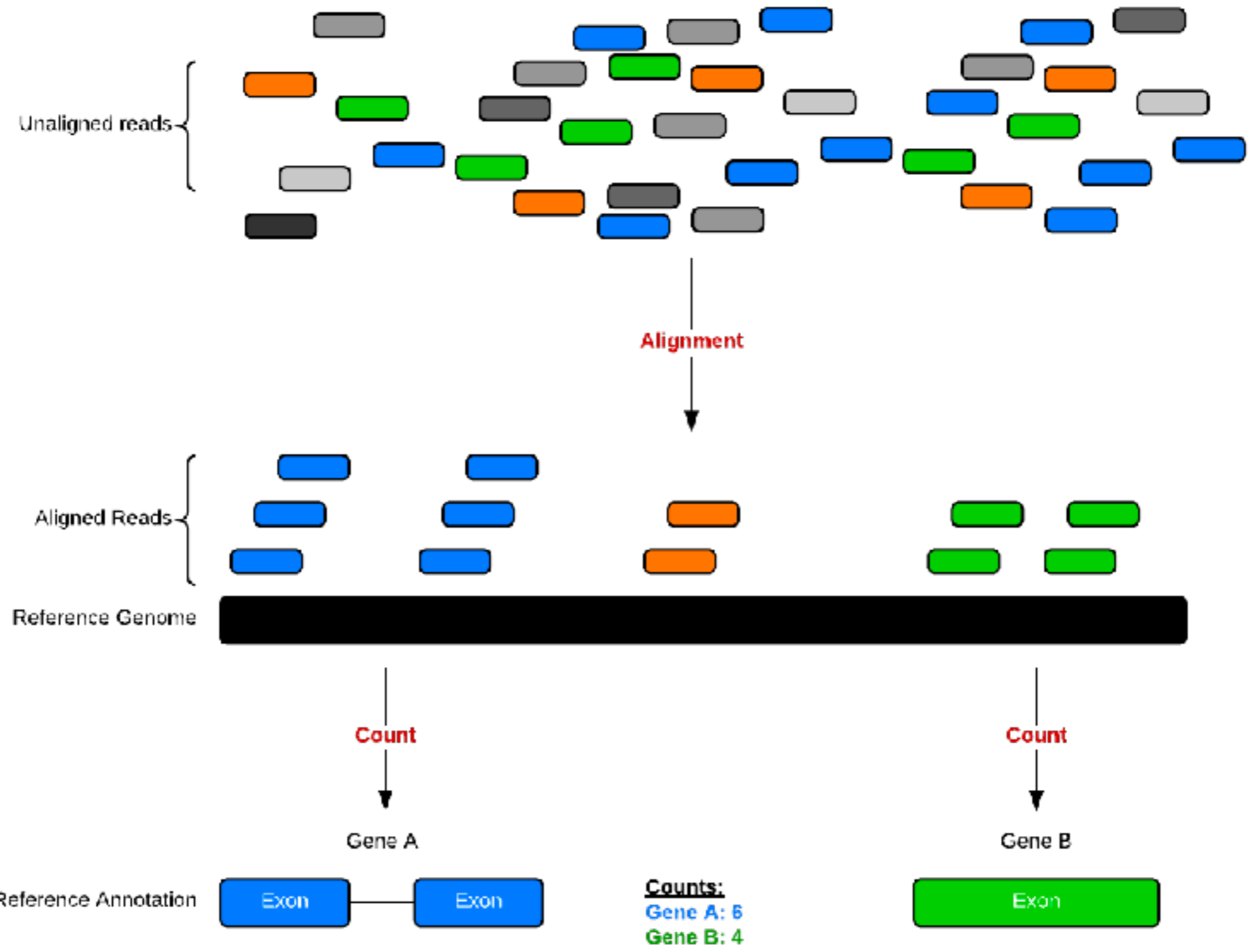


Name	Size
26455-P_2.fastq	25.84 GB

Your entire genome sequence  
\*.fastq file

Our job as a computer scientist: analyze \*.fastq file

# Process raw data using sequence alignment (dynamic programming)



# What does a fastq file look like?

	Quality	Sequence	Header
1			@ERR000589.41 EAS139_45:5:1:2:111/1
2		CTTTCCTCCCTGCTTTCCTGGCCCCACCATTTCCAGGGAACATCTTGTCAT	
3		+	
4	3IIIIIIIIIIIIII>1IIIFF9BG08E00I%IG+&?(4)%00646.C1#&(		
5			@ERR000589.42 EAS139_45:5:1:2:1293/1
6		AGTTGTTAAAATCCAAGCCAATTAAGATAGTCTTATCTTTTTAAAAGAAAT	
7		+	
8	IIIIIGII.AIIII=?I9G-/II=+I=4?761BA2C9I+5A711+&>1\$/I		

Very large! ~3000000000 lines

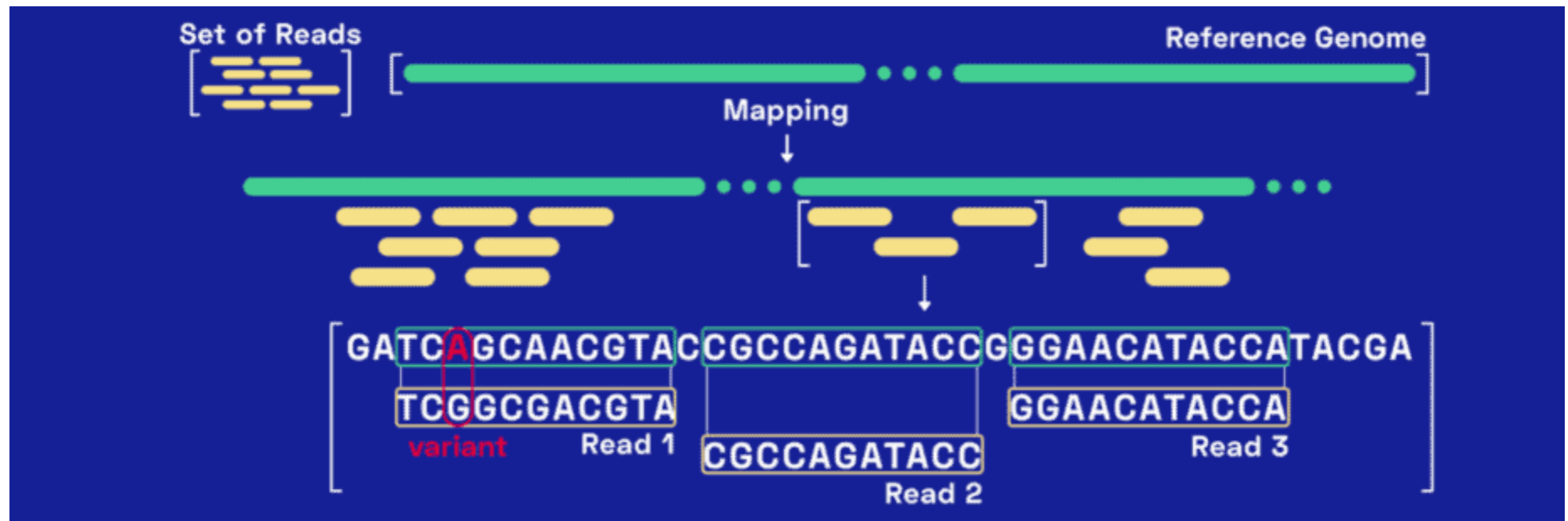
Quality: ASCII chars

What should we do? Map each short sequence (we call it read) to the entire human genome

# What does a fastq file look like?

Reference genome: "average" human genome.

Most widely used human genome GRCh38: derived from 13 thirteen anonymous volunteers





# Processed data

## countData

	<b>ctrl_1</b>	<b>ctrl_2</b>	<b>exp_1</b>	<b>exp_2</b>
geneA	10	11	56	45
geneB	0	0	128	54
geneC	42	41	59	41
geneD	103	122	1	23
geneE	10	23	14	56
geneF	0	1	2	0
...	...	...	...	...
...	...	...	...	...
...	...	...	...	...

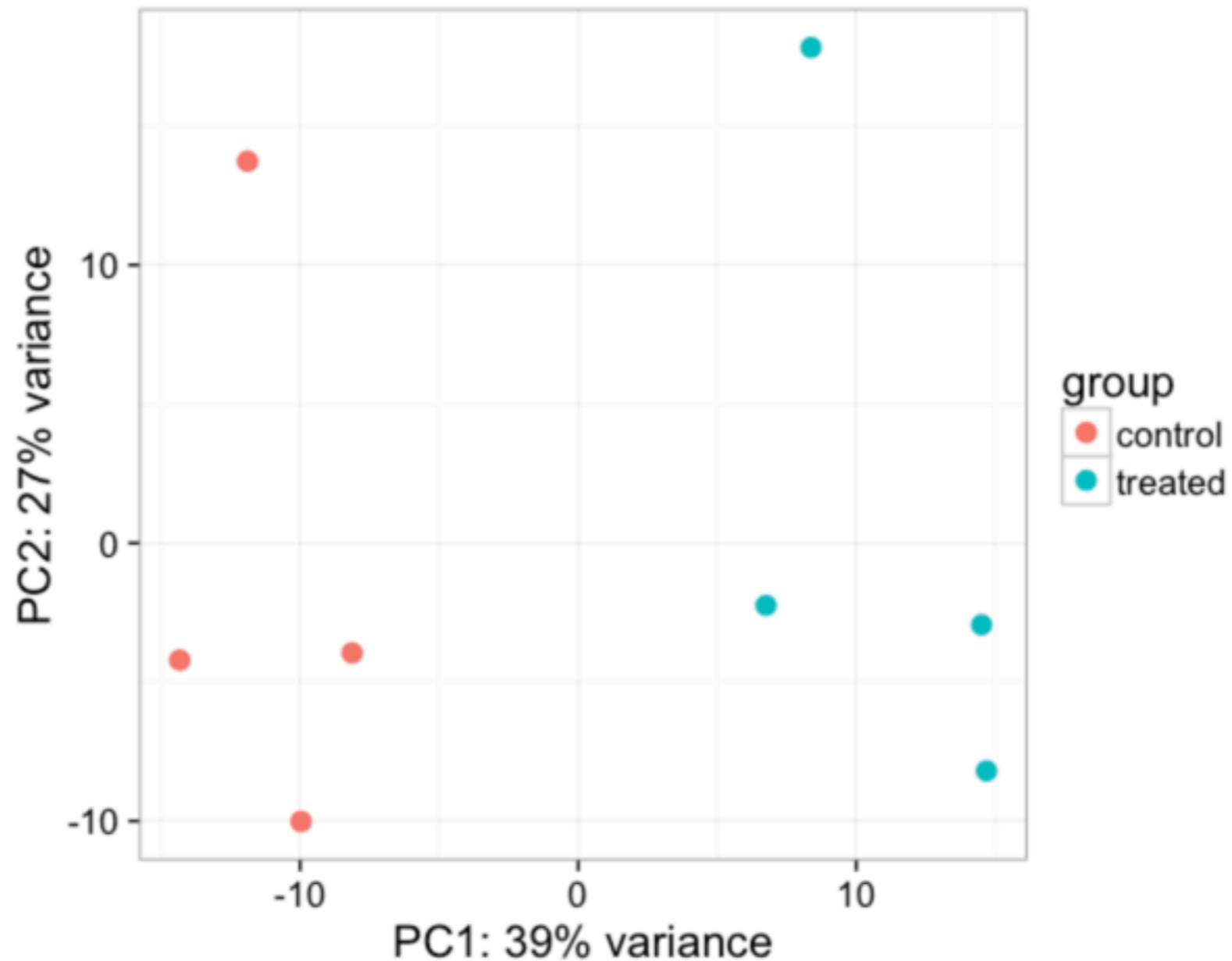
## colData

	treatment	sex
<b>ctrl_1</b>	control	male
<b>ctrl_2</b>	control	female
<b>exp_1</b>	treatment	male
<b>exp_2</b>	treatment	female

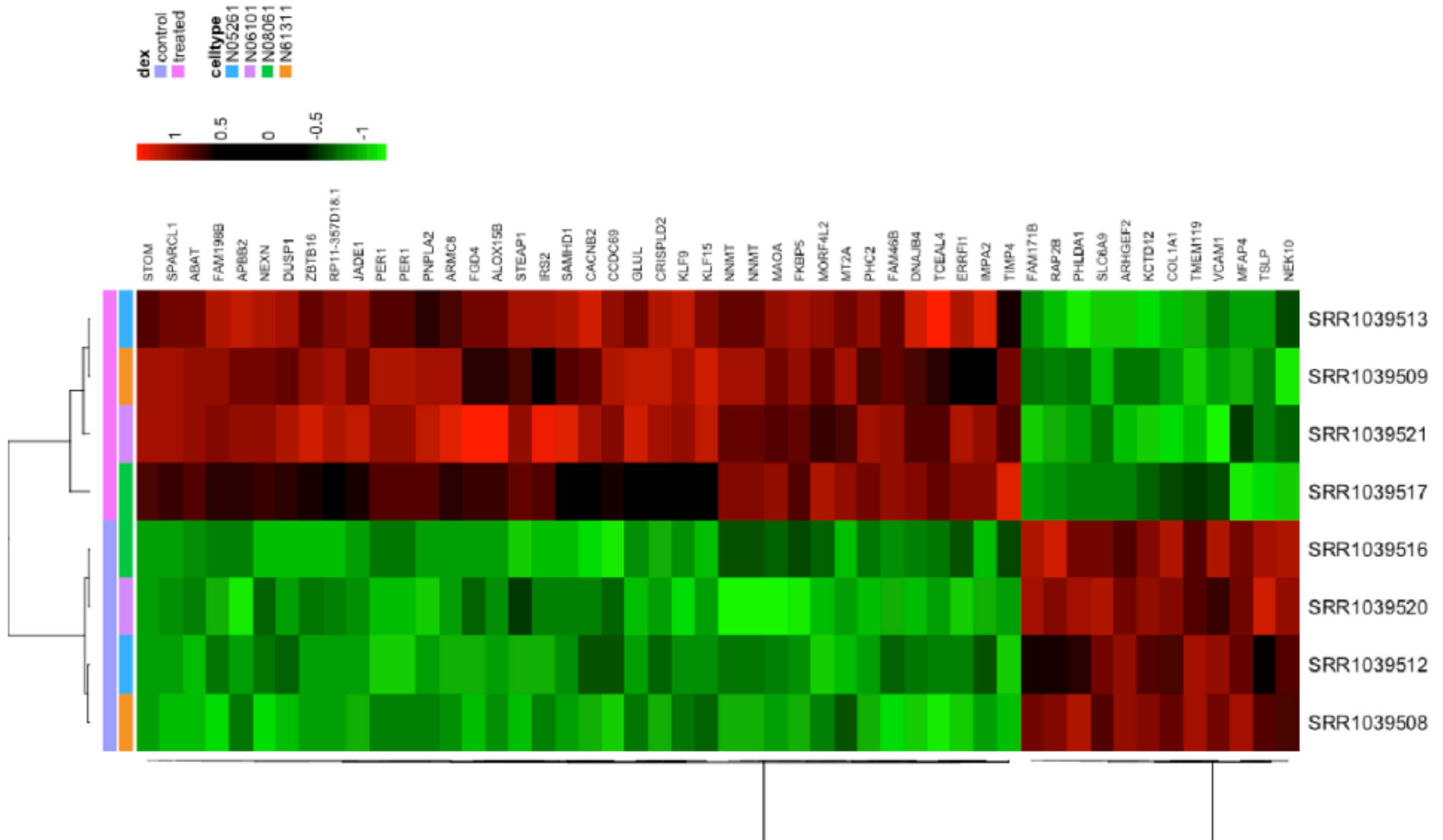
Sample names:

**ctrl\_1**, **ctrl\_2**, **exp\_1**, **exp\_2**

# Clustering analysis using dimensionality reduction



# Heatmap for visualization



Each individual has a slightly different version of the DNA sequence



Supplement to Nature Publishing Group  
November 2004

nature  
genetics

Genetics for the human race

TGATCGAAGCTAAATGCATCAGCTGATGATCCTAGC...

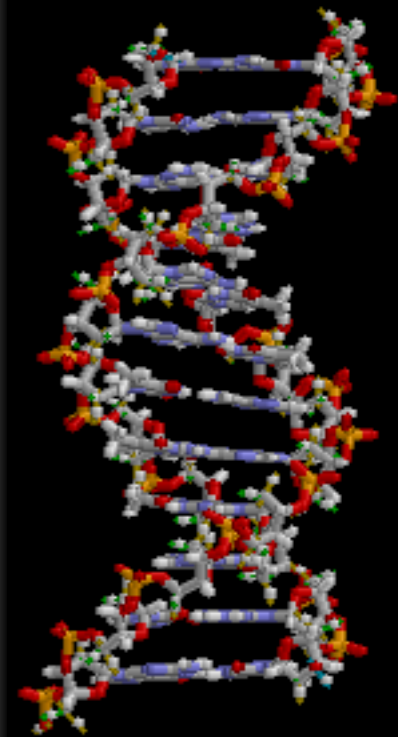
TGATCGTAGCTAAATGCATCAGCTGATGATCGTAGC...

TGATCGCAGCTAAATGCA<sup>G</sup>CAGCTGATGATCGTAGC...

The image shows the cover of a journal supplement titled 'nature genetics' for November 2004. The cover features a globe and a collage of diverse children. Three callout boxes point to specific DNA sequences, highlighting differences between them. The first sequence is TGATCGAAGCTAAATGCATCAGCTGATGATCCTAGC... with 'A', 'C', and 'C' in red. The second is TGATCGTAGCTAAATGCATCAGCTGATGATCGTAGC... with 'T', 'G', and 'G' in red. The third is TGATCGCAGCTAAATGCA<sup>G</sup>CAGCTGATGATCGTAGC... with 'C', 'G', and 'G' in red.

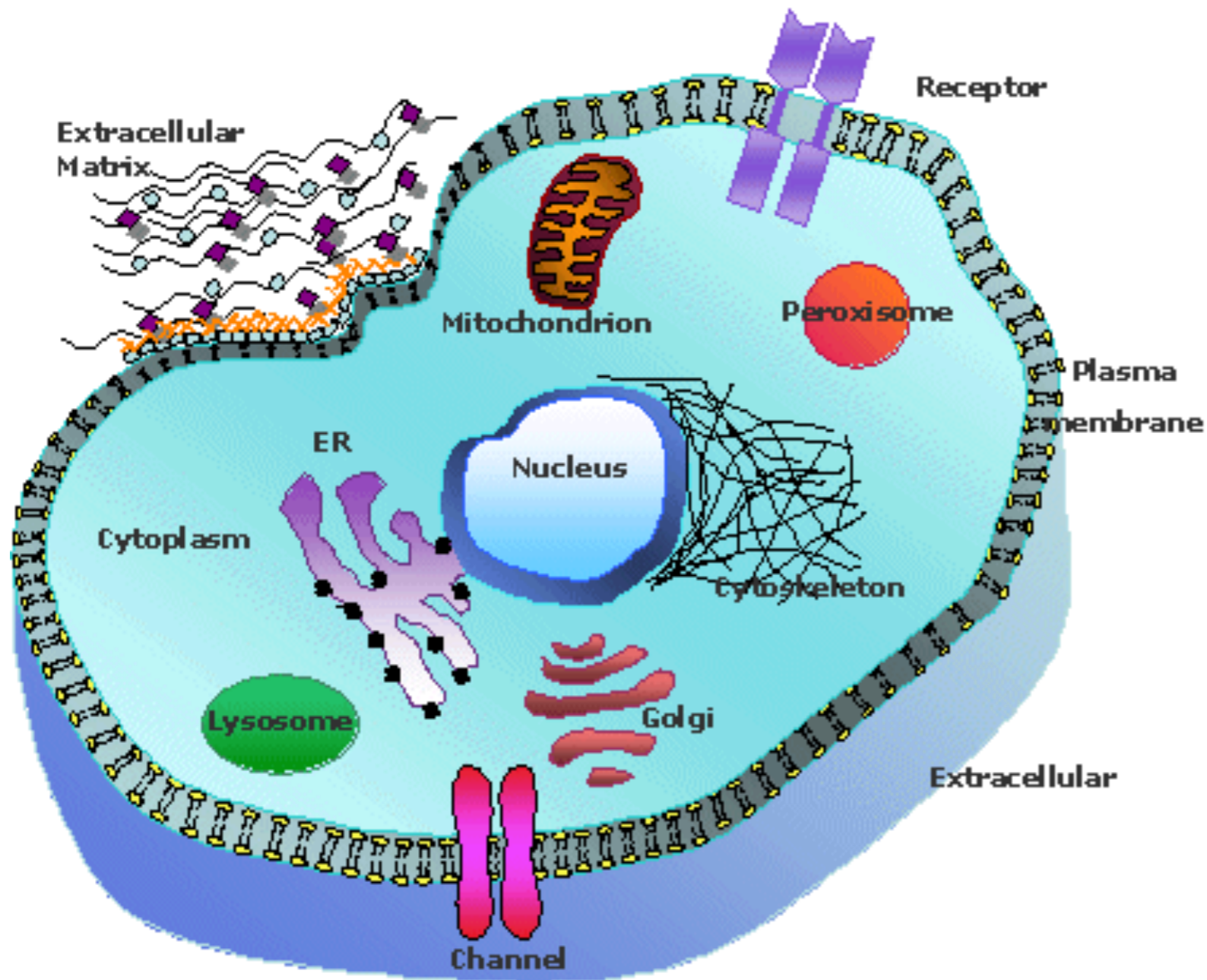
# DNA: “Blueprints” for a cell

- Genetic information encoded in long strings of double-stranded DNA (Deoxyribo Nucleic Acid)
- DNA comes in only four flavors: Adenine, Cytosine, Guanine, Thymine
  - In human, DNA is a 3 billion-long string of As, Cs, Gs and Ts
- DNA acts as the “brain” of the cell, telling the cell how to properly grow and work



# Cell

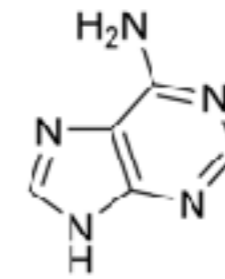
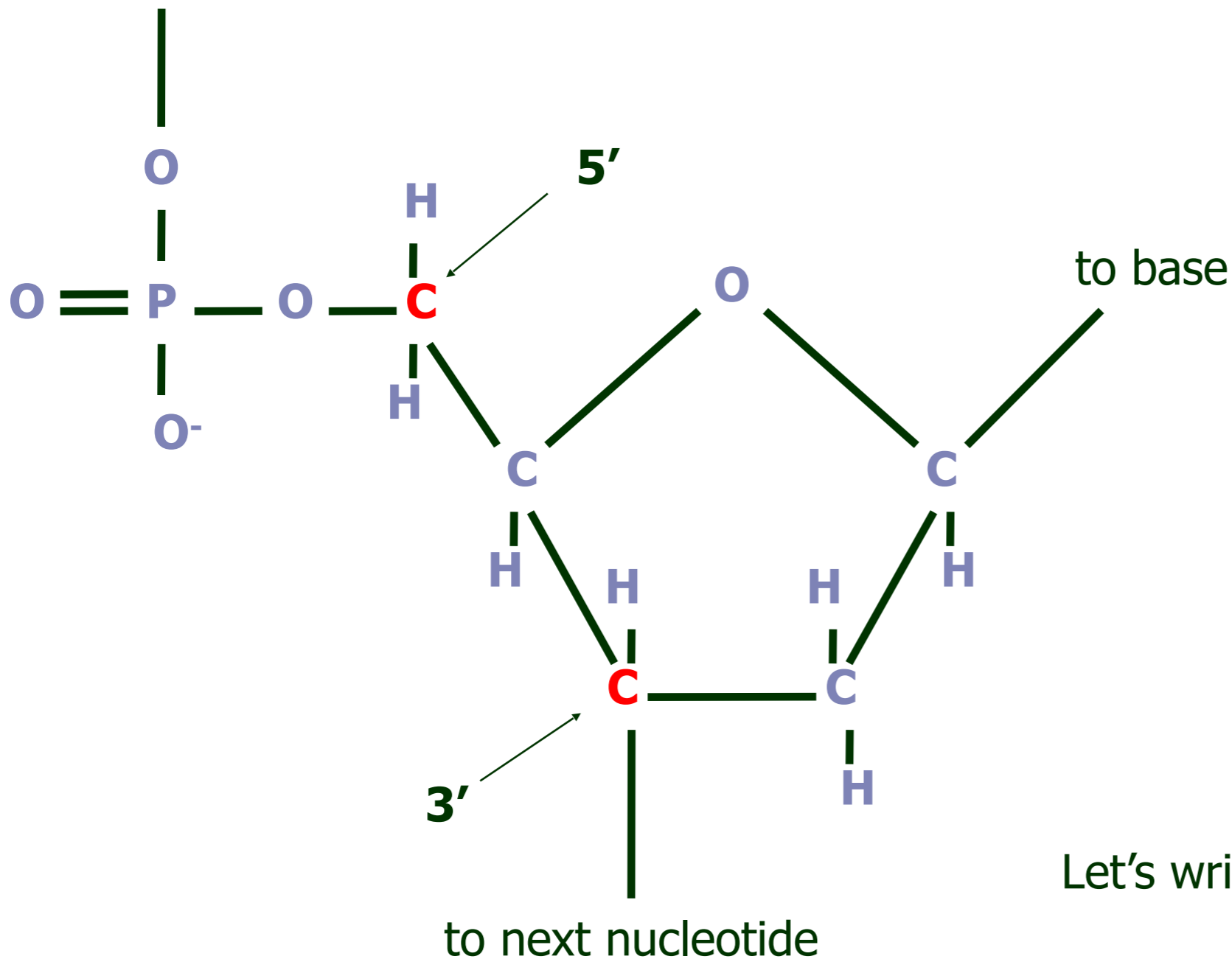
Cell, nucleus, cytoplasm, mitochondrion



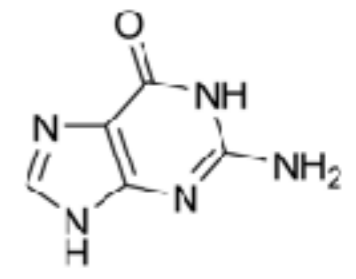
# Nucleotide

Nucleotide, base, A, C, G, T, 3', 5'

to previous nucleotide

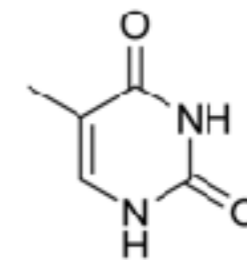


Adenine (A)

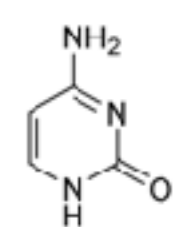


Guanine (G)

Thymine (T)

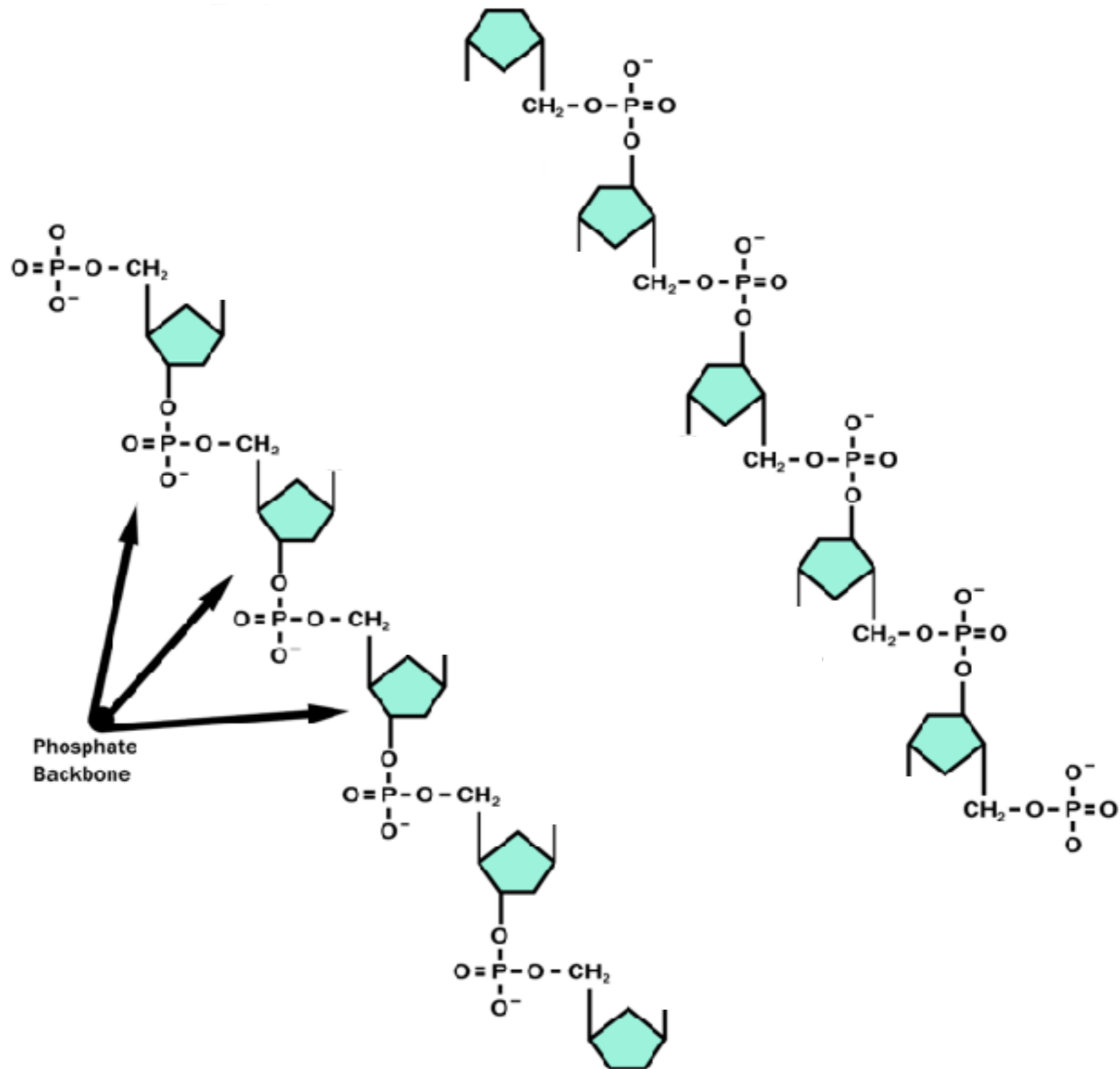


Cytosine (C)



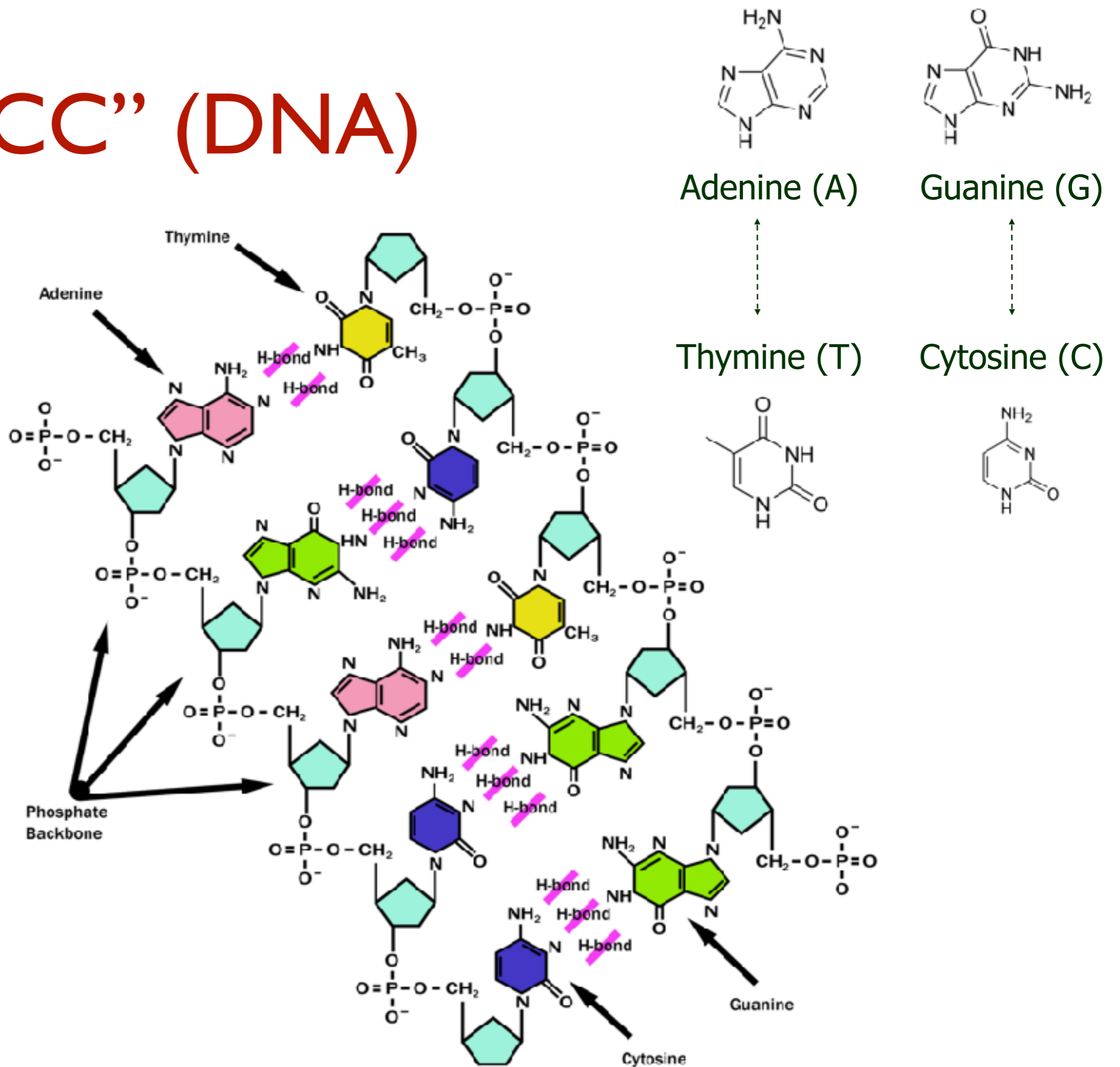
Let's write "AGACC"!

# “AGACC” (backbone)





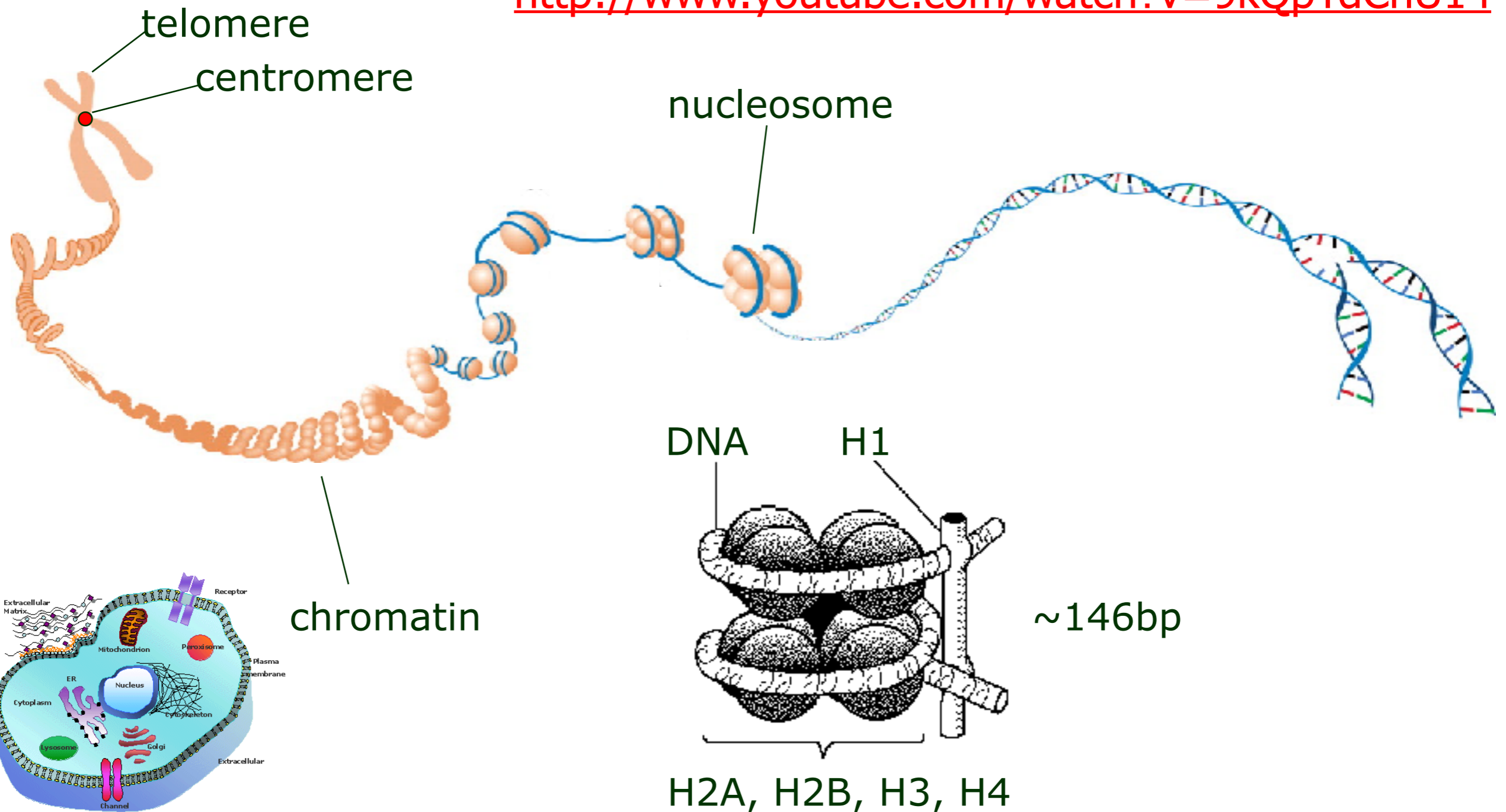
# “AGACC” (DNA)



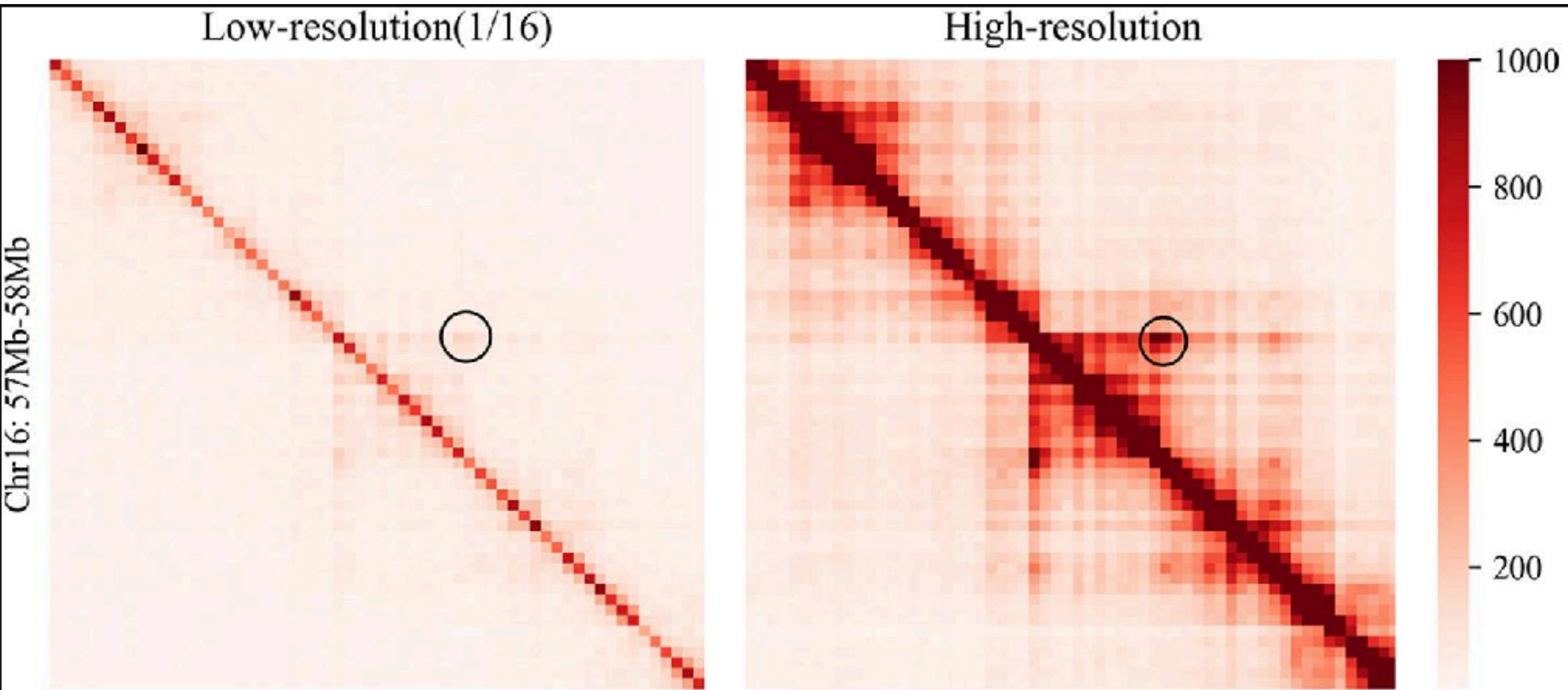
# DNA packaging (DNA is 6 feet long!)

Histone, nucleosome, chromatin, chromosome, centromere, telomere

<http://www.youtube.com/watch?v=9kQpYdCnU14>



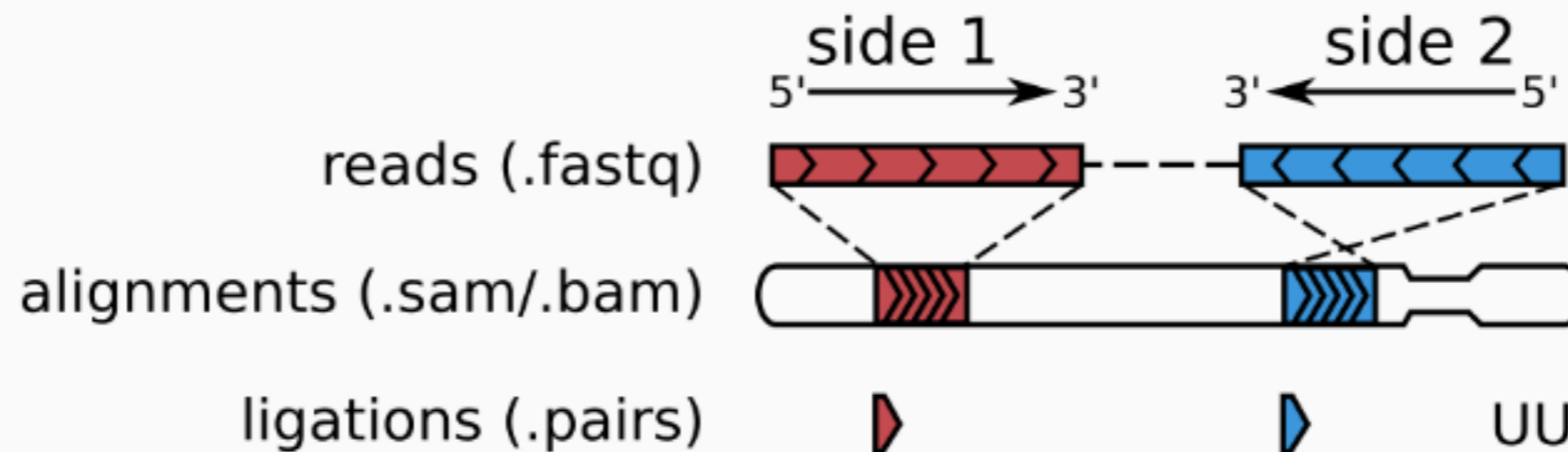
# Data structure and computational problem



source: SRHiC: A Deep Learning Model to Enhance the Resolution of Hi-C Data

# What will the data look like?

Two .fastq files. Lines correspond to each other



*DNA sequences (reads) are aligned to the reference genome and converted into ligation events*

```
bowtie2 -p 20 -x hg38index -U hicExp1_R1_fastq.trimmed > hicExp1_R1.hg38.sam  
bowtie2 -p 20 -x hg38index -U hicExp1_R2_fastq.trimmed > hicExp1_R2.hg38.sam
```

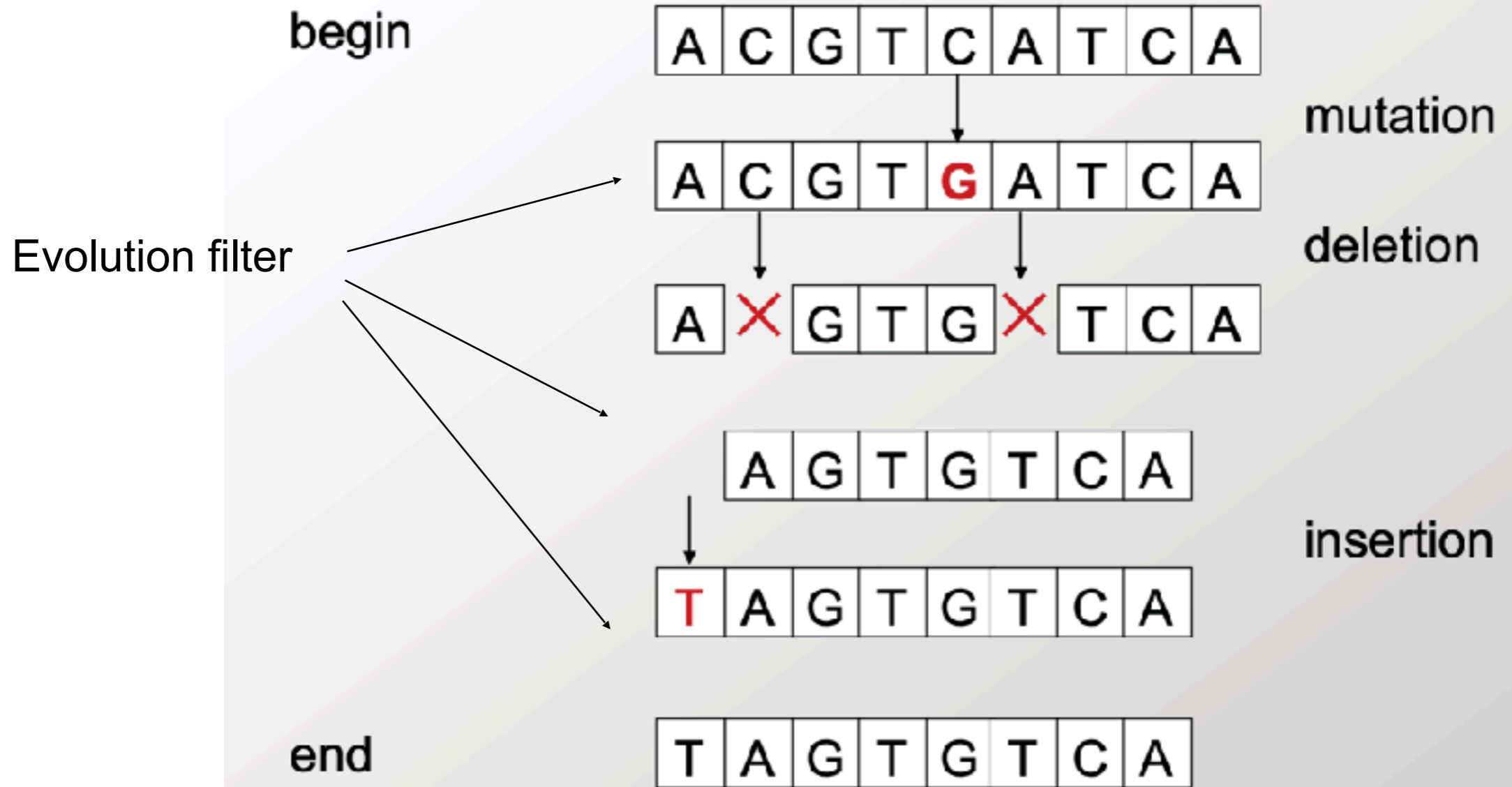
# Computer vision-based solution



# Nothing in biology makes sense except in the light of evolution --

Theodosius Dobzhansky

## Genomes change over time



# That is why we want to compare sequences

## Partial CTCF protein sequence in 8 organisms:

<i>H. sapiens</i>	-EDSSDS-ENAEPLDLDNEDEEEPAVEIEPEPE-----PQPVTPA
<i>P. troglodytes</i>	-EDSSDS-ENAEPLDLDNEDEEEPAVEIEPEPE-----PQPVTPA
<i>C. lupus</i>	-EDSSDS-ENAEPLDLDNEDEEEPAVEIEPEPE-----PQPVTPA
<i>B. taurus</i>	-EDSSDS-ENAEPLDLDNEDEEEPAVEIEPEPE-----PQPVTPA
<i>M. musculus</i>	-EDSSDSEENAEPLDLDNEEEEPAVEIEPEPE--PQPQPPPPQPVAPA
<i>R. norvegicus</i>	-EDSSDS-ENAEPLDLDNEEEEPAVEIEPEPEPQPQPPQPQPVAPA
<i>G. gallus</i>	-EDSSDSEENAEPLDLDNEDEEETAVEIEAPE-----VSAEAPA
<i>D. rerio</i>	DDDDSDSDEHGEPDLDDIDEEDDDL-LDEDQMGLLDQAPPSVPIP-APA

- Identify important sequences by finding conserved regions.
- Find genes similar to known genes.
- Understand evolutionary relationships and distances (*D. rerio* aka zebrafish is farther from humans than *G. gallus* aka chicken).
- Interface to databases of genetic sequences.
- As a step in genome assembly, and other sequence analysis tasks.
- Provide hints about protein structure and function

# That is why we want to compare sequences

Partial CTCF protein sequence in 8 organisms:

<i>H. sapiens</i>	-EDSSDS-ENAEPLDLDNEDEEEPAVEIEPEPE-----PQPVTPA
<i>P. troglodytes</i>	-EDSSDS-ENAEPLDLDNEDEEEPAVEIEPEPE-----PQPVTPA
<i>C. lupus</i>	-EDSSDS-ENAEPLDLDNEDEEEPAVEIEPEPE-----PQPVTPA
<i>B. taurus</i>	-EDSSDS-ENAEPLDLDNEDEEEPAVEIEPEPE-----PQPVTPA
<i>M. musculus</i>	-EDSSDSEENAEPLDLDNEEEEEPAVEIEPEPE--PQPQPPPPQPVAPA
<i>R. norvegicus</i>	-EDSSDS-ENAEPLDLDNEEEEEPAVEIEPEPEPQPQPQPQPQPVAPA
<i>G. gallus</i>	-EDSSDSEENAEPLDLDNEDEEETAVEIEAPE-----VSAEAPA
<i>D. rerio</i>	DDDDSDSDEHGEPDLDDIDEEDDDL-LDEDQMGLLDQAPPSVPIP-APA



D. rerio



G. gallus



P. Troglodytes

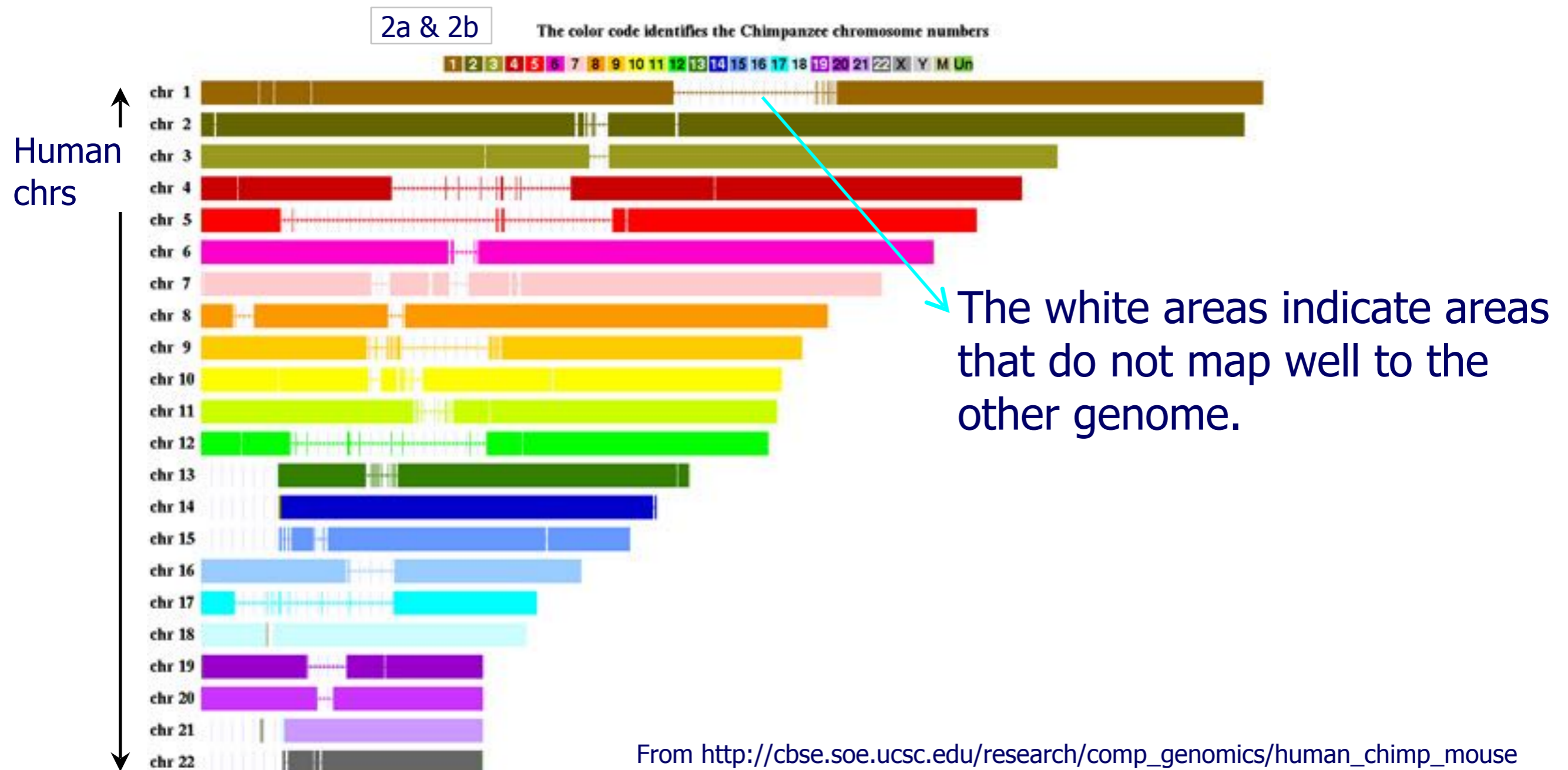


C. lupus



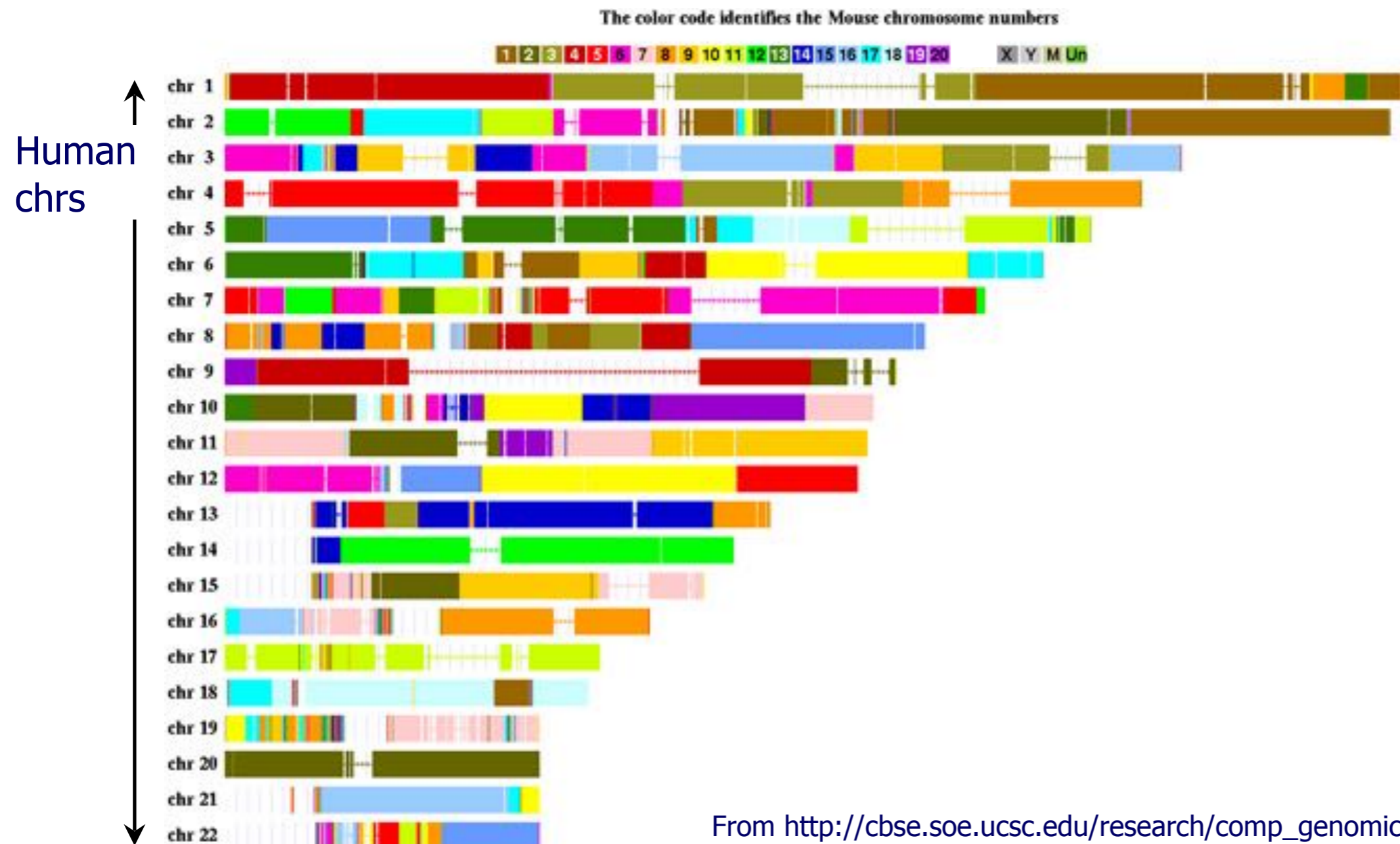
# Comparing Human, Chimp, and Mouse Genomes

- 95% of the chimp genome is mapped to identical sequence in the human genome.



# Comparing Human, Chimp, and Mouse Genomes

- 34% of the mouse genome is mapped to identical sequence in the human genome.



# What does a fastq file look like?

	Quality	Sequence	Header
1			@ERR000589.41 EAS139_45:5:1:2:111/1
2		CTTTCCTCCCTGCTTTCCTGGCCCCACCATTTCCAGGGAACATCTTGTCAT	
3		+	
4	3IIIIIIIIIIIIII>1IIIFF9BG08E00I%IG+&?(4)%00646.C1#&(		
5			@ERR000589.42 EAS139_45:5:1:2:1293/1
6		AGTTGTTAAAATCCAAGCCAATTAAGATAGTCTTATCTTTTAAAAGAAAT	
7		+	
8	IIIIIGII.AIIII=?I9G-/II=+I=4?761BA2C9I+5A711+&>1\$/I		

Very large! ~3000000000 lines

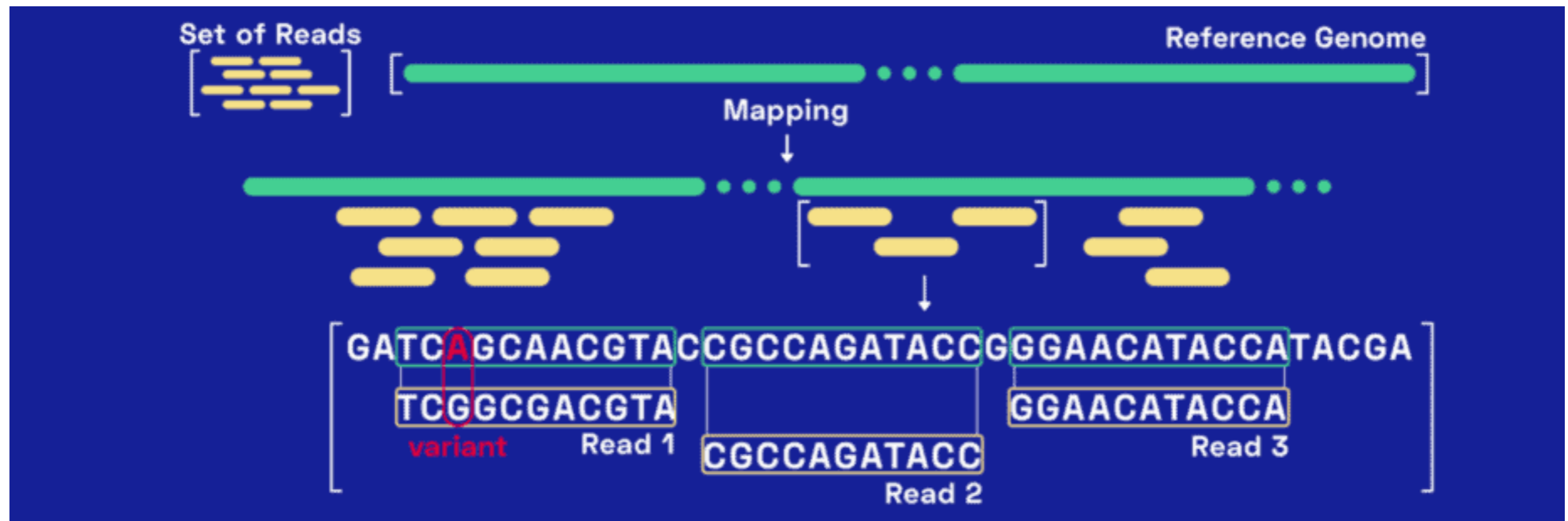
Quality: ASCII chars

What should we do? Map each short sequence (we call it read) to the entire human genome

# What does a fastq file look like?

Reference genome: "average" human genome.

Most widely used human genome GRCh38: derived from 13 thirteen anonymous volunteers



# The Simplest String Comparison Problem

**Given:** Two strings

$$a = a_1a_2a_3a_4\dots a_m$$

$$b = b_1b_2b_3b_4\dots b_n$$

where  $a_i, b_i$  are letters from some alphabet like  $\{A,C,G,T\}$ .

**Compute** how **similar** the two strings are.

What do we mean by “similar”?

**Edit distance** between strings  $a$  and  $b$  = the smallest number of the following operations that are needed to transform  $a$  into  $b$ :

- mutate (replace) a character
- delete a character
- insert a character

riddle  $\xrightarrow{\text{delete}}$  ridle  $\xrightarrow{\text{mutate}}$  riple  $\xrightarrow{\text{insert}}$  triple

# Dynamic Programming (DP)

- Dynamic programming is used to solve optimization problems, similar to greedy algorithms.
- DP problem can always be decomposed to a series of **subproblems** with **the same structure**.
  - Define proper subproblems.
  - Ensure the subproblem space is polynomial.
  - Define a table (matrix), called DP table, to store all the optimal score for each subproblem.
  - Need a traversal order. Subproblems must be ready (solved) when they are needed, so computation order matters.
  - Determine a recursive formula: A larger subproblem is typically solved as a function of its subparts.
  - Remember choices or the solution of each subproblem.

# Dynamic Programming (DP)

- Once dynamic programming is setup, computation is typically straight-forward:
  - Systematically fill in the table of results (and usually traceback pointers) and find an optimal score.
  - Traceback from the optimal score through the pointers to determine an optimal solution.
  
- Example: Fibonacci Numbers
  - The Fibonacci sequence is recursively defined as  $F(0) = F(1) = 1$ ,  $F(n) = F(n-1) + F(n-2)$  for  $n \geq 2$ .

# Local and Global Alignment

- Sometimes we need to choose whether we want to align the entire sequence.

```
A  T  A  C  G  T  C  T
-  -  A  C  G  T  -  -
```

Local alignment: Smith-Waterman  
algorithm

```
A  T  A  C  G  T  C  T
A  -  -  C  G  -  -  T
```

Global alignment: Needleman-Wunsh  
algorithm

- They both contain **four** align positions and **four** gaps. Which one should we choose?
- Criteria
  - Do we want to check the whole sequence or a local region?
  - Is there a big length difference between two sequences?
  - Are the sequences distantly related during evolution?
  - Is your job about finding motifs, conserved domains?



# Key difference

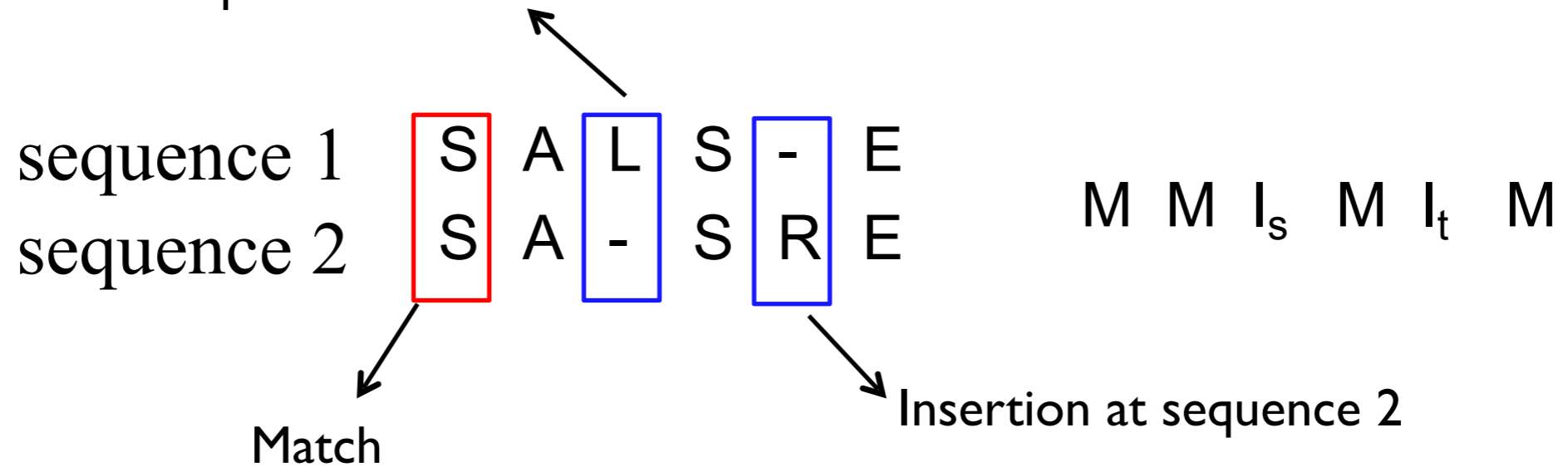
- Sometimes we need to choose whether we want to align the entire sequence.

A	T	A	C	G	T	C	T		A	T	A	C	G	T	C	T
-	-	A	C	G	T	-	-		A	-	-	C	G	-	-	T

We don't want to punish the gap at the two ends!

# We need to assign a score for each alignment

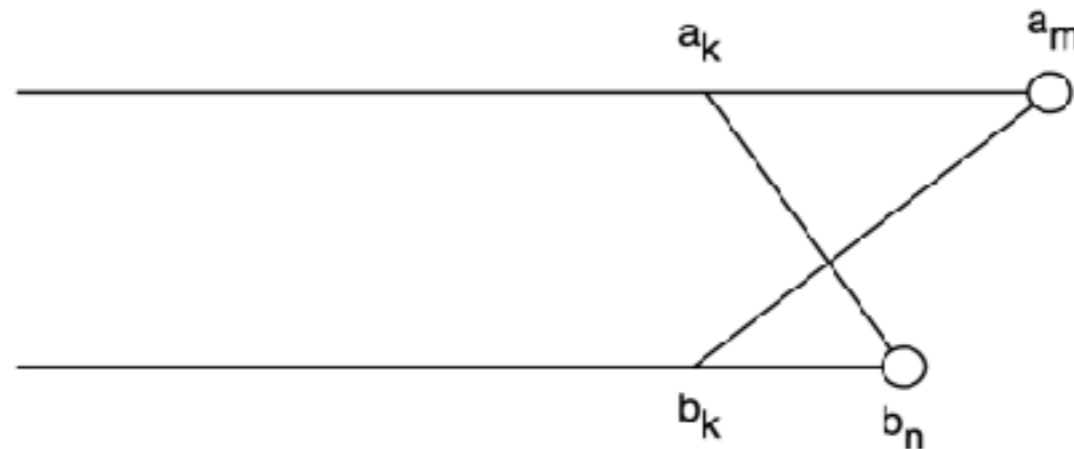
Insertion at sequence 1



The score of an alignment is equal to the sum of the score contributed by each position.

Several rules must hold:

- Each position on sequence 1 can only be aligned to one position on sequence 2
- No crossing rule:



# Sequence alignment

AGGCTATCACCTGACCTCCAGGCCGATGCCC  
TAGCTATCACGACCGCGGTCGATTTGCCCGAC

**-AGGCTATCACCTGACCTCCAGGCCGA--TGCCC---**  
**TAG-CTATCAC--GACCGC--GGTCGATTTGCCCGAC**

# What is a good alignment?

AGGCTAGTT,  
AGCGAAGTTT

AGGCTAGTT-  
AGCGAAGTTT

6 matches, 3 mismatches, 1 gap

AGGCTA-GTT-  
AG-CGAAGTTT

7 matches, 1 mismatch, 3 gaps

AGGC-TA-GTT-  
AG-CG-AAGTTT

7 matches, 0 mismatches, 5 gaps

# Scoring Function

- Sequence edits:

- Mutations
- Insertions
- Deletions

AGGCCTC

AGGACTC

AGGGCCTC

AGG . CTC

## Scoring Function:

Match: +m

Mismatch: -s

Gap: -d

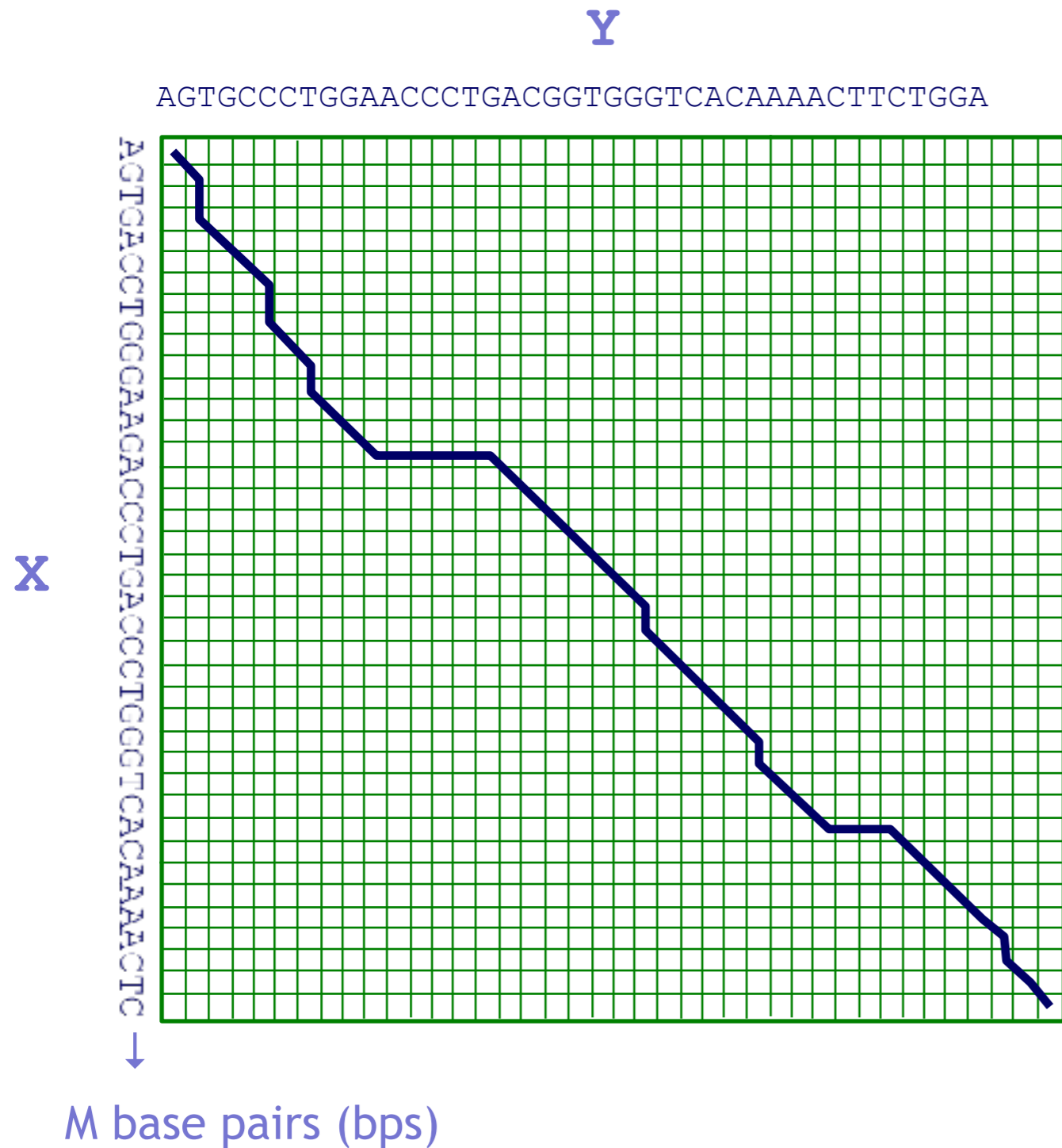
$$\text{Score } F = (\# \text{ matches}) \times m - (\# \text{ mismatches}) \times s - (\# \text{ gaps}) \times d$$

### Alternative definition:

#### minimal edit distance

“Given two strings  $x$ ,  $y$ , find minimum # of edits (insertions, deletions, mutations) to transform one string to the other”

# How do we compute the best alignment?

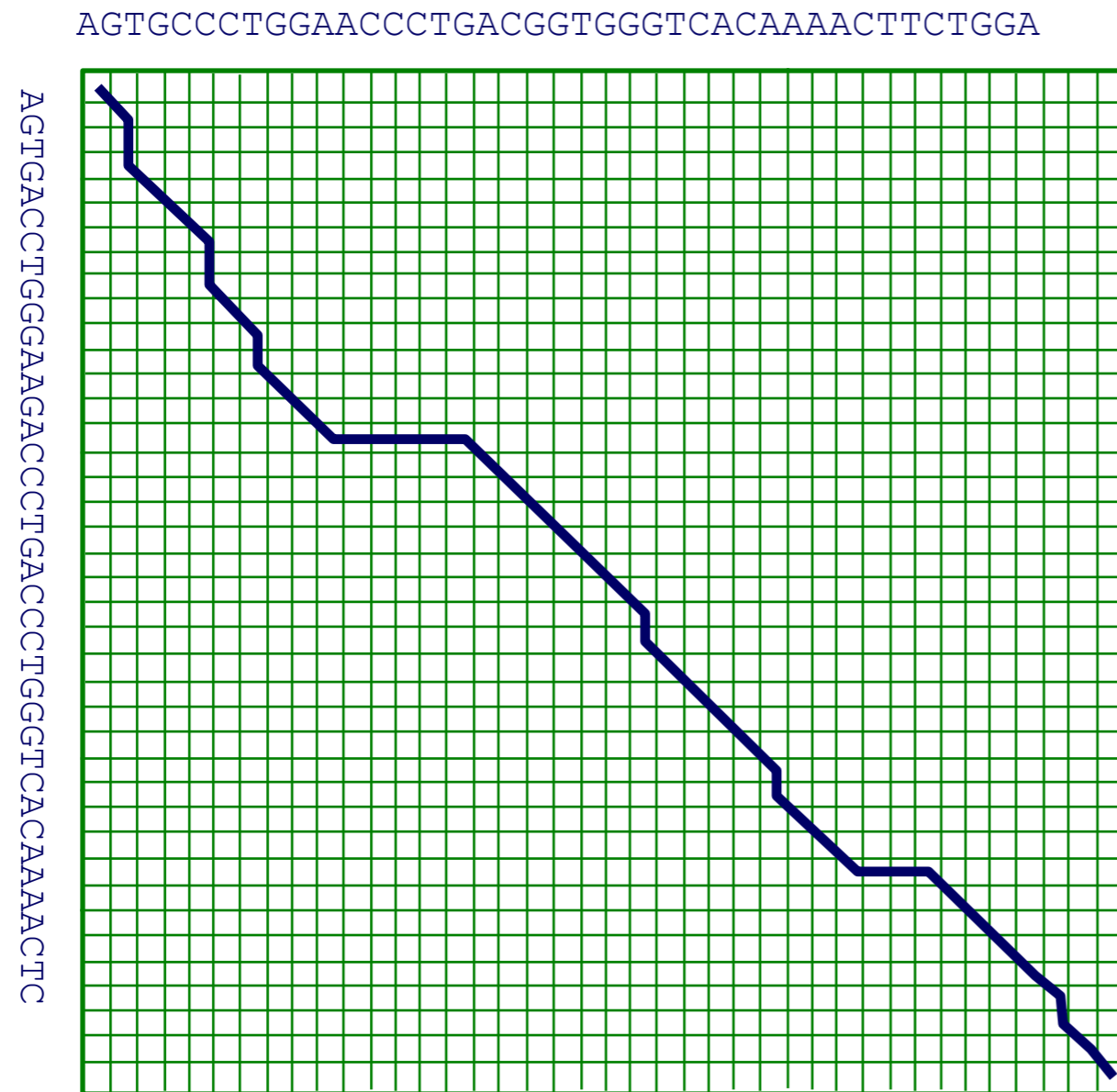


Every non-decreasing path from (0,0) to (M, N) corresponds to an alignment of the two sequences, and vice versa.

(exercise)

**X**: AGTGACCTGGGAAGA-----C...  
**Y**: AG--TGC--CC-TGGAACCCT...

# How do we compute the best alignment?



Too many possible alignments:

$$>> 3^{\min(M,N)}$$

# Alignment is additive

Observation:

The score of aligning

$x_1 \dots x_M$

$y_1 \dots y_N$

is additive

Say that

$x_1 \dots x_i$

$x_{i+1} \dots x_M$

aligns to

$y_1 \dots y_j$

$y_{j+1} \dots y_N$

The two scores add up:

$$F(x[1:M], y[1:N]) = F(x[1:i], y[1:j]) + F(x[i+1:M], y[j+1:N])$$



# Dynamic Programming

- Consider subproblems for  $i \leq M$  and  $j \leq N$ 
  - Align  $x_1 \dots x_i$  to  $y_1 \dots y_j$
- Original problem is one of the subproblems
  - Align  $x_1 \dots x_M$  to  $y_1 \dots y_N$
- Each subproblem is easily solved from smaller subproblems
  - We will show next
- Then, we can apply **Dynamic Programming!!!**

Let  $F(i, j)$  = optimal score of aligning

$x_1 \dots x_i$

$y_1 \dots y_j$

F is the DP "Matrix" or "Table"

"Memorization"

# Scoring Function

- Sequence edits:

- Mutations
- Insertions
- Deletions

AGGCCTC

AGGACTC

AGGGCCTC

AGG . CTC

## Scoring Function:

Match: +m

Mismatch: -s

Gap: -d

$$\text{Score } F = (\# \text{ matches}) \times m - (\# \text{ mismatches}) \times s - (\# \text{ gaps}) \times d$$

### Alternative definition:

#### minimal edit distance

“Given two strings  $x$ ,  $y$ , find minimum # of edits (insertions, deletions, mutations) to transform one string to the other”

# Dynamic Programming (cont'd)

Notice three possible cases:

1.  $x_i$  aligns to  $y_j$

$$\begin{array}{l} x_1 \dots x_{i-1} \quad x_i \\ y_1 \dots y_{j-1} \quad y_j \end{array}$$
$$F(i, j) = F(i - 1, j - 1) + \begin{cases} m, & \text{if } x_i = y_j \\ -s, & \text{if not} \end{cases}$$

2.  $x_i$  aligns to a gap

$$\begin{array}{l} x_1 \dots x_{i-1} \quad x_i \\ y_1 \dots y_j \quad - \end{array}$$
$$F(i, j) = F(i - 1, j) - d$$

3.  $y_j$  aligns to a gap

$$\begin{array}{l} x_1 \dots x_i \quad - \\ y_1 \dots y_{j-1} \quad y_j \end{array}$$
$$F(i, j) = F(i, j - 1) - d$$

# Dynamic Programming (cont'd)

How do we know which case is correct?

Inductive assumption:

$F(i, j - 1)$ ,  $F(i - 1, j)$ ,  $F(i - 1, j - 1)$  are optimal

Then,

$$F(i, j) = \max \begin{cases} F(i - 1, j - 1) + s(x_i, y_j) \\ F(i - 1, j) - d \\ F(i, j - 1) - d \end{cases}$$

where

$$s(x_i, y_j) = \begin{cases} m, & \text{if } x_i = y_j \\ -s, & \text{if not} \end{cases}$$

# Example

x = ACGCTG

match: +2

y = CATGT

mismatch, gap: -1

- $F(i, j)$  = optimal score of aligning  $x_1, \dots, x_i$  to  $y_1, \dots, y_j$

**F** =

	j	0	1	2	3	4	5
i			<b>C</b>	<b>A</b>	<b>T</b>	<b>G</b>	<b>T</b>
0		0					
1	<b>A</b>						
2	<b>C</b>						
3	<b>G</b>						
4	<b>C</b>						
5	<b>T</b>						
6	<b>G</b>						

**X** ↑

← **Y**

x = ACGCTG

match: +2

y = CATGT

mismatch, gap: -1

	j	0	1	2	3	4	5	
i			C	A	T	G	T	←Y
0		0						
1	A							
2	C							
3	G							
4	C							
5	T							
6	G							

↑  
X

x = ACGCTG

match: +2

y = CATGT

mismatch, gap: -1

	j	0	1	2	3	4	5	
i			<b>C</b>	<b>A</b>	<b>T</b>	<b>G</b>	<b>T</b>	← <b>Y</b>
0		0	-1					
1	<b>A</b>							
2	<b>C</b>							
3	<b>G</b>							
4	<b>C</b>							
5	<b>T</b>							
6	<b>G</b>							

**↑**  
**X**

-
C

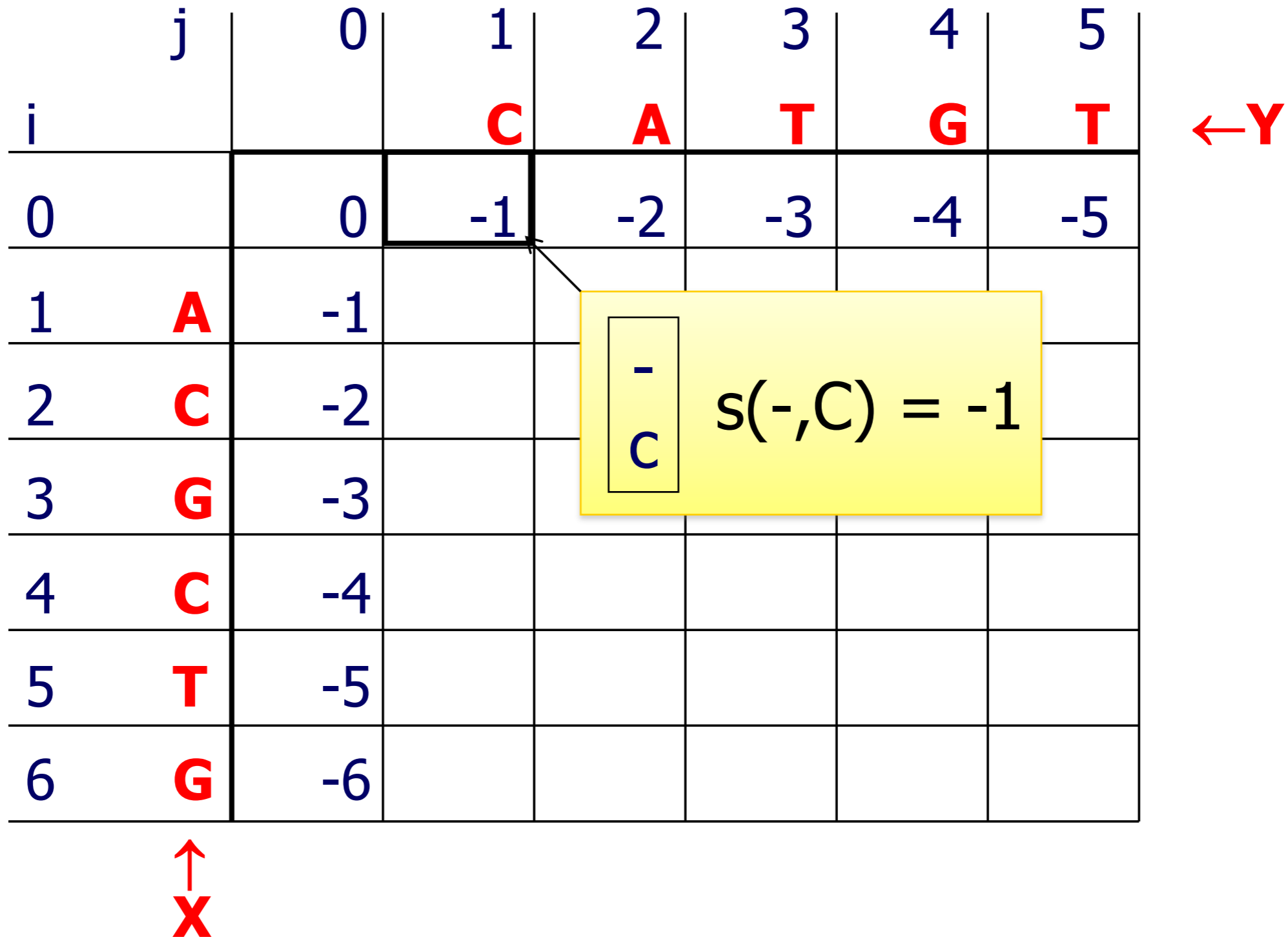
 $s(-,C) = -1$

x = ACGCTG

match: +2

y = CATGT

mismatch, gap: -1



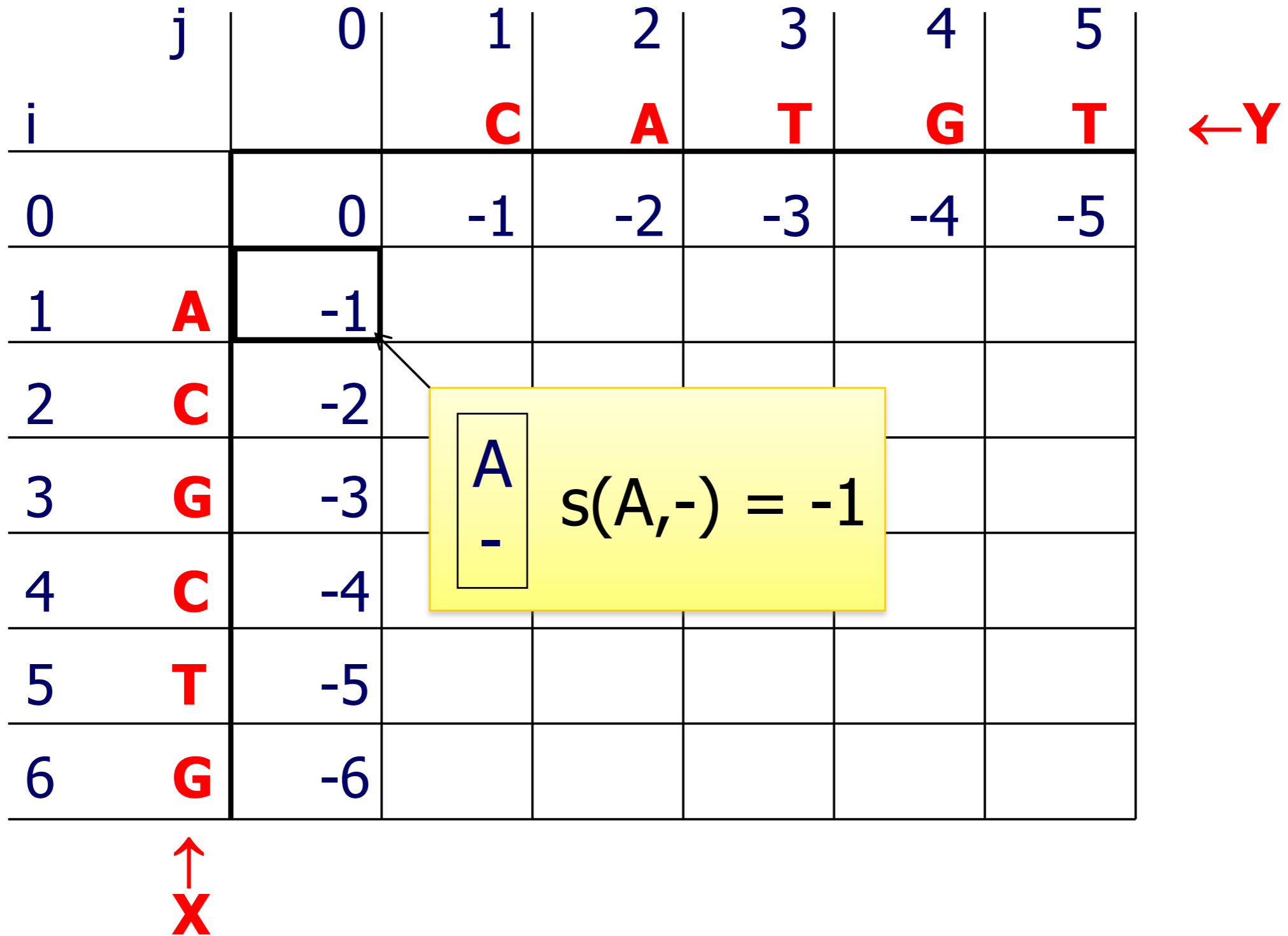


x = ACGCTG

match: +2

y = CATGT

mismatch, gap: -1

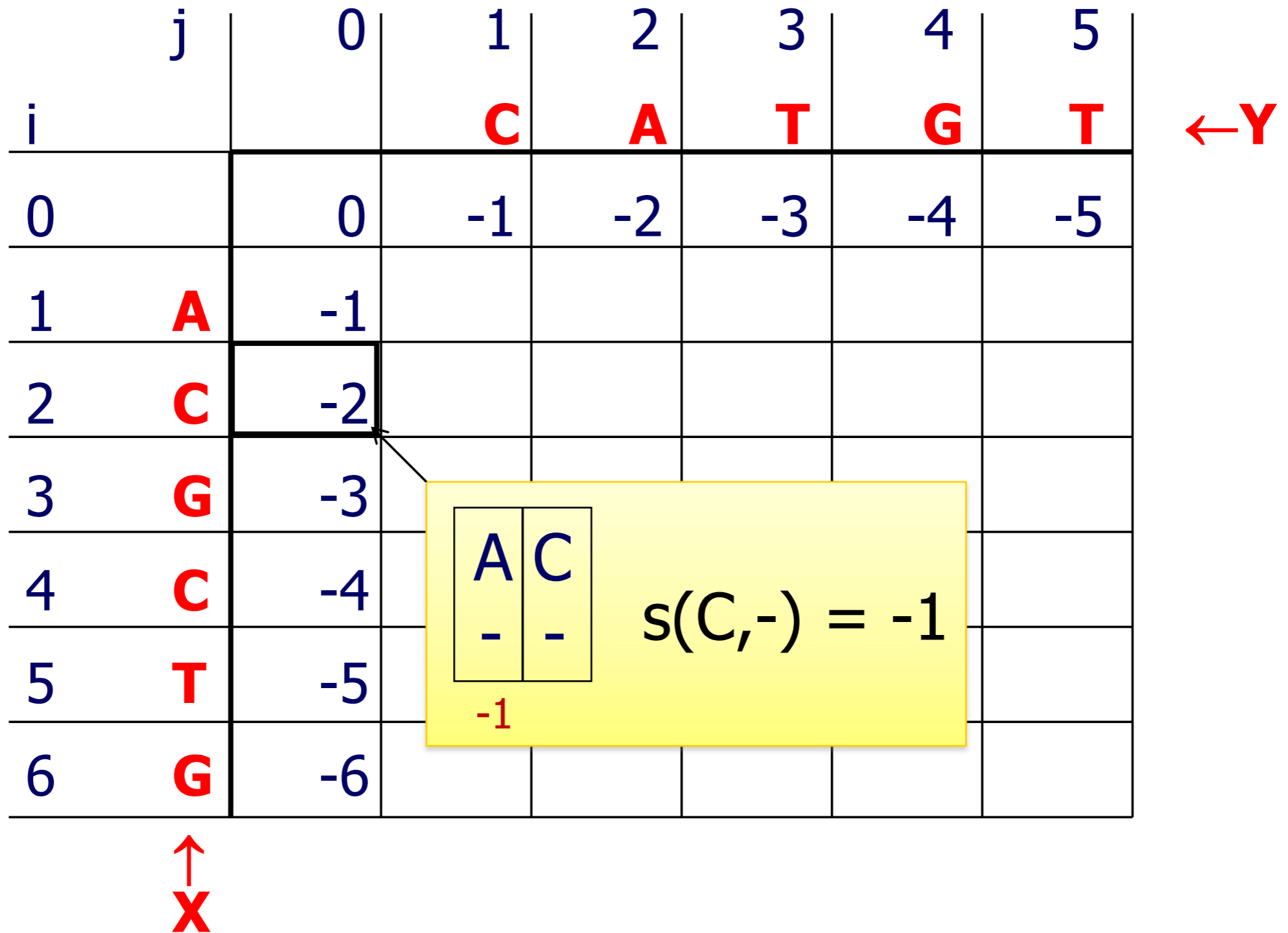


x = ACGCTG

match: +2

y = CATGT

mismatch, gap: -1



x = ACGCTG

match: +2

y = CATGT

mismatch, gap: -1

	j	0	1	2	3	4	5	
i			<b>C</b>	<b>A</b>	<b>T</b>	<b>G</b>	<b>T</b>	← <b>Y</b>
0		0	-1	-2	-3	-4	-5	
1	<b>A</b>	-1						
2	<b>C</b>	-2						
3	<b>G</b>	-3						
4	<b>C</b>	-4						
5	<b>T</b>	-5						
6	<b>G</b>	-6						

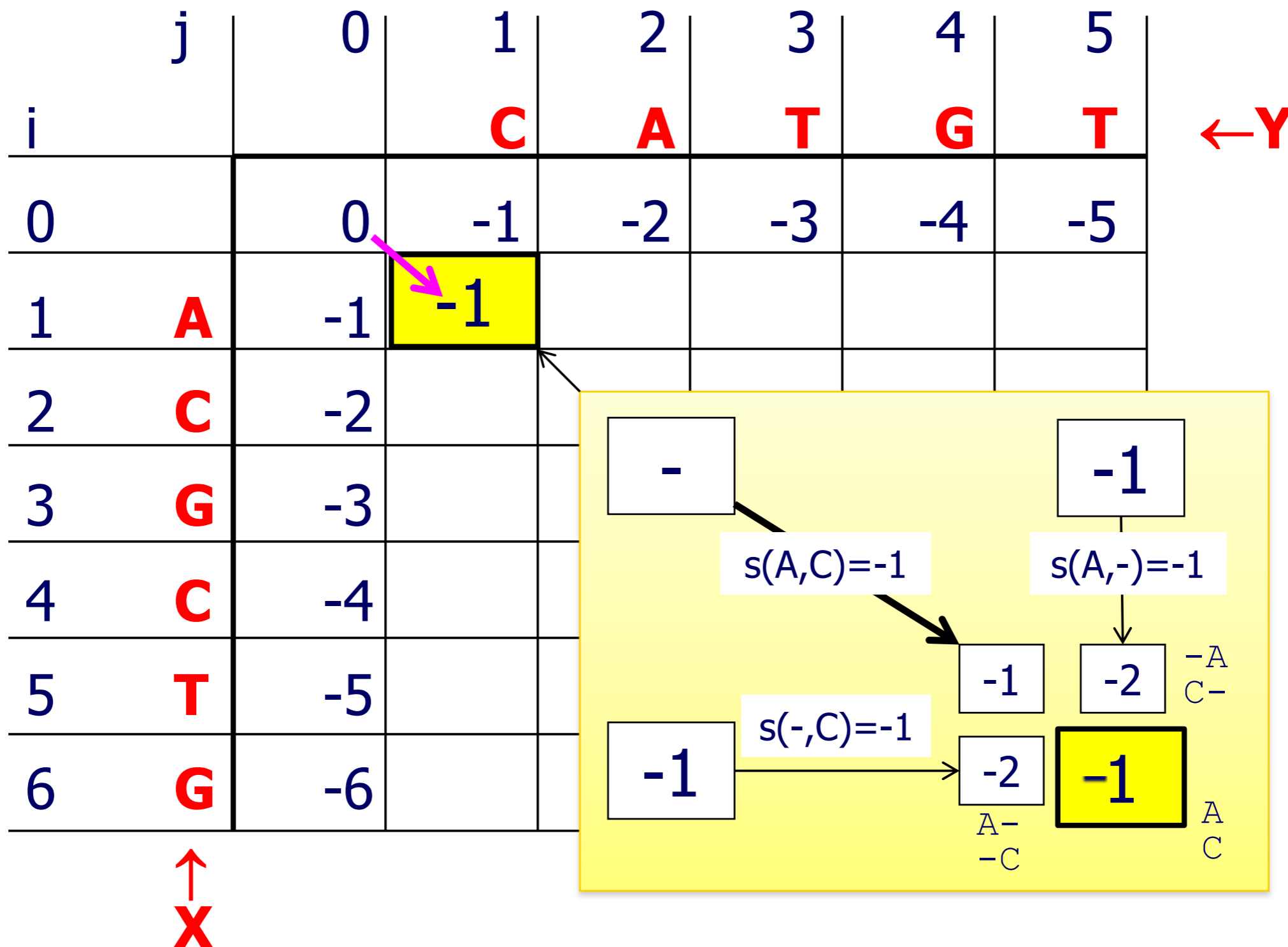
↑  
**X**

x = ACGCTG

match: +2

y = CATGT

mismatch, gap: -1



x = ACGCTG

match: +2

y = CATGT

mismatch, gap: -1

	j	0	1	2	3	4	5
i			C	A	T	G	T
0		0	-1	-2	-3	-4	-5
1	A	-1	-1				
2	C	-2					
3	G	-3					
4	C	-4					
5	T	-5					
6	G	-6					

←Y

↑  
X

x = ACGCTG

match: +2

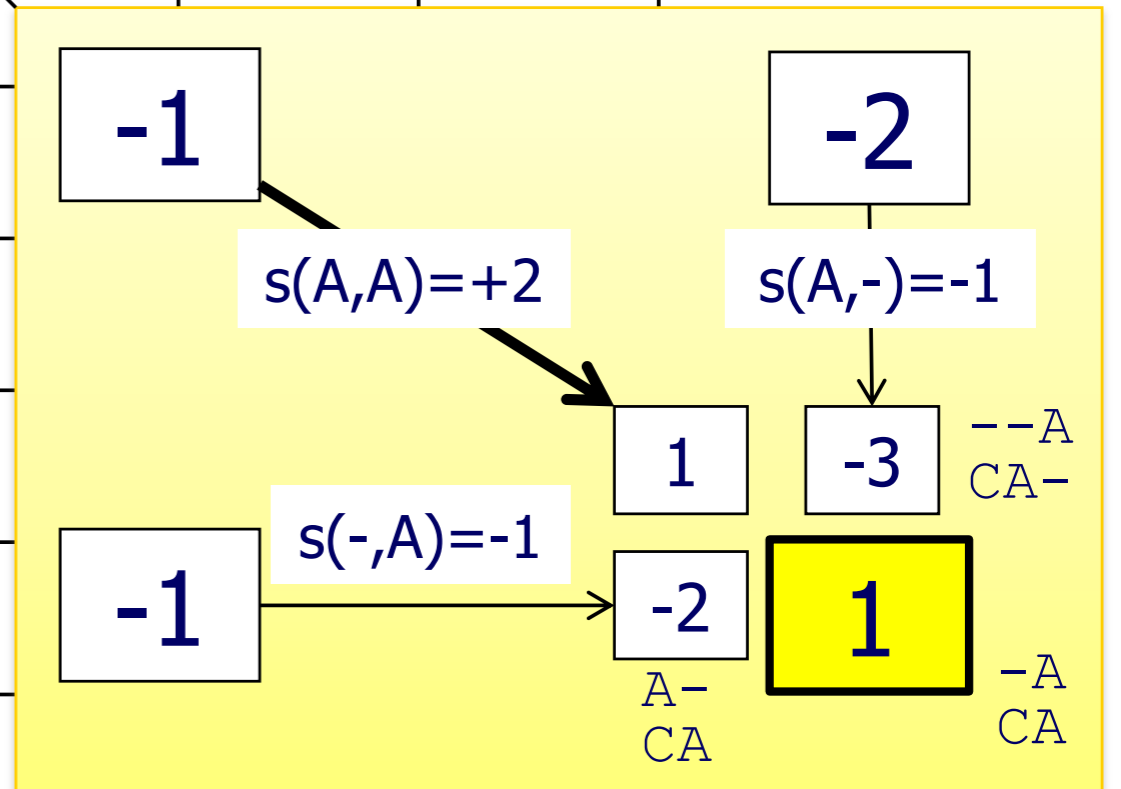
y = CATGT

mismatch, gap: -1

i \ j	0	1	2	3	4	5
		<b>C</b>	<b>A</b>	<b>T</b>	<b>G</b>	<b>T</b>
0	0	-1	-2	-3	-4	-5
1	<b>A</b>	-1	-1			
2	<b>C</b>	-2				
3	<b>G</b>	-3				
4	<b>C</b>	-4				
5	<b>T</b>	-5				
6	<b>G</b>	-6				

← Y

↑ X



x = ACGCTG

match: +2

y = CATGT

mismatch, gap: -1

	j	0	1	2	3	4	5
i			<b>C</b>	<b>A</b>	<b>T</b>	<b>G</b>	<b>T</b>
0		0	-1	-2	-3	-4	-5
1	<b>A</b>	-1	-1	1	0	-1	-2
2	<b>C</b>	-2	1	0	0	-1	-2
3	<b>G</b>	-3	0	0	-1	2	1
4	<b>C</b>	-4	-1	-1	-1	1	1
5	<b>T</b>	-5	-2	-2	1	0	3
6	<b>G</b>	-6	-3	-3	0	3	2

←Y

Time  
=  $O(MN)$

↑  
X

# Finding alignments: trace back

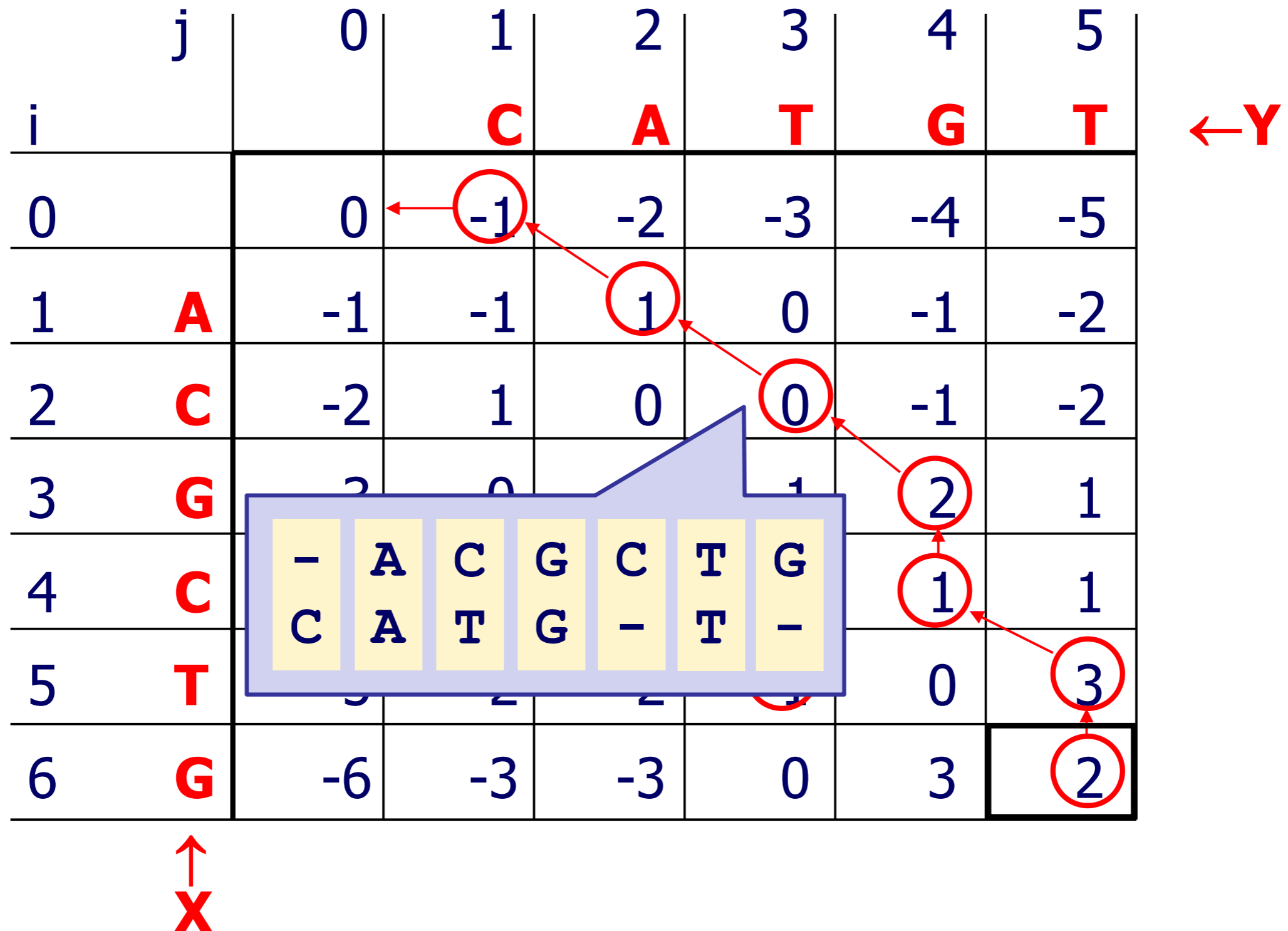
Arrows = (ties for) max in  $F(i,j)$ ; 3 LR-to-UL paths = 3 optimal alignments

	j	0	1	2	3	4	5	
i			<b>C</b>	<b>A</b>	<b>T</b>	<b>G</b>	<b>T</b>	<b>←Y</b>
0		0	-1	-2	-3	-4	-5	
1	<b>A</b>	-1	-1	1	0	-1	-2	
2	<b>C</b>	-2	1	0	0	-1	-2	
3	<b>G</b>	-3	0	0	-1	2	1	
4	<b>C</b>	-4	-1	-1	-1	1	1	
5	<b>T</b>	-5	-2	-2	1	0	3	
6	<b>G</b>	-6	-3	-3	0	3	2	

**X** ↑



# Finding alignments: trace back



# The Needleman-Wunsch Algorithm

## 1. Initialization.

- a.  $F(0, 0) = 0$
- b.  $F(0, j) = -j \times d$
- c.  $F(i, 0) = -i \times d$

## 2. Main Iteration. Filling-in partial alignments

For each  $i = 1 \dots M$

For each  $j = 1 \dots N$

$$F(i, j) = \max \begin{cases} F(i-1, j-1) + s(x_i, y_j) & \text{[case 1]} \\ F(i-1, j) - d & \text{[case 2]} \\ F(i, j-1) - d & \text{[case 3]} \end{cases}$$

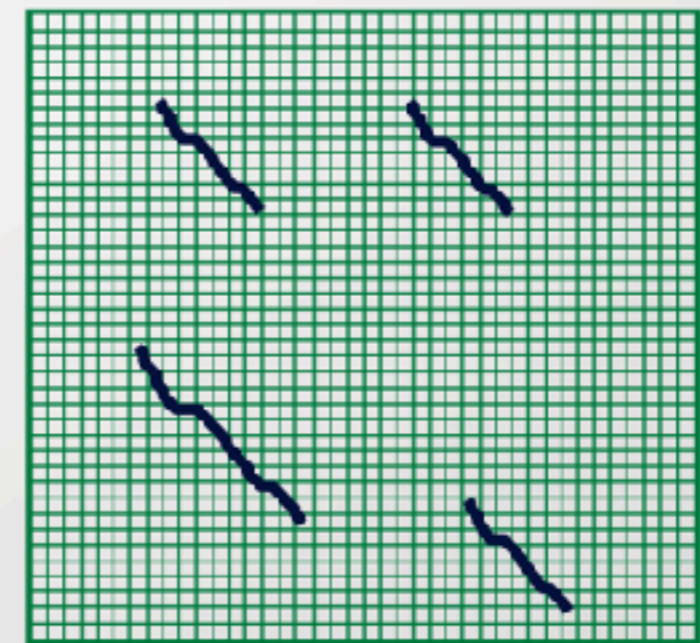
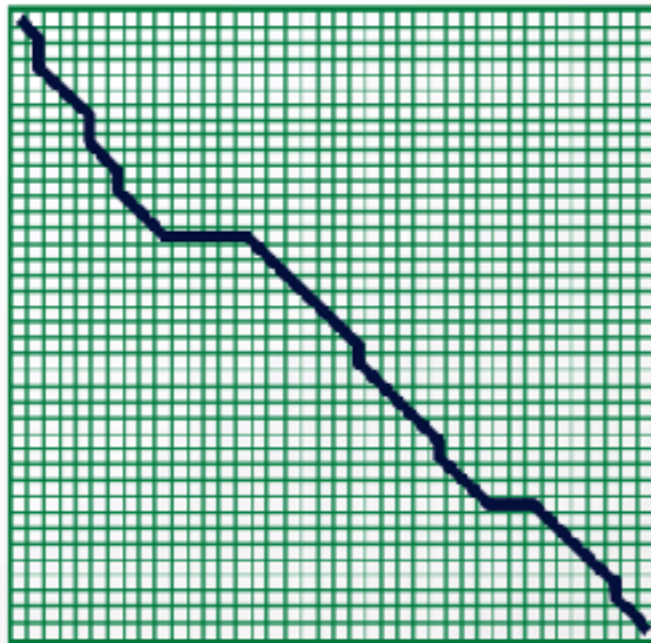
$$\text{Ptr}(i, j) = \begin{cases} \text{DIAG}, & \text{if [case 1]} \\ \text{UP}, & \text{if [case 2]} \\ \text{LEFT}, & \text{if [case 3]} \end{cases}$$

## 3. Termination. $F(M, N)$ is the optimal score, and from $\text{Ptr}(M, N)$ can trace back optimal alignment

# Global Alignment

vs.

# Local alignment



## Needleman-Wunsch algorithm

## Smith-Waterman algorithm

Initialization:  $F(0, 0) = 0$

Initialization:  $F(0, j) = F(i, 0) = 0$

Iteration:

$$F(i, j) = \max \begin{cases} F(i-1, j) - d \\ F(i, j-1) - d \\ F(i-1, j-1) + s(x_i, y_j) \end{cases}$$

Iteration:

$$F(i, j) = \max \begin{cases} 0 \\ F(i-1, j) - d \\ F(i, j-1) - d \\ F(i-1, j-1) + s(x_i, y_j) \end{cases}$$

Termination: Bottom right

Termination: Anywhere

# Performance

- Time:

$O(NM)$

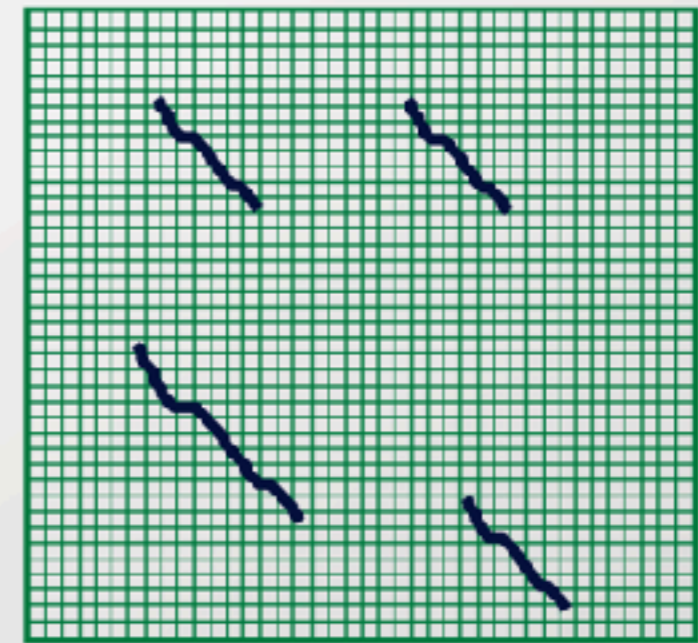
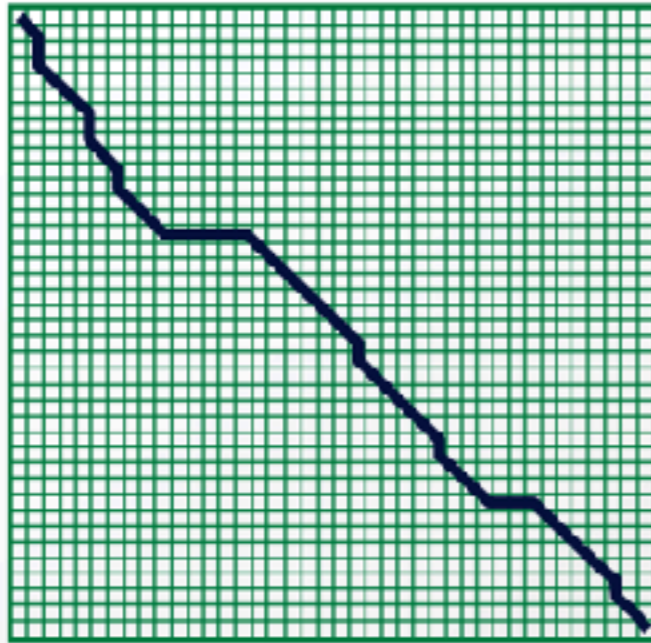
- Space:

$O(NM)$

# Global Alignment

vs.

# Local alignment



## Needleman-Wunsch algorithm

Initialization:  $F(0, 0) = 0$

Iteration:

$$F(i, j) = \max \begin{cases} F(i-1, j) - d \\ F(i, j-1) - d \\ F(i-1, j-1) + s(x_i, y_j) \end{cases}$$

Termination: Bottom right

## Smith-Waterman algorithm

Initialization:  $F(0, j) = F(i, 0) = 0$

Iteration:

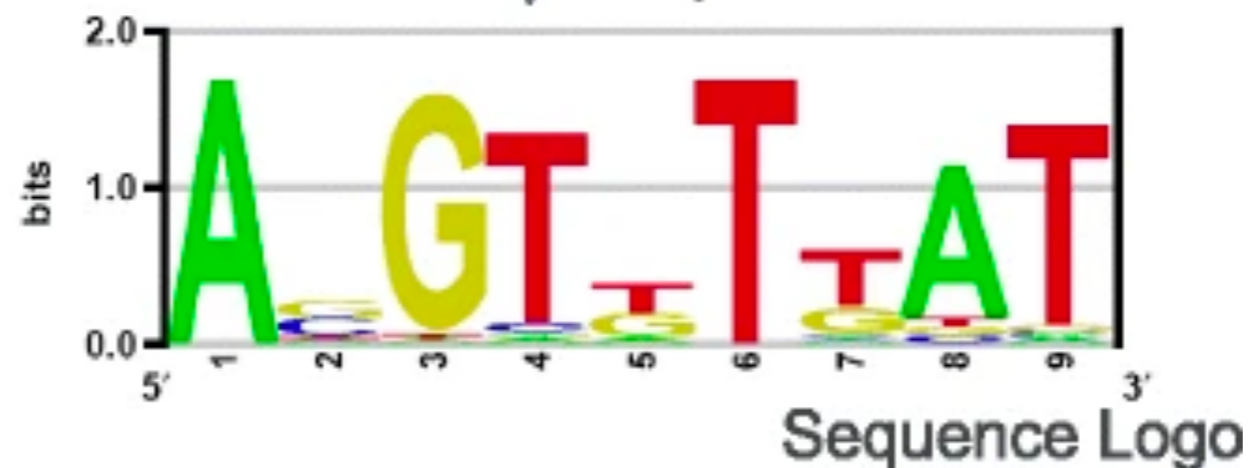
$$F(i, j) = \max \begin{cases} 0 \\ F(i-1, j) - d \\ F(i, j-1) - d \\ F(i-1, j-1) + s(x_i, y_j) \end{cases}$$

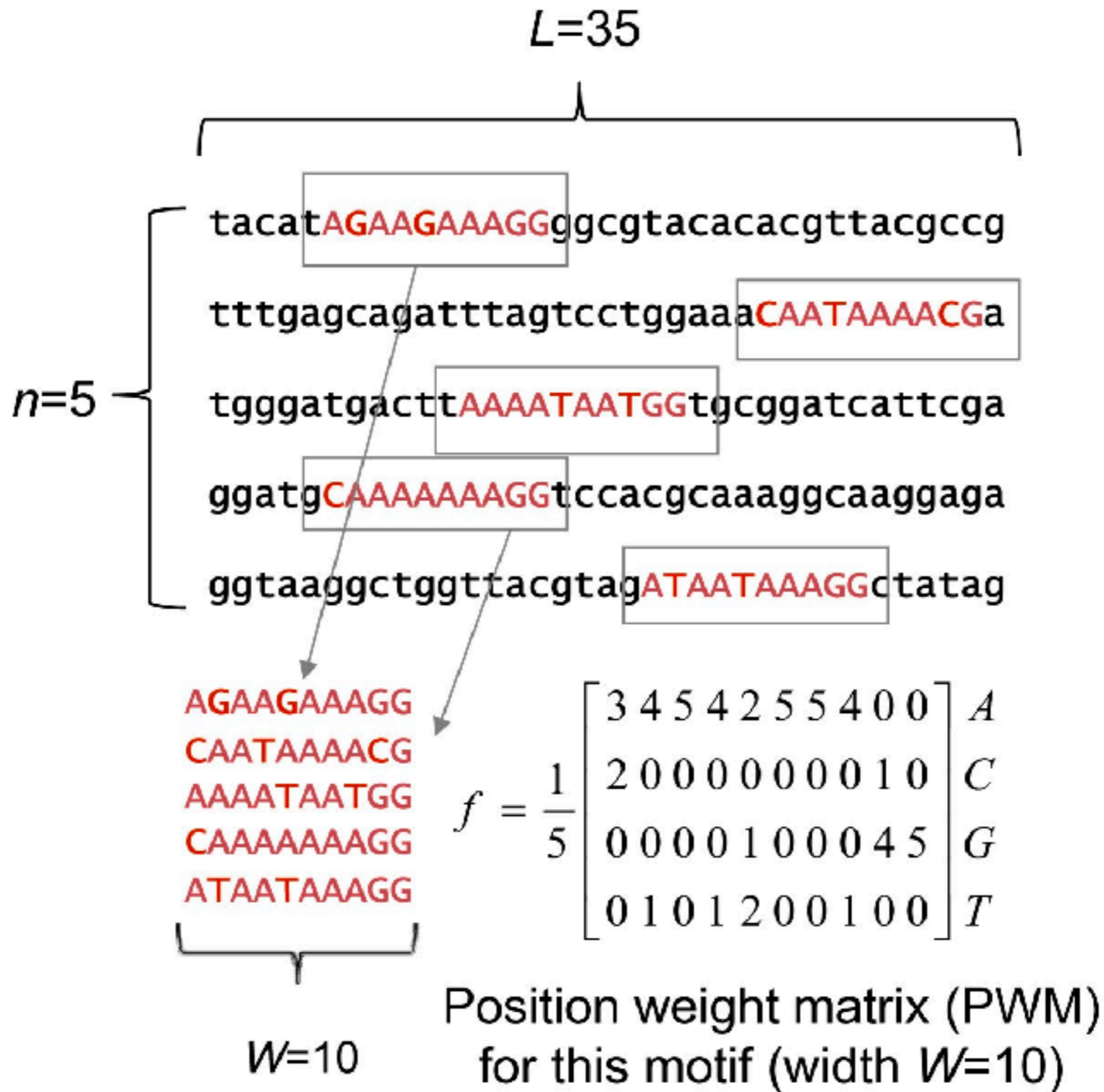
Termination: Anywhere

- What if we only penalize the gap at the beginning
- What if we only penalize the gap at the end

# Motif: probabilistic representation of a sequence

	1	2	3	4	5	6	7	8	9
A	.97	.10	.02	.03	.10	.01	.05	.85	.03
C	.01	.40	.01	.04	.05	.01	.05	.05	.03
G	.01	.40	.95	.03	.40	.01	.3	.05	.03
T	.01	.10	.02	.90	.45	.97	.6	.05	.91







For example, given the following DNA sequences:

```
GAGGTAAAC
TCCGTAAGT
CAGGTTGGA
ACAGTCAGT
TAGGTCATT
TAGGTA CTG
ATGGTAACT
CAGGTATAC
TGTGTGAGT
AAGGTAAGT
```

The corresponding PFM is:

$$M = \begin{matrix} A \\ C \\ G \\ T \end{matrix} \begin{bmatrix} 3 & 6 & 1 & 0 & 0 & 6 & 7 & 2 & 1 \\ 2 & 2 & 1 & 0 & 0 & 2 & 1 & 1 & 2 \\ 1 & 1 & 7 & 10 & 0 & 1 & 1 & 5 & 1 \\ 4 & 1 & 1 & 0 & 10 & 1 & 1 & 2 & 6 \end{bmatrix}.$$

Therefore, the resulting PPM is:<sup>[1]</sup>

$$M = \begin{matrix} A \\ C \\ G \\ T \end{matrix} \begin{bmatrix} 0.3 & 0.6 & 0.1 & 0.0 & 0.0 & 0.6 & 0.7 & 0.2 & 0.1 \\ 0.2 & 0.2 & 0.1 & 0.0 & 0.0 & 0.2 & 0.1 & 0.1 & 0.2 \\ 0.1 & 0.1 & 0.7 & 1.0 & 0.0 & 0.1 & 0.1 & 0.5 & 0.1 \\ 0.4 & 0.1 & 0.1 & 0.0 & 1.0 & 0.1 & 0.1 & 0.2 & 0.6 \end{bmatrix}.$$

$$M = \begin{matrix} A \\ C \\ G \\ T \end{matrix} \begin{bmatrix} 0.3 & 0.6 & 0.1 & 0.0 & 0.0 & 0.6 & 0.7 & 0.2 & 0.1 \\ 0.2 & 0.2 & 0.1 & 0.0 & 0.0 & 0.2 & 0.1 & 0.1 & 0.2 \\ 0.1 & 0.1 & 0.7 & 1.0 & 0.0 & 0.1 & 0.1 & 0.5 & 0.1 \\ 0.4 & 0.1 & 0.1 & 0.0 & 1.0 & 0.1 & 0.1 & 0.2 & 0.6 \end{bmatrix}.$$

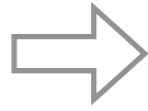
the probability of the sequence  $S = \text{GAGGTAAAC}$  given the above PPM  $M$

$$p(S|M) = 0.1 \times 0.6 \times 0.7 \times 1.0 \times 1.0 \times 0.6 \times 0.7 \times 0.2 \times 0.2 = 0.0007056.$$

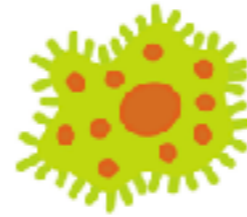
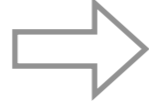
# Computational methods for biology at different scales



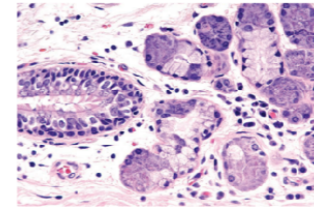
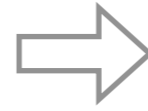
Gene  
(1 nm)



Protein complexes (function)  
(10-100nm)



Cell  
(1-10  $\mu\text{m}$ )



Tissue  
(100  $\mu\text{m}$  to 100 mm)



Complex organism  
(> 1cm)

# What does a fastq file look like?

	Quality	Sequence	Header
1			@ERR000589.41 EAS139_45:5:1:2:111/1
2		CTTTCCTCCCTGCTTTCCTGGCCCCACCATTTCCAGGGAACATCTTGTCAT	
3		+	
4	3IIIIIIIIIIIIII>1IIIF9BG08E00I%IG+&?(4)%00646.C1#&(		
5			@ERR000589.42 EAS139_45:5:1:2:1293/1
6		AGTTGTTAAAATCCAAGCCAATTAAGATAGTCTTATCTTTTAAAAGAAAT	
7		+	
8	IIIIIGII.AIIII=?I9G-/II=+I=4?761BA2C9I+5A711+&>1\$/I		

Very large! ~3000000000 lines

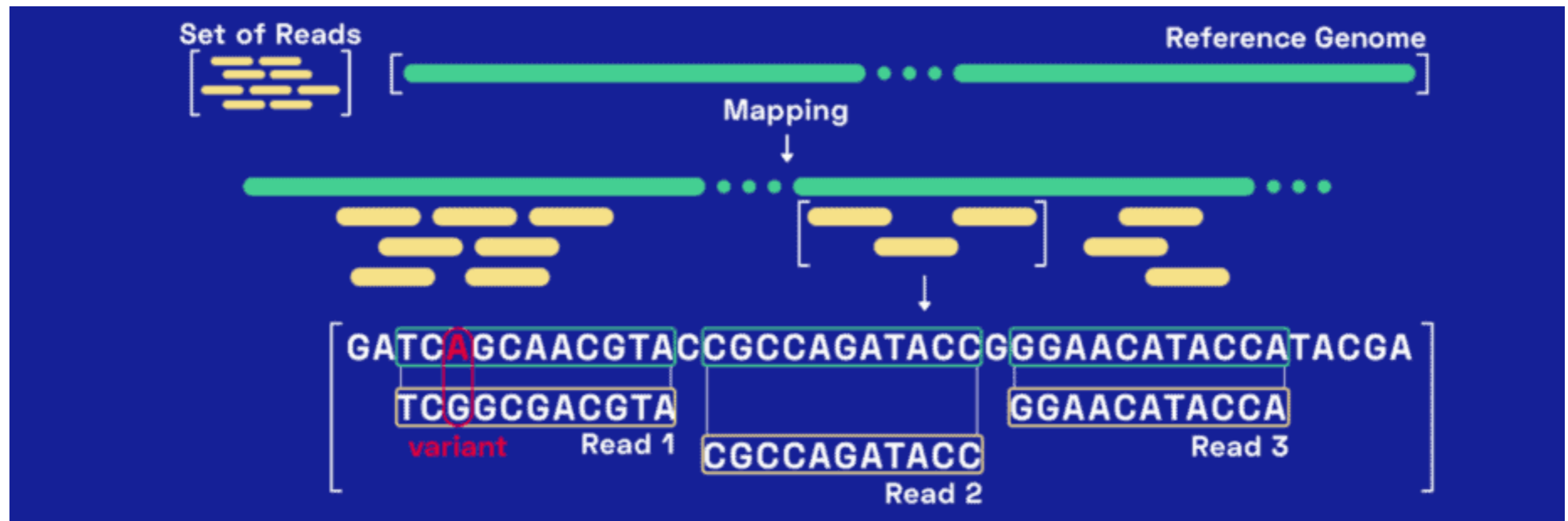
Quality: ASCII chars

What should we do? Map each short sequence (we call it read) to the entire human genome

# What does a fastq file look like?

Reference genome: "average" human genome.

Most widely used human genome GRCh38: derived from 13 thirteen anonymous volunteers



# Processed data

## countData

	ctrl_1	ctrl_2	exp_1	exp_2
geneA	10	11	56	45
geneB	0	0	128	54
geneC	42	41	59	41
geneD	103	122	1	23
geneE	10	23	14	56
geneF	0	1	2	0
...	...	...	...	...
...	...	...	...	...
...	...	...	...	...

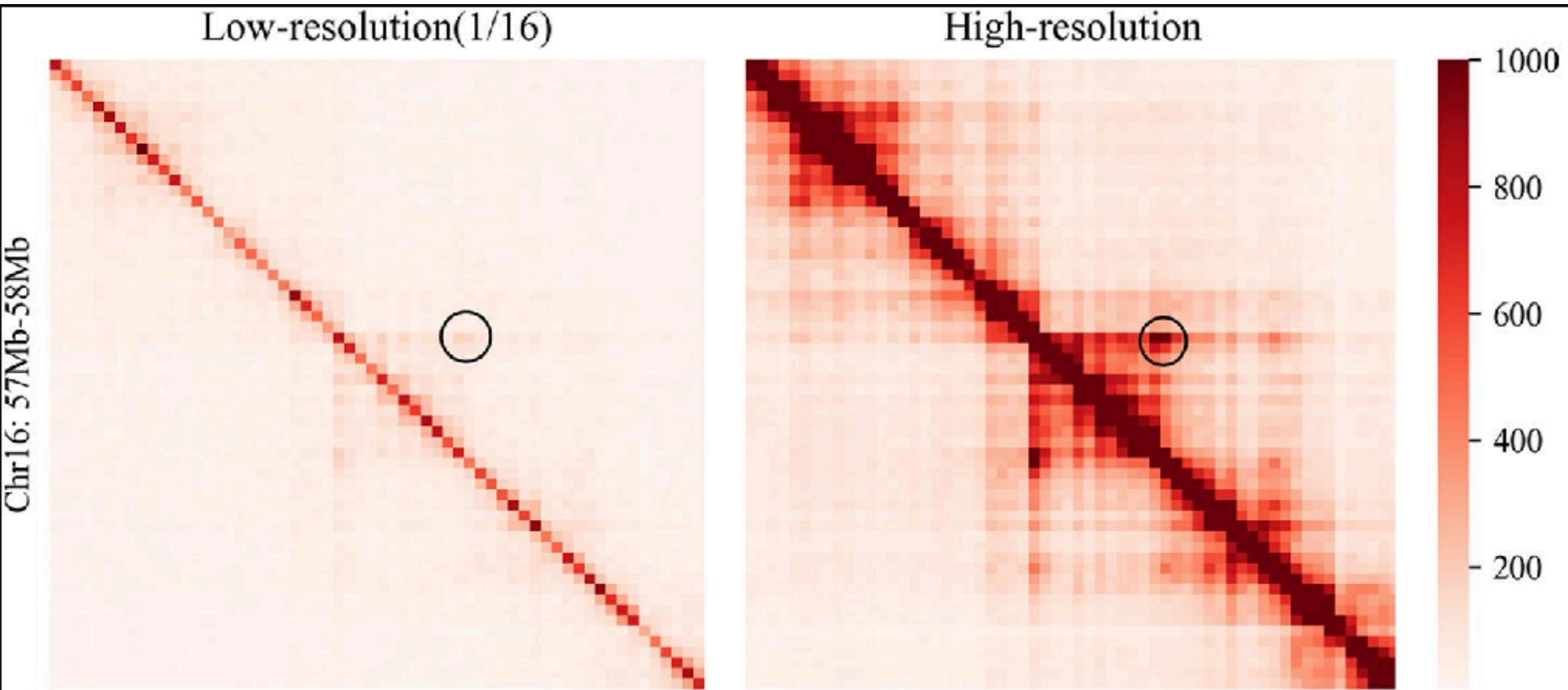
## colData

	treatment	sex
ctrl_1	control	male
ctrl_2	control	female
exp_1	treatment	male
exp_2	treatment	female

Sample names:

**ctrl\_1**, **ctrl\_2**, **exp\_1**, **exp\_2**

# Data structure and computational problem



source: SRHiC: A Deep Learning Model to Enhance the Resolution of Hi-C Data

# Finding alignments: trace back

Arrows = (ties for) max in  $F(i,j)$ ; 3 LR-to-UL paths = 3 optimal alignments

	j	0	1	2	3	4	5	
i			<b>C</b>	<b>A</b>	<b>T</b>	<b>G</b>	<b>T</b>	<b>←Y</b>
0		0	-1	-2	-3	-4	-5	
1	<b>A</b>	-1	-1	1	0	-1	-2	
2	<b>C</b>	-2	1	0	0	-1	-2	
3	<b>G</b>	-3	0	0	-1	2	1	
4	<b>C</b>	-4	-1	-1	-1	1	1	
5	<b>T</b>	-5	-2	-2	1	0	3	
6	<b>G</b>	-6	-3	-3	0	3	2	

**X** ↑